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NATIONAL COOPERATIVE HIGHWAY RESEARCH PROGRAM

SUMMARY OF PROGRESS THROUGH 1978

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SUMMARY OF PROGRESS THROUGH 1978

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NATIONAL COOPERATIVE HIGHWAY RESEARCH PROGRAM

Systematic, well-designed research provides the most effective approach to the solution of many problems facing highway administrators and engineers. Often, highway problems are of local interest and can best be studied by highway departments individually or in cooperation with their state universities and others. More predominantly, however, the need for more efficient, economical, and safer highway transportation and the importance of meshing with other modes and other societal concerns leads to national problems of increasing complexity. A coordinated program of high-quality cooperative research provides a highly effective approach to such problems.

In recognition of these needs, the highway administrators of the American Association of State Highway and Transportation Officials initiated in 1962 an objective national highway research program employing modern scientific techniques. This program is supported on a continuing basis by funds from participating member states of the Association and receives the full cooperation and support of the Federal Highway Administration, United States Department of Transportation.

The Transportation Research Board of the National Research Council was requested by the Association to administer the research program because of the Board's recognized objectivity and understanding of modern research practices. The Board is uniquely suited for this purpose as: it maintains an extensive committee structure from which authorities on any highway transportation subject may be drawn; it possesses avenues of communications and cooperation with federal, state, and local governmental agencies, universities, and industry; its relationship to its parent organization, the National Academy of Sciences, a private, nonprofit institution, is an insurance of objectivity; and it maintains a full-time research correlation staff of specialists in highway transportation matters to bring the findings of research directly to those who are in a position to use them.

Research programs are developed annually on the basis of research needs identified by chief administrators of the highway and transportation departments, by committees of AASHTO, and by the Federal Highway Administration. The programs are referred to the Transportation Research Board for administration, and research projects addressing the specific needs are defined by the Board. The projects are advertised widely for proposals, and qualified agencies are selected on the basis of research plans offering the greatest probabilities of success. The research is carried out under contract, and administration and surveillance are responsibilities of the Academy and its Transportation Research Board.

The needs for highway research are many, and the National Cooperative Highway Research Program is an efficient mechanism for providing solutions to problems of mutual concern to many responsible groups. The Program, however, is intended to complement rather than to substitute for or duplicate other highway research programs.

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SUMMARY OF PROGRESS

THROUGH 1978

INTRODUCTION

The National Cooperative Highway Research Program (NCHRP) was established in 1962 to provide a continuing program of highway research. It is sponsored by member departments of the American Association of State Highway and Transportation Officials (AASHTO), in cooperation with the Federal Highway Administration (FHWA), U. S. Department of Transportation, and carried out under a three-way agreement between these agencies and the National Academy of Sciences. AASHTO annually proposes specific research problems for inclusion in the NCHRP fiscal year activities. At least two-thirds of the member departments must approve the research problems and agree to their financial support before they can be brought into the Program. Following balloting by the member departments, the approved problems are referred to the Academy, where they are reviewed to determine their acceptability for administration by the Academy through the Transportation Research Board of its National Research Council, Each State annually contracts with the Academy to commit an amount equal to 4½ % of its 1½ % Federal-aid highway planning research (HPR) funds. From these contributions. a cooperative pool of about \$3.9 million is made available for NCHRP's contract research and for its administrative and technical operation.

Once accepted, the problems making up the program are assigned to project panels or committees made up of persons knowledgeable in each particular problem area. They analyze the problems, outline particular projects and their objectives, and then prepare research project statements on which proposals are solicited from qualified private and public research agencies. They review the proposals, recommend contract awards, and provide counsel to the NCHRP staff responsible for surveillance of work under the research contracts. Finally, they review final reports for acceptability and for accomplishment of the approved research plan. There are presently some 650 members on these panels coming from 44 States, the District of Columbia, and Canada.

A professional staff is assigned to NCHRP by the Board. Projects engineers with individual specialties and training in the broad areas of physical research and traffic planning are responsible for administrative and technical surveillance of the contracts. In addition to reviewing

quarterly progress reports and monthly progress schedules and maintaining telephone contacts, each engineer regularly visits his assigned projects throughout their contract periods. He discusses with each principal investigator the project's status to learn if the research is being pursued in line with the approved research plan. If necessary, frequent meetings involving the staff, panel, and agency personnel are held to review project progress and provide guidance for continuing work. Finally, the projects engineer and the panel evaluate the completed research to determine the degree of technical compliance with the contract and the acceptability of the final report to the Board and the Academy.

The research findings are published in either of two regular NCHRP report series. Each highway administrator receives a copy immediately on publication, and some 3,500 to 5,500 copies are formally distributed through the Transportation Research Board's selective distribution system.

Another means for bringing research findings before the practicing engineer consists of the NCHRP Research Results Digest—a series of flyers published at frequent intervals in the interest of providing an early awareness of the research results emanating from the various projects. By making these results known as they are developed and prior to publication of the final reports, it is hoped that their early use in practice will be encouraged.

Over the years, 49 detailed progress reports have been submitted by the NCHRP to the sponsors to provide them with current information on the specifics of technical progress of the projects, as well as the specifics of administrative matters relating to Program operation. These reports are supplemented by publication of an annual summary of progress that is made available at the end of each year to both the sponsors and the public at large. The thirteenth issue covers the Program from its inception through December 31, 1978, and illustrates in detail how the NCHRP functions.

Although research in the NCHRP is presently sponsored by AASHTO, the Program is designed to administer research for other agencies as well. However, the following description of how projects are formulated and research is administered applies specifically to research sponsored by the AASHTO.

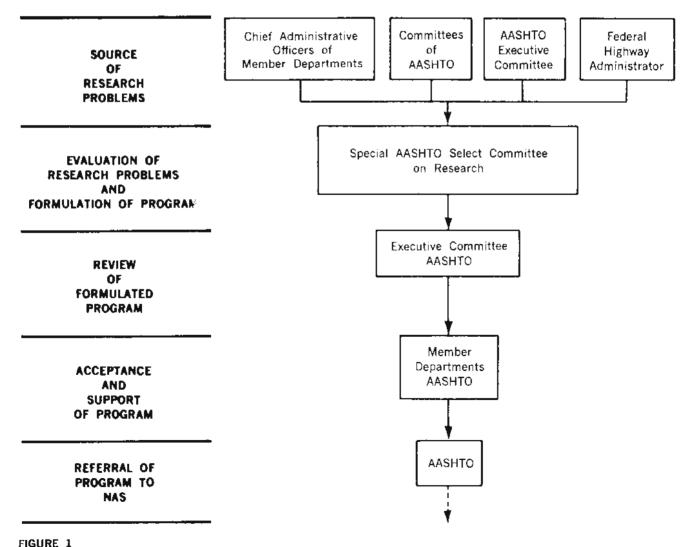
HOW NCHRP PROGRAMS ARE FORMULATED

Research problems from the AASHTO are initiated on an annual basis, and there are many steps (refer to Figure 1) between initiation and the time that the final reports are published. Each fiscal year's program must start with the identification of critical problems by:

- The chief administrative officers of the member state highway and transportation departments.
- The chairmen of subcommittees under AASHTO's Standing Committee on Administration.
- The chairmen of subcommittees under AASHTO's Standing Committee on Highways.
 - · The Executive Committee of AASHTO.
 - The Federal Highway Administrator.

The many problems received from these sources each year are first screened to determine:

- If the proposed problem is of mutual interest to all or many of the States and whether it can be handled more effectively under a cooperative program than by an individual member department.
- If the proposed problem represents an immediate research need in the transportation field.
- If similar efforts are already under way, or if satisfactory answers are already available. In these respects, a search is made of the relevant literature stored in the Board's automated Highway Research Information Service.
- The probability of success of completing the problem according to its scope, estimated cost, and time for completion.



Flow Diagram for Each Program from Initiation to Referral by AASHTO to the National Academy of Sciences

The technical merits of the problems that survive this initial screening are then evaluated in depth by the Special AASHTO Select Committee on Research. Final priorities are placed on them during an annual meeting that is held specifically to formulate research programs for the NCHRP. Based on the funding anticipated to be available from the Federal apportionment for the given fiscal year, the Committee carries out two major activities. First, a review is made to determine which completed or on-going projects should receive additional funding for further work. During this part of program development the committee receives NCHRP recommendations for continuations and has detailed status reports available on each project in the Program since FY '63. Also available are reports from the NCHRP, TRB, and Federal Highway Administration research staffs dealing with appropriateness of the proposed research in light of other research that is under way in this and other research programs.

Following allocation of funds to the projects selected for continuation, the committee's second major activity is to determine which new problem submittals should receive the highest priority for programming within the remaining available funds.

The complete program, made up of continuations and new problems, is sent immediately to the AASHTO Executive Committee for review, approval and/or modification, and acceptance.

After the program is approved, it is sent by AASHTO's Executive Director to the member departments for balloting. The final program for each fiscal year consists of those problems that have received a favorable vote by two-thirds or more of the member departments.

Each year's final program is then referred by AASHTO to the Academy for review and acceptance (refer to Figure 2). At the same time it is also sent to the Federal Highway Administration for its review. Within the Academy structure, the NCHRP staff reviews each item to again ensure that there will be no duplication of either on-going or completed research.

PROGRAMS RECEIVED TO DATE

The first research program was received when the threeway agreement was signed and consisted of 34 problems with an average funding of about \$55,000. A similar pattern existed for the second program; however, subsequent years have seen a decrease in the numbers of problems programmed (see Table 2) and an increase in the levels of funding for individual projects. Since 1967, for example, each year's program has consisted of some 9 new problems with funding ranging between \$100,000 and \$300,000 and some 10 continuations—also funded in the same range—of projects begun in earlier years. This is not to be taken as a decrease in the needs of the sponsors. To the contrary, the needs are many and are evidenced by an ever-growing list that has ranged as high as 188 problems submitted for evaluation in a single year. Regrettably, funds available to the NCHRP each year permit inclusion of but a fraction of the problems submitted.

In 1977 AASHTO referred the seventeenth program (FY '79) of research problems. From all programs through FY '79, 297 research projects have resulted, on which contracts have been written with a total funding obligation of about \$41 million. The subject matter of the projects ranges across the full spectrum of concern within the transportation industry and evidences the sponsor's immediate interest in acquiring answers at an early date to the many acute problems facing administrators and engineers. The eighteenth group of research problems (FY '80 program) was selected in September 1978 and will be referred to the Academy following the States' ballot on the recommended problems.

FINANCING THE PROGRAM

Each year each State contracts with the National Academy of Sciences to support the Program. The agreement commits the State to 4½ percent of its 1½ percent Federal-aid planning and research (HPR) funds. A member department's contribution, if so elected and when authorized by the Federal Highway Administrator, may be financed directly from the Federal-aid monies without State matching funds. On the other hand, the member department's contribution may be financed from both Federal and State matching funds or entirely from State funds. From these contributions a cooperative pool of about \$3.9 million is made available each year for NCHRP's contract research and for its administrative and technical operation. The timing of the availability of funds is such that research can begin on October 1 of each year.

HOW THE NCHRP IS ORGANIZED TO ADMINISTER RESEARCH PROGRAMS

In line with the Board's responsibility for administering the NCHRP, a TRB Executive Committee Subcommittee for the NCHRP considers all matters relating to policies and procedures required for the planning and administration of the Program. This committee is drawn from the officers and ex-officio members of the Executive Committee.

In addition, the Board has established eight broad research fields under which project panels are organized to deal with research in specific problem areas falling within the broad fields (refer to Figure 3). For example, in the broad subject field of Design, each project falling within the more specific subject area of Bridges is assigned a project panel to provide technical guidance throughout the research and reporting phases. Those projects that do not conveniently fit under one of the first seven general fields are assigned to the eighth one, Special Projects.

In terms of generalized subject areas, the distribution of all projects through FY 1979 within the fields of Figure 3 is as follows:

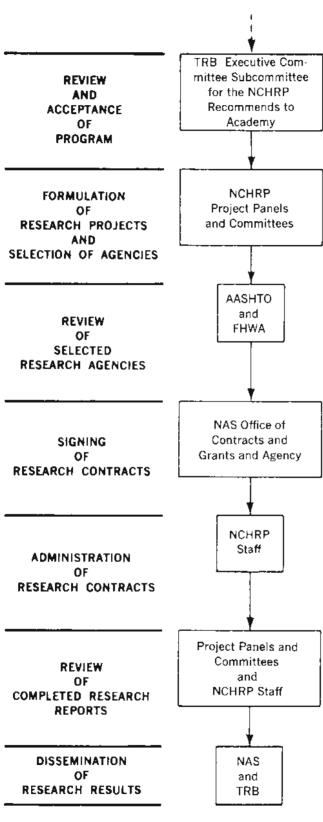


FIGURE 2

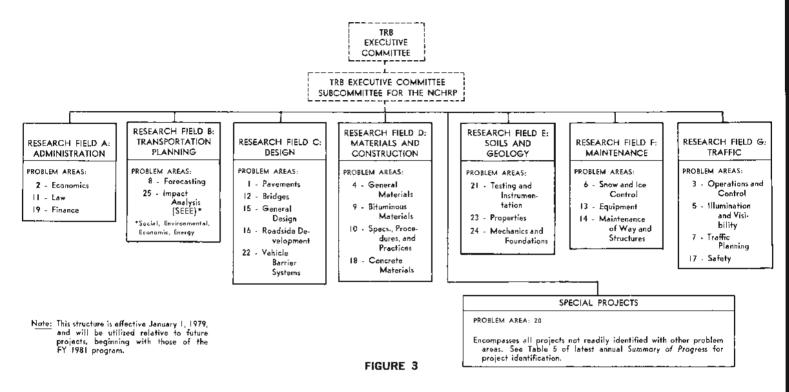
Flow Diagram for Each Program After Referral to the National Academy of Sciences

NO. OF PROJECTS	PERCENT OF FUNDS	GENERALIZED SUBJECT AREAS
40	12.1	Socio-economic and environmental issues
43	18.9	Urban issues
7	3.6	Multimodal issues
56	18.9	Safety and accident prevention
19	1.1	Legal studies
12	13.5	Special projects (including in- house)
22	6.0	Improved materials quality and performance
16	4.5	Highway maintenance
27	5.7	Specifications, tests, and construc- tion control
54	15.7	Structural design and performance

Members of the project panels do not act as consultants or advisors to project investigators. Members may, according to established policy, submit proposals for research. If they do, they are dropped from panel participation until the research agencies have been selected. If unsuccessful, they may return to full participation. Some 650 individuals serve without compensation on these project panels, and their total yearly contribution to the Program runs to thousands of man-days. Members are drawn from the agencies given in Table 1, and they come from 44 States, the District of Columbia, and Canada. State highway and transportation department employees constitute a significant portion of panel membership, presently 42 percent. The duties and responsibilities of the membership include:

- Developing an operation plan geared to reaching the major problem area objective, including estimates of total cost and time to achieve the objectives.
- Drafting definite statements of objectives for projects within the problem area and within the funds allotted.
- Reviewing research proposals and making recommendations regarding selection of research agencies.
 - · Reviewing research progress.
- Providing guidance regarding technical aspects of the research.
- Reviewing and evaluating project reports as to the accomplishment of objectives and suitability for publication.
- Making recommendations as to whether or not studies of problems included in prior fiscal year programs should be continued.

NCHRP RESEARCH FIELDS AND AREAS



Following the NCHRP staff review made after program referral to the Academy, the recommended program is referred to the TRB Executive Committee Subcommittee for the NCHRP for comments as to the critical need for the research, the availability of other suitable sponsors, and whether or not the research items are appropriate to be identified with the Academy. Unacceptable problems are returned by the Academy to the AASHTO Executive Committee with the reason for rejection and, when appropriate, with a recommendation for disposition.

HOW THE PROJECTS ARE PLACED UNDER CONTRACT

It is important to note that the NCHRP is not in the business of awarding grants for basic research. Rather, the Program calls for contract research with specific objectives that, if achieved, will result in problem solutions that can be practically applied. As the NCHRP officially gets each year's program under way, the project panels meet to write research "project statements" hased on the research problems referred by AASHTO.

These statements are then sent automatically to a mailing list of some 3,000 interested individuals and research agencies. Because of deadlines the NCHRP must meet, proposals must be submitted according to fixed deadlines, and extensions simply cannot be granted. Submittals have ranged from 2 to 35 per project, while the average rate of return per project has ranged from 6 to 17 (refer to Table

2). An individual agency has submitted as many as 11 proposals during a particular year's program; however, most agencies submit only one (refer to Table 3).

TABLE 1
DISTRIBUTION OF PROJECT PANEL AND COMMITTEE MEMBERSHIP WITH RESPECT TO AFFILIATION

	NO. OF	POSITIONS
AFFILIATION	MEMBERS	INVOLVED
State highway and transportation		
departments	266	314
Federal Highway Administration	90	120
Special transportation and		
other governmental agencies	91	105
Educational institutions	78	87
Research institutes	4	6
Industry, consultants, and		
trade associations	101	135
Professional societies and		
service organizations	1	1
Transportation Research Board	15	96
All	646	864

TABLE 2
NUMBER OF PROPOSALS SUBMITTED

		'62-'69		'70	'71	172	'73	'74	'75	'76	'77	'78
ITEM	AVE.	LOW	HIGH									
No. of projects advertised	18	9	34	14	14	14	15	14	5	15	15	10
Proposals submitted	174	107	223.	233	157	206	162	147	64	140	138	116
Proposals rec'd. per project (ave.)	10	6	13	17	11	15	11	11	13	9	9	12

TABLE 3
NUMBER OF AGENCIES SUBMITTING ONE OR MORE RESEARCH PROPOSALS

	NUMI	BER OF	AGENCI	ES SUE	MITTIN	G PROP	OSALS					
NO. OF PROPOSALS		'62-'69		'70	70 '71	'71 '72	173	³74	'75	'76	'7 7	[,] 78
SUBMITTED	AVE.	LOW	HIGH									
1	57	26	90	103	63	96	88	73	44	80	73	67
2	19	8	29	22	24	20	17	19	4	14	16	10
3	8	4	14	8	8	13	5	8	4	4	4	7
4	4	0	8	8	3	4	3	1	0	1	2	2
5	2	0	5.	2	2	3	0	0	0	2	1	0
6.	1	0	4	1	0	0	1	0	0	1	0	0
7	1	0	4	0	0	.0	1	0	0	0	0	0
8	<1	0	2	1	0	0	0	1	0	0	1	.0
9	<1	0	1	1	0	0	0	0	0	0	0	0
10	<1	0	2	0	0	0	0	0	0	0	0	0
11	<1	0	1	0	0	0	0	0	0	0	0	0
All				146	100	136	115	102	52	102	97	116

TABLE 4
TYPES OF AGENCIES SUBMITTING PROPOSALS

_		'62-'69)	'70	'71	'72	173	'74	'75	'76	'77	' 78
TYPE OF AGENCY	AVE.	LOW	HIGH									
Educational institutions	37	25	51	53	33	50	38	35	14	36	34	29
Research institutes	19	11	26	23	14	9	9	8	8	7	8	8
Industry, consultants, and trade associations	36	14	59	67	51	72	66	55	28	54	51	46
Professional societies and service organizations	<1	0	2	0	0	0	0	0	0	0	0	(
State highway and transportation departments	1	0	3	2	1	3	2 ·	2	1	3	3	(
Special transportation and other governmental agencies	1	0	3	1	1	2	0	2	1	2	1	3
All				146	100	136	115	102	52	102	97	86
No. of projects advertised	18	9	34	14	14	14	15	14	5	15	15	10

Contracts have been let to agencies headquartered in more than one-half of the States and the District of Columbia (refer to Table 6). In certain instances, the Board conducts NCHRP research directly in its Special Projects Division.

The opportunity to propose is open to anyone possessing extensive, demonstrated capability and experience in the problem areas in question; never are projects developed with the intent that they go to particular agencies. Because the projects are seeking practical remedies for pressing operational problems, it is expected that only the highest level of agency capability will be applied in meeting the commitments of the proposal—capability cannot be developed at project expense. Consonant with the goal of providing practical, readily usable solutions to pressing problems, time and experience have led to the development of fairly stringent specifications for proposals and agency attributes that are acceptable to the mission-oriented nature of the NCHRP. The types of agencies responding with proposals for the 17 programs to date are given in Table 4.

The staff and panel members evaluate all proposals in a uniform manner, with primary consideration given to:

- The understanding of the problem and the merit of the research plan and approach.
- The experiment design and the promise of fulfilling the objectives of the project statement.
 - The qualifications of the principal investigator(s).
 - The adequacy of the facilities.

The proposed budget is not one of the primary factors because the funds available are given in the project statement. It does not enter the evaluation process leading to agency selection, except when specific items are reviewed to better determine manpower allocations. When the proposed cost exceeds the funds available, the proposal is rejected on receipt.

A panel meeting is held to select an agency, and a review is made of all known aspects of agency performance on other research projects under NCHRP or elsewhere. The successful proposals are retained by the panel members for use in monitoring the research. Proposals are considered to be privileged, and the information in them is not released outside of the Academy unless explicit approval is obtained from the agency. Policy also holds that panel notes, deliberations, etc., are privileged.

Following the selection meeting, a list of recommended research agencies is transmitted to the Special AASHTO Select Committee on Research and the Federal Highway Administration for their review and approval, following which the AASHTO Executive Committee is advised of the approval action. Contracts between the Academy and the research agencies are executed, and research is begun. Again, it should be emphasized that the NCHRP is a program of contract research—it does not operate on a grant basis. Further, proposals can be received only in

response to advertised project statements, as the funds available each year to the Program are carmarked in their entirety for research problems specified by the sponsor—AASHTO. New research areas can be recognized only through the previously described AASHTO procedures.

The policy of the NCHRP is to provide a debriefing to unsuccessful proposers. The initiative for obtaining a debriefing lies with the proposers and must be requested in writing. The debriefing is intended to indicate to the proposers the technical areas in which their proposals were judged weak and deficient and how the weaknesses or deficiencies were factors in their not having been selected. All debriefings are conducted in a scrupulously fair, objective, and impartial manner, and the information given the unsuccessful proposers is absolutely factual and consistent with the evaluations by the NCHRP panels. The factors constituting the basis for selection of the successful agency are identified, but the debriefing does not include a point-by-point comparison of all the elements considered in the evaluation criteria. Neither is there any revelation of confidential business information, trade secrets, techniques, or processes of the other proposers, nor is there any indication of the relative merits or technical standings of the unsuccessful proposers.

The projects included in the 17 fiscal year programs conducted to date are listed in Table 5. There are 123 projects in traffic planning research, 136 in physical research, and 38 in the special projects area. The 297 projects are distributed among more than one-half of the States and the District of Columbia. To date, 257 of the projects have been completed.

The Academy's research contract is either:

- Cost-Reimbursement (CR)
- Cost-Reimbursement Plus Fixed Fee (CRPFF)
- Fixed Price (FP) (used only rarely to date for contracts under \$30,000)

The Academy decides, in agreement with the agency, which type of contract will be used in each case

The research agency's proposal is made a part of the contract with the Academy. Thus, in addition to the specific research objectives outlined in the contract, the research agency's cost estimates are also recognized as being part of the agreement. However, the principal investigator does have flexibility in conducting the research, if it is consistent with the general scheme of the proposal.

About two years clapse between the time problems are solicited from AASHTO's member departments and committees and the time that contracts are signed. This appears at first glance to be excessive; however, it is not. It provides for the advance planning that is necessary to ensure that program development for any given year meshes appropriately with the apportionment of Federal-aid funds for that year. This permits smooth progression from year to year throughout the planning and activation phases of each year's program.

TABLE 5 PROJECTS FOR FY '63 THROUGH FY '79, SUMMARY OF STATUS THROUGH DECEMBER 31, 1978

PROJECT		RESEARCH	CONTRACT AMOUNT OR CONTRACT
м о . 	TITLE	AGENCY	COST
1-1(1)	AREA ONE: DESIGN—PAVEMENTS Development of Procedures for Comparing the AASHO Road Test Findings with Performance of (1) Existing Pavements and (2) Newly Constructed	HRB	42,800*
	Experimental Pavements	TID D	11.256#
1-1(2)	Guidelines for Extending the Findings of the AASHO Road Test—Implementation Phase Comparison of Different Methods for Evaluating Pavement Conditions	HRB Purdue U	11, 356* 29,957*
1-2 1-3(1)	Factors Influencing Pavement Performance—Regional	Purdue U	45.982*
1-3(2)	Factors Influencing Payement Performance—Local	Northwestern U	19,850*
1-3(3)	Factors Influencing Pavement Performance	U of California	19,800*
1-4(1)	Extension of Road Test Performance Concepts	Georgia Tech Duke U	10,000* 19,924*
1-4(1)A 1-4(2)	Extension of Road Test Performance Concepts Extension of Road Test Performance Concepts	Purdue U	12,243*
1-5	Detecting Variations in Load-Carrying Capacity of Flexible Pavements	Cornell Aero Lab	49,011*
1-5(2)	Detecting Seasonal Changes in Load-Carrying Capabilities of Flexible Pavements	Texas A & M	49,428*
1-6	Standard Measurements for Satellite Program—Measurement Team	Texas A & M	61,353*
I-7 1-8	Development of Interim Skid-Resistance Requirements for Highway Pavement Surfaces Factors Involved in the Design of Asphalt Pavement Surfaces	Penn State U Materials R & D	24,815* 23,255*
1-9	Evaluation of Studded Tires	Cornell Aero Lab	24,998*
1-10	Translating AASHO Road Test Findings—Basic Properties of Pavement Components	Materials R & D	99,803*
		m + 4.24	103,291*
1-10A	Systems Approach to Pavement Design—Implementation Phase	Texas A & M Materials R & D	100,000* 400,000
1-10B 1-11	Development of Pavement Structural Subsystems Evaluation of AASHO Interim Guides for Design of Pavement Structures	Materials R & D	63,720*
	Intitution of Thioria internal datase for Design of Lavourent presents	112	20,205*
1-12	Determination of Pavement Friction Coefficients Required for Driving Tasks	Franklin Inst	309,244*
1-12A	Wet-Weather Skidding Accident Reduction at Intersections	Ohio DOT	199,955
1-12(2) 1-12(3)	Locked-Wheel Pavement Skid Tester Correlation and Calibration Techniques Requirements for Wear-Resistant and Skid-Resistant Highway Pavement Surfaces	Penn State U Materials R & D	319,000* 261,955*
1-12(3)	Effects of Studded Tires on Highway Safety	Calspan Corp	208,898*
1-13(2)	Effects of Studded Tires on Highway Safety-Non-Winter Driving Conditions	U of Michigan	39,450*
1-14	Influence of Combined Highway Grade and Horizontal Alignment on Skidding	U of Michigan	69,968*
1-15	Design of Continuously Reinforced Concrete Pavements for Highways	U of Texas Penn State U	151,87 0 * 300,000
1-16 1-17	Evaluation of Winter-Driving Traction Aids Guidelines for Recycling Pavement Materials	Texas A&M	200,000
1-18	Calibration and Correlation of Response-Type Road Roughness Measuring Systems	U of Michigan	250,000
1-19	Development of a System for Nationwide Evaluation of PCC Pavements	U of Illinois	125,000
1-20	Influence of Asphult Temperature Susceptibility on Pavement Construction and Performance	Texas A & M	50,000
	AREA TWO: ADMINISTRATION—ECONOMICS		
2-1	Criteria for Highway Benefit Analysis	U of Washington	101,948*
2-2 2-3	Guidelines for the Determination of Community Consequences Analysis of Motor Vehicle Accident Data as Related to Highway Classes and Design	U of Washington Cornell Aero Lab	48,873* 155,972*
2-4	Elements The Value of Highway Travel Time, Comfort, Convenience, and Uniform Driving Speed	Texas A & M	77,100*
2-5	Running Cost of Motor Vehicles as Affected by Highway Design and Traffic	Catholic U	49,998*
		D (I O) (51,265*
2-5A	Running Cost of Motor Vehicles as Affected by Highway Design and Traffic	Paul J. Claffey	35,000* 30,665*
2-6	Warranted Levels of Improvement for Local Rural Roads	Stanford U	40,000*
2-7	Road User Costs in Urban Areas	Catholic U	99,376*
2-8	Estimation and Evaluation of Diverted and Generated (Induced) Traffic	Northwestern U	40,000*
29	Effect of Highway Landscape Development on Nearby Property	Franklin Inst	149,103* 99,655*
2-10 2-11	Find Figure Needs for Oversize-Overweight Permit Operation on State Highways Summary and Evaluation of Economic Consequences of Highway Improvements	Jorgensen & Assoc HRB	110.000*
2-12	Highway User Economic Analysis	Stanford Res Inst	90,074* 9,995*
	AREA THREE: TRAFFIC—OPERATIONS AND CONTROL		
3-1	Development of Criteria for Evaluating Traffic Operations	Cornell Aero Lab	78,965*
3-2	Surveillance Methods and Ways and Means of Communicating with Drivers	Cornell Aero Lab	79,913* 246,756*
3-2	Sensing and Communication Between Vehicles	Ohio State U	163,190*
3-4	Means of Locating Disabled or Stopped Vehicles and Methods of Communication	Airborne Instr	78,517*
3.5	with a Central Location	Diamete - De-	49,474*
3-5	Improved Criteria for Designing and Timing Traffic Signal Systems	Planning Res	123,030* 48,155* 93,717*

	RT-	COMPLE-		DROJECT
IN G Da t		TION DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	PROJECT NO.
		- DATE -		110.
3/1	/63	2/29/64	Completed—Published as NCHRP Reports 2, 2A	1-1(1)
271	(C.)	8/31/65	Contract terminated—No report	1-1(2)
3/1, 2/15,		2/28/65	Completed—Init. pb. publ. as NCHRP Rep. 7; final rep. not publ.; agey rep. avail. thru Univ. Micro.	1-2
$\frac{2}{15}$		9/30/67	Completed—Published as NCHRP Report 132	1-3(1)
9/1,		9/30/64	Completed—Published as NCHRP Report 22	1-3(2)
4/1,		10/31/65	Completed—Published as NCHRP Report 35	1-3(3)
10/1,	/63	9/30/64	Completed—Published as NCHRP Report 10	1-4(1)
2/1,		9/30/66	Completed—Published as NCHRP Report 97	1-4(1)A
2/1,		1/31/66	Completed Published as NCHRP Report 30	1-4(2)
1/15,		7/15/65	Completed—Published as NCHRP Report 21	1-5
9/1.		6/30/68	Completed—Published as NCHRP Report 76 Completed—Published as NCHRP Report 59	1-5(2) 1-6
3/31,		1/31/67 12/15/66	Completed—Published as NCHRP Report 37	1-7
$\frac{6/15}{1/1}$		2/28/66	Completed—Published as NCHRP Report 39	1-8
[-10/1]		6/30/67	Completed—Published as NCHRP Report 61	1-9
9/12		3/11/68	Completed—Report included in NCHRP Reports 139,140	1-10
12/1		12/31/70	Completed—Published as NCHRP Reports 139,140	1-10
3/1		12/31/73	Completed—Published as NCHRP Report 160	1-10A
2/1.		8/31/79	Phase I report in review stage: Phase II research in progress	1-10B
10/23		6/30/70	Completed—Published as NCHRP Report 128	1-11
8/1,		4/30/71	Completed—Published by AASHTO	I-11 I-1 2
8/25, 7/1,		6/8/73 7/1/78	Completed—Published as NCHRP Report 154 Report in review stage	1-12A
9/16		5/15/73	Completed—Published as NCHRP Report 151	1-12(2)
11/1		9/30/75	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	1-12(3)
4/19		8/20/74	Completed—Published as NCHRP Report 183	1-13
2/15		5/31/73	Completed—Published as NCHRP Report 176	1-13(2)
10/15	/72	1/14/74	Completed—Published as NCHRP Report 184	1-14
8/1		8/31/75	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	1-15
6.3		10/31/77	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	1-16
11/1,		1/31/79	Research in progress	1-17 1-18
10/1,		6/30/80	Research in progress Research in progress	1-18
1/23.	//o 15 mg	1/22/80	Contract pending	1-20
	15 1110	, iicii.	Contract pentang	
6/1,	/63	11/30/67	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	2-1
7/1		8/31/64	Completed—Published as NCHRP Report 18	2-2
6/1,	/63	8/31/66	Completed—Published as NCHRP Report 47	2-3
2.1		0/21/66	C. L. I. D. III. L. MCVPD D	2.4
6/3. 6 /1,		8/31/66 8/31/64	Completed—Published as NCHRP Report 33 Completed—Published as NCHRP Report 13	2-4 2-5
6/1,		12/31/66	Completed—Profished as NCHRP Report 111	2-5
7/1		12/31/68	Completed—Report included in NCHRP Report 111	2-5A
8/11		8/10/70	Completed—Report included in NCHRP Report 111	2-5A
6/1/		9/30/66	Completed—Published as NCHRP Report 63	2-6
2/1,		5/31/66	Completed- Report included in NCHRP Report 111	2-7
5/1,		8/31/66	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	2-8
11/8,		1/31/68	Completed—Published as NCHRP Report 75	2-9
11/1,		4/30/68	Completed—Published as NCHRP Report 80	2-10 2-11
1/1 4/1		7/31/70 10/31/75	Completed—Published as NCHRP Report 122 Completed—Report not published	2-17
10/11		5/31/77	Completed—Report not published by AASHTO	2-12
		,		
2/15.	/63	2/29/64	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	3-1
7/2,		2/28/66		
2/15		4/30/66	Completed—Published as NCHRP Reports 9, 28, 29	3-2
2/15		11/30/65	Completed—Published as NCHRP Report 51	3-3 3-4
3/1, 7/1,		3/31/65 12/15/66	Completed—Published as NCHRP Report 6	3-4 3-4
3/1.		12/15/66 12/31/65	Completed—Published as NCHRP Report 40 Completed—Published as NCHRP Reports 3, 32	3-5
7/1,		7/31/67	Completed—Published as NCHRP Report 73	3-5
8/1		12/31/69	Completed—Published as NCHRP Report 124	3-5
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PROJECT		n fet (nov	CONTRACT AMOUNT OF
NO,	TITLE	RESEARCH AGENCY	CONTRACT COST
_	AREA THREE (Continued)		
3-6 3-7	Effect of Regulatory Devices on Intersectional Capacity and Operation Establishment of Standards for Highway Noise Levels	De Lenw, Cather Bolt Beranek	153,175* 144,920* 69,930* 49,927*
3-8	Factors Influencing Safety at Highway-Rail Grade Crossings	Voorhees & Assoc	316,011* 17,171* 74,250*
3-9	Analysis and Projection of Research on Traffic Surveillance, Communication, and Control	Jorgensen & Assoc	23,760*
3-10	Application of Vehicle Operating Characteristics to Geometric Design and Traffic Operations	Cornell Aero Lab	41,520*
3-11 3-12	Optimizing Street Operations Through Traffic Regulations and Control Development of Information Requirements and Transmission Techniques for Highway Users	Peat, Marwick Airborne Instr	258,331* 198.655* 100,500* 99,821*
3-13 3-14 3-15 3-16	Guidelines for Medial and Marginal Access Control of Major Roadways Optimizing Flow on Existing Street Networks Weaving Area Operations Study Freeway Lane Drops	Texas A & M Edwards & Kelcey Poly of New York System Dev Corp	149,293* 990,000° 300,000* 99,789* 76,815*
3-17 3-18(1)	Improving Traffic Operations and Safety at Exit Gore Areas Improved Control Logic for Use with Computer-Controlled Traffic	Penn State U Stanford Res Inst	79,983* 323,998* 57,662*
3-18(2) 3-18(3)	Traffic Control in Oversaturated Street Networks Cost-Effectiveness Methodology for Evaluation of Signalized Street Network Surveillance and Control Systems	Poly of New York JHK & Assoc	200,000* 123,267*
3-18(4) 3-19 3-20	Methodology for Performance Evaluation of Signalized Network Control Strategies Grade Effects on Traffic Flow Stability and Capacity Traffic Signal Warrants	Computran Midwest Res Inst KLD Associates	60,000 220,443* 120,000* 80,000
3-20A 3-21 3-22 3-22A 3-23 3-24 3-25 3-26 3-27 3-28	Traffic Signal Warrants Motorist Response to Highway Guide Signing Guidelines for Design and Operation of Ramp Control Systems Guidelines for Design and Operation of Ramp Control Systems Guidelines for Uniformity in Traffic Control Signal Design Configurations Determine the Luminous Requirements for Retroreflective Highway Signing Cost and Safety Effectiveness of Highway Design Elements Investigation of Selected Noise Barrier Acoustical Parameters Guidelines for Selecting Traffic Signal Coutrol at Individual Intersections Development of an Improved Highway Capacity Manual	BioTechnology Stanford Res Inst Texas A & M KLD Associates U of Michigan Jorgensen Assoc Penn State U Voorhees & Assoc JHK & Assoc	272,071* 199,030* 249,823 300,000 100,000 260,576 224,751 150,000 150,000
4-1	AREA FOUR: MATERIALS AND CONSTRUCTION—GENERAL MATERIALS Development of Appropriate Methods for Evaluating the Effectiveness of Stabilizing	U of Illinois	114,991*
4-2	Agents A Study of Degrading Aggregates in Bases and Subbases with Production of Excessive Amounts of and/or Harmful Types of Fines	Purdne U	63,990*
4-3(1)	Development of Methods to Identify Aggregate Particles Which Undergo Destructive Volume Changes When Frozen in Concrete	VPI	20,000* 23,337*
4-3(2)	Development of Methods to Identify Aggregate Particles Which Undergo Destructive Volume Changes When Frozen in Concrete	Penn State U	56,457* 49,756*
4-4 4-5	Synthetic Aggregates for Highway Uses A Study of the Mechanism Whereby the Strength of Bases and Subbases Is Affected by Frost and Moisture	Battelle Mem Inst Michigan Tech U	14,790* 64,105*
4-6 4-7	Protective Coatings for Highway Structural Steel Fatigue Strength of High-Yield Reinforcing Bars	Steel Str Paint P C A	25.000* 100,000* 50,000*
4-8 4-8(2) 4-8(3)	Research Needs Relating to Performance of Aggregates in Highway Construction Density Standards for Field Compaction of Granular Bases and Subbases Predicting Moisture-Induced Damage to Asphaltic Concrete	V P I Clemson U U of Idaho	55,254* 95,248* 190,177* 71,652
4-9 4-9 4-10 4-10A 4-11 4-12 4-13	Evaluation of Preformed Elastomeric Pavement Joint Sealing Systems and Practices Preformed Elastomeric Pavement Joint Sealing Systems—Field Evaluation Phase Promising Replacements for Conventional Aggregates for Highway Use Waste Materials as Potential Replacements for Highway Aggregates Buried Plastic Pipe for Drainage of Transportation Facilities Upgrading of Poor or Marginal Aggregates for PCC and Bitnmiuons Pavements Temporary Pavement Marking Systems	Utah DOT Utah DOT U of Illinois Valley Forge Lab Simpson Gumpertz Penn State U Sw Research Inst	93,494* 125,000 50,000* 53,663* 200,000 150,000 49,500

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START- ING	COMPLE- TION		PROJECT
DATE	DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	<u>No.</u>
		Description of the Assessment	3-6
4/1/63	8/15/66	Completed—Published as NCHRP Reports 11, 41	3-7
2/1/64	4/30/67	Completed—Published as NCHRP Report 78	3-7
0/14/68	1/15/70	Completed—Published as NCHRP Report 117	3-7
4/1/71	6/30/72	Completed—Published as NCHRP Report 144	3-7
9/1/72	11/30/74	Completed—Published as NCHRP Reports 173, 174	3-8
12/1/63	12/31/64	Completed Report included in NCHRP Report 50	3-8
4/1/65 0/15/66	$\frac{1/6/67}{1/14/68}$	Completed—Total project published as NCHRP Report 50 Completed—Published as NCHRP Report 84	3-9
1/1/66	3/10/67	Completed—Published as NCHRP Report 68	3-10
			3-11
9/1/66	9/30/68	Completed—Published as NCHRP Report 110 Completed—Report included in NCHRP Report 123	3-12
10/1/66	12/31/67	Completed—Report included in NCHRP Report 123	3-12
4/1/68	12/1/69	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	3-12
3/29/71	12/11/72		3-13
9/1/67	11/30/69	Completed—Published as NCHRP Report 113	3-14
10/1/67	1/10/70	Completed—Published as NCHRP Report 113	3-15
10/1/69	12/31/73	Completed—Published as NCHRP Report 159	3-15
11/1/69	4/30/71	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	
5/1/72	10/31/73	Completed—Published as NCHRP Report 175	3-16
1/1/71	11/30/72	Completed—Published as NCHRP Report 145	3-17
7/15/7l	5/15/74	Completed—Report included in Phase II report	3-18(1)
4/15/75	6/30/77	Completed—Rep. not publ.; for avail, see project writeup in latest Sum. of Prog.	3-18(1)
9/1/71	6/30/75	Completed—Published as NCHRP Report 194	3-18(2)
5/1/75	4/15/77	Completed—Rep. not publ.; for avail., see project writeup in latest Sum. of Prog.	3-18(3)
7/21/77	10/31/78	Report in review stage	3-18(4)
9/1/71	8/31/74	Completed—Published as NCHRP Report 185	3-19
9/1/72	4/15/74	Completed—Report included in Phase II report	3-20
11/1/74	7/31/76	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	3-20
	.,51,.0	Project in developmental stage	3-20A
4/1/74	1/31/76	Completed—Rep. not publ.; agency rep. avail, through Univ. Microfilms	3-21
4/15/74	12/31/75	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	3-22
	10/31/79	Research in progress	3-22A
2/1/77		Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	3-23
4 · 8 · 74	7 28:77		3-24
9/1/74	4/30/77	Completed—Rep. not publ.; for avail., see project writeup in latest Sum. of Prog.	3-25
7/15/75	4/16/78	Completed—Published as NCHRP Report 197	3-26
12/1/76	10/31/79	Ph. I rep. not publ.; for avail., see project writeup in latest Sum. of Prog; Ph. II res, in prog.	
11/15/76	3/31/79	Report in review stage	3-27
12/15/77	3/15/79	Research in progress	3-28
6/1/63	10/31/66	CompletedRep. not publ.; agency rep. avail. through Univ. Microfilms	4-1
2/15/63	11/30/66	Completed—Published as NCHRP Report 98	4-2
		Completed—Published as NCHRP Report 12	4-3(1)
3/1/63	9/30/64	Completed—Published as NCHRP Report 12 Completed—Published as NCHRP Report 65	4-3(1)
7/1/65	3/31/67		4-3(2)
3/25/63	1/31/65	Completed—Published as HRB Special Report 80 and NCHRP Report 15	
7/1/65	8/31/67	Completed—Published as NCHRP Report 66	4-3(2)
3/1/63 2/15/63	4/15/64 8/31/65	Completed—Published as NCHRP Report 8 Completed—Rep. not publ.; agency rep. avail, through Univ. Microfilms	4-4 4-5
3/1/65	11/30/66	Completed—Published as NCHRP Reports 74, 74A, 74B	4-6
10/1/67	2/28/70	Completed—Report included in NCHRP Report 164	4-7
2/1/71	8/31/73	Completed—Report included in NCHRP Report 164	4-7
1/1/68	4/30/69	Completed—Published as NCHRP Report 100	4-8
4/1/71	6/30/73	Completed—Published as NCHRP Report 172	4-8(2)
9/1/71	3/31/74	Completed—Published as NCHRP Report 192	4-8(3)
8/1/75	1/31/82	Research in progress	4-8(3)
10/1/68	6/30/71	Completed—Report included in Phase II report	4-9
10/1/72	12/31/77	Report in review stage	4-9
	3/31/71	Completed—Published as NCHRP Report 135	4-10
10/13/09		Completed—Published as NCHRP Report 166	4-10 A
	11/30/73		
10/15/69 9/1/72 9/16/74	11/30/73 3/15/78		4-11
	3/15/78 2/28/79	Report in review stage Report in review stage	4-11 4-12

PROJECT		RESEARCH	CONTRACT AMOUNT OR CONTRACT
Ν О .	TITLE	AGENCY	COST
	UR (Continued)		
4-13 A 4-14	Temporary Pavement Marking Paint Systems Coating Systems for Painting Old and New Structural Steel	Georgia Tech	69,971
7-27	Coating dystellas for Taining Oile and New Structural Steel	Georgia Tech	149.844
	AREA FIVE: TRAFFIC-ILLUMINATION AND VISIBILITY		
5-2(1)	Effects of Illumination on Operating Characteristics of Freeways-Traffic Flow, Driver	Yale University	124.319*
5-2(2)	Behavior, and Accidents Effects of Illumination on Operating Characteristics of Freeways—Driver Response,	011 0 4 11	21,530*
J-2(2)	Visibility, and Visual Discomfort	Ohio State U	81,187*
5-2(3)	Effects of Humination on Operating Characteristics of Freeways—Driver Discomfort	Inst for Research	37,460*
5-3 5-4	Visual Information Needed by the Driver at Night	Ohio State U	100,940*
5-5	Economic Study of Roadway Lighting Nighttime Use of Highway Pavement Delineation Materials	Franklin Inst Sw. Research Inst	19,412™ 50,000≈
		ow Research Inst	100,000*
5-5A	Development of Optimum Specifications for Glass Beads in Pavement Markings	Penn State U	99,350*
5-5B	Pavement Marking Systems for Improved Wet-Night Visibility Where Snowplowing Is Prevalent	Texas A & M	200,000*
5-6	Highway Fog	Cornell Aero Lab	99,955*
5-6A	Highway Fog	Sperry Rand	93,540*
5-7	Roadway Delineation Systems	Penn State U	469,526*
5-8	Warrants for Highway Lighting	Texas A & M	198,875*
	AREA SIX: MAINTENANCE—SNOW AND ICE CONTROL		
6- I	Development of Economical and Effective Chemical Deicing Agents to Minimize Injury	IIT Research Inst	40,000*
	to Highway Structures and Vehicles		,
6-2	Nonchemical Methods for Preventing or Removing Snow and Ice Accumulations on Highway Structures	Jorgensen & Assoc	25,000*
6-3	Development and Evaluation of Protective Coatings to Prevent Deterioration of	Battelle Mem Inst	58,557*
	Concrete Structures by Deicing Agents		20,207
6-4	Evaluation and Development of Methods for Redncing Corrosion of Reinforcing Steel	Battelle Mem Inst	39,330*
6-5 6-6	Study of Physical Factors Influencing Resistance of Concrete to Deicing Agents To Evaluate Existing Methods and/or Develop Improved Methods for the Measurement	U of Illinois Ohio State U	72,500* 69,393*
	of Certain Properties of Concrete	Onto State U	09,393*
6-7	Estimation of Disintegration in Concrete Structures	Geotechnies	8,547*
6-7A 6-8	Estimation of Disintegration in Concrete Structures Evaluation of Methods of Replacement of Deteriorated Concrete in Structures	IIT Research Inst	44,614*
6-9	Potential Accelerating Effects of Chemical Deicing Damage by Traffic and Other	Tallamy Assoc U of Illinois	25,000* 200,000*
	Environmental-Induced Stresses in Concrete Bridge Decks		200,000
6-10 6-11	Develop Improved Snow Removal and Ice Control Techniques at Interchanges	Tallamy Assoc	95,000*
011	Economic Evaluation of the Effects of Ice and Frost on Bridge Decks	Midwest Res Inst	50,000* 50,000*
			50,000
_	AREA SEVEN: TRAFFIC—TRAFFIC PLANNING		
7-1	The Influence of Land Use on Urban Travel Patterns	Louis E. Keefer	62,674*
7-2	Traffic Attraction of Rural Outdoor Recreational Areas	IIT Research Inst	66,894* 24,652*
		III Research Mac	24,844*
7-3	Weighing Vehicles in Motion	Franklin Inst	73,391*
7-4	Factors and Trends in Trip Lengths	Voorhees & Assoc	89,250*
7-5	Predicted Traffic Usage of a Major Highway Facility Versus Actual Usage	Yale University	61,730* 99,675*
7-6	Multiple Use of Lands Within Highway Rights-of-Way	Barton-Aschman	24,220*
7- 7	Motorists' Needs and Services on Interstate Highways	Airborne Instr	99,267*
7-8 7-9	User Cost and Related Consequences of Alternative Levels of Highway Service	Stanford Res Inst	99,070*
7-3 7-10	Development of Models for Predicting Weekend Recreational Traffic Peak-Period Traffic Congestion	Midwest Res Inst Remak/Rosenbloom	74,983* 49,624*
7-10(2)	The Institutional Aspects of Implementing Congestion-Reducing Techniques	Remak/Rosenbloom	68,903
0 1	AREA EIGHT: TRANSPORTATION PLANNING—FORECASTING	** . *	د - سعد د در
8-1 8-2	Social and Economic Factors Affecting Travel Factors Influencing Modal Trip Assignment	Vogt, Ivers IIT Research Inst	94,558*
8-3	Individual Preferences for Various Means of Transportation	U of Penn	298,033* 63,282*
8-4	Criteria for Evaluating Alternative Transportation Plans	Northwestern U	89,900*
8-4A	Criteria for Evaluating Alternative Transportation Plans	U of Illinois	5,000*
8-5	Transportation Aspects of Land-Use Controls	Victor Gruen	25,967*
8-6	Individual Preferences for Alternative Dwelling Types and Environments	U of N Carolina	99,5 71* 99,897*
8-7	Evaluation of Data Requirements and Collection Techniques for Transportation Planning	Creighton-Hamburg	190,000*
8-7A	Data Requirements and Transportation Planning Procedures in Small Urban Areas	U of Tennessee	98,005*
8-8(1)	The Impact of Highways upon Environmental Values (Study Design)	міт	29,654*

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START-	COMPLE-		
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DATE	DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	NO.
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4/1/78	6/30/79	Research in progress	4-13A
1/1/78	3/31/80	Research in progress	4-14
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2/15/63	5/31/66	Completed—Report included in NCHRP Report 60	5-2(1)
2/1/67	7/31/67	Completed—Report included in NCHRP Report 60	5-2(1)
2/15/63	8/31/65	Completed—Report included in NCHRP Report 60	5-2(2)
2/20/63	2/28/66	Completed—Report included in NCHRP Report 60	5-2(3)
9/1/64	3/31/67	Completed—Published as NCHRP Report 99	5-3
7/20/64	8/31/65	Completed—Published as NCHRP Report 20	5-4
3/1/65	12/31/66	Completed—Published as NCHRP Report 45	5-5
7/15/67	9/15/69	Completed—Published as NCHRP Report 85	5-5
5/1/71	6/30/73	Completed—Rep, not publ.; agency rep, avail, through Univ. Microfilms	5-5A
9/1/71	12/31/74	Completed—Rep, not publ.; agency rep, avail, through Univ. Microfilms	5-5B
10/2/67	4/30/69	Completed—Published as NCHRP Report 95	5-6
9/1/70	5/31/73	Completed—Published as NCHRP Report 171	5-6A
10/1/68	6/30/71	Completed—Published as NCHRP Report 130	5-7
3/16/70	2/15/73	Completed—Published as NCHRP Report 152	5-8
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2/15/63	9/30/64	Completed—Published as NCHRP Report 19	6-1
2/15/63	2/29/64	Completed—Published as NCHRP Report 4	6-2
2/15/05	2/27/04	Completed—I donated as FIGHKI Report 4	0-2
3/1/63	2/28/65	Completed—Published as NCHRP Report 16	6-3
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3/1/63	4/30/65	Completed—Published as NCHRP Report 23	6-4
3/1/63 3/1/63	8/31/65 2/28/66	Completed—Published as NCHRP Report 27 Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	6-5
3/1/03	2/20/00	Completed—Rep. not publ., agency rep. avan. through Oniv. Merotidins	6 -6
3/1/63	8/31/64	Contract terminated—no report; research resumed under Project 6-7A	6-7
2/1/65	7/31/66	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	6-7 A
2/15/63	2/29/64	Completed—Published as NCHRP Report 1	6-8
1/1/65	6/15/68	Completed—Published as NCHRP Report 101	6-9
9/1/67	9/30/70	Completed—Published as NCHRP Report 127	6-10
9/1/70	11/30/71	Completed—Report included in Phase II report	6-11
9/12/72	9/11/74	Completed—Published as NCHRP Report 182	6-11
		C. I. D. B. I.C. I. MCTTD D	
2/1/64	1/31/66	Completed—Published as NCHRP Report 24	7-1
4/1/66 2/1/64	9/30/67 3/15/65	Completed—Published as NCHRP Report 62 Completed—Report included in NCHRP Report 44	7-1
5/1/65	5/31/66	Completed—Total project published as NCHRP Report 44	7-2 7-2
2/1/64	8/31/67	Completed—Published as NCHRP Report 71	7-3
2/1/64	10/31/66	Completed—Published as NCHRP Report 48	7-4
10/23/67	1/10/69	Completed—Published as NCHRP Report 89	7-4
2/1/64	11/30/66	Completed—Published as NCHRP Report 58	7-5
2/1/66	2/28/67	Completed—Published as NCHRP Report 53	7-6
1/1/66	12/31/67	Completed—Published as NCHRP Report 64	7-7
9/1/70 9/1/72	4/1 5/72 5/15/74	Completed—Published as NCHRP Report 133 Completed—Rep. not publ.; agency rep. avail, through Univ. Microfilms	7-8 7-9
4/1/74	3/31/75	Completed—Published as NCHRP Report 169	7-10
4/1/75	3/31/78	Report in editorial and publication process	7-10(2)
	. ,	.,	. 10(2)
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2/1/64	9/23/66	Completed—Published as NCHRP Report 70	1-8
2/1/64	8/31/66	Completed—Published as NCHRP Report 57	8-2
2/1/64 2/1/65	3/31/65 8/1/ 6 7	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	8-3
10/14/68	1/10/69	Completed—Report included in NCHRP Report 96 Completed—Published as NCHRP Report 96	8-4 8-4 A
4/1/65	5/31/66	Completed—Published as NCHRP Report 31	8-4A 8-5
8/7/67	1/15/70	Completed—Published as NCHRP Report 121	8-5
2/14/66	3/13/68	Completed—Published as NCHRP Report 81	8-6
9/13/68	8/28/70	Completed—Published as NCHRP Report 120	8-7
6/1/73	6/14/75	Completed—Published as NCHRP Report 167	8-7A
9/16/68	3/14/69	Completed—Study design, not to be published	8-8(1)

PROJECT		RESEARCH	CONTRACT AMOUNT OR CONTRACT
NO.	TITI.E	AGENCY	COST
	AREA EIGHT (Continued)		
8-8(2)	The Impact of Highways upon Environmental Values (Study Design)	Daniel, Mann et al	28,950*
3- 8(3) 3-9	The Impact of Highways upon Environmental Values Comparative Economic Analysis of Alternative Multimodal Passenger Transportation Systems	M I T Creighton-Hamburg	470,000* 10 0 ,000*
8-10 3-11	Planning and Design Guidelines for Efficient Bus Utilization of Highway Facilities Social, Economic, Environmental Consequences of Not Constructing a Transportation Facility	Wilbur Smith DACP, Inc	149,907 * 346,749
8-12	Travel Estimation Procedures for Quick Response to Urban Policy Issues	Metro Wash COG	39,895*
-12A	Travel Estimation Procedures for Quick Response to Urban Policy Issues	Comsis Corp	239,331
-13	Disaggregate Travel Demand Models	Chas River Assoc	100,000*
3-13(2) 3-14	Disaggregate Travel Demand Models New Approaches to Understanding Travel Behavior	Chas River Assoc Boston College	200,000
-14A	New Approaches to Understanding Travel Behavior: Phase II	Chas River Assoc	14 9,86 0 186, 2 08
-15	State and Regional Transportation Impact Identification and Measurement	Bigelow-Crain	80,000*
-15A	Economic Impacts of State Transportation Policies and Programs	Reg Sc Res Inst	117,852
3-16	Guidelines for Public Transportation Levels of Service and Evaluation	U of Tennessee	480,000
1-17	Freight Data Requirements for Statewide Transportation Systems Planning	R. Creighton Assoc	231,147
3-18	Techniques for Evaluating Options in Statewide Transportation Planning/Programming	Ping Envr Int/AMV	300,393
3-19 3- 2 0	The Relationship of Changes in Urban Highway Supply to Vehicle-Miles of Travel	Cambridge Syst Inc	199,954
1-20 1-21	Improved Methods for Vehicle Counting and Determining Vehicle-Miles of Travel Guidelines for Use of Vanpools and Carpools as a Transportation System Management	Hamburg & Assoc Geo Washington U	200,000
-21	Technique	Geo washington U	250,000
3-2 2 3-23	Transportation Financing Within the Context of Energy Constraints Fuel Supply Limitations and Passenger Travel	System Des Concepts Chas River Assoc	100,000 110,000
	AREA NINE: MATERIALS AND CONSTRUCTION-BITUMINOUS MATERIALS		
)_ <u>1</u>	Asphalt Durability and Its Relation to Pavement Performance	American Oil	50,000*
	•		50,000*
9-2	Asphalt Durability and Its Relation to Pavement Performance—Adhesion	Montana College	101,903*
)-3	Evaluation of Pavement Joint and Crack Sealing Materials and Practices	Rensselaer	24,996*
9-4 9-4A	Minimizing Premature Cracking of Asphaltic Concrete Pavements Bayesian Analysis Methodology for Verifying Recommendations to Minimize Asphalt Pavement Distress	Materials R & D Woodward-Clyde	99,560* 204,194
	AREA TEN: MATERIALS AND CONSTRUCTION—SPECIFICATIONS, PROCEDURES, AND	PRACTICES	
10-1	Development of Guidelines for Practical and Realistic Construction Specifications	Miller-Warden	25,000*
10-2	Evaluation of Construction Control Procedures	Miller-Warden	59,750*
10-2A	Evaluation of Construction Control Procedures	Materials R & D	70,945*
10-3	Effects of Different Methods of Stockpiling and Handling Aggregates	Miller-Warden	25,000*
10-4	Rapid Test Methods for Field Control of Construction	Clemson U	30,000*
10 1	Maple Test Methods for Tield Control of Construction	Clemson O	30,000* 69,320*
10-5	Density and Moisture Content Measurements by Nuclear Methods	Res Triangle Inst	28,801*
10-5A	Optimization of Nuclear Density and Moisture Content Measurement Methods	N Carolina State U	59,835* 51,214*
10-6	Measurement of Pavement Thicknesses by Rapid and Nondestructive Methods	IIT Research Inst	108,821*
10-7	Potential Uses of Sonic and Ultrasonic Devices in Highway Construction	Ohio State U	24,310*
10-8	Evaluating Procedures for Determining Concrete Pavement Thickness and Reinforcement Position	Pa Dept of Transp	151,982*
10-9	Criteria for Need of Seal Coats for Bituminous Pavements	U of Minnesota	50,000*
10-10	Acceptance Criteria for Electroslag Weldments in Bridges	US Steel	300,000
10-11	Development of a Performance Specification for Bridge Deck Joint-Sealing Systems	Howard, Needles et al	29,996
10-12 10-13	Acceptance of Aggregates Used in Bitnminous Paving Mixtures	Texas A & M	174,889
10-13	Ultrasonic Measnrement of Weld Flaw Size Locating Voids Beneath Pavement Using Pulsed Flectromagnetic Wave Techniques	Georgia Tech	125,000 99,850
	AREA ELEVEN: ADMINISTRATION—LAW		
11-1	Rules of Compensability and Valuation in Highway Land Acquisition	U of Wisconsin	84.840*
11-1(1)	Eliminating Enhancement or Diminution Effects on Right-of-Way Valuation	Real Estate Res	5,000*
11-1(2)	Recognition of Benefits to Remainder Property in Highway Valuation	Montano & Assoc	5,000*
	Taxation Aspects of Right-of-Way Acquisition	U of Tulsa	2,250*
11-1(3)			2 500*
11-1(3) 11-1(4)	Compensation in the Nature of Additives to Market Value	U of Oklahoma	2,500*
11-1(3) 11-1(4) 11-1(5)	Rules of Discovery and Disclosure in Highway Condemnation Proceedings	Long, Mikkelborg	2,500*
11-1(3) 11-1(4) 11-1(5) 11-1(6) 11-1(7)			

START-	COMPLE-		
ING	TION		PROJECT
DATE	DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	NO.
			
9/9/68	3/7/69	Completed—Study design, not to be published	8-8(2)
9/15/69	7/31/74	Completed—Published as NCHRP Report 156	8-8(3)
9/1/71	1/31/73	Completed—Published as NCHRP Report 146	8-9
9/1/71	7/31/73	Completed—Published as NCHRP Reports 143 and 155	8-10
9/16/74	2/28/79	Phase I agency rep. avail, through Univ. Microfilms;	8-11
		Phase II report in review stage	8-11 8-12
9/3/74	12/31/75 10/31/78	Completed—Results published in 8-12A report Completed—Published as NCHRP Reports 186 and 187	8-12A
11/1/75 9/ 15 /74	1/31/76	Completed—Ph. I rep. not publ.; agency rep. avail, through Univ. Microfilms	8-13
5/1/76	9/30/79	Ph. II completed Rep. not publ.; agency rep. avail. through Univ. Microfilms; Ph. III res. in prog.	8-13(2)
1/1/75	4/30/77	Completed—Rep. not publ., for avail, see project writeup in latest Sum, of Prog.	8-14 8-14A
$\frac{1}{1}$	12/31/79 5/31/76	Research in progress Ph. 1 completed—Rep. not publ.; for avail., see project writeup in latest Sum, of Prog.	8-15
10/1/77	4/30/79	Research in progress	8-15 A
1/1/76	5/31/79	Research in progress	8-16
7/15/75	2/15/77	Completed—Published as NCHRP Reports 177 and 178	8-17 8-18
9/1/75 12/1/76	5/31/78 11/30/78	Phase I report publ. as NCHRP Report 179; Phase II report in editorial and publication process Report in review stage	8-19
1/2/78	6/30/79	Research in progress	8-20
	ionths	Contract pending	8-21
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	ouths onths	Contract pending Coutract pending	8-23
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2/1/64	7/21/65	Completed-Report included in NCHRP Report 67	9-1
2/1/64 11/1/65	7/31/65 4/30/67	Completed—Total project published as NCHRP Report 67	9-1
1/1/65	10/31/67	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	9-2
6/1/65	6/30/66	Completed—Published as NCHRP Report 38	9-3
11/1/71 9/1 5 /75	6/30/73 11/1/78	Completed—Published as NCHRP Report 195 Report in editorial and publication process	9-4 9-4A
3/13/13	11/1//8	Report in editorial and phoneation process	, .
11/15/63	11/14/64	Completed—Published as NCHRP Report 17	10-1
11/4/63	2/1/66 11/14/67	Completed—Published as NCHRP Report 34 Completed—Published as NCHRP Report 69	10-2 10-2A
7/15/66 10/22/63	4/30/64	Completed—Published as NCHRP Report 5	10-271
10/15/64	10/16/65	Completed—Published as NCHRP Report 46	10-3
2/1/64	2/28/65	Completed—Report included in NCHRP Report 103	10-4
5/1/65	2/28/67	Completed—Published as NCHRP Report 103 Completed—Published as NCHRP Report 14	10-4 10-5
1/15/64 4/1/65	1/31/65 10/7/66	Completed—Published as NCHRP Report 43	10-5
2/1/68	1/31/70	Completed—Published as NCHRP Report 125	10-5 A
2/1/64	10/31/66	Completed—Published as NCHRP Report 52	10-6
2/1/64 3/2/70	3/31/65	Completed—Published as NCHRP Report 25 Completed—Published as NCHRP Report 168	10-7 10-8
3/2/ 70	7/31/73	Completed—I nonsuca as inclini report too	10-0
11/1/69	2/28/74	Completed—Rep. not publ.; agency rep. avail, through Univ. Microfilms	1 0-9
5/1/74	9/30/78	Report in editorial and publication process	10-10
12/1/76 9/1/77	4/30/78 8/31/80	Report in review stage Research in progress	10-11 10-1 2
	nonths	Contract pending	10-13
15 π	nonths	Contract pending	10-14
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1/1/65 9/2/68	4/30/67	Completed—Published as NCHRP Report 104	11-1 11-1(1)
10/1/68	2/28/69 3/31/69	Completed—Published as NCHRP Report 114 Completed—Published as NCHRP Report 88	11-1(1)
9/16/68	4/30/69	No final report—Project terminated	11-1(3)
12/1/68	5/31/69	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	[1-1(4)
9/15/68	4/14/69	Completed—Published as NCHRP Report 87	11-1(5) 11-1(6)
9/2/68 10/1/68	11/28/69 3/31/69	Completed—Published as NCHRP Report 92 Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	11-1(7)
10/15/68	1/15/70	Completed—Published as NCHRP Report 134	11-1(8)

PROJECT		RESEARCH AGENCY	CONTRACT AMOUNT OR CONTRACT
			COST
11-1(9) 11-1(10)	AREA ELEVEN (Continued) Valuation and Condemnation Problems Involving Trade Fixtures Compensability and Valuation Aspects of Residential Displacement in Highway Programs	Edward L. Snitzer Ross, Hardies et al	5,000* 5,000*
11-1(11) 11-2 11-3 11-3(1) 11-3(2) 11-4 11-5 11-6	Valuation Elements of Joint Development Projects, Including Air Rights Theory and Practice in Inverse Condemnation Valuation and Legal Implications of Scenic, Conservation, and Roadside Easements Public Control of Roadside Advertising Signs for Highway Beautification Public Control of Junkyards for Highway Beautification Elimination of Wide Divergence in Right-of-Way Valuation Valuation of Air Space Valuation and Compensability of Noise Pollution	Real Estate Res Reg & Urban Plan Sutte, Jr. & Assoc Sutte, Jr. & Assoc Real Estate Res Am Inst Rl Est App Daniel, Mann et al Jack Faucett Assoc	5,000* 15,000* 25,000* 20,000* 13,300* 24,959* 49,800* 94,744*
	AREA TWELVE: DESIGN-BRIDGES		
12-1 12-2 12-3 12-4 12-5 12-6 12-7	Deformation of Steel Beams Related to Permitted Highway Bridge Overloads Distribution of Wheel Loads on Highway Bridges Development of Waterproof Roadway Joints for Bridges Thermal Characteristics of Highway Bridges Protection of Steel in Prestressed Concrete Bridges Prediction of Permanent Camber of Bridges Effects of Weldments on Fatigue Strength of Steel Beams	U of Missouri Iowa State U Sw Research Inst Sw Research Inst U of Denver U of Missouri Lehigh University	50,000* 79,512* 149,895* 102,400* 173,255* 82,253* 199,023*
12-8	Bridge Rail Service Requirements as a Basis for Design Criteria	Texas A & M	200,000* 28,793*
12-9 1 2-1 0 12-11	Elastomeric Bearing Research Analysis and Design of Bridge Bents Waterproof Membranes for Protection of Concrete Bridge Decks	Battelle Mem Inst PCA Materials R & D	69,753* 84,800* 297,900* 206,025*
12-12 12-13 12-13A 12-14 12-15 12-15(2) 12-15(3) 12-16 12-17 12-18 12-19 12-19A 12-20	Welded Steel Bridge Members Under Variable-Cycle Fatigue Loadings Cathodic Protection for Reinforced Concrete Bridge Decks Field Evaluation of Galvanic Cathodic Protection for Reinforced Concrete Bridge Decks Subcritical Crack Growth in Steel Bridge Members Detection and Repair of Fatigue Cracking in Highway Bridges Retrofitting Procedures for Fatigue-Damaged Full-Scale Welded Bridge Beams Fatigue Behavior of Full-Scale Welded Bridge Attachments Influence of Bridge Deck Repairs on Corrosion of Reinforcing Steel Evaluation of Repair Techniques for Damaged Steel Bridge Members Development of an Integrated Bridge Design System Cathodic Protection of Concrete Bridge Structures Concrete Sealers for Protection of Bridge Structures Bridges on Secondary Highways and Local Roads: Rehabilitation and Replacement	US Steel USS Eng & Consult PCA US Steel Lehigh U Lehigh U Battelle Columbus Battelle Columbus Multisystems Inc Corrosion Eng & Res U of Virginia	100,000 310,000 174,601* 75,000 99,923* 100,000* 150,000 214,912 50,000 224,895 225,000
12-21	Evaluation of Damage and Methods of Repair for Prestressed Concrete Bridge Members	G O Shanafelt	58,958
13-1	AREA THIRTEEN: MAINTENANCE—EQUIPMENT Equipment Rental Rates	Ernst & Ernst	\$ 22,800*
14-1	AREA FOURTEEN: MAINTENANCE—MAINTENANCE OF WAY AND STRUCTURES Upgrading of Unit Maintenance Cost Index and Development of Interstate Maintenance Requirements	Tallamy Assoc	205,128*
14-2 14-3 14-4 14-5	Techniques for Reducing Roadway Occupancy During Routine Maintenance Activities Improved Pavement-Shoulder Joint Design Reconditioning Heavy-Duty Freeways in Urban Areas Maintenance Levels-of-Service Guidelines	Byrd, Tallamy et al Georgia Tech Texas A & M Woodward-Clyde	200,000* 100,838* 99,665 200,000
15-1	AREA FIFTEEN: DESIGN—GENERAL DESIGN Guardrail Design	Cornell Aero Lab	19,723*
15-1(2)	Guardrail Performance and Design	Sw Research Inst	280,000* 100,000*
15-2	Design to Control Erosion in Roadside Drainage Channels	U of Minnesota	97,300*
15-3 15-4 15-5 15-6	Rational Structural Analysis and Design of Pipe Culverts Estimating Runoff Rates from Small Rural Watersheds Dynamic Characteristics of Heavy Highway Vehicles Development of Criteria for Safer Luminaire Supports	Northwestern U Travelers Res Cen Gen Mot Corp Texas A & M	49,937* 2 99,902* 135,000* 147,254*
16-1 16-2 16-3	AREA SIXTEEN: DESIGN—ROADSIDE DEVELOPMENT Effects of Deicing Compounds on Vegetation and Water Supplies Evaluation of Research on Roadside Development Erosion Control During Highway Construction	V P I Western States Utah State U	217,300* 100,000* 179,224* 70,776

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START- ING	COMPLE- TION		PROJECT
DATE	DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	NO.
3/15/69	12/1/69	Completed—Published as NCHRP Report 94	[l-1(9)
3/15/69	9/15/69	Completed—Published as NCHRP Report 107	11-1(10)
- 4 750	0.125.160	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	11.17113
2/24/69 2/1/65	8/25/69 6/30/66	Completed—Published as NCHRP Report 72	- (11) -2
11/1/66	12/15/67	Completed—Published as NCHRP Report 56	11-3
10/1/68	12/31/69	Completed—Published as NCHRP Report 119	11-3(1)
9/2/68	2/28/70	Completed—Published as NCHRP Report 112	11-3(2)
7/1/69	2/28/71	Completed—Published as NCHRP Report 126 Completed—Published as NCHRP Report 142	11-4 11-5
10/1/70 4/1/74	5/31/72 7/31/75	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	11-6
7/1//7	,,31,.2		
20165	6/20/67	Report included in Project 12-6 report	12-1
2/1/65 6/1/66	6/30/67 12/31/68	Completed—Published as NCHRP Report 83	12-1
12/15/65	3/14/69	Completed—Report available only to sponsors	12-3
12/15/65	3/31/68	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	12-4
9/15/66	11/15/68	Completed—Published as NCHRP Report 90	12-5
2/1/67	4/30/72	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms Completed—Published as NCHRP Report 102	12-6 12-7
10/1/66 7/1/70	1/31/70 12/31/72	Completed—Published as NCHRP Report 147	12-7
3/1/68	2/28/69	Completed—Published as NCHRP Report 86	12-8
1/2/70	6/30/71	Completed—Published as NCHRP Report 149	12-8
9/1/67	1/31/70	Completed—Published as NCHRP Report 109	12-9
1/1/70	12/31/73 3/31/73	Completed—Published as NCHRP Report 163 Completed—Published as NCHRP Report 165	J2-10 12-11
8/1/70 7/15/73	9/30/78	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms	12-11
10/1/70	10/31/75	Completed—Published as NCHRP Report 188	12-12
10/1/72	7/31/74	Completed—Published as NCHRP Report 180	12-13
8/1/75	1/15/80	Research in progress	12-13A
10/1/72 10/1/72	6/30/74 4/30/75	Completed—Published as NCHRP Report 181 Completed—Findings to be incl. in Proj. 12-15(2) rep.; agency rep. avail. for loan	12-14 12-15
6/1/76	11/30/78	Report in editorial and publication process	12-15(2)
2/1/78	3/31/80	Research in progress	12-15(3)
9/1/74	11/30/77	Report in review stage	12-16
11/15/76	4/30/78	Report in review stage	12-17 12-18
9/6/77 1/1/78	3/5/80 12/31/80	Research in progress; Phase 1 report in review stage Research in progress	12-18
		Project in developmental stage	12-19A
3/1/78	11/30/79	Research in progress	12-20
15 m	onths	Contract pending	12-21
2/1/65	1/31/66	Completed—Published as NCHRP Report 26	13-1
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3/1/65	3/31/67	Completed—Published as NCHRP Report 42	14-1
10/1/70	3/31/73	Completed—Published as NCHRP Report 161	14-2
9/15/72	3/15/76	Report in editorial and publication process	14-3
4/15/74	3/24/76	Completed—Published as NCHRP Report 196	14-4
1/1/78	9/30/79	Research in progress	14-5

12/15/65	6/14/66	Completed—Published as NCHRP Report 36	15-1
7/1/67 5/1/70	8/31/70 12/31/71	Completed—Published as NCHRP Reports 54, 115 Completed—Published as NCHRP Reports 118, 129	15-1(2)
7/1/66	6/30/74	Completed—Ph. I rep. publ. as NCHRP Rep. 108	15-1(2) 15-2
		Ph. II rep. not publ.; agency rep. avail. through Univ. Microfilms	15-2
10/1/67	12/31/68	Completed—Published as NCHRP Report 116	15-3
9/1/67 8/15/67	3/16/70 1/10/69	Completed—Published as NCHRP Report 136	15-4
9/1/67	8/31/68	Completed—Published as NCHRP Report 105 Completed—Published as NCHRP Report 77	15-5 15-6
- , . ,	0,01,00	wanted a continue of content trapert of	1,3-43
3/1/66	4/30/72	Completed Bulkished as NOTIDD December 04 and 470	**
10/1/67	3/31/69	Completed—Published as NCHRP Reports 91 and 170 Completed—Published as NCHRP Report 137	16-I 16-2
11/1/73	6/30/76	Completed—Rep. to be included in Phase II report; agency rep. avail. for loan	16-3
3/1/78	6/1/79	Research in progress	16-3

PROJECT		RESEARCH	CONTRACT AMOUNT OR CONTRACT
NO	TITLE	AGENCY	COST
	AREA SEVENTEEN: TRAFFIC—SAFETY		
17-1	Development of Improved Methods for Reduction of Traffic Accidents	Cornell Aero Lab	247,847*
17-2	Methods for Evaluating Highway Safety Improvements	ORI	29,973*
17-2A 17-3	Methods for Evaluating Highway Safety Improvements	Jorgensen & Assoc	98,403*
17-3	Application of Traffic Conflicts Analysis at Intersections Evaluation of Traffic Controls for Street and Highway Work Zones	Midwest Res Inst BioTechnology Inc	190,000 200,000
27-4	2. Hilliant of Traine country for Street and Trighway Work 20100	Bio reciniology flic	200,000
	AREA EIGHTEEN: MATERIALS AND CONSTRUCTION—CONCRETE MATERIALS		
18-1	Revibration of Retarded Concrete for Continuous Bridge Decks	U of Illinois	103,895*
18-2 18-2(2)	Use of Polymers in Highway Concrete Polymer Concrete in Highway Bridge Decks	Lehigh U Lehigh U	300,000*
10-2(2)	Totymer Concrete in Highway Bridge Decas	Cenigh O	30,000
	AREA NINETEEN: ADMINISTRATION—FINANCE		
19-1	Budgeting for State Highway Departments	Ernst & Ernst	45,000*
19-2(1) 19-2(2)	Develop Performance Budgeting System to Serve Highway Maintenance Management	Booz-Allen & Ham.	6,000*
19-2(2)	Develop Performance Budgeting System to Serve Highway Maintenance Management Develop Performance Budgeting System to Serve Highway Maintenance Management	Ernst & Ernst Jorgensen & Assoc	6,000* 6,000*
19-2(4)	Develop Performance Budgeting System to Serve Highway Maintenance Management	Jorgensen & Assoc	220,000*
19-3	Economic Effects of Changes in Legal Vehicle Weights and Dimensions on Highways	Wilbur Smith	96,728*
	AREA TWENTY: SPECIAL PROJECTS		
20-1	Highway Research Information Service	HRB	455,000*
20-2	Research Needs in Highway Transportation	Tallamy—Smith	98,760*
20-3	Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication,	Texas A & M	394,016*
20-3A	and Control	II of Michigan	200,540*
20-371	Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control	U of Michigan	505,631* 20,000°
20-3B	Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control—Summary Reporting	Patrick J. Athol	31,116*
20-3C	Summary of the Lodge Freeway Research	Asriel Taragin	10,183*
20-3D	Summary of All Freeway Snrveillance, Communication and Control Experience	Voorhees & Assoc	40,000
20-4	Public Preference for Future Individual Transportation	Chilton Research	195,260*
20-5	Synthesis of Information Related to Highway Problems	National Analysts TRB	8 3,911* 360,000"
20-6	Right-of-Way and Legal Problems Arising out of Highway Programs	TRB	10 0 ,000°
20-7	Research for AASHTO Standing Committee on Highways		200,000
	Task 1: Development of a Cost-Effectiveness Approach to the Programming of Roadside Safety Improvements	Texas A & M	32,837*
	Task 2: The Relation of Side Slope Design to Highway Safety	Texas A & M	104,088*
	Task 3: Development of an Effective Earth-Berm Vehicle Deflector	Texas A & M	33,973*
	Task 4: Lateral Accelerations and Lateral Tire-Pavement Forces in a Vehicle Traversing Curves Relative to Available Pavement Skid-Resistance Measures	Texas A & M	112,702*
	Task 5: Effect of Curb Geometry and Location	Texas A & M	49,996*
	Task 6: Development of Impact Attenuators Utilizing Waste Materials	Texas A & M	74,852*
	Task 7: Safety at Narrow Bridge Sites	Texas A & M	100,000
	Task 8: Energy and Transportation Systems	CalDOT	107,000
	Task 9: Review of Highway Management Studies Co-Sponsored by AASHTO and HUFSAM	Mgmt & Trans Assoc	49,820*
	Task 10: Review of Vehicle Weight/Horsepower Ratio as Related to Passing-Lane Design Criteria	Penn State U	15,493
	Task 11: Longitudinal Occupancy of Freeways by Utilities	Byrd, Tallamy et al	50,000
	Task 12: Guidelines for Citizen Participation in Transportation Planuing	K. S. Hudson	15,500
	Task 13: Guidelines for Safety Criteria for Low-Volume Roads Task 14: A Policy on Geometric Design of Highways and Streets	J. C. Glennou John F. Holman Co	33,226 45,711
	Task 15: Development of a Simplified Pavement Management System	ARE Inc	50,000
	Task 16: Regulation of Movement of Hazardous Cargoes	-	_
20-8	Task 17: Evaluation of AASHO Road Test Satellite and Environment Studies Interactive Graphic Systems for Highway Design	Control Data	49,672*
20-9	Socioeconomic Consequences of Right-of-Way Acquisition Induced Resident Dislocation	RMC Res Corp	214,279
20-10	The Benefits of Separating Pedestrians and Vehicles	Stanford Res Inst	100,000*
20-10(2)	The Benefits of Separating Pedestrians and Vehicles	SRI International	100,000
20-11 20-11A	Toward Environmental Benefit/Cost Analysis—Measurement Methodology	Poly of New York	100,000*
20-11A 20-11B	Toward Environmental Benefit/Cost Analysis—Measurement Methodology Toward Environmental Benefit/Cost Analysis: Energy-Flow Analysis (Manual)	Cornell U Cornell U	27,212* 140,000
20-11C	Toward Environmental Benefit/Cost Methodology: Energy-Flow Analysis (Study	The Cannon Group	15,000
20 -12	Design) Effects of Air Pollution Regulations on Highway Construction and Maintenance	Howard, Needles et al	80,446*

START- ING DATE	COMPLE- TION DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	PROJECT NO.
2/1/66	5/31/68	Completed—Published as NCHRP Report 79 Contract terminated—no report; research resumed under Project 17-2A Completed—Published as NCHRP Report 162 Research in progress	17-1
1/10/72	6/20/72		17-2
2/1/73	7/31/74		17-2A
2/15/77	9/15/79		17-3
1/2/78	3/31/79	Report in review stage	17-4
9/1/67	12/I/69	Completed—Published as NCHRP Report 106	18-1
(0/1/72	9/30/75	Completed—Published as NCHRP Report 190	18-2
1/1/78	12/31/78	Report in review stage	18-2(2)
9/5/67 9/2/68 9/2/68 9/2/68 9/2/68 2/1/69 /15/70	9/4/68 10/31/68 10/31/68 10/31/68 11/30/71 6/14/72	Completed—Report not publ.; summarized in NCHRP Research Results Digest 20 Completed—working plan, not published Completed—working plan, not published Completed—Research continued as Project 19-2(4) Completed—Published as NCHRP Report 131 Completed—Published as NCHRP Report 141	19-1 19-2(1) 19-2(2) 19-2(3) 19-2(4) 19-3
3/16/64	10/31/67	Completed—Informal publication only; service is operational Completed—Published as NCHRP Report 55 Completed—Results summarized in Project 20-3C report	20-1
4/1/66	12/31/67		20-2
2/15/66	1/31/69		20-3
1/1/67	12/31/68		20-3
/20/68	5/31/71	Completed—Results summarized in Project 20-3C report Project terminated uncompleted; no reports prepared	20-3A
1/1/69	1 2 /31/69		20-3A
7/1/72	9/27/74		20-3B
1/15/75 5/15/77 5/2/67 5/2/67 2/15/67	7/15/76 8/15/78 1/21/69 1/2/68	Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms Report in review stage Completed—Published as NCHRP Reports 49, 82 Completed—Published as NCHRP Reports 49, 82 Research in progress: Topic reports published as NCHRP Syntheses 1 through 55	20-3C 20-3D 20-4 20-4 20-5 20-6
1/1/68 2/2/68	3/31/72	Research in progress: Refer to Table 8 and proj. write-up for publications Completed—Published as NCHRP Report 148	20-7 (Task 1) 20-7
2/2/68	1/31/74	Completed—Published as NCHRP Report 158 Completed—Rep. not publ.; sum. in NCHRP Res. Results Digest 77 Completed—Rep. not publ.; sum. in NCHRP Res. Results Digest 55	(Task 2) 20-7
2/2/68	3/31/71		(Task 3) 20-7
2/2/68	7/15/ 7 1		(Task 4) 20-7
1/1/71	10/31/72	Completed—Published as NCHRP Report 150 Completed—Published as NCHRP Report 157 Report in editorial and publication process Report in review stage Completed—Report not publ.; available only to sponsors	(Task 5) 20-7
1/1/71	1/2/74		(Task 6) 20-7
7/2/73	6/3/75		(Task 7) 20-7
2/1/75	12/31/78		(Task 8) 20-7
2/1/75	8/31/76		(Task 9) 20-7
1/3/77	12/15/78	Completed—Report not publ.; available only to sponsors	(Task 10) 20-7
1/1/77 6/1/77 7/1/77 4/3/78 8/29/78	3/31/78 6/30/78 9/30/78 12/3/79 8/28/79	Report in review stage Report to be publ. by AASHTO Report in editorial and publication process Research in progress Research in progress Task in developmental stage	(Task 11) 20-7 (Task 12) 20-7 (Task 13) 20-7 (Task 14) 20-7 (Task 15) 20-7 (Task 16) 20-7
9/1/70 8/1/72 8/26/74 9/1/78 9/1/72 9/1/75	7/31/71 12/17/76 4/30/76 8/31/80 5/31/74 11/30/76	Task in developmental stage Completed—Rep. not publ.; agency rep. avail, through Univ. Microfilms Completed—Rep. not publ.; for avail., see proj. write-up in latest Sum. of Prog. Completed—Published as NCHRP Report 189 Research in progress Completed—Rep. not publ.; agency rep. avail. through Univ. Microfilms Completed—Rep. not publ.; for avail., see project writeup in latest Sum. of Prog.	(Task 17) 20-7 20-8 20-9 20-10 20-10(2) 20-11 20-11A
1/24/77	3/23/79	Report in review stage Completed—Rep. not publ.; for avail., see project writeup in latest Sum. of Prog.	20-11B
4/1/77	3/31/78		20-11C
4/1/74	7/31/75	Completed—Published as NCHRP Report 191 Completed—Published as NCHRP Report 193	20-12
9/3/74	8/2/75		20-13

PROJECT		RESEARCH	CONTRACT AMOUNT OR
NO.	TITLE	AGENCY	CONTRACT COST
	AREA TWENTY (Continued)		
20-14 20-15 20-16 20-17 20-18	Monitoring Carbon Monoxide Concentrations in Urban Areas Ecological Effects of Highway Fills on Wetlands State Laws and Regulations on Truck Size, Weight, and Speed Statewide Freight Demand Forecasting Procedures Evaluation of Highway Air Pollntion Dispersion Models	Technol Serv Corp U of Mass R J Hansen Assoc Cambridge Syst Inc SRI International	99,973 150,000 281,975 100,000 199,643
	AREA TWENTY-ONE: SOILS AND GEOLOGY—TESTING AND INSTRUMENTATION		
21-1 21-2	Instrumentation for Measurement of Moisture Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Development)	Res Triangle Inst Sw Research Inst	35,027* 64,976*
21-2(2)	Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Development)	SUNY Buffalo	29,953*
21-2(3)	Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Evaluation)	Sw Research Inst	150,000
	AREA TWENTY-TWO: DESIGN-VEHICLE BARRIER SYSTEMS		
22-1 22-1 A	Concepts for Improved Traffic Barrier Systems Testing and Evaluation of Bridge Rail Concepts	Walter W. White Texas A & M	25,000* 40,000*
22-2	Traffic Barrier Performance and Design	Sw Research Inst	125,000* 80,000*
22-2(2) 22-2(3) 22-2(4) 22-3	Multiple Service Level Highway Bridge Railings—Performance and Design Criteria Multiple Service Level Highway Bridge Railings—Selection Procedures Procedures for Testing Highway Appurtenances Field Evaluation of Vehicle Barrier Systems	Sw Research Inst Sw Research Inst Sw Research Inst Calspan Corp	195,000 200,000 30,000 25,000*
22-3A	Field Evaluation of Vehicle Barrier Systems	Arthur L. Elliott	10,000*
	AREA TWENTY-THREE: SOILS AND GEOLOGY—PROPERTIES No Projects		
24-1	AREA TWENTY-FOUR: SOILS AND GEOLOGY—MECHANICS AND FOUNDATIONS Manual on Subsurface Investigations	Haley & Aldrich	75,000
	AREA TWENTY-FIVE: TRANSPORTATION PLANNING—IMPACT ANALYSIS This area becomes effective January 1, 1979, and will be utilized relative to future projects, beginning with those of the FY 1981 program. Refer to Areas 7, 8, and 20 for previous projects in the realm of Impact Analysis.	·	•

^{*} Final contract cost, ** Addresses: University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106; Publications Office, Transportation Research Board, 2101 Constitution Avenue NW, Washington, D.C. 20418; American Association of State Highway and Transportation Officials, 444 North Capitol Street NW. Washington, D.C. 20001.

a NCHRP funds obligated under the \$314,340 four-way agreement among the National Academy of Sciences, Michigan Department of State Highways, Wayne County, and the City of Detroit.

START- ING DATE	COMPLE- TION DATE	PROJECT STATUS ** (for details, see latest Summary of Progress)	PROJECT NO.
10/1/76	3/31/78	Report in editorial and publication process	20-14
12/1/76	4/30/79	Report in review stage	20-15
0/11/76	9/1/78	Report in editorial and publication process	20-16
15 me		Contract pending	20-17
27 m	onths	Contract pending	20-18
8/25/69	2/24/71	Completed—Published as NCHRP Report 138	21-1
2/1/72	1/31/74	Completed—Report not to be publ.; to be included in Project 21-2(3) report	21-2
4/1/72	9/30/73	Completed—Report not to be publ.; to be included in Project 21-2(3) report	21-2(2)
9/3/74	6/15/ 77	Report iu revision stage	21-2(3)
10/1/70	12/31/71	CompletedRep, not publ.; agency rep, avail, through Univ. Microfilms	22-1
3/1/74	5/30/75	Completed—Rep. not publ.; agency rep. avail. thru Univ. Micro.; sum. in NCHRP Res. Results Dig. 81	22-1 A 22-1 A
1/1/72	9/30/73	Completed—Phase I and Phase II (Task 1) reps.	22-2
10/1/73	3/31/75	not publ.; agency rep. avail. through Univ. Microfilms; sum. in NCHRP Res. Results Digests 84 and 102; Task 2 rep. publ. as NCHRP Rep. 153	22-2 22-2
8/1/76	1/31/79	Report in review stage	22-2(2)
1/1/79	12/31/80	Coutract pending	22-2(3)
18 me		Contract pending	22-2(4)
1/1/74	2/15/75	Completed—Rep. not publ.; agency rep. avail. thru Univ. Micro.; sum. in NCHRP Res. Results	22-3 22-3
7/1/74	12/31/74	Dig. 76 Completed—Rep. not publ.; agency rep. avail. thru Uuiv. Micro.; sum. in NCHRP Res. Results	22-3 22-3A
7/1/74	12/31/74	Dig. 76	22-3A 22-3A
21 m	onths	Contract pending	24-1

b NCHRP funds obligated under the \$70,000 five-way agreement among the National Academy of Sciences, Michigan Department of State Highways, Wayne County, the City of Detroit, and the University of Michigan.

• Continuing activity supported in FY '79 at amount shown.

TABLE 6
AGENCY DISTRIBUTION OF FY '63 THROUGH
FY '79 PROJECTS *

	PROJECTS CONTINU	
TYPE OF AGENCY	NO.	- <u>~</u>
Educational institutions	115	39
Research institutes	57	19
Industry, consultants, and trade associations Professional societies and	115	38
service organizations	5	2
State highway and transporta- tion departments Special transportation and other	4	>1
governmental agencies	1	<1
All	297	100

^{*} Does not include Project 10-13 of the FY '79 program.

KEEPING TRACK OF RESEARCH IN PROGRESS

A professional staff is assigned to NCHRP by the Board. Projects engineers with wide-ranging expertise are responsible for administrative and technical surveillance of the contracts. In addition to reviewing quarterly progress reports and monthly progress schedules, and maintaining telephone contacts, each projects engineer visits his assigned research agencies throughout their contract periods. He discusses with each principal investigator his project's status to learn if the research is being pursued in line with the approved research plan. Finally, the projects engineer and appropriate project panel evaluate the completed research to determine the degree of technical compliance with the contract.

SYSTEMATIC PLANNING FOR GETTING RESEARCH RESULTS FROM NCHRP PROJECTS INTO PRACTICE

Promoting Useful Results

Previous reference has been made to the fact that many activities take place between initiation of research programs and execution of research contracts. Many additional ones take place before formal publication of the final reports is realized. At the milestones of the process network reflecting all activities, NCHRP concentrates on the opportunities to increase the odds for acquiring useful research results and to increase the probability that useful results will find their way into practice more quickly. Beyond the sponsor's first weighting of the odds by setting the goals for a program of applied research dedicated to solving pressing operational problems, the NCHRP tries to further weight the odds favorably by:

• Establishing the agency and personnel qualifications that are mandatory if the goals are to be achieved. Emphasis is placed on the importance of a record of successful

past performance in endeavors similar to those to be undertaken. Further, it is also stipulated that proposals are not acceptable if they do not contain specific statements as to how the contemplated results can be used to improve practice.

- Utilizing persons who are not only experts in the particular problem area but who also have a complete understanding of the needs of the practitioners to define the research problem and its objectives in the form of a precise project statement on which fully responsive research proposals can be based. Experts drawn from the highway and transportation departments play a major role in this task.
- Exercising extreme care in the process of selecting research agencies to ensure not only that the proposed research plan is the best possible in addressing the specifics of the objectives but that it also culminates in the best promise for providing the practitioner with a product that is both usable and readily implementable.
- Establishing—on the basis of staff and project panel review of and suggested modifications to the research plan a clear meeting of the minds as to what specifically is expected from the research and the personnel carrying it out in order to meet the needs of the practicing engineer.
- Acquiring an amplified research plan that is intended to detail comprehensively the approved research plan and to include a specific schedule of events for the major tasks. This document is used by the staff in the day-to-day surveillance of the project's progress and by the project panel as required.
- Carrying out project surveillance sufficient to keeping the research in line with the approved research plan, constantly keeping the researchers aware of the needs of the practicing engineer, and insuring that all project developments through final reporting center around these needs.
- Requiring research reports in a format that is designed specifically to first meet the needs of the busy administrator and the practicing engineer. Different treatment is given to the material that would be of interest to other researchers.

NCHRP Reporting of Research Results

In an applied research program such as the NCHRP, the sponsor rightfully expects not only results that are accurate hut also findings that can be readily put into practice. This means that the final research reports must be presented in language understandable to both administrators and engineers and in such format as to permit easy assimilation. Too many of today's research reports are frequently so clouded by obscure language and format that the reader must spend precious time and effort in translating them into concise and readily usable working documents.

Research agencies for the NCHRP are required to report their results in a form that succinctly summarizes the findings for the busy administrator and likewise informs the practicing engineer of the application of the findings. These objectives are accomplished through a "Summary of Findings," and a chapter on "Interpretation, Appraisal, and Application of Results." The detailed research techniques and analyses in which a researcher would be interested are presented in appendices and do not have to be labored through to extract the findings. The Program specifies style and organization of all reports to guide the researcher in his writing so that maximum use by the sponsors may be obtained.

Prior to publication, extraordinary measures are taken to ensure that useful research results are made immediately available to the appropriate operations personnel in the State organizations. One means consists of forwarding to them copies of the research agency drafts of final reports. According to the urgency of the particular circumstances, these drafts may be either uncorrected or corrected on the basis of an acceptance review. Several copies of unedited drafts of the agency reports are retained until formal publication in either of the two regular NCHRP series (Reports or Syntheses of Highway Practice) and are available, on a loan basis, to others having an interest in the research. Once published in their entirety, the drafts are destroyed.

After publication in the NCHRP series, each report or synthesis is sent immediately to the chief administrative officer of each highway or transportation department. Then, through the Transportation Research Board's selective distribution system, copies go automatically to about 100 libraries, Board representatives in the State highway and transportation departments, educational institutions, liaison representatives, appropriate panels and committees of the Board, and individual members who have selected publications in the particular subject area of the report. As a further means of disseminating the research reports, announcements of their availability are made to the trade press. Each of these reports contains a staff-prepared foreword that directs the attention of the busy reader to the persons who would be most interested in the results and, also, to how the results fit into present knowledge and practice. Also, during the conduct of the work, periodic progress reports are prepared by the staff and sent to each of the highway or transportation departments as a measure of providing a current awareness of on-going work. In addition, the Board's Technical Activities Staff personnel follow the progress of the work throughout its conduct and consequently are able to discuss application of the research results with the highway engineers during their various State highway and transportation department visits. Furthermore, AASHTO has provided the NCHRP with annual opportunities for staff and project researchers to go before the various committees of the Association to present the findings of their particular research and the usefulness of these findings to the practicing highway engineer. Research findings not published in the NCHRP series are entered in University Microfilms International. On an interim basis, the findings are sometimes reported in a Research Results Digest, described next,

Another means for bringing research findings before the practicing engineer is the NCHRP Research Results Digest—a series of flyers being published at frequent intervals. These Digests are being issued in the interest of providing an early awareness of the research results

emanating from projects in the NCHRP. By making these results known as they are developed and prior to publication of the final reports in the regular NCHRP series, it is hoped that their early use in practice will be encouraged. For the most part, each Digest is intended to be very brief in summarizing specific findings—they do not deal with research methodology—and require the reader to expend very little time in determining how the research results may be of use to him. The basic format is couched in terms of the problem and the solution to it, the findings, and applications. Operations personnel should find them of direct assistance in serving the intermediary, or interpretive, position between research and operating personnel, for each Digest speaks directly to the vital factors of:

- Whether the research stands alone or whether it has to be combined with results from other research in order to be useful.
- Whether the results are defined explicitly enough to permit direct application to practice.
- Whether the results have to be translated into the working tools with which the practicing engineer is familiar.
- Whether the research findings have been evaluated sufficiently to make some reasonable determination of the probability of their success when applied to practice.

IMPLEMENTING RESEARCH RESULTS

In recent years there have been several opportunities for the Program and various AASHTO committees to work together to structure the research findings into the best possible form for immediate use by the practicing engineer. Such joint efforts are highly desirable and represent the ultimate in the steps that the Program can take to weight the odds in favor of implementation of the findings.

Emphasis has been given in the foregoing to the devices employed to obtain solutions that are directly applicable to practice. Because the NCHRP process does not include an implementation activity, the initiatives for incorporating the solutions in practice must be taken by the States. To this end, the Program's final concentration is on the reporting of research findings in one or more of the variety of publications described earlier and, as is done herein, providing whatever details are available on the experiences of the States in using the products from NCHRP research. Because the research addresses critical, national problems, the assumption is that documented use and payoff to any one State should attract others to give the results a try in whatever degree they see lit. Only if the results get around and are used can it be said that AASHTO truly is capitalizing on its investment. Otherwise, projects that were highly successful might just as well have been failures; the end result is the same—the money will have been spent in vain.

EXAMPLES OF UTILIZATION OF NCHRP RESEARCH RESULTS

Beyond the uses of NCHRP research results cited hereafter, there undoubtedly are many other uses that are unknown to the Program. NCHRP reports have been abstracted by numerous foreign countries, including Russia, with subsequent utilization being reported here.

In the interest of all potential users, the Program will be grateful for any information on actual application of results and associated cost savings. This will be reported in the hope that widespread interest will develop in the States and that, consequently, research results will find their way more quickly into policies, practices, procedures, specifications, and standards of the highway and transportation departments.

EXAMPLES OF UTILIZATION OF NCHRP RESULTS *

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
1-1	Reports 2, 2A	Illinois Div. of Hwys., Bur. of Res. and Devel.	In studies of existing pavements and the rehabilitated AASHO Road Test project at Ottawa, Ill. Particular use made of recommendations for experimental designs, measurement programs, and data processing analysis.
		Conn. DOT	To design experimental pavement projects,
1-2	Report 7	N. Y. DOT	To develop a flexible pavement performance equation; in use June 1968.
		Tallamy, Byrd, —	In study of highway maintenance quality levels for Ohio Dept. of Hwys.
1-3(2)	Report 22	Conn. DOT	In evaluating flexible experimental pavements.
1-3(3)	Report 35	Conn. DOT	In evaluating flexible experimental pavements.
1-4	Report 10	Conn. DOT	In analyses of data from experimental pavements.
1-4(2)	Report 30	Conn. DOT	In evaluating flexible experimental payements.
1-5	Report 21	Conn. DOT	In evaluating flexible experimental pavements.
1-5(2)	Report 76	N. Dak, SHD	Major equipment purchase based on successful use of similar equipment in conduct of project.
		Conn. DOT	In evaluating flexible experimental pavements.
1-7	Report 37	Nat'l, Hwy, Safety Bur,	In preparation of a Highway Safety Program Manual for issuance to the States.
		92nd Cong., 1 Sess.	House of Representatives subcommittee heatings on highway safety and skidding.
		Conn. DOT	As justification to establish skid test program in Connecticut.
1-8	Agency final report	Consult, for USN and USAF	Development of new approach to pavement design for heavy aircraft loadings; used for redesign of Salt Lake City runway to accommodate B747 aircraft and in design of runway, taxiways, and aprons at Air Force Plant No. 42 near Palmdale, Calif., where design load is 500 tons (gross) from B2707 (SST) configuration.
1-9	Report 61	Calif. Div. of Hwys.	In evaluation of proposed State legislation regarding use of studded tires.
		Conn. DOT	In providing documentation for studded tire legislation.
1-10	Agency final report	Consult, for USN and USAF	See Project 1-8.
1-11	Agency report	U.S. Forest Serv.	In preparation of an Engineering Technical Report evaluating several commonly accepted pavement design methods, as to their applicability for design of pavement systems for Forest Service roads.
		AASHTO	Partly published as Interim Guide for Design of Pavement Structures, 1972
1-12	_	92nd Congress, 1st Sess.	House of Representatives subcommittee hearings on highway safety and skidding.
	Report 154	Conn. DOT	As background information on skid-testing program.
1-12(2)	_	92nd Cong., 1 Sess.	See Project 1-12.
	Report 151	Conn. DOT	As background information on skid-testing program.
		N. Y. DOT	Leans heavily on the suggestions presented when purchasing or altering skid trailers and wher modifying operational procedures.
		ASTM	As basis for updating ASTM Method E274.
1-12(3)	_	92nd Cong., 1 Sess.	See Project 1-12.
1-14	Agency final report	Va. DOT	Safety Committee reviewed agency recommendations for improvements at high accident site with resulting request for FHWA approval as an Interstate Safety Project.
2-5	Reports 13, 111	One State (unkn.)	To replace outdated material in AASHTO book, Urban Freeway Design.
2-5A	Report 111	AASHTO	In draft of proposed AASHTO publication, A Policy on Arterial Highways in Urban Areas.
2-6	Report 63	W. W. Rankin, I.T.E. E. L. Grant, W. G.	In preparing textbook on traffic engineering. In textbook, Principles of Engineering Economy.
2-11	Report 122	Ireson World Bank	For teaching purposes by the Economic Development Institute of the International Bank for Reconstruction and Development.
2-12	Agency rep. and Rep. 111	Federal Supply Serv., Gen. Serv. Adm.	Vehicle operating cost data applied in review of Govt, employee automobile costs.

^{*} Project titles, as well as project status, are given in Table 5. Publication titles are given in Tables 7 and 8.

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
	Agency report	J. Leisch & Assoc.	As an aid in conducting a planning-design course for the South Carolina SHD in coordination with the Governor's Safety Program,
		AA\$HTO	Published by AASHTO as A Manual on User Benefit Analysis of Highway and Bus Transit Improvements.
		Colorado SHD	As a partial basis for development of the State's "Benefit Cost Analysis Manual."
3-2	Reports 9, 29	Illinois Div. of Hwys., Bur. of Traffic	In a FAI 80 Motorist Communication project. Also, more emphasis being placed on influence of pedestrians on signal timing, because signals in small cities are almost always in the CBD where there are many pedestrians.
3-4	Reports 6, 40	Calif. Div. of Hwys.	Source of background information for highway and law enforcement officials facing problem decisions on location of disabled or stopped vehicles.
3-5	Reports 3, 32, 73, 124	D.C. Dept. of Hwys. and Traffic	Incremental travel cost technique applied to a comprehensive determination of existing effectiveness of operation in D.C. traffic signal system. Annual incremental travel costs in D.C. system were estimated and used in benefit/cost analysis of traffic signal system improvement alternatives.
		Minn, DOH	Steps taken toward implementation of the delay difference offset technique in an existing signal network.
		Calif. Div. of Hwys.	Source of information to supplement and improve the effectiveness with which the Division can carry out its program of reducing delay to the motorist. Also of value in designing innovative signals; in fact, the Division engaged the principal investigator on a consulting basis to help simulate different levels of traffic for a project under design in Riverside County.
	Agency final report	Goodell, Grivas and Assoc.	Obtained contract to use model described in report on a network in Detroit.
3-7	Reports 78, 117 and "Illustrative Recording of Traffic Nolse"	Hwy. Depts., FHWA offices, universities, consulting firms, County Bd. of Educ.	Demand for the tape has been large, and loan copies have been circulated widely. Although the principal use of the tape has been educational in nature, one County Board of Education was so impressed with the noise differential between open and closed window situations that consideration was given to installation of air conditioning and storm windows for school buildings adjacent to freeways.
		Georgia SHD	Noise design guide used in design of urban freeway system.
		Minnesota Legislature	For demonstration purposes in hearings by House Transportation Committee, and Senate Highways and Natural Resources and Environment Committees. Both Senate committees took favorable action on a Truck Noise Control bill patterned after the California law.
		Virginiu DOH	To evaluate noise for several proposed highways and to make subsequent explanations to the public on the impact of the noise on the community. One instance involved I-195, a six-lane depressed highway in a residential area of Richmond. Using the computer program from Report 78, peak-hour traffic was used to project the noise levels; comparisons were made with actual readings taken in the area. Another case involved projecting noise levels on I-66 in the vicinity of Washington, D.C., to determine if they would be within an acceptable limit. Revisions were made in the cross sections where estimates exceed the acceptable limit. The Department estimates that almost \$18,000 was saved by doing the evaluation work in-house, rather than contracting it. Annual savings of \$50,000 to \$75,000 have been forecast in the instance of standard evaluations of major projects.
		Arizona cons. firm	In design and location of a 4.5-mi segment of I-10 (Papago Freeway) traversing a high-density area of downtown Phoenix. Recommendations made are expected to substantially reduce noise levels in areas adjacent to the Freeway.
		Natl. Assn. of Home- builders	In development of a Builders' Acoustical Manual that includes guidelines for prediction of site noise due to traffic.
		Missouri SH Comm.	Highway traffic noise simulation program used to establish noise projections on new project designs.
		FHWA	In developing highway noise level standards PPM 90-2, "Interim Noise Standards and Procedures for Implementing Section 109(I) 23 U.S.C."
		Louisiana DOH	As primary texts in a "noise school" for parish (county) engineers.
	_	AASHTO	As source documents for new (1974) publication, "Guide on Evaluation and Attenuation of Traffic Noise."
	Report 117	Howard, Needles, et al.	Model for predicting highway traffic noise validated under contract to a state highway department.
		Express Hwy, Res. Fdn. (Japan)	Abridgment (8 pp.) published in April 1972 issue of Expressways and Automobiles (in Japanese).
		Colorado DOH	Projected noise study based on a U.S. DOT program developed directly from this report, considered to represent the best study procedure from available empirical and theoretical research on highway noise.
		Minnesota DOH	Predictions for use in design of I-35W noise barrier in S. Minneapolis.
	Agency final rep. draft	Envir. Protection Agency	In evaluating alternatives for truck noise emission regulations.
	Agency final rep.	Nat. Bur. Stand.	Published a form of the Noise Prediction Nomogram adapted to an "L-equivalent" measure.
		MdNatl. Cap. Park and Plan. Comm.	Found to be useful and quite accurate as a tool in preparation of land-use plans.

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
-	Rep. 78, 117, 144	Conn. DOT	As a basis for noise analyses.
3-8	Report 50	Orange Co. (Calif.) Traf. Eng. Council	Extensive use as best available source of information for preparation of warrants for installation of protective devices at rail-grade crossings.
		Illinois Div. of Hwys., Bur. of Design	In a continuing program toward grade crossing safety, with particular use seen for portion dealing with crossings where flashing light signals—with or without gates—are not warranted.
3-9	Report 84	Conn. DOT Calif. Div. of Hwys.	Source reference for Railroad-Highway Safety Grade Crossing Program. Recommendations used on Freeway Surveillance and Control Project (Los Angeles), involving expenditure of about \$8 million in three years.
3-12	Report 123	Transp. Syst. Center	Information on fixed highway signing principles particularly helpful in providing control signals to pilots at Kennedy International Airport (New York).
	Agency report	Street Name Signing Comm., ITE	As hackground information in review of street name signing applications to meet motorists' needs.
3-13	Report 93	City of Waco, Tex.	Plans to incorporate in subdivision and zoning regulations many of the controls recommended as a means of protecting facility capacity and safety.
3-14	Film, "Relief for Tired Streets"	New York DOT	To encourage municipalities in State to apply traffic engineering solutions to their congestion problems.
3-15	Agency report	Consultant	Using nomographs and incorporating the research findings into some current projects.
3-16	Agency report	FHWA	As support material in resolving an operations problem.
3-18(1)	Agency interim report	City of Lincoln, Nebr.	In design of digital computer-controlled traffic control system to supervise 250-300 signalized intersections.
	Agency report	New Zealand Ministry of Works	To reduce hardware costs by applying greater software capabilities to computer-controlled traffic signal operations.
		New York DOT	As background and design evaluation for a centralized computer traffic surveillance and control system in the Northern Long Island Corridor.
3-18(2)	Agency report	Dade Cty., Fla.	As basis for operational changes at selected locations.
3-18(3)	Agency interim report	FHWA	A summary report presenting results of a survey of traffic signal system design and operation practices was used in development of a FHWA training program for traffic engineering personnel.
	Agency report	Texas SDH and Pub. Transp.	Report selected as a textbook for a course for city and state traffic engineers in traffic signal system design.
3-19	Agency report	Utah DOT	In highway analysis.
3-20	Agency report	FHWA	To develop interest in warrant improvement within Signals Subcommittee of National Advisory Committee on Uniform Traffic Devices.
3-21	Agency report	N.J. Tpk. Auth.	In conjunction with research project studying visual effects of variable-message signs.
3-23	Agency report	AMV Australia	In developing a manual for design of signalized intersections for Road Safety and Traffic Authority, Victoria, Australia.
3-25	Agency final report	Consultant	To determine the safety impacts of lower design standards related to construction and main- tenance activities in the context of energy conservation.
4-3	Reports 12, 15, 65, 66	ASTM	Basis for development of C671, "Tentative Method of Test for Critical Dilation of Concrete Specimens Subject to Freezing." and C682, "Resistance of Aggregates to Freezing."
4-6	Reports 74, 74A, 74B	Conn. DOT	As backup in developing paint systems for highway bridges.
4-11	Agency interim report	Fed. Aviation Admin.	Tentative guidelines for selection and installation of plastic pipe were used to reduce time and funds required for a research project on plastic pipe for airport drainage.
		State Hwy, and Transp. Materials Engrs.	On basis of advisory panel member comments that information in report would be useful to practicing engineers, report was distributed to members of AASHTO Operating Sub-Committee on Materials.
		U.S. Forest Serv.	Distributed to each regional office on basis of headquarters office determination that it will prove of use to engineers involved in design of road and sanitary sewer projects.
		Albuquerque, N. M.	In deciding on use of certain materials for city sewers.
5-5A, B	Agency report	DeLeuw Cather	Findings incorporated in research study.
5-4	Report 20	AASHTO Stdg. Comm, on Engrg. and Opers.	Input (with Report 77, Proj. 15-6) to the March 1969 publication, Informational Guide to Roadway Lighting.
5-7		Ohio DOH	Reference source of current and complete information on individual delineation techniques.
	Report 130	Org. for Econ. Coop. and Devel. Res. Group C-8	In preparing report on Visual Effectiveness and Durability of Road Markings, Reflectors, and Delineators.
		FHWA	In a report of two FHWA Delineation Conferences, summarized in four parts for group presentations, NCHRP Project 5-7 is described as the most comprehensive delineation research in recent years and its report as giving the best available description of the guidance function of delineation.
6-1	Report 19	California Div. of Hwys,	Source material and bibliography simplified literature search and saved much valuable time. Results incorporated in planning and design of new projects.
		Conn. DOT	In developing deteing chemical policy.
6-2	Report 4	Calif. Div. of Hwys.	See Project 6-1.
		Conn. DOT	In developing snow and ice policies.

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
6-3	Report 16	Calif. Div. of Hwys.	See Project 6-1.
		Natl. Flaxseed Processors Assn.	Advertising (Civil Eng., Feb. 1966) highlighting research results in stating " considering both the economy and performance, the best results by far were obtained by vegetable oil, and particularly linseed oil solutions."
		Conn. DOT	In developing treatments to prevent deterioration of PCC bridge decks.
6-4	Report 23	Iowa SH Comm.	Constructed bridge with galvanized reinforcing bars in one-half of deck. This follows recommendations to the effect that more field evaluation is required of zinc, nickel, and asphalt-epoxy coatings.
6-5	Report 27	Calif. Div. of Hwys.	See Project 6-1.
6-8	Report I	Calif. Div. of Hwys.	See Project 6-1.
		U.S. Park Serv.	Techniques used by consulting engineering firm for deck repair of Memorial Bridge, Washington, D.C., depended heavily on reported results.
6-10	Agency reports	Calif, Div. of Hwys.	In preparation of plans for two sections of US 50 from Riverton to the Nevada State line, Design consideration given to those factors considered vital to increased safety and reduced maintenance at interchanges under the adverse conditions of snow and ice.
	Report 127	Conn. DOT	As source reference for snow and ice policy.
	Report 127 and 35-mm slides	New York DOT	Region 5 duplicated a luan set of 35-mm slides illustrating Appendix J for showing at Region meetings. They have proven helpful for both design and maintenance activities.
7-4	Report 89	Illinois DOT, Hur. Planning	Findings have been found useful, and practice has been modified to conform with them.
7-7	Report 64	Ohio DOH	Implemented several recommendations pertaining to rest areas with maps and other information of interest to motorists, signing conformity, service patrols, patrol aircraft, and medicopter service.
7-8	Report 133	Conn. DOT	As a basis for noise analyses.
		Dept. of Eng., Univ. of Wisconsin	As a reference text for an extension course entitled "Data Collection and Evaluation Techniques for Transportation Systems Management."
7-10	Agency interim report	Oregon County Transit Dist.	In preparation of an energy contingency plan.
	Agency report	U.S. Environmental Protection Agency	To brief members of Senate Public Works Committee on the state of the art of transportation controls.
	Report 169	N.Y. State DOT	Used as examples of how to develop possible air quality packages for seminars to state and metropolitan planning organization transportation planners.
7-10(2)	Agency final report	N.Y. State DOT	Same as Project 7-10
8-3	Agency report	Arizona HD	Source material for decisions based on consumer sensitivity to the various factors considered in trip making.
8-4	Report 96	Dept. of Eng., Univ. of Wisconsin	As a text in short course on Urban Transportation Planning.
8-5	Report 121	Dept. of Eng., Univ. of Wisconsin	As a text in Traffic Engineering Seminar. To forecast volume of traffic generated by proposed subdivisions and developments.
8-5A	Report 121	G. E. Pidcock Co.	
8-8(3)	Agency interim report	Iowa SH Comm.	In development of an action plan in conformance with FHWA PPM 90-4. In development of an action plan in conformance with FHWA PPM 90-4.
		Delaware DOH & T	In preparation of a synthesis report giving background to regional personnel responsible for
	Agency report	N.Y. DOT, Transp. Planning Div. FHWA	citizen participation. Also useful in development of N.Y. State Action Plan. Assisted in development of PPM 90-4.
		Michigan DOT	Assisted in preparation of the state's Action Plan.
	Report 156	Nat'l. Inst. for Road Res., S. Africa	In developing similar procedures in South Africa.
		Conn. DOT	In preparing environmental impact statements.
8-11	Agency report	Illinois DOT	Portions incorporated into a manual on assessment of ecological impacts from highways for distribution to district engineers and others doing work for the department.
8-12	Agency report	FHWA	By regional transportation planners to provide technical support to the states.
		Princeton Univ.	In graduate courses.
8-12A	Agency report	Transp. Ctr., U. Tenn.	As the basic training aid for a three-day short course.
	Agency final report and User's Guide	NYS DOT	User's Guide distributed to all regional planning offices to provide a quick-response capability for estimating travel demand.
		Consultant to Nat'l, Inst. for Transport and Road Res., S. Africa	To develop guidelines for undertaking urban transportation studies.

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
8-16	Agency final report Appendix, "Transportation	Am. Public Transit Assoc.	Testimony on proposed DOT regulations to implement Sec. 504 of the Older Americans Rehabilitation Act.
	Services for the Transportation Disadvantaged"	U.S. Congress	Evaluation of DOT regulations to implement Sec. 504 of the Older Americans Rehabilitation Act.
9-3	Report 38	Ford Motor Co.	Saved countless hours of search and survey by state-of-the-art section on highways joint and crack sealing materials and methods. Useful in further understanding various design, construction, and maintenance problems, in analyzing specific failures, and in adapting future developments in highways to their industrial and other roadway problems.
10-1	Report 17	State Univ. North Dakota	Basic text for a course in statistical quality control taught to both undergraduates and a sizeable number of engineers, the majority of the latter being highway department employees.
		Illinois Div. H, Bur. Materials	In conjunction with FHWA sigma bank, and data developed by our field testing, to develop special provisions covering statistical acceptance of bituminous concrete pavement.
		Conn. DOT	As reference by Specifications Division.
10-2	Report 34	lllinois Div. H, Bur. Materials	In conjunction with supplementary moterials, as a basis for recommending and/or limiting stockpiling methods to be included in the policy being developed for aggregate inspection and acceptance.
10-2A	Report 69	Conn. DOT	In developing statistical specifications.
10-5	Reports 14, 13	Conn. DOT	In establishing nuclear density and moisture tests in soils.
10-6	Report 52	Illinois Div. II, Bur. R&D	Considering a trial of recommendation for use of nuclear pellet technique for measuring pavement thickness.
10-8	Agency final report	Penn. DOT	The Ohio State ultrasonic gauge, several eddy current proximity gauges, and additional pachometers used with the new statistically based acceptance specifications to reduce over-all construction costs.
10-9	Res. Results Digest 48	U. Minn. and Minnesota DOH	In seminars conducted throughout Minnesota to train city and county personnel in use of the pavement surface condition rating system.
11-1(6)	Report 92	N. Mex. SH Comm.	In settling negotiations for purchase of an airport.
11-3	Report 56	Indiana SH Comm.	Rated as "excellent" by Land Acquisition Division, which requested extra copies for use in development of new work in area of responsibility.
		Illinois Div. H, Bur. Rtof-Way	Most of the principles set forth have been in practice. Land Economic Study unit conducted a study according to the report recommendation for one method of analysis of the value of scenic easements.
12-2	Report 83	California Div. of Hwys.	Own research project on "Analysis, Design and Behavior of Highway Bridges" used both basic knowledge and example of a well-devised rational approach to further simplify the proposed formulas and criteria recommended as revisions to the AASHTO Specifications, and to consolidate and authenticate the proposed criteria by further model and prototype verification of analytically obtained values.
12-5	Report 90	California Div. of Hwys.	Confirmed the Division's present practices, gave reassurance that its long-term investment in prestressed concrete structures is sound, and answered the question as to practicability of protective coatings.
12-7	Report 102	Naval Ship Res. and Devel. Lab.	Limited portions used in a technical report entitled "Some Observations on the Fatigue Behavior of Specimens and Structures."
		lllinois DOT, Bur, Design	Findings have been found useful, and practice has been modified to conform with them.
		Conn. DOT	To change bridge design parameters in order to reduce fatigue cracking.
	Report 147	AASIITO	Fatigue specification recommendations adopted in total in 1974 Interim AASHTO "Standard Specifications for Highway Bridges."
		Conn. DOT	To accomplish bridge design modifications intended to reduce fatigue cracking.
		Am. Rwy. Eng. Assn.	To develop modifications to fatigue provisions in ARFA Specifications (1975).
12-8	Report 86	Canadian Stds. Assn.	Committee on Design of Highway Bridges used results in updating standards for bridge tailing loads.
		Conn. DOT	To provide backup information for current bridge-rail design.
12-11	Report 165	Minnesota DOT	In selecting waterproof membrane systems for field evaluation,
13-1	Report 26	Delaware SHD	In a study of highway maintenance management, Advanced Management Planning, Inc., recommended use as a guide in establishing equipment rental rates.
14-1	Report 42	Minnesota DOH	Of considerable assistance to the investigators in the Maintenance Program Budget Pilot Study, which includes a determination of the sets of road characteristics to which quality and quantity standards codes should be assigned.
		Washington State \$H Comm.	In development of a unit maintenance expenditure index for the State.
		Ohio Dept. of Hwys.	In a study to develop a forecast of maintenance needs for the 1970-80 decade and compare it with the trends in highway maintenance needs for the U.S. as a whole and for the Northeast region in particular.

NCHRP PROJECT	NCHRP PUBLICATION	USER	HOW USED
		Conn. DOT	In establishing Maintenance Management System.
15-1	Report 36	Commercial firm	In formulating a design for a new fiberglass guardrail system.
t5-1(2)	Report 54	Federal and State	In planning, design, construction, maintenance, replacement of guardrails and median barriers,
		American fron and Steel Inst.	Recommendations on standardization of guardrail hardware by the Highway Task Force of the Institute's Sheet Committee to include use of the flat washer illustrated on page 29 of Report 54.
		Illinois Div. of Hwys,	Included in highway design policies and standards by Bur, of Design, New Bur, of Maintenance standards for guardrail and median barriers adapted from report. Bur, of Traffic comments highlight Design Manual or Highway Standards areas that could be improved by the findings; the warranting of trial installations of various types of median barriers, for reasons of both safety and economy; and the value of certain information as a tool to determine whether to remove or upgrade existing installations.
		Nevada DOH	In evaluating acceptability of the Department's design criteria and standards.
	Report 115	Illinois DOT, Bur. Design	Findings have been found useful, and practice has been modified to conform with them.
		Conn. DOT	As a basis of guardrail systems currently used in Connecticut.
	Report 118	New York DOT	As a vital supplement to a revently prepared design manual covering policies, procedures, and standards. Design guide refers to report for further information.
15-2	Report 108	Connecticut DOT	On trial basis, used the design technique developed for channels lined with riprap. Major relocation of a stream and tributaries having a design flood discharge of 3,900 cfs from a drainage area of 7.3 sq mi was involved. Saving from use of riprap instead of paying was estimated to be more than \$90,000. Evaluation of the effectiveness of the treatment is continuing, especially observation of behavior during and after any significant storms.
		Wisconsin DOT	Channel design procedure applied to ditches along the Lake Wissota—Cadott Road in Chippewa County, previously subject to crosion, but none has occurred since use of riprap according to the procedure.
		Kansas SH Comm.	As basis for publication, "Design of Stable Roadside Channels."
		Minnesota DOH	To design riprap for a stream relocation at Moose Lake. Riprap erosion protection functioned as planned during rainstorms providing discharges approximating the design value of 275 cfs.
		Colorado DOH	Method to size riprap protection included in Ch. 8 of Design Manual.
		Soil Conserv. Serv., U.S. Dept. of Agr.	Recommendations used in preparation of SCS Tech, Release No. 59, "Hydraulic Design of Riprap Gradient Control Structures."
	Report 108 and agency draft	Hydr. Br., Bridge Div., FHWA	As source documents for "Stable Channel Designs"; design procedures for riprap linings developed principally from Report 108.
	Report 108 and agency report	Consultant, Madrid, Spain	Riprap design procedure applied to channels along motorways in Spain.
15-4	Report 136	Indiana SII Comm.	Used National Small Streams Data Inventory compiled during project as an additional check on flood flow estimates.
15-6	Report 77	AASHTO Stdg. Comm. on Hwys.	Input (with Report 20, Proj. 5-4) to March 1969 publication, Informational Guide to Roadway Lighting.
		California Dív. of Hwys.	Instrumental in setting the standards for California and aiding in developing the most satisfactory breakaway base. The California research, without that done under NCHRP, reportedly would have cost well over \$100,000 to develop or affirm preliminary designs of this type.
		Conn. DOT	As a basis for breakaway luminaires for highway lighting.
16-1	Report 91	California Div. of Hwys.	Appendix D ("Effects of Salts on Plant Biota") is the most complete dissertation on soil salinity and salt-tolerant plants in the Division's reference files.
		U.S. Government	As a primary reference in formulating the National Environmental Policy Act of 1969 and Executive Order 11514 on "Protection and Enhancement of Environmental Quality."
		Conn. DOT	In preparation of environmental impact statements.
16-3	Agency report	Iowa DOT	In a training program on erosion control for state personnel.
17-1	Report 79	Robley Winfrey	In development of college textbook, Economic Analysis for Highways.
		Calspan	As starting point for a Tri-Level Accident Research program for NHT\$A and the Motor Vehicle Mfrs. Assn.
17-2A	Agency report	S. Dak. DOT., Div. of Hwys.	To assist in evaluating safety improvements accomplished under an ongoing safety program.
	Report 162	Northwestern Univ.	As a reference and teaching aid in a graduate course in highway safety programming.
		Office of Highway Safety, FHWA	By staff serving as instructors for a series of regional seminars on evaluation of safety improvements.
19-2(4)	Report 131	Off. of R&D, FHWA	As a primary reference in training courses on managing highway maintenance.
19-3	Report 141	Nat'l, Inst, for Road Res., S. Africa	As source document in investigating certain aspects of vehicle sizes and weights on South African highways,

NCHRP

PROJECT

EXAMPLES OF UTILIZATION OF NCHRP RESULTS (Continued)

USER

NCHRP

PUBLICATION

PROJECT	PUBLICATION	USER	NOW USED
20-1	(HRIS)	Many diverse agencies	The Highway Research Information Service is known to be used widely by a number of organiza- tions in addition to state highway departments. Recognition has been given to the periodic issues of Highway Research in Progress as being very useful and of great value to many other government agencies.
20-2	Report 55	Hlinois Div. H, Bur. R&D	A committee within the Illinois Highway Research Council, having the assignment of developing a system of establishing research priorities for the Division's program, uses the method outlined for structuring research programs.
20-3	_	California Div. of Hwys.	Although not yet published, results from the second year of research are being used as back- ground for installing surveillance and control systems and in planning alternative methods of improving operations on the Los Angeles Area freeway system.
20-5	Synthesis 1	Conn. DOT	As a basis for current signing patterns from Maintenance.
200	Synthesis 2	Lab. de Eng., Angola	Translated into Portuguese.
	Synthesis 4	California Div. of Hwys.	As a basic document in the continuing development of Division practices and procedures to cope with the bridge deck deterioration problem. Also used as a guide for those lines of research that will yield the highest return.
		U.S. DOT	In preparation of Instructional Memorandum 40-2-70.
		N. Mex. SHD	In revising the Department's Bridge Construction Manual.
	Synthesis 5	Ctr. for PW Studies and Exper. (Spain)	Translated into Spanish as an "Information Bulletin" of the Transport and Soil Mechanics Laboratory.
		Louisiana DOH	As procedural guide to emergency measures to contain and/or control scour at bridge sites.
	Synthesis 6	Conn. DOT	In project scheduling.
	Synthesis 7	92nd Cong., 1 Sess.	See Project 1-12.
		Conn. DOT	Provided justification for motorist aid call-box system.
	Synthesis 10	Conn. DOT	By Maintenance in training personnel for equipment responsibilities.
	Synthesis 11	AASHTO	As a text in Highway Management Course (conducted by the Highway Management Institute at the Univ. of Mississippi).
	Synthesis 12	Conn. DOT	As a basis for Maintenance Telecommunication System.
	Synthesis 14	Texas Hwy. Dept.	Recommended to District offices as a reference to answer skid-resistance questions from both Departmental and non-Departmental personnel.
		Conn. DOT	To provide guidelines for skid-resistance program.
	Synthesis 16	Conn. DOT	Reference source for design of CRC pavements.
	Synthesis 18	Texas HD and Tex, Div., FHWA	As background information in plan preparation and review; construction supervision and in spection; maintenance activity.
	Synthesis 24	Conn. DOT	As input into snow and ice policy.
	Synthesis 32	Conn. DOT	As backup for studded-tire legislation.
20-6	Res. Dig. 11	Md. Rds. Comm.	In a case before September 1969 term, State Court of Appeals.
	Res. Dig. 11 and others	Colorado DOH	Used on several occasions involving condemnation cases and other legal matters. Digests noted as being extremely helpful in view of their discussions of current problems and consequent saving of legal staff time.
	Res. Results Digest 3	Sec. of Transp.	Included in toto in 1970 Annual Report to the Congress in respect to progress made in administration of the highway relocation assistance program as enacted under the Federal-Aid Highway Act of 1968.
	Res. Results Digests	Virginia Atty. Genl. Office	As an aid to maintaining a corrent awareness of legal research of an original nature, as a basi for further research by personnel of the Office, and as a point of departure for reviews o settled law.
	Res. Dig. 25	U. Wis., Dept. Eng.	As a text in short course on Urban Transportation Planning.
20-7	-	92nd Congress. 1st Sess.	Task 4, "Lateral Accelerations and Lateral Tire-Pavement Forces in a Vehicle Traversing Curve Relating to Available Pavement Skid-Resistant Measures," See Project 1-12.
	Report 157	Conn. DOT	In developing the scrap tire attenuation system.
	Res. Dig. 98	FHWA	To analyze Oklahoma DOT structure upgrading program.
	Agency final report (Task 8)	New York DOT	As primary source of information on energy used in construction and maintenance of transportation facilities for estimation of energy savings by Transportation System Managemer (TSM) actions. TSM actions are estimated to save 37.1 million gal of gasoline in the State of N.Y. during the 1978 calendar year.
20-12	Agency report	FHWA	In preparation of handbook on "Air Pollution Control for Construction and Maintenance."
22-2	Res. Results Dig. 43, 53, 84	State highway agencies	Breakaway cable terminal (BCT) installed as a guardroil end treatment in at least 35 state since 1973.
		Federal Aviation Administration	To install breakaway cable terminals as part of a demonstration project on the Dulles Airpor Access Highway.
	Report 153	AASHTO	Referenced in Section 1.1.9A(2), Loadings and Geometries, of the 1975 "Interim Bridge Specifications."
	Res. Results Dig. 84, 102	Australian state hwy, agencies	Breakaway cuble terminal (BCT) installed as a guardrail and treatment in at least two Australia states.

HOW USED

AWARD-WINNING RESEARCH UNDER NCHRP

Several projects have been honored to date as outstanding contributions to the field of highway safety and have received Metropolitan Life Awards for Research in Accident Prevention from the National Safety Council. They are:

- NCHRP Project 1-7, "Development of Interim Skid-Resistance Requirements for Highway Pavement Surfaces." In 1968 this project, reported as NCHRP Report 37, "Tentative Skid-Resistance Requirements for Main Rural Highways," received the Award of Merit (\$500).
- NCHRP Project 3-8, "Factors Influencing Safety at Highway-Rail Grade Crossings." In 1969 this project, reported as NCHRP Report 50, "Factors Influencing Safety at Highway-Rail Grade Crossings," received top honors—the Award of Honor (\$1,000).
- NCHRP Project 2-3, "Analysis of Motor Vehicle Accident Data as Related to Highway Classes and Design Elements." Also in 1969 this project, reported as NCHRP Report 47, "Accident Rates as Related to Design Elements of Rural Highways," placed second and received the Award of Merit (\$500).
- NCHRP Project 20-7, Task 2, "The Relation of Side Slope Design to Highway Safety." In 1977, Eugene D. Marquis and Graeme D. Weaver shared the 1977 Arthur M. Wellington Prize of the American Society of Civil Engineers for their paper, "Roadside Slope Design for Safety,"

which was based on the research reported in NCHRP Report 158, "Selection of Safe Roadside Cross Sections."

Other projects prominent in various other classes of awards are:

- NCHRP Project 20-3, "Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control." In 1969 a paper based on this project received Honorable Mention under the Past President's Award, Institute of Traffic Engineers,
- NCHRP Project 9-1, "Asphalt Durability and Its Relation to Pavement Performance." In 1969 a paper based on this project, reported in NCHRP Report 67, "Relation of Asphalt Rheological Properties to Pavement Durability," received the W. J. Emmons Annual Award of the Association of Asphalt Paving Technologists as the best paper at the annual meeting.
- NCHRP Project 5-8, "Warrants for Highway Lighting." In 1973 a paper based on this project, reported in NCHRP Report 152, "Warrants for Highway Lighting," received the Highway Research Board Award as the most outstanding paper presented at the Board's Annual Meeting.
- NCHRP Project 12-7, "Effects of Weldments on Fatigue Strength of Steel Beams." In 1977, the Principal Investigator, Professor John W. Fisher, received the T. R. Higgins Award from the American Institute of Steel Construction as author of NCHRP Report 147, "Fatigue Strength of Steel Beams with Welded Stiffeners."

SUMMARY

The National Cooperative Highway Research Program is a unique contract research effort designed to respond quickly and efficiently to the needs of State highway and transportation departments through the solution of the pressing transportation problems. Although the Transportation Research Board administers the Program, the research content is solely the prerogative of the American Association of State Highway and Transportation Officials and its

member departments. The Program is one of applied (rather than basic) research, and every possible effort is made to help administrators and engineers put the findings to early use. Program policy ensures maximum exposure of the research while in progress in the hope that research results will, in fact, more quickly find their way into practice in the form of policies, procedures, specifications, and standards of State highway and transportation departments.

Rep.	Title, Project, Pages, Price	Rep.	Title, Project, Pages, Price
			Time, Project, Pages, Price
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- Acquisition and Use of Geotechnical Information (Proj. 20-5, Topic 5-03), 40 p., \$4.00
- Policies for Accommodation of Utilities on Highway Rights-of-Way (Proj. 20-5, Topic 6-03), 22 p., \$3.20
- 35 Design and Control of Freeway Off-Ramp Terminals (Proj. 20-5, Topic 5-02), 61 p., \$4.40
- Instrumentation and Equipment for Testing Highway Materials, Products, and Performance (Proj. 20-5, Topic 6-01), 70 p., \$4.80
- 37 Lime-Fly Ash-Stabilized Bases and Subbases (Proj. 20-5, Topic 6-06), 66 p., \$4.80

No. Title, Pages, Price

- 38 Statistically Oriented End-Result Specifications (Proj. 20-5, Topic 6-02), 40 p., \$4.00
- Transportation Requirements for the Handicapped, Elderly, and Economically Disadvantaged (Proj. 20-5, Topic 6-07), 54 p., \$4.40
- 40 Staffing and Management for Social, Economic, and Environmental Impact Assessments (Proj. 20-5, Topic 7-02), 43 p., \$4.00
- 41 Bridge Bearings (Proj. 20-5, Topic 6-09), 62 p., \$4.80
- 42 Design of Pile Foundations (Proj. 20-5, Topic 5-04). 68 p. \$4.80
- Energy Effects, Efficiencies, and Prospects for Various Modes of Transportation (Proj. 20-5, Topic 7-05). 57 p., \$4.80
- Consolidation of Concrete for Pavements. Bridge Decks, and Overlays (Proj. 20-5, Topic 7-01), 61 p., \$4.80
- 45 Rapid-Setting Materials for Patching of Concrete (Proj. 20-5, Topic 6-05). 13 p., \$2.40
- 46 Recording and Reporting Methods for Highway Maintenance Expenditures (Proj. 20-5, Topic 7-04), 35 p.. \$3.60
- 47 Effect of Weather on Highway Construction (Proj. 20-5, Topic 5-07), 29 p., \$3.20
- 48 Priority Programming and Project Selection (Proj. 20-5, Topic 7-07), 31 p., \$3.20
- 49 Open-Graded Friction Courses for Highways (Proj. 20-5, Topic 8-09), 50 p., \$4.00
- 50 Durability of Drainage Pipe (Proj. 20-5, Topic 5-09), 37 p., \$3.60
- 51 Construction Contract Staffing (Proj. 20-5, Topic 8-02), 62 p., \$6.00
- Management and Selection Systems for Highway Maintenance Equipment (Proj. 20-5, Topic 8-08).
 17 p., \$4,40
- 53 Precast Concrete Elements for Transportation Facilities (Proj. 20-5, Topic 8-05), 48 p., \$5.60
- 54 Recycling Materials for Highways (Proj. 20-5, Topic 8-01), 53 p., \$5.60
- 55 Storage and Retrieval Systems for Highway and Transportation Data (Proj. 20-5, Topic 8-06), 30 p., \$4.80

TABLE 8
NCHRP RESEARCH RESULTS DIGESTS a

DIGEST	PROJ.		DIGEST	PROJ.	
NO.	NO.	TITLE	No.	NO.	TITLE
3 b	20-6	Relocation Assistance Under Chapter	75	21-2,	Development of Prototype Soil Mois-
		Five of the 1968 Federal-Aid Highway		21-2(2)	ture Sensors
		Act	76 b	22-3,	Field Evaluation of Vehicle Barrier
6 в	20-6	Standing to Sue for Purposes of Secur-		22-3A	Systems
		ing Judicial Review of Exercise of	77 1	20-7	Earth-Berm Vehicle Deflector (Task
		Administrative Discretion in Route			3)
	(Location of Federal-Aid Highways	78	3-20	Traffic Signal Warrants—A Bibliog-
11 ^b	20-6	Valuation Changes Resulting from In-			raphy
. 4 %	10.3	fluence of Public Improvements	79 ^b	20-6	Personal Liability of State Highway
14 ^h	12-3	Waterproof Expansion Joints for	001	20.	Department Officers and Employees
(9 հ	20.6	Bridges	80 p	20-6	Liability of State Highway Depart
(3 °	20-6	Advance Acquisition Under the Fed-			ments for Design, Construction, and
20 b	19-1	eral-Aid Highway Act of 1968 Budgeting for State Highway Depart-	0.4.1:	22.14	Maintenance Defects
20 "	1,7-1	ments	81 h	22-1A	Crash Testing and Evaluation of At-
22 b	20-6	Valuation in Eminent Domain as	0.43	1 15	tenuating Bridge Railing System Design of Continuously Reinforced
<u> </u>	20-0	Affected by Zoning	82 h	1-15	Concrete Pavements for Highways
25 b	20-6	Federal Environmental Legislation and	025	20.6	Liability of State and Local Govern-
-5	20-0	Regulations as Affecting Highways	83%	20-6	ments for Snow and Ice Control
31 b	20-6	Proposed Legislation to Authorize	84 ^b	22-2	Breakaway Cable Terminals for
	200	Joint Development of Highway	04°	22-2	Guardrails and Median Barriers
		Rights-of-Way	85	12-16	Bridge Deck Repairs
32 b	20-6	Changes in Existing State Law Re-	86 b	3-22	Guidelines for Design and Operation
_	-0 0	quired by the Uniform Relocation As-	00	3 LL	of Ramp Control Systems
		sistance and Real Property Acquisi-	87	3-24	Current Practices in Use of Retro-
		tion Policies Act of 1970		. <u>.</u> .	reflective Signing Materials
35	4-9	Evaluation of Preformed Elastomeric	89	1-12(3)	Guidelines for Skid-Resistant Highway
		Pavement Joint Sealing Systems and		/	Pavement Surfaces
		Practices	90	20-5	Continuing Project to Synthesize In-
39 հ	20-6	Legal Effect of Representations as to			formation on Highway Problems
		Subsurface Conditions	91	3-21	Motorist Response to Guide Signing
Ю в	20-6	Appeal Bodies for Highway Reloca-	93	8-11	Impact Assessment Guidelines for
		tion Assistance			Evaluating the No-Build Alternative
11 h	20-6	Trial Strategy and Techniques to Ex-			in Transportation
		clude Noncompensable Damages and	94	8-13	Disaggregate Travel Demand Models
		Improper Valuation Methods in Emi-	95 h	20-6	Legal Implications of Regulations
∧ h	20.7	nent Domain Cases			Aimed at Reducing Wet-Weather Skid-
12 b	20-6	Supplemental Condemnation: A Dis-			ding Accidents on Highways
		cussion of the Principles of Excess and Substitute Condemnation	96	20-6	Continuing Project on Highway Right
5 h	20-6	Exclusion of Increase or Decrease in			of-Way and Legal Problems
ə ··	20-0	Value Caused by Public Improvement	97	3-23	Guidelines for Uniformity in Traffic
		for Which Lands Are Condemned			Control Signal Design Configurations
17 h	20-6	Trial Strategy and Techniques Using	98	20-7	Safety at Narrow Bridge Sites (Task 7)
"	20-0	the Comparable Sales Approach to	99 b	20-6	Liability of the State for Highway
		Valuation			Traffic Noise
18	10-9	Surface Condition Rating System for	100 b	20-5	Safe Conduct of Traffic Through High-
	100	Bituminous Pavements			way Construction and Maintenance
54 в	20-6	Trial Strategy and Techniques Using			Zones
•		the Income Approach to Valuation	101	12-15(2)	Retrofitting Procedures for Fatigue-
5 b	20-7	Side-Friction Factors in the Design			Damaged Full-Scale Welded Bridge
-	_ ,	of Highway Curves (Task 4)	4005		Beams
9	12-15	Classification of Welded Bridge De-	10 2 5	22-2	Modified Breakaway Cable Terminals
-	••	tails for Fatigue Loading	1035	20.7	for Guardrails and Median Barriers
66	12-15	Nondestructive Methods of Fatigue	103 b	20-6	Payment of Attorney Fees in Eminent
		Crack Detection in Steel Bridge Mem-	10.4	Von	Domain and Environmental Litigation
		bers	104	Var.	NCHRP Research on Bridge Engineer-
i8 в	20-6	The Meaning of Highway Purpose	105 հ	2 26	ing
74	10-10	Electroslag Weldments in Bridges	109 .	3-26	Selected Acoustical Parameters of
/ ·*	10.10	recentional welcoments in bridges			Highway Noise Barriers

^a See Table 5 for project titles. Numbers missing from the series have been superseded by published reports. Digests are provided at a nominal cost depending on quantity. Single copies are \$1.00 each, prepaid if order is less than \$7.50. Make request to Publications Office, Transportation Research Board, 2101 Constitution Avenue NW, Washington, D.C. 20418.

^b Final publication.

PROGRESS BY PROJECT

AREA 1: PAVEMENTS

Project 1-1(1) FY '63

Development of Procedures for Comparing the AASHO Road Test Findings with Performance of (1) Existing Pavements and (2) Newly Constructed Experimental Pavements

Research Agency: Highway Research Board

Principal Invest.: Dt. Paul E. Irick
Effective Date: March 1, 1963
Completion Date: February 29, 1964

Funds: \$42,800

Guidelines were established for the study of existing and new experimental pavements in the satellite research program. Definitions were provided for pavement units and behavior, traffic factors, and environmental factors. Recommendations were made for experimental designs and requirements for collecting adequate data.

The final report has been published in two volumes as: NCHRP Report 2, "An Introduction to Guidelines for Satellite Studies of Pavement Performance";

NCHRP Report 2A, "Guidelines for Satellite Studies of Pavement Performance."

Report 2 contains a brief presentation of the essentials of the research, whereas Report 2A contains the details.

Project 1-1(2) FY '64

Guidelines for Extending the Findings of the AASHO Road Test—Implementation Phase

Research Agency: Highway Research Board

Principal Invest.: Dr. Paul E, Irick
Effective Date: March 1, 1964
Completion Date: August 31, 1965
Funds: \$11,356

In follow-up to the development of NCHRP Report 2A, the intent of this continuation was to establish means for advising and assisting the various satellite programs in the use of the guidelines, techniques, and standards for data acquisition, procedures for data processing, and methods for updating the original guidelines in light of the findings of other research in Area One.

Inasmuch as the Bureau of Public Roads undertook implementation of the guidelines, rather than doing this through the Highway Research Board, the project was closed out.

Project 1-2 FY '63

Comparison of Different Methods for Evaluating Pavement Conditions

Research Agency: Purdue University
Principal Invest.: Prof. E. J. Yoder
Prof. B. E. Quinn

Effective Date: February 15, 1963 Completion Date: February 28, 1965

Funds: \$29,957

This project was authorized to evaluate the effectiveness of various objective measurement techniques for obtaining data on road surface properties for use in the prediction of pavement serviceability ratings. Initially, a comparison was made between existing types of "road-roughness" measuring equipment. Such devices as the BPR roughometer, the AASHO slope profilometer, and the CHLOE profilometer were involved in the comparison study.

Research has been completed, and the project report published as:

NCHRP Report 7, "Comparison of Different Methods of Measuring Pavement Condition."

Because the initial research resulted in sufficient data to permit calculation of elevation power spectra, the work was extended to consider specifically the problems associated with using these spectra as criteria of pavement condition. The report on the power spectra work was not published in the regular NCHRP series, but a copy of the agency's final report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

A paper on this work was also published in Highway Research Record No. 189.

Project 1-3(1) FY '63 and FY '64

Factors Influencing Pavement Performance— Regional

Research Agency: Purduc University
Principal Invest.: Prof. K. B. Woods
Prof. E. J. Yoder

Prof. E. J. Yoder Prof. R. D. Miles Dr. C. W. Lovell, Jr. February 15, 1963

Completion Date: September 30, 1967 Funds: \$45,982

Effective Date:

The degree of influence of various factors commonly assumed to affect pavement performance has not been suitably evaluated to allow translation of test results from one geographic area to another. The objectives of this project were to identify factors that influence pavement performance, to determine the relative effect of each factor, and to correlate pavement design and performance with factors common to a number of regions of the United States.

A regional classification system, using 97 physiographic units and covering the 48 contiguous states, was adapted from the system originally developed by K. B. Woods and C. W. Lovell, Jr., and published in the Highway Engineering Handbook, McGraw-Hill, New York (1960). The highway factors analyzed by physiographic unit were: (1) availability of aggregates, (2) soil origin and texture. (3) high-volume-change soils, (4) potentially poor subgrade support conditions, and (5) frost-susceptible soils.

The research has been completed, and the project report has been published as:

NCHRP Report 132, "Relationships Between Physiographic Units and Highway Design Factors."

Project 1-3(2) FY '63

Factors Influencing Pavement Performance—Local

Research Agency: Northwestern University Dr. R. L. Kondner Principal Invest.: Effective Date: September 1, 1963 Completion Date: September 30, 1964

Funds: \$19,850

In contrast with other research concerned with organizing regions into like groupings of sufficient size to permit the applications of the principles of meteorology, pedology, and geology to the identification of significant factors influencing pavement performance, this study was directed to the establishment of significant trends between flexible pavement response and various factors such as axle load, number of load applications, and thickness of pavement components. Performance data from the AASHO Road Test and other similar experiments were examined, and observed behavioral trends were expressed mathematically for consideration of the possibility of incorporating performance, expressed in terms of the present serviceability index (PSI), in flexible payement design procedures.

This research has been completed, and the results have been published as:

NCHRP Report 22, "Factors Influencing Flexible Payement Perfurmance."

Project 1-3(3) FY '64

Factors Influencing Pavement Performance

Research Agency: University of California Principal Invest .: Dr. H. B. Seed

Prof. C. L. Monismith

Effective Date: April 1, 1964 Completion Date: October 31, 1965

Funds: \$19,800

The reported analyses of AASHO Road Test data describe to a limited degree the independent reactions of the various components of the pavement structure to the imposed test conditions. The analyses treat very conclusively the reaction of the entire pavement sections to these test conditions. The degree of influence of various factors commonly assumed to affect pavement performance has not been suitably evaluated, however, to allow translation of performance test results from one area to another. It is desirable that all of these factors be studied and evaluated in an attempt to determine order of importance and relative effect on pavement design.

As experience has demonstrated that heavy-duty asphalt pavements experience fatigue cracking under repetitions of heavy load, this research was initiated to develop procedures for predicting pavement deflections on the basis of the results from controlled repeated-load tests on materials comprising the pavement sections and within the framework of existing layered system theory.

Research has been completed, and the results have been published as:

NCHRP Report 35, "Prediction of Flexible Pavement Deflections from Laboratory Repeated-Load Tests."

Project 1-4(1) FY '63

Extension of Road Test Performance Concepts

Georgia Institute of Technology Research Agency:

Dr. A. S. Vesic Principal Invest:

Leonard Domaschuk Effective Date: October 1, 1963 September 30, 1964 Completion Date:

Funds: \$10,000

This research involved a critical review of existing hypotheses and the development of new hypotheses of flexible pavement performance as related to fundamental principles of engineering mechanics and material science. New hypotheses of flexible pavement performance as related to design were sought and tested with available data from the AASHO Road Test and elsewhere.

Research has been completed, and the results have been published as:

NCHRP Report 10, "Theoretical Analysis of Structural Behavior of Road Test Flexible Pavements."

Project 1-4(1)A FY '64

Extension of Road Test Performance Concepts

Research Agency: Duke University Principal Invest .: Dr. A. S. Vesic Effective Date: February 1, 1965 Completion Date: September 30, 1966

Funds: \$19,924

This research was concerned with existing theories of structural behavior of rigid pavements. Available data on deflections, stresses, and observed structural failures of rigid pavements during the AASHO Road Test were collected and critically reviewed. Rational correlations were developed for existing theories of mechanical behavior of rigid pavements.

The project report has been published as:

NCHRP Report 97, "Analysis of Structural Behavior of AASHO Road Test Rigid Pavements."

Project 1-4(2) FY '64

Extension of Road Test Performance Concepts

Research Agency: Purdue University
Principal Invest.: Dr. M. E. Harr
Effective Date: February 1, 1964
Completion Date: January 31, 1966

Funds: \$12,243

There exist in the literature many theories that attempt to describe, from a mechanistic point of view, the action and reaction of pavements subjected to various loading. In general, they represent solutions to particular problems which, because of the high cost of performance testing, have never been thoroughly evaluated. In the light of the findings of the AASHO Road Test, a comprehensive overview of all theories is needed to determine relationships which are necessary and sufficient for a broad and adequate description of pavement performance. To pursue this problem, this research study was authorized to examine existing hypotheses and to develop new hypotheses of pavement performance as related to fundamental principles of engineering mechanics and materials science and, alternately, to test these hypotheses with data from any other available source.

This research has been completed, and the project report has been published as:

NCHRP Report 30, "Extension of AASHO Road Test Performance Concepts."

Project 1-5 FY '64

Detecting Variations in Load-Carrying Capacity of Flexible Pavements

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Dr. N. M. Isada
Effective Date: January 15, 1964
Completion Date: July 15, 1965
Funds: \$49,011

A need exists for an accurate method which will indicate the relative load-carrying capacity of pavements when compared with capacities during fall or other seasons so that restrictions in load limits can be more objectively applied. It is desirable that such a method be rapid and simple in operation and nondestructive to the pavement.

This research approached the objectives in terms of investigating the displacement response of flexible pavements to impulsive loadings as a measure of the seasonal changes

in the elastic properties. The findings have been published as:

NCHRP Report 21, "Detecting Variations in Load-Carrying Capacity of Flexible Pavements."

Project 1-5(2) FY '67

Detecting Seasonal Changes in Load-Carrying Capabilities of Flexible Pavements

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: F. H. Scrivner

W. M. Moore September 1, 1966 June 30, 1968

Completion Date: June 30, Funds: \$49,428

Effective Date:

Frost, temperature, moisture, and other environmental factors influence the seasonal changes in strength of flexible pavements, particularly during the spring thaw periods in the northern areas of the country. A simple, rapid, and nondestructive procedure is needed for determining the relative load-carrying capabilities of pavements during all seasons of the year. The objectives of this study were to evaluate methods of meeting this need and to develop techniques and guidelines for field use of the most promising procedure. As a result of the evaluation, the Lane-Wells Dynaflect equipment was selected for field evaluation and recommended for field operation.

Research has been completed, and the project report has been published as:

NCHRP Report 76, "Detecting Seasonal Changes in Load-Carrying Capabilities of Flexible Pavements."

Project 1-6 FY '64

Standard Measurements for Satellite Program— Measurement Team

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: F. H. Scrivner
Effective Date: March 31, 1964
Completion Date: January 31, 1967

Funds: \$61,353

This research related to establishing measurement teams equipped, staffed, and trained to make common denominator measurements on the projects in any proposed satellite research program and to insure continuity of these measurements during the life of such a program.

The measurement program considered minimal for a nationwide coordinated satellite program was outlined in the guidelines prepared under NCHRP Project 1-1, but the guidelines did not specify actual items of test equipment nor describe team personnel requirements or procedures in detail. In addition, it did not attempt to define the testing program for the measurement teams in terms of

frequency of visits to individual projects or schedules of measurements within projects.

Research has been completed, and the project report has been published as:

NCHRP Report 59, "Standard Measurements for Satellite Road Test Program."

Project 1.7 FY '65

Development of Interim Skid-Resistance Requirements for Highway Pavement Surfaces

Research Agency: The Pennsylvania State University

Principal Invest.: Prof. W. E. Meyer Effective Date: June 15, 1965
Completion Date: December 15, 1966

Funds: \$24,815

This study was conducted to satisfy an immediate need for determining minimum service values of skid resistance. These values are interim in nature, as much additional research on the skid problem is needed. The high speeds and rapid accelerations and decelerations of modern vehicles result in pavement surfaces which were once considered skid resistant but are now deficient in this respect. The problem lies not only in providing surfaces which are adequately skid resistant but also in the development of standard measurement equipment and procedure. The specific objectives of this research were to (1) develop a state-of-knowledge report on skid measurement techniques and coefficients for highway pavements, (2) recommend interim design values and minimum service values for skid resistance of wet pavements in terms of safety and economy for different methods of measurements, and (3) outline a long-range program to provide verification or refinement of the recommended values.

Research has been completed, and the project report has been published as:

NCHRP Report 37, "Tentative Skid-Resistance Requirements for Main Rural Highways."

Project 1-8 FY '65

Factors Involved in the Design of Asphalt Pavement Surfaces

Research Agency: Materials Research & Development

Principal Invest.: F. N. Finn
Effective Date: January 1, 1965
Completion Date: February 28, 1966

Funds: \$23,255

Research is needed to improve the methods currently being used to design both asphalt concrete mixtures and thicknesses for flexible pavement surfaces. It is necessary that design methods take into consideration the many factors that affect surface-course performance and the function of the surface course in performance of the total structure of the pavement. A knowledge of all these interrela-

tionships is necessary to the achievement of optimum performance, durability, and economy of the pavement. This research was authorized to identify the factors fundamental to comprehensive design of asphalt surface courses; to appraise the state of knowledge concerning both the recognition of and accounting for these factors in design; and to recommend areas in which new test methods and research are needed if currently used test methods are inadequate to provide the necessary information concerning the fundamental factors.

Research has been completed, and the project report has been published as:

NCHRP Report 39, "Factors Involved in the Design of Asphaltic Pavement Surfaces."

Project 1-9 FY '67

Evaluation of Studded Tires

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: F. R. Haselton
Effective Date: October 1, 1966
Completion Date: June 30, 1967
Funds: \$24.998

This was essentially a state-of-the-art study in which currently available data on the performance of studded tires were evaluated and correlated. Correlations of published and unpublished information on both the effectiveness of studded tires and the wear resulting from their use were provided. Some recommendations were made for measuring pavement wear caused by studded tires and for a controlled systematic means for investigating the pavement wear on a nationwide basis.

Research has been completed, and the project report has been published as:

NCHRP Report 61, "Evaluation of Studded Tires—Performance Data and Pavement Wear Measurement."

Project 1-10 FY '67 and FY '69

Translating AASHO Road Test Findings— Basic Properties of Pavement Components

Research Agency: Materials Research and Development

Principal Invest.: B. A. Vallerga
F. N. Finn
Dr. W. R. Hudson

Dr. W. R. Hudson Dr. Keshavan Nair

 Effective Dates:
 Scpt. 12, 1966
 Dec. 1, 1968

 Completion Dates:
 Mar. 11, 1968
 Dec. 31, 1970

 Funds:
 \$99,803
 \$103,291

A wealth of useful design and performance information resulted from the AASHO Road Test; however, means do not now exist for reliably translating this information to other localities throughout the United States. This research concentrated on improving the understanding of the significant basic properties of pavement systems and com-

ponents and their relationships to design and performance, with due regard to locality and environment. The specific objectives of the research were (1) development of descriptions of significant basic properties of materials used in road structures, (2) development of procedures for measuring these properties in a manner applicable to pavement design and evaluation, and (3) development of procedures for pavement design, utilizing the measured values of the basic properties, which would be applicable to all locations, environments, and traffic loadings.

Project efforts were divided into two major subdivisions: (1) characterization of materials in terms of stress/strain relationships representative of loading and environmental conditions, and (2) formulation of an operational pavement system model that organizes the over-all influencing factors, such as materials characterization, maintenance requirements, user costs, and economics, within a suitable framework for flexible pavement design and management.

Research has been completed, and project reports covering the subdivisions have been published as:

NCHRP Report 139, "Flexible Pavement Design and Management-Systems Formulation" and

NCHRP Report 140, "Flexible Pavement Design and Management—Materials Characterization."

Project 1-10A FY '72

Systems Approach to Pavement Design— Implementation Phase

Research Agency: Texas A & M University

Research Foundation

Principal Invest .: R. L. Lytton

W. F. McFarland

Effective Date:

March 1, 1972

Completion Date:

December 31, 1973

Funds:

\$100,000

Pavements are extremely complex physical systems involving the interaction of numerous variables. Their performance is influenced by such factors as material properties, environment, traffic loading, construction practices, and maintenance activities. The pavement design process must consider all of these influencing factors, plus other constraints imposed by management.

Methods are needed for considering the effect of the interaction of the numerous variables during the over-all pavement design process. An operational pavement systems model (SAMP5), including a computer program using up to 100 input variables, developed during work on NCHRP Project 1-10, appears to be one approach to meeting this need. For the method to be fully implementable, detailed descriptions for user guides, input forms, and data feedback storage systems are needed.

The primary objective of this project was the further development of the SAMP5 program to field application stage and its pilot testing in one or more state highway departments.

The research has been completed and the objective accomplished. The systems model (now designated as SAMP6) has been modified to include full roadbed cross sections, variable unit costs with quantity and time, stochastic variability of some values, environmental roughness, and a modified structural subsystem. Trial implementation of the SAMP6 program was undertaken in the states of Florida, Kansas, and Louisiana. An evaluation of the pilot studies indicates that SAMP6 is an operational computer program that can be a useful tool in the pavement design and management process.

The project report has been published as:

NCHRP Report 160, "Flexible Pavement Design and Management-Systems Approach Implementation."

Project 1-10B FY '73

Development of Pavement Structural Subsystems

Materials Research and Research Agency:

Development Principal Invest.: F. N. Finn

Dr. C. L. Saraf Dr. W. S. Smith

Effective Date: Completion Date: February 1, 1974 August 31, 1979

Funds:

\$400,000

Pavements are extremely complex physical systems involving the interaction of numerous variables. Their performance is influenced by such factors as material properties, environment, traffic loading, construction practices, and maintenance activities. The pavement design process must consider all of these influencing factors, plus other constraints imposed by management.

Methods have been developed and are being implemented for considering the effect of the interaction of the numerous variables during the over-all pavement design and management process. One example of an operational pavement design and management system has been developed under NCHRP Projects 1-10 and 1-10A. To be most useful, a pavement management system should contain mechanistic structural subsystems that utilize measured values of the significant basic or fundamental properties of the pavement components and have the capability to predict certain distress modes that can be related to the performance of the pavement.

The primary objective of this project is to develop, modularize, and demonstrate implementability of flexibletype pavement structural subsystems utilizing implementable mechanistic techniques to analyze specific distress modes in pavement structures for various environmental, traffic, and construction conditions and having the capability of being used to evaluate both new pavement structures and overlays. The analysis techniques are to be based on available information from previous and current research. They are expected to be applicable to all flexibletype pavements, including those with treated base and subbase courses and full-depth bituminous structures. Specific distress modes to be considered are:

(a) Cracking from repetitive traffic loading,

- (b) Permanent deformation from repetitive traffic load-
- (c) Thermal cracking.

The initial phase of the research has been completed with the development of two computer programs, one referred to as PDMAP (Probabilistic Distress Models for Asphalt Pavements) for fatigue cracking and permanent deformation, and the second referred to as COLD (Computation of Low-Temperature Damage) for low-temperature cracking. The programs are capable of predicting the occurrence of pavement distress based on material properties, traffic loading, and environmental input data. They can be used in pavement management systems, diagnostic investigations, formulation of design criteria, and preparation of material and construction specifications.

The essential findings from the initial phase of the project have been published in a paper, "Mechanistic Structural Subsystems for Asphalt Concrete Pavement Design and Management," in Transportation Research Record 602 and in the Proceedings of the Fourth International Conference on Structural Design of Pavements,

Research is in progress on Phase II of the project with the objective being to assist the state highway agencies in Florida and Utah during calibration and implementation of the PDMAP and COLD programs. These efforts were intended to use materials characterization data previously developed by the participating states. However, it was determined that the existing data would be suitable for only a demonstration of the programs rather than actual implementation. The cooperating state highway agencies are undertaking new materials testing to generate new data for the project. Some correlation testing between the two states and other laboratories is also under way. Continuation funding in the amount of \$50,000 has been approved under FY '80 to support the required additional project activities.

Project 1-11 FY '68

Evaluation of AASHO Interim Guides for Design of Pavement Structures

Research Agency: Materials Research and

Development

Principal Invest .: C. J. Van Til

B. F. McCullough

Effective Dates: Oct. 23, 1967 Aug. 1, 1970 Completion Dates: June 30, 1970

Apr. 30, 1971 Funds: \$63,720 \$20,205

In the AASHO Interim Guides for the Design of Flexible and Rigid Pavement Structures, distributed in 1962, it was emphasized that the guides were ". . . interim in nature and subject to adjustment based on experience and additional research." Since that time, no evaluation has been made of the experience accumulated by the State highway departments as reflected by current design procedures. An immediate need existed for a review and evaluation of these procedures for the purpose of updating the guides. Ac-

cordingly, the specific objectives of this research were (1) to collect, review, and summarize current State highway department pavement design procedures, and (2) to develop proposed revisions to the AASHO Interim Guides for the Design of Pavement Structures based on an evaluation of the results of the first objective.

To achieve the objectives, information on current pavement design procedures was collected from 50 state highway departments, the District of Columbia, and Puerto Rico. This was analyzed along with the original AASHO Road Test data and the findings of other research work in the problem area. For the purpose of providing State highway departments with maximum benefits from the project, a continuation contract was executed with the agency with the objective of drafting revised Guides based on suggested revisions contained in the project report.

Research has been completed, and the project reports have been published as:

NCHRP Report 128, "Evaluation of AASHO Interim Guides for Design of Pavement Structures" and

"AASHO Interim Guide for Design of Pavement Structures," published by the American Association of State Highway and Transportation Officials, 444 North Capitol St., N.W., Suite 225, Washington, D. C. 20001.

Project 1-12 FY '70

Determination of Pavement Friction Coefficients Required for Driving Tasks

The Franklin Institute Research Agency:

Principal Invest .: Eugene Farber August 25, 1969 Effective Date:

Completion Date: June 8, 1973 Funds: \$309,244

Increases in traffic density, vehicle speed, and engine horsepower contribute to the rise in number and the severity of highway accidents resulting in thousands of deaths and billions of dollars in property damage each year. It is recognized that the highway accident problem is very complex, involving relationships between the highway, vehicle, driver, traffic, weather, and other variables. Extensive research is needed in all of the various aspects of this problem.

The ultimate objectives of research in this problem area, dealing with the frictional coupling of the vehicle tire and the pavement surface, were to (1) determine pavement skid resistance requirements, (2) improve the reliability of skid resistance measurements, and (3) improve the ability to build and maintain highly skid resistant pavements. The specific objective of this project was the development of procedures for determining pavement skid resistance requirements for various classes of highways, taking into consideration such factors as driver and vehicle characteristics, traffic, weather, and highway geometry.

Research has been completed and a procedure developed for determining skid resistance requirements for intersections and other roadway sites where braking occurs. Further research is necessary to develop procedures for determining minimum skid resistance requirements for highway curves and other sites subjected to cornering maneuvers.

The project report has been published as:

NCHRP Report 154, "Determining Pavement Skid Resistance Requirements at Intersections and Braking Sites."

port has been reviewed and accepted, and the final report is being prepared. Significant relationships have been found to exist between longitudinal acceleration values and wetweather accident experience at intersections. Tools have been developed that will be useful in identifying potential high wet-weather accident intersections without years of accident records.

Project 1-12A FY '74

Wet-Weather Skidding Accident Reduction at Intersections

Research Agency:

Ohio Department of Transportation

Principal Invest.: Effective Date:

R. D. Paddock July 1, 1975

Completion Date:

July 1, 1978 \$199.955

Funds:

An area of continuing research is that of pavement skid resistance. Although the inherent complexities in this area have long been recognized, the establishment of universal minimum pavement surface skid resistance (Skid Number) requirements is often considered to be the primary approach to solution of the problem. However, realistic skid resistance requirements should be based on actual traffic needs at each particular site. Research conducted under NCHRP Project 1-12 indicated that longitudinal accelerations can be used to predict the relative traffic demand for tire-pavement interface friction at braking sites. Refinement and field validation of longitudinal acceleration assessment was needed to provide highway agencies with additional tools for determining types of corrective actions needed at high or potentially high accident sites.

This project was directed toward examination of methods developed under NCHRP Project 1-12 for determining vehicular longitudinal acceleration forces and to relate these forces to the incidence of vehicle skidding through loss of traction at the tire-pavement interface. The over-all objective was to provide highway agencies with practical methods needed for determining where longitudinal accelcration demand exceeds available tire-pavement interface

Accomplishment of the over-all objective involved the following tasks:

- 1. Improve, refine and simplify the data collection system developed under NCHRP Project 1-12, with special emphasis on improved service durability of the detection apparatus under heavy traffic and adverse weather condi-
- 2. Calibrate the improved data collection system by conducting field tests using accelerometers installed in automobiles.
- 3. Conduct field studies under dry- and wet-weather conditions to determine the relationships between longitudinal acceleration values, traffic conflicts, and frequency of skidding events.
- 4. Prepare a procedural manual for implementation of the system.

Research has been completed, the preliminary draft re-

Project 1-12(2) FY '71

Locked-Wheel Pavement Skid Tester Correlation and Calibration Techniques

Research Agency:

The Pennsylvania State University

Principal Invest.:

Prof. W. E. Meyer R. R. Hegmon

Effective Date: Completion Date:

September 16, 1970 May 15, 1973

Funds:

\$319,000

Increases in traffic density, vehicle speed, and engine horsepower contribute to the rise in the number and severity of highway accidents, resulting in thousands of deaths and billions of dollars in property damage each year. It is recognized that the highway accident problem is very complex, involving relationships among the highway, vehicle, driver, traffic, weather, and other variables. Extensive research is needed in all of the various aspects of this problem.

Implementation of the results of Project 1-12, dealing with pavement skid resistance requirements, depends on the ability to measure the skid resistance of pavement surfaces with a reasonable degree of reliability. The specific objective of this project was the development and verification of methods for improving the ability to measure pavement skid resistance with skid testers in general conformance with ASTM Method E-274.

The project report has been published as:

NCHRP Report 151, "Locked-Wheel Pavement Skid Tester Correlation and Calibration Techniques."

Project 1-12(3) FY '72

Requirements for Wear-Resistant and Skid-Resistant **Highway Pavement Surfaces**

Research Agency:

Materials Research & Development

Principal Invest.: Effective Date: Completion Date:

C. J. Van Til November 1, 1971 September 30, 1975

Funds:

\$261,955

Traffic density and the use of winter traction aids contribute to accelerated polishing and wear of highway pavement surfaces. The resulting loss of surface texture reduces tire-pavement friction. Channelized traffic can also produce wheelpath depressions or ruts that may be detrimental to vehicle control and permit ponding of water

with adverse safety effects, such as splashing, ice formation, and increased potential for hydroplaning.

In the interest of highway safety, it is essential that economical and effective procedures be provided for correcting polished or worn surfaces and that new pavement surfaces be designed and constructed to retain acceptable levels of resistance to wear and polishing.

The objectives of this project were to (1) identify and evaluate currently available pavement surfaces, construction procedures, and treatments for improving wear resistance and skid resistance of roadways and (2) conduct an experimental program to evaluate promising innovative procedures for providing highly wear- and skid-resistant pavement surfaces.

The research has been completed. The essential findings have been published as NCHRP Research Results Digest 89. The agency report has been distributed to the Program sponsors and other interested persons. It will not be published in the regular NCHRP report series but is available on a loan basis upon request to the NCHRP Program Director. Copies may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Mich. 48106.

Project 1-13 FY '72

Effects of Studded Tires on Highway Safety

Research Agency: Calspan Corporation
Principal Invest.: Kenneth Perchonok
Effective Date: April 19, 1971
Completion Date: August 20, 1974
Funds: \$208,898

The use of studded tires has been encouraged by claims for greater highway safety. Many highway and transportation departments, aware of accelerated pavement damage caused by studded tires, have conducted studies designed to measure the damage and evaluate the associated costs. The specific objective of this project was to measure, by study of accidents, accident records, accident investigations, or other appropriate means, the effect of studded tire use on the incidence and severity of accidents occurring under winter driving conditions. Analysis included consideration of exposure of vehicles with and without studded tires to accident occurrence.

Accident data and driver exposure data from Minnesota and Michigan were analyzed to measure the effect of banning studded tires. The Minnesota analyses involved a comparison of accident and injury data before and after studded tires were banned in the State. The Michigan analyses included a comparison of accident rate and injury occurrence for autos having studded tires with autos having snow tires. Various procedures were employed to control and measure driver effects associated with the type of tire used. Although all relationships that were developed did not prove to be statistically significant (at the 95 percent level), a slight safety advantage was indicated for studded tires.

The project report has been published as:

NCHRP Report 183, "Studded Tires and Accident Safety-An Accident Analysis."

Project 1-13(2) FY '72

Effects of Studded Tires on Highway Safety—Non-Winter Driving Conditions

Research Agency: University of Michigan

Principal Invest.: J. A. Green, J. S. Creswell, D. F.

Dunlap

Effective Date: February 15, 1972 Completion Date: May 31, 1973

Funds: \$39,450

Pavement wear by studded tires has been suspected of causing an unnatural placement of vehicles in traffic lanes by drivers attempting to avoid worn channels, of increasing the hydroplaning potential by water entrapment in the ruts, of reducing skid resistance, and of having an adverse effect on steering. Studded tires are known to cause premature loss of pavement markings. Quantitative information is needed on these, and other, stud-related influences on highway safety that should be considered in reaching rational decisions regarding the over-all value of studded tires. This project was a first step in obtaining the needed data.

The objectives of this study were to synthesize current knowledge about studded tires related to their non-winter driving safety effects and to use this synthesis to formulate a plan for determining the magnitude of these non-winter safety effects where this information cannot be derived with assurance from existing data.

Project work has been completed and has offered some insight into the magnitude of the further investigational work required to quantify the many stud-related influences on highway safety.

The project report has been published as:

NCHRP Report 176, "Studded Tires and Highway Safety —Feasibility of Determining Indirect Benefits."

Project 1-14 FY '73

Influence of Combined Highway Grade and Horizontal Alignment on Skidding

Research Agency: University of Michigan

Principal Invest.: Paul Fancher
Effective Date: October 15, 1972
Completion Date: January 14, 1974

Funds: \$69,968

A variety of factors have contributed to the rise in number and severity of highway accidents with attendant loss of life, injury, and property damage. It is recognized that the highway accident problem is an extremely complex one involving all aspects of the system. Thus, continuing research is needed on all facets of the problem.

At present, "A Policy on Geometric Design of Rural

Highways" (AASHO, 1965) treats combinations of vertical and horizontal alignment in a general and relatively nonspecific manner. Although a detailed treatment is afforded to horizontal alignment alone and a similar treatment is given vertical alignment alone, a significant information gap exists on combined alignments. Because the combined alignment condition is common, and because certain combinations of alignments have been identified as a probable causative factor in skidding accidents, the study of these combinations is most appropriate.

The objective of the research was to develop tentative guidelines for highway geometrics and pavement surface characteristics to ensure adequate vehicle control during anticipated maneuvers on highway sections containing the combination of horizontal alignment and upgrade and downgrade vertical alignment.

Research has been completed with the finding that the AASHTO design procedures—as described in A Policy on Geometric Design of Rural Highways, 1965 and A Policy on Design of Urban Highways and Arterial Streets, 1973provide a practical method for arriving at reasonable geometric designs for sites with combined borizontal curvature and vertical grade, provided (1) the selected values of superclevation are large enough to result in adequate pavement surface drainage and (2) the pavement skid resistance is sufficient for anticipated vehicle maneuvering. However, misinterpretation of the AASHTO design procedures has resulted in design and construction of long-radius curves with inadequate superelevation for surface drainage that contributes to an extraordinary wet-weather accident rate at this type of site.

The project report has been published as:

NCHRP Report 184, "Influence of Combined Highway Grade and Horizontal Alignment on Skidding."

Project 1-15 FY '73

Design of Continuously Reinforced Concrete Pavements for Highways

Research Agency: University of Texas at Austin

Principal Invest: Dr. B. F. McCullough

Dr. W. R. Hudson

August 1, 1972

Effective Date: Completion Date: August 31, 1975

\$151,870 Funds:

Most of the thousands of miles of CRCP that have been built have been performing adequately. Failures have been sufficiently numerous, however, to suggest a need for defining more quantitatively the relationships that exist between the design variables that affect performance. These problems generally have appeared to be associated with irregular crack spacing, erratic crack patterns, excessive crack widths, and excessive deflections. They have manifested themselves as isolated areas of premature distress in the forms of (1) steel failure at transverse cracks, (2) edge pumping, (3) spalling transverse cracks, and (4) failure of the concrete. To overcome these problems and to realize the total potential from CRCP, design procedures more precise than the current procedures based on limited and incomplete performance data are needed.

Project work included general condition surveys of CRCP performance in a large group of states and diagnostic studies in several; laboratory studies of the behavior of model CRCP slabs under repetitive loading; and theoretical analysis of CRCP behavior. The research produced well-defined guidelines for a new CRCP design procedure; recommendations for certain of the required design criteria and for approaches to the establishment of others; and suggestions for improving the construction process.

Research has been completed. The essential findings of the study have been published as NCHRP Research Results Digest 82. The agency report has been distributed to the Program sponsors and other interested persons. It will not be published in the regular NCHRP Report series but is available on a loan basis upon request to the NCHRP Prograin Director. Copies may also be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Mich. 48106.

FY '74 Project 1-16

Evaluation of Winter-Driving Traction Aids

The Pennsylvania State University Research Agency:

Prof. W. E. Meyer Principal Invest.:

Dr. J. J. Henry June 3, 1974 Effective Date: October 31, 1977 Completion Date:

\$300,000 Funds:

The all-weather movement of traffic is vital to today's economy and a matter of public demand. In addition to transporting the work force, it is essential to maintain emergency transportation services. In response to these needs, highway agencies spend large sums of money on winter maintenance activities. To aid in the efficient movement of people and materials during the winter season, industry has developed various winter-driving traction aids such as tire chains, snow tires, studded tires, the limitedslip differential, nonlocking brakes, the four-wheel drive, polyethylene chains, and improved rubber compounds. These aids do not appear to be equally effective on snowand ice-covered roads. In addition, some of these aids are quite damaging to pavement surfaces. Standard procedures are needed for evaluating the relative performance and pavement wear effects of winter-driving traction aids. There is also a need for a comprehensive investigation of currently available devices for improving vehicle performance on ice- and snow-covered roads.

The objectives of this project were to:

- 1. Select methods and develop criteria to be used as a standardized procedure for effectively evaluating winterdriving traction aids for passenger cars, multi-purpose passenger vehicles, and light trucks with regard to:
 - (a) Vehicle performance (traction, braking, control).
 - (b) Pavement surface characteristics (physical properties of ice and snow).

- (c) Bare-pavement wear,
- 2. Conduct an experimental program to evaluate the performance of available winter-driving traction aids on ice and snow, using the standardized procedure for evaluating vehicle performance.
- 3. Provide a limited evaluation of any possible adverse effects of these aids on vehicle performance on other than snow- and ice-covered pavements.
- 4. Prepare an over-all cost-benefit type of ranking for winter-driving traction aids, singularly and in combinations where appropriate, considering such factors as performance on snow and ice, pavement wear, economics, user convenience, practicality, durability, and reliability.

Research has been completed, with partial accomplishment of project objectives. A set of vehicle performance lest procedures has been selected for evaluating winter-driving traction aids. An experimental program to evaluate the performance of available winter-driving traction aid types on ice surfaces was conducted on an indoor ice rink. Testing on snow surfaces was not completed due to lack of snow and difficulties characterizing snow surfaces. A cost-effectiveness model for evaluating winter-driving traction aids has been developed and illustrative examples prepared. Although an over-all ranking of winter-driving traction aids could not be made due to the limited amount of data available, standardized test procedures are described for comparing the performance of traction aids of a similar type.

The report is being revised. Copies of the agency final report will be distributed to Program sponsors; others may purchase copies from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106. The report will not be published in the NCHRP report series.

Project 1-17 FY '77

Guidelines for Recycling Pavement Materials

Research Agency: Texas A&M University

Research Foundation

Principal Invest.: Dr. Jon A. Epps

Effective Date: November 1, 1976

Completion Date: January 31, 1979

Funds: \$200,000

State and local agencies responsible for the construction, rehabilitation, and maintenance of transportation facilities are faced with inflation, reductions in available funds, reductions of material supplies, and curtailment of energy use. Because of these, an urgent need exists to examine the use of materials, energy, and funds in order to further optimize their utilization. One approach toward meeting this need is to reuse or recycle existing pavement materials for reconstruction and rehabilitation of portland cement concrete and bituminous pavements.

The over-all objective of this project is to develop realistic guidelines for the recycling of pavement materials for the rehabilitation and reconstruction of existing pavements.

It is anticipated that this objective will be met through the conduct of the two tasks described below, major emphasis being placed on consideration of the factors stated in Task 1, Category 3.

Task 1.

Develop guidelines for recycling pavement materials that consider, as a minimum, the factors in the following categories:

- 1. Existing pavement structure and geometry:
- a. Conditions indicating feasibility of recycling.
 - b. Material resources available in pavements.
 - c. Methods for sampling, testing, and evaluating recyclable pavement materials.
- 2. Various recycling approaches:
 - a. Equipment needs,
 - b. Environmental effects.
 - c. Energy requirements.
 - d. Economies.
- 3. Recycling procedures and processes:
 - a. Material properties requirements.
 - b. Material testing procedures.
 - c. Additives.
 - d. Pavement mix design.

Task 2.

Evaluate the practicability of the guidelines developed under Task 1.

It is intended that this evaluation be accomplished by analyzing and interpreting information from ongoing pavement recycling projects not only concurrently with the development of the guidelines but also near the completion of the project.

Research is in progress. Information on all factors involved in pavement recycling procedures and processes has been compiled, reviewed, and evaluated. An agency report entitled "Interim Guidelines for Recycling Pavement Materials" has been distributed to state highway and transportation agencies for trial use. Materials have been obtained from pavement recycling jobs and a laboratory testing program is in progress. Efforts are being made to develop procedures for design of pavements using recycled materials and for predicting performance of such pavements.

Loan copies of the agency report containing the interim guidelines are available from the NCHRP Program Director, Transportation Research Board, 2101 Constitution Avenue, N.W., Washington, D. C. 20418.

Project 1-18 FY '77

Calibration and Correlation of Response-Type Road Roughness Measuring Systems

Research Agency: University of Michigan Principal Invest.: Dr. T. D. Gillespie

Effective Date: October 1, 1977
Completion Date: June 30, 1980
Funds: \$250,000

Response-type road roughness measuring systems are used by many state highway and transportation agencies to perform road roughness surveys. Although several different types of systems are used, most are of the type that accumulate the displacement measurement between the rear axle housing and the body of the measuring automobile. The main advantages of these response-type systems are their relatively low cost, simplicity of operation, and high measuring speed. One of their disadvantages is the difficulty in correlation between similar and dissimilar systems: another is their susceptibility to changes that affect their time stability. Most users attempt to minimize the effect of these changes by periodic calibration.

Presently used calibration procedures normally consist of driving the measuring system over roads that have previously been accepted as reference surfaces. The measurements obtained are then compared to the roughness values of the reference surfaces. Based on these comparisons, a relationship is obtained which can be applied to measurements on other roads. There are two problems with this calibration method: (1) the roughness values of the reference surfaces are difficult to determine, and (2) once determined, the values change with season, age, and use.

There is a need for alternative methods for calibration of response-type roughness measuring systems. Some methods that have been suggested are:

- 1. The use of a profile measuring system as a reference. This method of calibration is feasible if a reference instrument, such as GMR profilometer, is available, or could be made available to all agencies. However, it would be necessary to have some means of checking the reference instrument to ensure that it had not deviated from the original calibration.
- 2. The use of a "shaker-type" device that would be programmed to reproduce road profile inputs of varying degrees of roughness. These inputs would be used as standards and would be independent of seasonal variations and changes due to deterioration of the roadway surface. It is necessary that this system would be acceptable to the potential users at reasonable cost.
- 3. An enhancement to the present method by establishment of a number of sites where agencies could bring their systems for processing through a series of runs on specially constructed standard reference surfaces. Research would be needed to determine how and in what way standard surfaces could be built and maintained to ensure nonvarying roughness characteristics.

The objective of this research is the development and verification of relatively rapid and inexpensive methods (procedures and development or adaptation of associated equipment) for the calibration and correlation of response-type roughness measuring systems used for measuring the roughness of pavements. Implementation of the procedures should result in definable accuracy and consistency of measurements over time, under varying conditions, and between different road sections.

It is anticipated that this objective will be accomplished by the conduct of the following tasks, listed in order of priority:

- 1. Evaluate the time stability of various response-type pavement roughness measuring systems in relation to a selected reference or standard.
- 2. Develop methods for calibration of response-type roughness measuring systems in relation to a selected reference or standard.
- 3. Develop procedures for establishing correlations between similar response-type roughness measuring systems.
- 4. Develop procedures for establishing correlations between dissimilar response-type roughness measuring systems.
- 5. Demonstrate the practicality of using pavement roughness data from various properly calibrated response-type roughness measuring systems in the determination of pavement serviceability.

Research is in progress. Analytical and laboratory analyses have been made of the two more commonly used roughness measuring instruments, a Mays meter and a PCA meter (Wisconsin type). It has been determined that the roughness statistics measured by these instruments are not directly related. The measurements are influenced to different degrees by the vehicle's axle and body response characteristics and by the pavement roughness. Dynamic testing is under way on a hydraulic road simulator at the U.S. Army Tank Automotive Research and Development Command in Detroit, Mich. The Mays meter and the PCA meter will be tested in vehicles with coil and leaf springs. The correlation between the measurements of dissimilar road meters and vehicles when subjected to measured road profiles is being studied.

Project 1-19 FY '78

Development of a System for Nationwide Evaluation of Portland Cement Concrete Pavements

Research Agency: University of Illinois
Principal Invest.: Dr. M. I. Darter
Effective Date: January 23, 1978
Completion Date: January 22, 1980
Funds: \$125,000

Although the great majority of portland cement concrete (PCC) pavements in the United States are providing satisfactory performance, there is sufficient mileage of prematurely distressed pavement to necessitate a systematic approach to defining the causes and remedies of this distress. Many changes have been, and continue to be, made in the design and construction of PCC pavements. It is believed to be highly important to determine the effects of these changes in order to avoid the possibility of constructing additional miles of pavement that might fail prematurely. It is also believed that in many respects the pavements presently in service constitute a dependable source of information on which to base future improvements in design and construction. Considering the mileage of PCC pavement built each year, any deficiency in their

design and construction can result in continuing maintenance problems of significant proportions.

A general evaluation of the performance of existing PCC pavements should provide guidance for design and construction in the future and develop information useful in planning rehabilitation of these pavements.

The ultimate objective of research in this problem area is improvement of performance of PCC pavements based on evaluation of existing pavements. The analysis of data from in-service pavements should result in identification of design and construction features that are essential to satisfactory long-term performance of PCC pavements and should provide information useful in planning their rehabilitation.

Recognizing that a nationwide survey and evaluation of the performance of all existing PCC pavements, or of those on the Interstate System alone, is beyond the realistic scope of this project, the objectives of this project are (a) the development of a system for collection and analysis of information relevant to the performance of PCC pavements and the evaluation of the nature, extent, and cause of distress in such pavements and (b) demonstration of the system. The system could be used in conjunction with pavement management systems for continued collection and analysis of information and identification of methods for further improvements in the performance of PCC pavements.

Accomplishment of the project objectives will require completion of the following tasks:

- 1. Develop a practical system for continuous evaluation of the performance of PCC pavements. The system that is developed should:
 - (a) Be capable of considering all physical factors that could affect PCC pavement performance, including structural design and components, environmental conditions, and traffic loadings.
 - (b) Be capable of considering distress in relation to such factors as drainage conditions, subgrade, subbase, design features, materials, construction methods, age, and maintenance activities.
 - (c) Be suitable for collection and analysis of information on an individual state basis and on a nationwide basis so that it can be used for the planning, design, and formulation of maintenance and rehabilitation strategies.
 - (d) Permit correlations between such factors as design features, environment, traffic, pavement performance, and distress.
 - (c) Provide a framework for implementation.
- 2. Demonstrate the system developed under Task 1. This task will consist of applying and refining the system developed under Task 1 to the survey and evaluation of jointed PCC pavements representing a range of serviceability, climates, and regions of the country.

Research is in progress. A manual has been developed for identifying and describing distress in PCC pavements. A data collection system has been devised that includes historic data on materials, design, traffic, and environment plus field information on the location and types of distress.

The data collection system is being used on a trial basis in Illinois. It will be further demonstrated in several additional states during the study. An analysis element of the system is being developed. Empirical relationships between information in the historical and the distress files will be identified.

Project 1-20 FY '79

Influence of Asphalt Temperature Susceptibility on Pavement Construction and Performance

Research Agency:

Texas A&M University

Principal Invest.:

B. M. Gallaway J. W. Button

Effective Date: Completion Date:

15 months

Funds:

\$50,000

Based on an AASHTO survey and other information, there appears to be an increase in the occurrence of problems such as placement difficulties, excessive displacement under traffic, thermal cracking, raveling, and stripping of asphaltic concrete pavements placed in recent years. This situation could result in higher maintenance costs, shorter service life, and criticism by the public. One of the causes of these problems is believed to be variations in the temperature susceptibility of asphalt cements.

The over-all objectives of reserach on this problem are:

- 1. To determine the range or extent of variability in temperature susceptibility of asphalt cements currently being used in road construction.
- 2. To evaluate the effects of the identified variability, in relation to other factors and over the full range of service temperatures, on pavement construction operations and short-term performance of pavements.
- 3. To identify the limits of variability in temperature susceptibility that can be accommodated through application of known asphalt technology by changes in asphaltic concrete construction procedures and mix design considerations.
- 4. To determine procedures for accommodating or controlling that variability in temperature susceptibility of asphalt cements that cannot be accommodated by known asphalt technology.

These over-all objectives are intended to be accomplished in two phases. The present project covers Phase I only and involves the following tasks:

- 1. Gather and analyze data on the variability in the temperature susceptibility of asphalt cements over the full range of construction and service temperatures.
- 2. Investigate in detail a minimum of four construction projects in which asphaltic concrete placement difficulties have been encountered and four projects in which placement difficulties were not encountered.
- 3. Prepare a research plan to accomplish items 2, 3, 4 of the over-all objectives. This plan is to include an evaluation of the effect of variations in temperature suscepti-

bility of asphalt cements in relation to other factors (such as (a) fines, (b) mix design, (c) aggregate fractions, and (d) procedures for mixing, placing, and compaction) on asphaltic concrete mix behavior during construction and short-term pavement life.

4. Prepare a cost estimate to conduct the research plan described under Task 3.

AREA 2: ECONOMICS

Project 2-1 FY '63 and FY '64

Criteria for Highway Benefit Analysis

Research Agency: University of Washington Principal Invest.: Prof. R. G. Hennes

Effective Date: June 1, 1963
Completion Date: November 30, 1967

Funds: \$101,948

This project provided estimates of the relevance of different types of benefit and cost data to decisions in highway location. Basic guides for priorities, guidelines for data collection, and basic information related to taxation were developed.

An interdisciplinary approach to the problem was undertaken by the Departments of Civil Engineering, Political Science, Business Administration, Economics, and Sociology of the University of Washington.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 2-2 FY '63

Guidelines for the Determination of Community Consequences

Research Agency: University of Washington Principal Invest.: Prof. Edgar M. Horwood

Effective Date: July 1, 1963
Completion Date: August 31, 1964

Funds: \$48,873

This project was concerned with identifying and predicting community consequences arising from highway improvements. It was designed to seek out both favorable and unfavorable consequences and involved evaluation of existing economic impact studies, developing of guidelines for highway agencies to follow in these studies, and the outlining of urgent aspects of this problem needing detailed research.

The Urban Planning and Civil Engineering Departments combined their talents and analyzed more than 600 research reports and other writings. The final report presented an analysis of bypasses, circumferentials, and radial freeway impact effects. The utility of these studies, as well as expressed gaps in knowledge, was also discussed.

This research has been completed, and the results have been published as:

NCHRP Report 18, "Community Consequences of Highway Improvement."

Project 2-3 FY '63 and FY '64

Analysis of Motor Vehicle Accident Data as Related to Highway Classes and Design Elements

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Dr. J. K. Kihlberg
Effective Date: June 1, 1963
Completion Date: August 31, 1966
Funds: \$155,972

The objective of the study was to determine the relationship of motor vehicle accidents to highway design elements. The study consisted of two phases: Phase 1 was a one-year study to determine accident and severity rates for various highway types; Phase 2 was a two-year study to extend these rates to various geometric elements of the highway.

Phase I was accomplished with highway and accident data from California, Louisiana, and Ohio. The highway data were the highway networks divided into a multitude of short segments, each of known length, each with a known ADT, and each homogeneous with respect to number of lanes, access control, and median. Data of the accidents that had occurred on a particular highway segment were affixed to that segment. By grouping the highway data according to highway type and ADT, the various accident and severity rates could be computed.

Phase 2 used highway and accident data from Ohio, Connecticut, and Florida. The highway network of each State was subdivided into segments, each 0.3 mile long, each with known ADT, each homogeneous with respect to number of lanes, access control, and median, and each containing known geometric elements (curvature, gradient, intersections, and structures). As in Phase 1, accidents were affixed to the highway segments at the site of occurrence. Proper grouping allowed calculation of accident and severity rates (within each State) for the various geometric elements.

The project report has been published as:

NCHRP Report 47, "Accident Rates as Related to Design Elements of Rural Highways."

Project 2-4 FY '63 and FY '64

The Value of Highway Travel Time, Comfort, Convenience, and Uniform Driving Speed

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: Dr. W. G. Adkins
Effective Date: June 1, 1963
Completion Date: August 31, 1966

Funds: \$77,100

Various methods that have been proposed to evaluate time savings accruing to highway vehicles are reviewed in this report, and two selected models were used to analyze Interstate Commerce Commission data on commercial highway carriage for the year 1962. Values of time saving in dollars per hour were derived for nine geographical regions as designated by the Interstate Commerce Commission for cargo vehicles and for intercity buses. Detailed methodology of the cost-savings model is presented so that other researchers can make similar estimates under known local conditions. Also, an updating technique has been developed, and the 1962 costs were projected to 1965 utilizing equipment costs and driver wages and benefit indexes to develop multipliers. The assumptions of this technique and the limitations of applying the derived results are discussed.

The final report for this project has been published as: NCHRP Report 33, "Values of Time Savings of Commercial Vehicles."

Project 2-5 FY '63 and FY '64

Running Cost of Motor Vehicles as Affected by Highway Design and Traffic

Research Agency: The Catholic University of America Principal Invest.: Dr. Paul J. Claffey

Effective Date: June 1, 1963 June 1, 1965 Completion Date: Aug. 31, 1964 Dec. 31, 1966 Funds: \$49,998 \$51,265

In this project, the motor vehicle running costs were developed for use in evaluating user costs related to proposed highway improvements and traffic regulations. These costs were determined from actual vehicle field tests as well as from the available literature.

A research report presenting the results of the first year's work was received and has been published as:

NCHRP Report 13, "Running Cost of Motor Vehicles as Affected by Highway Design."

This report relates the fuel consumption cost of a typical passenger vehicle to various roadway geometrics and operating characteristics as measured by more than 4,000 test runs in the field. It describes the development of a precise fuel meter used to collect the data. Brief studies are reported on oil consumption, maintenance, tire wear, and depreciation costs as they are affected by highway and traffic conditions.

During the second phase of research, fuel and time consumption data were collected for a second passenger vehiele, a transit bus, a tractor semitrailer, a single-unit truck, and a diesel truck. A special fuel meter for measuring the fuel consumption of diesel trucks was developed.

The results of this project have been combined with the results of Projects 2-5A and 2-7. The findings of the combined research effort have been published as:

NCHRP Report 111, "Running Costs of Motor Vehicles as Affected by Road Design and Traffic."

Project 2-5A FY '65 and FY '67

Running Cost of Motor Vehicles as Affected by Highway Design and Traffic

Research Agency: Paul J. Claffey and Associates

Principal Invest.: Dr. Paul J. Claffey

Effective Date: July 1, 1967 Aug. 11, 1969 Completion Date: Dec. 31, 1968 Aug. 10, 1970 Funds: \$35,000 \$30,665

The original 2-5 project was continued with the principal investigator as the contracting agency to obtain more detailed data on running costs of motor vehicles in order to eliminate certain gaps that exist in the information available on this subject. The results of the earlier work on Project 2-5 and Project 2-7 have been combined with the additional results of this phase of the project into a single comprehensive final report. The effects that variations in gradient, road surface, speed-change frequency, and traffic volumes have on the running costs of passenger cars, pickup trucks, two-axle six-tire trucks, and tractor-trailer combinations are included in the final report, and information is provided on the operating expenditures of fuel and oil consumption, maintenance and depreciation, tire wear, and accidents. Condensed graphs of the findings of the fuel consumption and tire wear studies are presented. Each is designed to provide fuel and tire wear cost for various combinations of road design elements and speed-change conditions for a given running speed. Also included are families of curves of fuel consumption and tire wear for the eleven test vehicles used in the study and data on the maintenance costs of passenger cars and trucks relative to travel distance, together with average oil consumption rates for operation on dust-free pavements in free-flowing traffic, on dusty roads in free-flowing traffic, and on hightype pavements under restrictive traffic conditions. Several appendices detail a comparative analysis of fuel consumption of diesel and gasoline trucks, determination of the excess fuel consumed by passenger car passing maneuvers, an investigation of devices for the measurement of tire wear, development of equipment for the measurement of vehicle fuel consumption, and an annotated bibliography on highway motor vehicle operating costs.

The final report for this project has been combined with those from Projects 2-5 and 2-7 and published as:

NCHRP Report 111, "Running Costs of Motor Vehicles as Affected by Road Design and Traffic."

Project 2-6 FY '63 and FY '64

Warranted Levels of Improvement for Local Rural Roads

Research Agency; Stanford University Principal Invest.: Prof. C. H. Oglesby Effective Date: June 1, 1963 Completion Date:

September 30, 1966

Funds: \$40,000

This project was concerned with the setting of economic

standards for the construction and maintenance of local rural roads. Prevailing rural design standards and practices were examined in depth, and user benefits were weighed against cost. Economic and social consequences to local residents, businesses, and communities were studied also and related to the proposed rural road improvements. Operating costs on two-lane roads of various widths were analyzed.

Data were assembled or developed on construction and maintenance costs, on vehicle operations and their associated costs, and on accident expectancies and their costs. These costs were related to various roadbed widths and surface types for straight roads with unimpaired sight distance and traffic volumes of 400 vehicles per day or less.

The research has been completed, and the results have been published as:

NCHRP Report 63, "Economics of Design Standards for Low-Volume Rural Roads."

Completion Date: August 31, 1966 Funds: \$40,000

Traffic volumes on new or improved highway facilities are found to increase more than can be attributed to normal growth of existing traffic. This extraordinary traffic increase is composed of two components, diverted and generated. In making analyses of highway improvement consequences, such diverted and generated traffic must be taken into account. At the present time, sufficient information is not available concerning characteristics of this type of traffic.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zech Road, Ann Arbor, Michigan 48106.

Project 2-7 FY '64 and FY '65

Road User Costs in Urban Areas

Research Agency: The Catholic University of America

Principal Invest.: Dr. Paul J. Claffey
Effective Date: February 1, 1964
Completion Date: May 31, 1966
Funds: S99,376

The purpose of this research was to provide data on roaduser costs as classified by arterial type, operating speed, traffic composition, and delay factors. Basic tables applicable for planning and for selecting arterial street and highway systems from the various alternates in urban areas were developed.

The final report contains information on fuel and time consumption rates of a passenger vehicle, two trucks, and a bus operating on various types of urban facilities under various levels of service. Some study was devoted to determining motor vehicle accident costs and oil and maintenance costs which can be attributed to urban driving conditions. Tire wear data were collected for freeway and urban arterial comparisons.

The results presented in the project report have been combined with the results of Projects 2-5 and 2-5A and published as:

NCHRP Report 111, "Running Costs of Motor Vehicles as Affected by Road Design and Traffic."

Project 2-9 FY '66

Effect of Highway Landscape Development on Nearby Property

Research Agency: The Franklin Institute
Principal Invest.: Joel N. Bloom
Effective Date: November 8, 1965
Completion Date: January 31, 1968
Funds: \$149,103

The intent of this research is to study how highway landscape development affects nearby property on a nation-wide basis. This study determines the comparative effects of different basic types of landscape treatments in regard to property values, land use compatibility, and general acceptability. Factors relative to the problem include geometric design as well as plantings, fencing, slope blending, and screening applications.

A pilot study was conducted in the Philadelphia area to test the research techniques. Measurements of headlight annoyance, noise, vibration, air pollution, and concealment were made and correlated to the highway design and land-scape treatment, property valuation, and attitude data obtained from household interviews. Field studies were continued in New York, Connecticut, Pennsylvania, Maryland, Ohio, and California. Statistical tests were conducted to determine if an economic effect could be determined. Regression analyses were made to illustrate the effects that landscapes and landforms have on noise level reduction. Correlation analyses were made to show the relations among landform, landscape, disturbance, interview data, and the value of properties adjacent to highways.

The report will assist highway engineers and landscape architects in developing designs that will reduce highway noise levels to an acceptable rauge for adjacent residents. The research results have been published as:

NCHRP Report 75, "Effect of Highway Landscape Development on Nearby Property."

Project 2-8 FY '64

Estimation and Evaluation of Diverted and Generated (Induced) Traffic

Research Agency: Northwestern University
Principal Invest.: Prof. W. L. Garrison
Effective Date: May 1, 1964

Project 2-10 FY '67

Future Needs for Oversize-Overweight Permit Operation on State Highways

Research Agency: Roy Jorgensen and Associates

Principal Invest.: Ralph D. Johnson
Effective Date: November 1, 1966
Completion Date: April 30, 1968
Funds: \$99,655

The purpose of this study was to evaluate the extent of current and future activities of oversize-overweight vehicles in relation to the highway transport situation. Because of the physical and economic aspects of oversize-overweight vehicles with regard to present and future highway needs, it is timely that basic information be developed.

A survey was conducted in each State to determine the location of permit files and the magnitude of these records. A 3 percent sample of all the permit records for 1966 in all the contiguous States was coded and punched into cards for statistical analyses. This amounted to a sample of 60,139 permits, which represents an estimated 2,160,000 permits issued in 1966.

Data were also collected from the Heavy-Specialized Carriers and the Oil Field Haulers through the American Trucking Associations concerning movements made during the summer of 1967. The Mobile Home Manufacturers' Association provided statistics on shipments, and the Defense Department contributed data on their special movements.

Detailed analysis was conducted using automatic data processing statistical programs. Future trends in industries reliant upon permits for movement of certain commodities were projected to 1975. The research results have been published as:

NCHRP Report 80, "Oversize-Overweight Permit Operation on State Highways."

Project 2-11 FY '67

Summary and Evaluation of Economic Consequences of Highway Improvements

Research Agency: Highway Research Board

Principal Invest.: Robley Winfrey
Effective Date: January 1, 1967
Completion Date: July 31, 1970
Funds: \$110,000

This project reviewed the reports submitted on economics in NCHRP, as well as information from other sources, and prepared the results in a form that may be used directly by engineers, economists, and others who wish to make highway economic studies.

The research was conducted in four phases: (a) to present the background and principles of engineering economy and economic analysis; (b) to present the findings of Projects 2-1 to 2-9, together with supplementary data from other sources, in an organized form for use in benefit-cost studies and other economic analyses; (c) to identify

gaps in the information available and needed research to fill these gaps; and (d) to make an introductory study of probable future trends in the technology of economic analysis.

The project report has been published as:

NCHRP Report 122, "Summary and Evaluation of Economic Consequences of Highway Improvements."

Project 2-12 FY '73 and FY '77

Highway User Economic Analysis

Research Agency: Stanford Research Institute

Principal Invest.: D. G. Andersen

 Effective Date:
 Apr. 1, 1974
 Oct. 11, 1976

 Completion Date:
 Oct. 31, 1975
 May 31, 1977

 Funds:
 \$90,074
 \$9.995

The 1960 AASHO "Informational Report by Committee on Planning and Design Policies on Road User Benefit Analyses for Highway Improvements" (updated revision of the original 1952 report) was written to provide a simple. casy-to-use method for carrying out economic analyses on highway alternatives by those having only basic knowledge of principles of economics. The objective of this research was to employ, to the best possible extent, current empirical data on highway user benefits and costs (such as from NCHRP Report 122 and other research) to provide a revised and updated version of the 1960 AASHO publication. The revised version includes an analysis methodology based on sound economic theory and is suitable for immediate, direct application. The methodology provides a means of evaluating public transit operating on public highways. This evaluation allows comparisons between transit operation and additional highway improvements. Further, procedures are included that provide the user with a means for periodic npdating of the numerical factors and cost coefficients through utilization of commonly available economic data. Although it was recognized that environmental and social factors are significant items of input to the decision-making process, this research was limited to road user benefits and costs only.

The final report, "A Manual on User Benefit Analysis of Highway and Bus Transit Improvements," has been published by AASHTO and can be obtained by writing to: American Association of State Highway and Transportation Officials, 444 North Capitol St., N.W., Suite 225, Washington, D.C. 20001

AREA 3: OPERATIONS AND CONTROL

Project 3-1 FY '63 and FY '64

Development of Criteria for Evaluating Traffic Operations

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Jaime F. Torres

Effective Date: Feb. 15, 1963 July 2, 1964

Completion Date: Feb. Funds: \$78,

Feb. 29, 1964 Feb. 28, 1966 \$78.965 \$79.913

This research project provided an investigation into the application of criteria based on travel time, driver comfort, safety, and vehicle running costs. The linear combination of these factors weighted by an appropriate set of cost coefficients quantified the operational performance. A procedure was studied which would provide estimates of the four components based on measurements of traffic volume and an inventory of roadway characteristics. Travel time, volnme, and roadway inventory data were collected from several cities and analyzed. Estimating relationships were derived for many classes of nrban arterials, whereby travel time can be obtained from the measurement of volume and a knowledge of the street characteristics. A survey vehicle was equipped to monitor skin resistance, heart pulse, and respiration of two subjects in traffic while steering, brake, throttle, and speed were being recorded to study driver comfort. Accident data in the Boffalo area were analyzed and related to the safety factor, and vehicle running costs were estimated through the use of speed distributions for a sample of streets.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 3-2 FY '63 and FY '64

Surveillance Methods and Ways and Means of Communicating with Drivers

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Morton I. Weinberg
Effective Date: February 15, 1963
Completion Date: April 30, 1966
Funds: \$246,756

This project, which was concerned with the development, practice, and evaluation of various methods of surveillance and means of communicating with drivers, took advantage of the several surveillance systems available in the United States to further its research.

The report of the first phase of research described a predictive model to provide warning of impending congestion, study of a ramp advisory signal, and use of an airborne observer for traffic control. It has been published as:

NCHRP Report 9, "Traffic Surveillance and Means of Communicating with Drivers."

In the second phase of the project, the researchers developed the mathematical logic to predict the effects from unexpected blockages on a freeway and validated the model on the John C. Lodge Freeway in Detroit. Also included was an evaluation of an airborne surveillance and control system. The results of this phase have been published as:

NCHRP Report 28, "Surveillance Methods and Ways and Means of Communicating with Drivers."

In the third phase of the project, a computer-controlled

signal system for a typical urban complex was synthesized, including control logic and equipment requirements. The results of this phase have been published as:

NCHRP Report 29, "Digital-Computer-Controlled Traffic Signal System for a Small City."

Project 3-3 FY '63 and FY '64

Sensing and Communication Between Vehicles

Research Agency: The Ohio State University
Principal Invest.: Dr. Thomas H. Rockwell

Dr. Joseph Treiterer

Effective Date: February 15, 1963
Completion Date: November 30, 1965

Funds: \$163,190

This project involved establishment of the operating requirements of a communication system designed to enable better communications between vehicles on expressway-type facilities.

Evaluation and comparative examinations of four intervehicular communication systems were completed. These involved both night and day study of car-following for no signal display, for the conventional brake light, for the trilight system denoting brake and accelerator action and an acceleration information display of horizontal rows of green and red lights to indicate the magnitude of the vehicle's acceleration or deceleration. Studies of lane changing decisions were also made. Taxonomies of functional groupings of conceptual rear-end visual display components were studied for the various signal systems previously tested. A prototype infrared sensing system was developed and tested to indicate distance and relative velocities between vehicles. Field studies of traffic dynamics were analyzed to determine the data which should be transferred by the sensing and communication system to increase traffic volume and improve safety and speed of traffic flow. Model development studies were made to quantitatively evaluate possible improvements which may be obtained through improved communication between vehicles.

The final report has been published as:

NCHRP Report 51, "Sensing and Communication Between Vehicles."

Project 3-4 FY '63, FY '64, and FY '66

Means of Locating Disabled or Stopped Vehicles and Methods of Communication with a Central Location

Research Agency: Airborne Instruments Laboratory

Principal Invest.: Fred Pogust

 Effective Date:
 March 1, 1963
 July 1, 1965

 Completion Date:
 March 31, 1965
 Dec. 15, 1966

 Funds:
 \$78,517
 \$49,474

This study was directed toward evaluating the nature

and extent of the problem and describing the need for communication as well as the benefits of locating disabled vehicles. An additional task was researching the ways that information about disabled or stopped vehicles may be used.

An interim report has been published as:

NCHRP Report 6, "Means of Locating and Communicating with Disabled Vehicles."

Following the comprehensive review of the nature, extent, and characteristics of the stopped-vehicle problem conducted during the first year of research, the researchers continued to investigate the feasibility of a detector system. A roadside vehicle detector system was developed using a silicon photo-voltair diode as the roadside receiving unit, and signalling was performed by a vehicle-mounted relavtype interrupting device which modulates infrared-emitting diodes. A prototype system was built, tested, and demonstrated to the project panel.

The final report has been published as:

NCHRP Report 40, "Means of Locating Disabled or Stopped Vehicles."

Project 3-5 FY '63, FY '64, FY '66, and FY '69

Improved Criteria for Designing and Timing Traffic Signal Systems

Research Agency: Principal Invest.:

Planning Research Corp. F. A. Wagner, Jr.

Effective Date:

3/1/63 7/1/66 8/1/68

Completion Date: Funds:

12/31/65 7/31/67 12/31/69 \$123,030 \$48,155 \$93,717

The over-all objective of the research was to determine the most efficient method of timing traffic signals for isolated intersections, arterial highways, and grid networks of city streets. The research was accomplished in three phases.

The results of the first phase of research, involving methods of signal timing for the isolated intersection, have been published as:

NCHRP Report 3, "Improved Criteria for Traffic Signals at Individual Intersections-Interim Report," and

NCHRP Report 32, "Improved Criteria for Traffic Signals at Individual Intersections."

The second phase involved development and comprehensive, closely controlled, scientific testing of several advanced concepts for operating traffic-signal systems on urban arterial streets. The results indicate that a significant degree of improvement in traffic operation is possible through application of advanced control methods. This phase final report has been published as:

NCHRP Report 73, "Improved Criteria for Designing and Timing Traffic Signal Systems-Urban Arterials."

The objective of the third phase was to simulate and field test promising signal-control logic that will produce improved signal timings for a grid network of traffic signals. With the assistance of cooperating agencies, test networks were located in Los Angeles and San Jose. The San Jose computerized traffic signal network contained 46 signalized

intersections, and the Los Angeles network contained 26 signalized intersections. The following signal-timing methods were evaluated using simulation techniques and through actual field tests: (1) existing control; (2) Delay-Difference Method, Preferred Arterials Plan; (3) Delay-Difference Method, Volume Priority Plan; (4) Delay-Difference Method, Mixed Cycle Plan; (5) SIGOP Plan; (6) Combination Method Plan.

The final report has been published as:

NCHRP Report 124, "Improved Criteria for Traffic Signal Systems in Urban Networks."

Project 3-6 FY '63, FY '64, and FY '66

Effect of Regulatory Devices on Intersectional Capacity and Operation

Research Agency:

De Leuw, Cather & Company

Principal Invest .: Effective Date: Completion Date:

Ronald Pfefer April 1, 1963 August 15, 1966

Funds:

\$153,175

The purpose of this research was to identify the effect of specified traffic regulatory devices on intersection capacity and operations and on systems of traffic facilities. The effects of stop and yield signs were investigated as they apply to capacity, traffic operations, safety, driver acceptance, and the traffic operations of the area of influence.

The initial phase of research has been published as: NCHRP Report 11, "Effect of Control Devices on Traffic Operation."

The report examines efficient methods of intersection study and derives some preliminary relationships concerning the operations of intersections with YIELD and two-way STOP control and their street system effects.

During the second phase of research, field data were collected at STOP- and YIELD-sign locations in the areas of Chicago, San Francisco, New York, and Toronto. Analyses were made to select criteria for intersection controls and develop a method for applying them. Programs and procedures were developed to integrate and analyze the field data collected during the first phase. Detailed trafficcontrol-devices questionnaires were analyzed from States, cities, and counties throughout the country.

The final report has been published as:

NCHRP Report 41, "Effect of Control Devices on Traffic Operations."

Project 3-7 FY '64, '65, '67, '71, and '73

Establishment of Standards for Highway Noise Levels

Research Agency: Bolt Beranek and Newman Principal Invest:

Andrew Kugler

2/1/64 10/14/68 4/1/71 9/1/72 4/30/67 1/15/70 6/30/72 11/30/74 \$144,920 \$69,930 \$49,927 \$316,011

Effective Date: Completion Date: Funds:

This project was concerned with the evaluation of noise levels of the various classes of highways and the effectiveness of controlling highway noise through highway design features as well as the reduction of noise production by means of legislation and vehicle regulation. Questions relating to highway noise levels and their effect on adjacent land users frequently arise in urban highway planning and design.

The Phase I research involved the selection of the most appropriate means and units for measuring and evaluating highway noise. Its results have been published as:

NCHRP Report 78, "Highway Noise-Measurement, Simulation, and Mixed Reactions."

The Phase II research objective was to prepare a high-way design noise manual for the practicing highway engineer. In addition, a magnetic tape recording was produced to demonstrate basic elements of highway noise and to present examples illustrating changes in traffic noise. Loan copies of the tape recording are available on request to the NCHRP Program Director.

The results of the Phase II research have been published as:

NCHRP Report 117, "Highway Noise—A Design Guide for Highway Engineers."

The objective of the Phase III research was to conduct a thorough measurement program on various noise reduction treatments under a variety of traffic and environmental conditions. This research developed a tie between field data and analytic approaches so that the performance of noise reduction treatments may be more accurately predicted.

The results of the Phase III research have been published as:

NCHRP Report 144, "Highway Noise—A Field Evaluation of Traffic Noise Reduction Measures."

The Phase IV research started on September 1, 1972, with the following objectives: to summarize the present state-of-the-art for controlling the noise-producing properties of the individual mechanical components of motor vehicles that lead to the composite noise produced by motor vehicles on highways; to assess the technological and economic feasibility of reduction of traffic noise that will enable highway officials to seek federal and local legislation that might redistribute the burden of noise control; and to improve procedures for highway noise control that will allow the designer to more realistically assess the highway noise problem.

The research has been completed. Final report materials include a computer program for use with the design guide and a 17-min color film entitled "Quiet Highway Design." The film is available on a loan basis, and copies of the computer program can be supplied upon request to the Program Director. The final report on the concluding phase of this research has been published in two volumes:

NCHRP Report 173, "Highway Noise—Generation and Control," and

NCHRP Report 174, "Highway Noise—A Design Guide for Prediction and Control."

A report on a study task on time-varying highway noise

criteria was not published but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 3-8 FY '64 and FY '65

Factors Influencing Safety at Highway-Rail Grade Crossings

Research Agency: Alan M. Voorhees & Associates

Principal Invest.: David W. Schoppert

Dan W. Hoyt

 Effective Date:
 Dec. 1, 1963
 Apr. 1, 1965

 Completion Date:
 Dec. 31, 1964
 Jan. 6, 1967

 Funds:
 \$17,171
 \$74,250

This study was directed toward the interpretation and analysis of currently available highway-rail grade-crossing data in the United States.

The initial research reviewed previous work in this area and developed a mathematical model for predicting accidents, and this was tested with accident data obtained from Miunesota, Oregon, and Virginia. A warrant was developed based on the cost of providing protective devices and the cost of possible accident savings.

Later work involved the development and testing of improved grade-crossing protective devices, and several experimental devices were studied by the agency. A human factors study was completed. Several important sources of data were found that facilitated the research associated with the development of the accident predictive model as well as refinement of the proposed criteria for grade-crossing protection. Data acquired from Stanford University included 18 years of data at 617 crossings, and data acquired from the Ohio Department of Highways included all accidents occurring at 1,000 rural grade crossings. From the Interstate Commerce Commission, the investigators obtained more than 15,000 grade-crossing accident reports spanning a five-year period.

The project report has been published as:

NCHRP Report 50, "Factors Influencing Safety at Highway-Rail Grade Crossings."

Project 3.9 FY '66

Analysis and Projection of Research on Traffic Surveillance, Communication, and Control

Research Agency: Roy Jorgensen and Associates

Principal Invest.: Karl Moskowitz
Effective Date: October 15, 1966
Completion Date: January 14, 1968

Funds: \$23,760

The purpose of this study was to review the results of NCHRP Projects 3-2, 3-3, and 3-4, together with the accomplishments of other recently completed research in this area in the United States and abroad, and to determine the state

of the art and set forth guidelines regarding the proposed future research efforts to be conducted in this area.

The investigators visited other researchers to collect progress reports and unpublished information. On-site observations were made on the major freeway surveillance and control facilities currently in operation.

The project report has been published as:

NCHRP Report 84, "Analysis and Projection of Research on Traffic Surveillance, Communication, and Control."

Project 3-10 FY '66

Application of Vehicle Operating Characteristics to Geometric Design and Traffic Operations

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Morton I. Weinberg

Dr. Kenneth J. Tharp

Effective Date: January 1, 1966 Completion Date: March 10, 1967

Funds: \$41,520

This research was directed at identifying the motor vehicle characteristics that are related to highway geometric design and traffic control operations. The objective was to determine the relationships between the vehicle and its operating environment. Vehicle characteristics were reviewed; where appropriate, highway design cirteria were suggested.

Elements of geometric design and traffic operations presented in the basic design and policy manuals were analyzed to determine how vehicle characteristics are being utilized. A rational approach was made to determine, expand, or modify the existing criteria. The results of the review revealed those vehicle characteristics which should be known and used in designing and operating streets and highways. For vehicle characteristics which are presently unknown or where information is outdated, methods of obtaining data and methods of using this information in geometric design and traffic operations were recommended.

The final report has been published as:

NCHRP Report 68, "Application of Vehicle Operating Characteristics to Geometric Design and Traffic Conditions."

Project 3-11 FY '67

Optimizing Street Operations Through Traffic Regulations and Control

Research Agency: Peat, Marwick, Mitchell & Co.

Principal Invest.: James H. Kell
Effective Date: September 1, 1966
Completion Date: September 30, 1968

Funds: \$258.331

This research was directed to applying the best traffic

regulation and control techniques to an area of typical urban streets and evaluating results. Innovations that may be expected to improve operational efficiency were explored. The cities of Sunnyvale and Redwood, Calif., were selected as the cooperating demonstration test cities. The research emphasis was placed on a quantified evaluation of the effect of traffic regulation and control techniques on the central business districts of these cities.

A base-condition traffic operations profile was established for each city and used for subsequent comparisons as changes in traffic regulations and control were implemented and evaluated through a series of test stages. Operational techniques ranging from relatively simple, but effective, signal timing to extensive left-turn prohibitions and one-way operations, were evaluated. Angle parking, nostopping towaway, and unbalanced traffic flow were also evaluated throughout an area of urban streets. Average speeds, stops, delays, and a variety of other measures were used to determine the relative magnitude of operational efficiency on an areawide basis. Business performance, public acceptance, and driver observance were also measured for each combination of traffic improvement techniques.

As this research study included the significant areas of business performance and public opinion, greater insight was gained into the political feasibility of a proposed traffic change. The study findings substantiated the theory that no major traffic improvement plan can be implemented, regardless of the extent to which it may serve the public interest, unless it meets with the support of the general public, especially that of the business community.

The final report has been published as:

NCHRP Report 110, "Optimizing Street Operations Through Traffic Regulations and Control."

Project 3-12 FY '67, FY '68, and FY '71

Development of Information Requirements and Transmission Techniques for Highway Users

Research Agency:	Airborne Instruments Laboratory		
Principal Invest:	M. A.	G. F.	G. F.
·	Warskow	King	King
Effective Date:	10/1/66	4/1/68	3/29/71
Completion Date:	12/31/67	12/1/69	12/11/72
Funds:	\$198,655	\$100,500	\$99,821

The objective of the over-all research problem was the development of a well-defined information system for the highway user. The system represents all conditions with which the driver is routinely, occasionally, and rarely confronted.

Analysis of the driving task disclosed that the operations performed by a driver can be characterized in terms of a hierarchy. It was found that a demanding priority (primacy) exists in satisfying information needs, and it was concluded that satisfying the primacy of information needs is basic to the design of a highway information system. A

procedure was developed for the systematic application of these principles to actual highway situations in accordance with basic information system requirements. In addition, current sign use was investigated, particularly the night legibility problem, to determine problem areas in sign application criteria. Mathematical analyses were presented on the probability of sign blockage by trucks and the effect of lateral displacement of signs. A sign design procedure to incorporate the findings with regard to sign use was outlined. The test site for the project was located in North Carolina.

The first- and second-phase research has been completed, and the project report has been published as:

NCHRP Report 123, "Information Requirements and Transmission Techniques for Highway Users."

Although engineers have certain established concepts and standards regarding highway guide signing, additional research, identified as Phase III of this project, was conducted to determine whether or not these present standards provide the information required to guide motorists properly on their journeys. This research involved critical highway signing in and around urban areas and included inner-city signing, beltway signing, and junction signing for arterial routes and freeways.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 3-13 FY '68

Guidelines for Medial and Marginal Access Control of Major Roadways

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: Dr, Vergil G, Stover Effective Date: September 1, 1967

Effective Date: September 1, 1967 Completion Date: November 30, 1969

Funds: \$149,293

A need existed for guides in selecting the degree of access control for a specific project and for selecting the type, location, and width of median and median openings and the design and frequency of entrances to be associated with the degree of access control.

Factors considered in this research were: accident frequency and severity; cost of physical construction and right-of-way to accomplish access control; legal considerations; traffic patterns; service to the highway user; motor vehicle operating costs; travel time and costs; land use; convenience of access to abutting property; property values; and provision for future needs for access control and for changing traffic characteristics, user requirements, or land use.

The project report has been published as:

NCHRP Report 93, "Guidelines for Medial and Marginal Access Control on Major Roadways."

Project 3-14 FY '68

Optimizing Flow on Existing Street Networks

Research Agency: Edwards & Kelcey
Principal Invest.: Walter E. Pontier
Effective Date: October 1, 1967
Completion Date: January 10, 1970

Funds: \$990,000

This project investigated the benefits to traffic flow in downtown areas which can be achieved by application of traffic engineering measures. Experimentation to quantify the effect of road improvements was carried on in two study areas—the downtown portions of Louisville, Ky., and Newark, N.J. Data developed for control and analysis of these experiments were subjected to statistical evaluation to describe those controlling conditions which influence measurements in the downtown area and to develop meaningful relationships which describe the quality of traffic flow, attaining a level of service definition for downtown streets. Methods were developed for application of the results of this research to streets of other areas.

Thirty-seven experiments were conducted to quantify the effect of traffic engineering measures. These experiments can be grouped into six major categories, as follows: directional control and lane use, curb lane controls, channelization, signal controls, inclement weather effects, and bus operation.

Consideration of the limitations of a direct capacityvolume approach to analysis of downtown traffic flows led to investigations of developing other means for quantifying and describing traffic flow of a downtown area. These included studies of acceleration noise, mean velocity gradient, and travel time, together with several elements related to travel time such as delay time, average speed, running speed, number of stops, and the number of saturated cycles at signalized intersections. These analyses indicated that a comprehensive analysis of travel time was the best medium for understanding and classifying traffic flow in the downtown area. Using the voluminous travel time and intersection study data accumulated on the project, regression analyses were performed to demonstrate the relationships which exist between various elements of travel time. It was also demonstrated that these relationships are fairly constant for arterial streets of the two study areas, in spite of their widely differing characteristics. The delay ratio the ratio of delay time to total travel timewas developed and used in a level-of-service definition for arterial roadways of the downtown area,

A statistical evaluation of flow data described the variance and distribution of many elements of traffic flow. This study also described the effect of seasonal, daily, and hourly variations of traffic flow, developing information for control of surveys in the downtown areas.

A network analysis study was conducted to evaluate various models for use in analysis of downtown area traffic flows. As a result of this study, Newell's Intersection Model was selected for use in estimating delays at an intersection. Validation tests were performed and the model was accepted for this use. This Signal Analog Model was developed for use in studying offset relation-

ships between adjacent signals. This model, together with conventional time-space diagramming techniques and the SIGOP program, was used in developing the offset relationships between adjacent signals. The major benefit experienced from use of this model was that the network offset relationships are made visible to the designer in three dimensions, so that the effect of any adjustment may be immediately seen at adjacent intersections.

A fine-grain Network Assignment Model was developed for the downtown Newark study area, using the Bureau of Public Roads assignment system. This model was calibrated and found to be useful for analysis of the functional use of downtown streets. This model is comparable in accuracy to similar models commonly used for analysis of urban area traffic problems.

The Network Assignment Model may be used to determine the over-all efficiency of the network. The over-all average travel speed developed from total trip time and total trip mileage outputs of the network can be used to develop a network level of service. It is anticipated that the network level of service may become a useful measure for determining priorities for the allocation of funds in relation to need.

The final report has been published as:

NCHRP Report 113. "Optimizing Flow on Existing Street Networks."

As part of the project, a film, "Relief for Tired Streets," was produced. It demonstrates the results that can be obtained by applying sound traffic engineering practices to our nation's urban traffic problems. Loan copies of the film may be obtained through the NCHRP for the cost of mailing and handling.

Project 3-15 FY '70

Weaving Area Operations Study

Research Agency: Polytechnic Institute of New York

Principal Invest.: Dr. Louis J. Pignataro
Effective Date: October 1, 1969
Completion Date: December 31, 1973

Funds: \$300,000

Design criteria for weaving sections on multilane controlled-access highways require revision and updating, taking into account such variables as roadway geometrics, composition of traffic, volumes of mainline vehicles, and volumes of weaving vehicles.

The objective of this research is to analyze and evaluate the procedures recommended in Chapters 7 and 8 of the 1965 Highway Capacity Manual. Based on the findings the agency is to develop improved techniques for the analysis and design of weaving sections.

A new algorithm has been developed and evaluated, using both field data and an available data base from FHWA sources. The design and analysis procedures have been developed in such a way that graphical, analytical, and computer solutions can be employed. These techniques have been reviewed and tested by selected State highway agencies.

The research has been completed, and the final report has been published as:

NCHRP Report 159, "Weaving Areas—Design and Analysis."

Project 3-16 FY '70

Freeway Lane Drops

Research Agency: System Development Corp.
Principal Invest.: Antranig V. Gafarian
Diane N. Goodwin

Effective Date: Nov. 1, 1969 May 1, 1972 Completion Date: Apr. 30, 1971 Oct. 31, 1973

Funds: \$99,789 \$76,815

Many variables affect the operating conditions and safety of the various lane drop configurations. Sound criteria for the selection of the proper lane drop design for various traffic and freeway geometric conditions are needed. Accordingly, the objectives of Phase I were:

- 1. From field data, determine the effectiveness of existing mainline lane drops from the standpoint of safety and traffic operations.
- 2. Determine the effects of the significant parameters associated with various levels of safety and traffic service.
- 3. Recommend configurations for lane drops based on the findings of objectives 1 and 2. In this context "configurations" includes distance from the nearest upstream and downstream ramps.

In the first phase, three lane-drop sites with different geometric configurations were studied intensively to determine traffic operations and safety effects. The report on this initial phase was not published; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

The Phase H research continued with the same three objectives and the added objective of recommending remedial treatments in a set of guidelines based on analysis of descriptive data and traffic performance from many existing lane-drop sites.

The research has been completed, and the final report has been published as:

NCHRP Report 175, "Freeway Lane Drops."

Project 3-17 FY '71

Improving Traffic Operations and Safety at Exit Gore Areas

Research Agency: The Pennsylvania State University

Principal Invest.: James I. Taylor
Effective Date: January 1, 1971
Completion Date: November 30, 1972

Funds: \$79,983

This research project addressed the problem of erratic

maneuvers, such as backing up and stopping in the gore area, that occur with alarming frequency at freeway exit areas. Specifically, it was directed toward answering three basic questions: What factors cause motorists to make erratic maneuvers at exit gore areas? What remedial devices can be employed to reduce their occurrence at existing sites? And, what changes in design and traffic control criteria can be recommended that will minimize the problem at future sites? The results of this study provide answers to these questions, and the findings can be used by traffic and design engineers to enhance the safety and traffic operations at freeway exit facilities.

Nine exit sites, incorporating different geometric teatures, were examined for erratic maneuvers during the course of this project. Analyses of the patterns of the erratic maneuvers themselves and on-site driver interviews were used to determine causative factors of these maneuvers. The results indicate that more than one factor is usually present at any one site and that these factors vary from site to site.

The final report has been published as:

NCHRP Report 145, "Improving Traffic Operational and Safety at Exit Gore Areas."

Project 3-18(1) FY '70

Improved Control Logic for Use with Computer-Controlled Traffic

Research Agency: Stanford Research Institute

Principal Invest.: Dr. Dale W. Ross

Dr. Thomas L. Humphrey

 Effective Date:
 July 15, 1971
 April 15, 1975

 Completion Date:
 May 15, 1974
 June 30, 1977

 Funds:
 \$323,998
 \$57,662

During the past few years, a large number of general-purpose digital-computer-controlled traffic signal systems have been installed. Although the potential of these systems to improve operations and to increase capacity has been demonstrated, there still exists a sizeable gap between the inherent hardware capabilities and the know-how (software) necessary to use these systems at optimum efficiency.

The object of this research has been to study traffic flow and control interaction and to develop an advance control concept, strategy, and computer program. The research has included development of an operational control program that has the capability of calculating optimal offset patterns for a network of signalized intersections and determining independent and variable signal split adjustments. The program, designed for application under all levels of network traffic volumes, including oversaturated conditions, has been tested and evaluated with actual traffic in the San Jose traffic control system.

A final report describing the research and the resulting ASCOT program package has been submitted. It will not be published in the NCHRP report series, but copies are available on either a loan or purchase basis. A 20-min color film describing the program and its functions is also avail-

able on a loan basis for the cost of mailing and handling. Loan requests for the film or the report, "Improved Control Logic for Use with Computer-Controlled Traffic," should be directed to: Program Director, NCHRP, 2101 Constitution Avenue N.W., Washington, DC 20418. To purchase the report, a check or money order in the amount of \$10.00 should be made payable to *Transportation Research Board* and sent to the Publications Office, Transportation Research Board, same address.

Project 3-18(2) FY '71

Traffic Control in Oversaturated Street Networks

Research Agency: Polytechnic Institute of New York

Principal Invest.: Dr. Louis J. Pignataro
Effective Date: September 1, 1971
Completion Date: June 30, 1975
Funds: \$200,000

Traffic operations and control techniques that function effectively when street network demands are below saturation deteriorate when severe saturation exists for any length of time. Research is needed to define the scope and magnitude of the problem, nationwide; to determine how the problem can best be combatted with existing control techniques; and to begin a systematic research process leading to improved operation and control of oversaturated networks.

The specific objectives of the first phase of the project, which has been completed, were to:

- 1. Define the measures of network oversaturation and determine the existing scope and magnitude of the oversaturated street-network problem.
 - 2. Define the root causes of the problem.
- 3. Evaluate the relative effectiveness of existing operations and control techniques used to combat the problem.
- 4. Prepare detailed operational guidelines for application of existing traffic operations and control techniques of illustrated effectiveness.
- 5. Describe alternative concepts of advanced trafficcontrol techniques for improving the efficiency of traffic operation in oversaturated networks.
- 6. Formulate a detailed plan and program for systematic development, testing, and application of improved traffic control in oversaturated networks.

A final report on this phase has been submitted. Although it will not be published, unedited draft copies are available on loan upon request to the NCHRP Program Director. The essential findings of this report have been published as NCHRP Research Results Digest 51.

A continuation phase with the following objectives was initiated: to carry out further studies in minimal-response signal policies, nonsignal effects and remedies, and highly responsive policies and to produce a set of recommendations and guidelines for applying solutions to the problems of oversaturation.

The research has been completed, and the final report covering the entire project has been published as:

NCHRP Report 194, "Traffic Control in Oversaturated Street Networks,"

Project 3-18(3) FY '75

Cost-Effectiveness Methodology for Evaluation of Signalized Street Network Surveillance and Control Systems

Research Agency: Principal Invest .:

JHK & Associates Thomas L. Stout

Effective Date: Completion Date: Funds:

May 1, 1975 April 15, 1977

\$123,267

Improved systematic procedures to evaluate alternative traffic control systems, presented in a readily understandable and implementable form, are needed to aid the traffic engineer in deciding how best to use his budget in choosing among solutions.

The objectives of this research were to develop and to demonstrate a practical total-system cost-effectiveness inethodology for the comparative evaluation of alternative traffic surveillance and control systems for signalized street networks. The methodology developed should take into consideration all pertinent factors bearing on the choice of the best control technique, including such factors as types of hardware components used; extent of real-time human operator interface required or desired; degree of automated traffic sensing employed for either on-line control or off-line system support purposes; physical and traffic flow characteristics of the street network being controlled; and technical skills and other resources of the operating agency.

The research effort consisted of four major tasks. The first was to identify the range of systems to be covered, for example, from systems with nonresponsive time-of-day control to those with on-line timing plan optimization. The next task was to develop a systematic methodology for evaluating alternative designs. The third task was to exercise the cost-effectiveness methodology in order to demonstrate its usefulness. Lastly, the results were to be documented in a final report, and the procedure described in a user manual for traffic engineers.

The project's revised final report and a separate manual have been submitted. The research report, "Signal System Evaluation Methodology," will not be published in the NCHRP report series but is available on a loan basis. The manual, "An Approach for Selecting Traffic Control Systems" may be obtained on a loan basis or purchased. Loan requests should be directed to: Program Director, NCHRP, 2101 Constitution Avenue N.W., Washington, DC 20418. To purchase the manual, a check or money order in the amount of \$10.00, plus \$1.00 for postage and handling, should be made payable to Transportation Research Board and sent to the Publications Office, Transportation Research Board, same address.

Project 3-18(4) FY '76

Methodology for Performance Evaluation of Signalized Network Control Strategies

Computran Systems Corporation Research Agency:

Principal Invest.:

Dr. H. Nathan Yagoda

Effective Date: Completion Date: July 21, 1977

October 31, 1978

Funds:

\$60,000

A common problem faced by the traffic engineer is the need to measure and evaluate performance in both grid and linear street networks under two or more traffic control strategies. Often, the magnitude of difference in traffic performance between two strategies is relatively small but, nevertheless, statistically significant. Thus, a need exists for an efficient, practical, and unbiased methodology to determine whether two sets of traffic control strategies are equal or different, with known levels of statistical confidence. Even though the problem is compounded by the existence of uncontrollable extraneous variables affecting traffic performance, it is nonetheless desirable to measure performance empirically under actual operating conditions.

The research objectives are to develop and to demonstrate a practical methodology for the comparative performance evaluation of alternative traffic control strategies for signalized street networks of 10 or more signalized intersections. A two-part project is planned. The final results of Part I are to include:

- 1. One or more measure(s) of effectiveness (MOE) of performance.
- 2. Methodology for field measurement of the selected MOE(s).
- 3. Methodology for analysis of data to obtain the MOE(s).
 - 4. Meaning and interpretation of the results.
- 5. A research plan for Phase II, to validate the methodology and demonstrate its application.

The methodology and MOE are to be useful for evaluation of changes in timing plans, methods of signal control, and any signal control strategies. The desire is to obtain an MOE and methodology that are as efficient, simple, and inexpensive as possible and that are readily usable by the practicing traffic engineer.

The final report on the project, now undergoing review, incorporates a research plan for validating the findings through field experimentation. Upon its approval, continued research is planned to prove out the recommended methodology. The final report is not expected to be published, but will be incorporated with the second-phase report. In the meantime, copies are available on a loan basis upon request to the NCHRP Program Director,

Project 3-19 FY '72

Grade Effects on Traffic Flow Stability and Capacity

Research Agency: Midwest Research Institute Principal Invest.: Andrew D. St. John

Effective Date: Completion Date: September 1, 1971 August 31, 1974

Funds:

\$220,443

The nonuniform performance capabilities of vehicles are a major detrimental factor in the flow of traffic on two-lane roads and on multilane highways. The performance differences are more significant on grades and increase the likelihood of traffic instabilities, accidents, and loss of capacity.

The objectives of this research were to:

- 1. Determine and verify methods for calculating the acceleration and speed-maintenance capabilities on grades of a wide range of motor-vehicle types, including trucks and combinations, buses, campers, housetrailers, low-performance passenger cars, and other atypical vehicles normally found on Interstate and primary highway systems.
- 2. Determine the factors that create instabilities in the traffic stream on grades. Particular attention is to be given to the role of low-performance and unusual-size vehicles in the creation of these instabilities.
- 3. Determine, through use of appropriate digital-computer traffic-simulation models and by correlated field measurements, the passenger-car equivalencies for the vehicle types enumerated in objective 1.
- 4. Determine the effects on safety and traffic flow with both restricted and unrestricted operations of 12- and 14-ft-wide loads on highways in varying terrain. The goal of this objective is to provide guidance for the regulation of these unusual load widths.
- 5. Estimate, by use of correlations between traffic flow characteristics and accident frequencies, the accident implications for the situations studied in objectives 2 and 4.

The research has been completed, and the final report has been published as:

NCHRP Report 185, "Grade Effects on Traffic Flow Stability and Capacity."

Project 3-20 FY '73

Traffic Signal Warrants

Research Agency: KLD Associates
Principal Invest,: Edward B. Lieberman

 Effective Date:
 Sept. 1, 1972
 Nov. 1, 1974

 Completion Date:
 Apr. 15, 1974
 July 31, 1976

 Funds:
 \$120,000
 \$80,000

The purpose of traffic signal warrants should be to determine when the improvement of intersection performance (operation and/or safety) should include the installation of a traffic control signal.

Existing traffic signal warrants as presented in the "Manual on Uniform Traffic Control Devices for Streets and Highways" may not consider all of the factors that should go into a determination of need for traffic signal control, or consider them only in general terms. It is often necessary to temper the numerical warrants with judgment to the degree that the warrants may appear discredited. This is not to say that engineering judgment

should be precluded in the decision. Improved warrants should lead to better and more consistent applications.

The objective of this research is to evaluate the adequacy of existing warrants, or the need for revised or additional warrants, in meeting current needs for determining whether a traffic signal should be installed.

The research has been completed, and the final report has been submitted. New warrants have been developed and are presented in the report, which also includes recommended changes for the relevant text of the Manual on Uniform Traffic Control Devices dealing with traffic signal warrants. Procedures for field validation of the proposed warrants have also been designed and are recommended in the report.

The report has been provided to the National Advisory Committee on Uniform Traffic Control Devices for consideration. The report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 3-20A FY '80

Traffic Signal Warrants

Research Agency: Principal Invest.:

Effective Date: To Be Determined

Completion Date:

Funds:

Traffic signal warrants were developed in NCHRP Project 3-20 for several conditions but did not cover all cases of interest; also, they were not validated by being tested in the field. The objective of this research, therefore, is to validate significant elements of the previous research findings and to extend the coverage of revised signal warrants to a broader range of applications. The principal needs still remaining include peaking warrants (at plant entrances, for example), left-turn warrants, and warrants for special cases such as bicycle and pedestrian crossings. Although the precise scope of work is yet to be defined, it will emphasize the development of warrants offering simple data collection methods and ease of understanding to lay audiences.

Project 3-21 FY '74

Motorist Response to Highway Guide Signing

Research Agency: BioTechnology, Inc.
Principal Invest.: Fred R. Hanscom

Wallace G. Berger

Effective date: April 1, 1974

Completion Date: January 31, 1976

Funds: \$272,071

The value of recent research evaluating the effects of guide signs on the motorist has been limited by a lack of

validated measures of driver response to various signing alternatives. Validation of both new and existing measures is needed to ensure that sign studies will have a common base and that signing standards can be based on definitive research results.

The first research phase under this project was directed, therefore, to identification of promising measures of driver response to guide signing and to development and validation of such measures. The research has been completed, and copies of the agency report are available on a loan basis upon request to the NCHRP Program Director or may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

A second phase of the project is planned, but its objective and scope will not be determined until research needs are clearly identified upon the completion of research in a current Synthesis topic on variable-message signs.

Project 3-22 FY '74

Guidelines for Design and Operation of Ramp Control Systems

Research Agency:

Stanford Research Institute

Principal Invest.: Effective Date: Completion Date: Dale P. Masher April 15, 1974 December 31, 1975

Funds: \$199,030

The objectives of this project were to analyze existing ramp control techniques and to develop design procedures for freeway ramp control systems. The research considered those types of ramp control designed to keep freeways operating at or near capacity during peak periods with a minimum of manual operation. Merge control, gapacceptance systems, and computerized control of traffic signals on surface streets in the freeway corridor may be relevant tools, but the development of design guidelines for these techniques was considered to be outside the scope of this project. Additionally, this project did not address guidelines for extensive freeway surveillance features except where these features relate to the control systems.

I. Preliminary design guidelines for the configuration of traffic control devices for ramp control field installations were developed.

More specifically, the following tasks were addressed:

2. Recommendations and supporting rationale were prepared concerning selection criteria for basic control strategies, with due regard to optimization of throughput, fairness (equity), diversion routes, ramp storage requirements, safety, and other appropriate factors.

3. Real-time on-line system control logic was thoroughly investigated. A control system hierarchy for integrated system management applicable to most control system projects was developed.

4. Recommendations and the supporting rationale regarding the selection of electronic hardware were prepared. Procedures for evaluating the cost-effectiveness of alterna-

tive techniques for data communication, data processing, and control were also developed.

5. Guidelines for control system adjustment to accommodate changes in traffic patterns, capacity, or operating policy were included.

The final report will not be published in the regular NCHRP series, but copies of the draft report, "Guidelines for Design and Operation of Ramp Control Systems," December 1975, may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106. Loan copies are available from NCHRP.

Project 3-22A FY '77

Guidelines for Design and Operation of Ramp Control Systems

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: Charles W. Blumentritt
Effective Date: February 1, 1977
Completion Date: October 31, 1979

Funds: \$249,823

Preliminary guidelines were developed in NCHRP Project 3-22 for designing and operating ramp control systems. Specific guidelines for evaluating cost-effectiveness are needed to determine what level of control is appropriate and what incremental benefits are obtained by selecting higher levels of control. Typically the designer must choose between local pretimed and traffic-responsive controls, as well as systemwide types of control, without knowing the relative cost-effectiveness of each control alternative proposed for the given freeway application. A comprehensive evaluation procedure is needed to assist designers and traffic engineers in selecting the appropriate control and associated hardware needed for implementation.

The objective of this research is to develop an analytic procedure and guidelines for comparative evaluation of alternative ramp control system designs. This procedure will be applicable in determining whether or not ramp control can be employed beneficially and, if so, the type of control system that is most appropriate. The selection methodology will consider local pretimed and responsive control as well as systemwide control.

In developing the guidelines for cost-effectiveness evaluation, the basic considerations that are being addressed include: (1) incremental benefits associated with each level of control; (2) staffing requirements: (3) freeway safety; (4) user costs, such as vehicle delays, emissions, and fuel consumption; (5) maintenance and system operation costs; and (6) installation costs. Furthermore, the benefit and performance data base necessary to permit comparative analysis and design is being obtained by the research team by analyzing available data and conducting appropriate field research. Analysis procedures for quantifying benefits, performance, and costs, as well as specific data for use in comparing alternate designs, are being developed.

Research to date has concentrated on the identification

of variables that affect the benefits attributable to the three types of control, including variations in flow characteristics, freeway geometrics, frequency of incidents, quality and availability of alternative routes, metering rate constraints, and vehicle occupancy. A comprehensive questionnaire was sent to the agencies operating the major ramp control projects in the U.S. to obtain detailed operational data. The MACK computer simulation model was used to determine the key operational parameters that need to be included in a cost-effectiveness analysis. Field data collection studies are being conducted at control sites in Los Angeles and Dallas. On the basis of the results of the field studies, a comprehensive set of guidelines will be prepared to assist traffic engineers in selecting the appropriate type of freeway ramp control.

Project 3-23 FY '74

Guidelines for Uniformity in Traffic Control Signal Design Configurations

Research Agency: KLD Associates
Principal Invest.: Gerhart F. King
Effective Date: April 8, 1974
Completion Date: July 28, 1977
Funds: \$300,000

The 1971 MUTCD (Part IV "Signals," Sections B and D, and Part VH, Section D, "School Area Traffic Signals") permits a broad range in traffic control signal design configurations and operation. Scientifically based guidelines for uniform standards are needed to reduce the possibilities of confusion and hazard. These guidelines should permit the flexibility needed to meet very unusual conditions.

The purpose of this study was the preparation of such guidelines for optimum traffic control signal design configurations at intersections and mid-block crossing locations. The research included the following objectives:

- 1. Preparation of an annotated bibliography of relevant literature and research in progress pertaining to traffic control signal design configurations.
- 2. With reference to Part IV, Sections B and D, and Part VII, Section D, of the 1971 MUTCD, a study of traffic control signal design configurations, including, but not limited to: number and arrangement of lenses in signal faces, size of signal lenses, type of signal lenses (arrows and program visibility signal), visibility and shielding of signal faces, number of signal faces, horizontal and vertical location of signal faces.
- 3. Identification and consideration of all factors related to the approach to signalized locations that affect or influence the observance, safety, and efficiency of traffic control signals.
- 4. Development and validation of a detailed set of traffic control signal design guidelines—based on field, human behavioral, and theoretical analyses—that would produce optimum traffic control signal design configurations.
- 5. Preparation of proposed revisions of the referenced sections of the 1971 MUTCD.

6. Identification of the areas in which further research is indicated,

The final report was not published in the NCHRP report series, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 3-24 FY '75

Determine the Luminous Requirements for Retroreflective Highway Signing

Research Agency: University of Michigan Dr. Paul L. Olson Effective Date: September 1, 1974 Completion Date: April 30, 1977 Funds: \$100,000

The purpose of this study was to define the relationship between sign luminance and legibility in a way that would assist in selecting optimum material choices for various signing applications as well as aid in decisions concerning maintenance and replacement.

A laboratory study was carried out to define the effects of luminance, contrast, color, and driver visual characteristics on legibility distance. A computer model was developed to predict the legibility distance of a sign based on the laboratory data as well as geometric and photometric variables. A field study was conducted in which legibility distance predicted by the model was compared with legibility distance measured on a number of real and simulated signs. Data were developed that show graphically the relationship between legibility distance and the photometric properties of background and legend materials.

The final report was not published in the NCHRP report series; however, a copy of it, entitled "Determine the Luminous Requirements of Retroreflective Highway Signing," is available at a cost of \$6.00. To purchase the report, a check or money order in the amount of \$6.00, plus \$1.00 for postage and handling, should be made payable to the *Transportation Research Board* and sent to the Publications Office, Transportation Research Board, 2101 Constitution Ave. NW, Washington, D.C. 20418.

Project 3-25 FY '76

Principal Invest.:

Cost and Safety Effectiveness of Highway Design Elements

Research Agency: Roy Jorgenson Associates, Inc.

Joseph F. Banks, Jr.
Dr. Richard L. Beatty

Dr. Richard L. Beatty Dr. David B. Brown July 15, 1975

Effective Date: July 15, 1975 Completion Date: April 16, 1978 Funds: \$260,576

The objectives of this research were (1) to identify the

key geometric characteristics and combinations of characteristics of road and street designs that affect accident frequency and severity; (2) to quantify the effects of varying the key characteristics and combinations of characteristics on accident frequency and severity; and (3) to develop a methodology that can be used by engineers in measuring the cost-effectiveness of the various levels of each design element.

About 50 design features were found to have some relationship to safety. Because only a limited number of design elements could be studied in depth during this research, the features of pavement width, shoulder width, and shoulder surface type for rural two-lane highways were selected for quantifying their relationship to accident frequency and severity.

A safety cost-effectiveness methodology was developed to incorporate the quantified relationships into a practical design procedure. Utilization of the safety relationships and methodology contained in the final report will provide an optimum design for pavement width, shoulder width, and shoulder type. The methodology does not contain a rigid procedure for selection of the final design, but provides the necessary cost-effectiveness information for the designer to make an objective decision. The final design selected must also consider traffic and vehicle operating characteristics, which may override the design based on safety cost-effectiveness. However, by applying the methodology, the safety ramifications of all alternatives can be determined.

Research has been completed, and the final report has been published as:

NCHRP Report 197, "Cost and Safety Effectiveness of Highway Design Elements."

Project 3-26 FY '77

Investigation of Selected Noise Barrier Acoustical Parameters

Research Agency: The Pennsylvania State University

Principal Invest.: Dr. Sabih I. Hayek

Dr. James M. Lawther December 1, 1976

Effective Date: December 1, 1976
Completion Date: October 31, 1979

Funds: \$224,751

Noise barriers are becoming increasingly important as noise abatement measures along new highways as well as along existing highways. Predicting their effectiveness has been difficult, however, because analytical and predictive measures have had some limitations. Even though new barrier design guides are becoming available, there is still a need to examine certain acoustic parameters. Research is needed to assess the importance of these parameters and to determine how they may best be included in analysis procedures. Specifically, the potential effects of barrier cross-sectional shape, barrier surface characteristics, and barrier influence on ground cover effects are not considered in currently used procedures.

Inasmuch as these parameters have been recognized as possible causes of differences between the predicted and measured performances of noise barriers, it is necessary to determine whether they should be included in future design guides.

The basic project objective, therefore, has been to complete an analysis of cross-section shape, surface characteristics, and the influence on ground-cover effects. The significance of these parameters has been evaluated theoretically in terms of the sensitivity of barrier effectiveness to each, and the bounds of their effects have been delineated in the first phase of the project.

The second phase of the project, now in progress, emphasizes scale-model experimentation designed to verify the findings of the first phase. The tests will include evaluation of insertion loss models applied to the different barrier configurations and study of the ground-effects problem and propagation characteristics related to a pavement adjoined by an impedance-covered terrain.

Findings from the first phase are presented in the research agency's final report and summarized in Research Results Digest 105. Copies of the first-phase report may be obtained on a loan basis upon request to the NCHRP Program Director or purchased. To purchase the report, a check or money order in the amount of \$10.00, plus \$1.00 for postage and handling, should be made payable to Transportation Research Board and sent to the Publications office, Transportation Research Board, 2101 Constitution Avenue, Washington, D.C. 20418.

Project 3-27 FY '77

Guidelines for Selecting Traffic Control at Individual Intersections

Research agency: Alan M. Voorhees & Associates, Inc.

Principal Invest.: Philip J. Tarnoff
Effective Date: November 15, 1976
Completion Date: March 31, 1979

Funds: \$150,000

Adequate guidelines are not currently available to compare the three basic traffic signal control types: pretimed, semi-traffic-actuated, and full-traffic-actuated. Although traffic engineers recognize that each type of control has its appropriate use, selection of control type is generally determined without a comprehensive analysis because of the lack of guidelines and data. To properly evaluate and determine the best type of traffic signal control to use at an intersection, some of the basic considerations that need to he addressed are (a) maintenance requirements, (b) vehicle delays on the major and minor streets, (c) over-all traffic safety, (d) coordination adaptability, and (e) cost effectiveness.

The objective of this research was to develop guidelines for selecting the most appropriate type of traffic signal control for an individual intersection in both urban and rural areas. Consideration of the case of adjacent intersections are included in the guidelines in regard to the selection of coordinated versus independent operation.

An annotated bibliography of previous studies was prepared, and current practices were reviewed in depth with local traffic engineering agencies. Numerous factors affecting the choice of control type were identified.

A cost-effectiveness evaluation methodology was developed to assist in the selection of traffic signal control and addresses such items as (a) initial costs, (b) maintenance costs, (c) over-all delay. (d) percentage of traffic stopped, (e) vehicle emissions, (f) fuel consumption, and (g) other direct and indirect user costs. The incremental benefits of more sophisticated levels of control and operational reliability are fully considered. Cost and operational data were collected and included in the cost-effectiveness methodology to reduce the data collection requirements of future users.

The agency's preliminary draft final report is now being reviewed. The guidelines will be field tested in five cities prior to submission of the final draft.

Project 3-28 FY '78

Development of an Improved Highway Capacity Manual

Research Agency: JHK & Associates
Principal Invest.: William R. Reilly
Effective Date: December 15, 1977
Completion Date: March 15, 1979
Funds: \$150,000

The Highway Capacity Manual, widely used for the planning, design, and operational aspects of streets and highways, was most recently published in 1965. Since that time, new research findings offer great potential for its improvement and expansion of its scope. Additional research in some areas covered by the Manual is necessary to correct inconsistencies, to evaluate the effectiveness of analytical procedures, and to provide additional data on traffic characteristics. Although substantial research has been completed or is under way, the findings have not been assembled into a single document refining the existing Manual.

This research will be carried out in three phases, the objectives of which are as follows:

Phase I. Current and future needs of users of the Highway Capacity Manual are to be explicitly defined. Existing information will be assembled to create an interim improved Manual. Based on the needs defined above and a thorough understanding of completed, current, and planned research in the highway capacity field, gaps in knowledge will be defined, and specific research plans for filling these gaps will be formulated.

Phase II. Needed research identified in Phase I will be carried out. Its results will be integrated with the results of Phase I and other new research to provide the basis for the improved Manual.

Phase III. An improved Manual based on the results of Phases I and II will be prepared.

Work on Phase I of the project is nearly complete. A preliminary draft of interim materials to supplement the present *Highway Capacity Manual* has been prepared and is undergoing review. A research plan for Phase II has also been developed and submitted for review.

AREA 4: GENERAL MATERIALS

Project 4-1 FY '63 and FY '64

Development of Appropriate Methods for Evaluating the Effectiveness of Stabilizing Agents

Research Agency: University of Illinois
Principal Invest.: Dr. E. J. Barenberg
Effective Date: June 1, 1963
Completion Date: October 31, 1966
Funds: \$114.991

This study was directed toward the further improvement of existing methods or the development of new methods of tests which will lead to a way of measuring the effectiveness of various stabilizing agents. The methods are expected to provide definitive data to predict performance nuder in-service conditions and provide criteria for the design and construction of pavement components involving stabilized materials.

This research was conducted principally by means of laboratory experiments to investigate the effectiveness of viscous and nonviscous materials as stabilizing agents. Type I portland cement and a penetration-grade asphalt were chosen for the study because of their popularity as reflected in current usage, and limited tests of model pavements stabilized with both these materials were conducted in the research agency's test track for the purpose of correlating the results obtained in the laboratory with the behavior of the model pavements.

The project report was not published in the regular NCHRP report series, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 4-2 FY '63 and FY '64

A Study of Degrading Aggregates in Bases and Subbases with Production of Excessive Amounts of and/or Harmful Types of Fines

Research Agency: Purdue University
Principal Invest.: Dr. R. B. Johnson

Dr. N. B. Aughenbaugh

Dr. N. M. Smith Dr. T. R. West

Effective Date: Completion Date: February 15, 1963 November 30, 1966

Funds: \$63,990

This study was directed toward the development of

tests or procedures for predicting the amount and effects of aggregate degradation and the development of techniques for upgrading such aggregates for economic use in highway payement structures.

Numerous aggregate samples were obtained from highway agencies, together with available test data and information on performance experience. Standard laboratory tests, such as determination of specific gravity, freeze-thaw resistance, and Los Angeles abrasion loss, were conducted by the research agency. Many additional data, primarily of a petrographic nature, were also collected. An analysis was made of the standard laboratory data, the petrographic information, and the reported field performance to determine the group of tests most likely to predict the degradation of an aggregate when used in a roadway base or subbase course.

The research has been completed, and the project report has been published as:

NCHRP Report 98, "Tests for Evaluating Degradation of Base Course Aggregates."

Project 4-3(1) FY '63 and FY '66

Development of Methods to Identify Aggregate Particles Which Undergo Destructive Volume Changes When Frozen in Concrete

Research Agency: Virginia Polytechnic Institute

Dr. R. D. Walker Principal Invest:

Mar. 1, 1963 July 1, 1965 Effective Date: Sept. 30, 1964 Mar. 31, 1967 Completion Date: \$20,000 \$23,337 Funds:

Research conducted under this study related to the development of a rapid method of test(s) to distinguish deleterious particles in aggregates and to predict their behavior under various degrees of exposure in concrete subjected to freezing and thawing. The work was similar to that conducted under Project 4-3(2) at Pennsylvania State University (the same objectives apply) but different in approach. Certain aggregates investigated were common to both studies.

The initial research phase has been completed, and the project report for this phase has been published as:

NCHRP Report 12, "Identification of Aggregates Causing Poor Concrete Performance When Frozen."

The final research phase has been completed, and the project report has been published as:

NCHRP Report 65, "One-Cycle Slow-Freeze Test for Evaluating Aggregate Performance in Frozen Concrete."

Research Agency: The Pennsylvania State University Principal Invest.: Dr. T. D. Larson Effective Date: Mar. 25, 1963 July 1, 1965 Jan. 31, 1965 Completion Date: Aug. 31, 1967 Funds: \$56,457 \$49,756

This project involved the development of a rapid test(s) to distinguish deleterious particles in aggregates and thereby predict their behavior under various degrees of exposure in concrete subjected to freezing and thawing. The study was similar to that conducted under Project 4-3(1) at Virginia Polytechnic Institute (the same objectives apply) but different in approach. A number of aggregates investigated were common to both studies.

The initial research phase has been completed, and the project reports for this phase have been published as:

HRB Special Report 80, "A Critical Review of Literature Treating Methods of Identifying Aggregates Subject to Destructive Volume Change When Frozen in Concrete and a Proposed Program of Research," and

NCHRP Report 15, "Identification of Concrete Aggregates Exhibiting Frost Susceptibility."

The final research phase has been completed, and the project report has been published as:

NCHRP Report 66, "Identification of Frost-Susceptible Particles in Concrete Aggregates."

Project 4-4 FY '63

Funds:

Synthetic Aggregates for Highway Uses

Battelle Memorial Institute Research Agency:

Principal Invest .: M. J. Snyder

F. F. Fondriest

March 1, 1963 Effective Date:

April 15, 1964 Completion Date: \$14,790

In an effort to determine potential sources of aggregates, this study was authorized to explore the feasibility of utilizing artificial aggregates in highway construction. The study involved a survey of various industries regarding their production of potential aggregates, particularly as by-products. Inquiries were made of such users as highway departments as to desirable characteristics for aggregates. Consideration was given to the production of synthetic aggregates by nuclear or other new techniques.

Research has been completed, and the project report has been published as:

NCHRP Report 8, "Synthetic Aggregates for Highway Construction."

Project 4-3(2) FY '63 and FY '66

Development of Methods to Identify Aggregate Particles Which Undergo Destructive Volume Changes When Frozen in Concrete

Project 4-5 FY '63

A Study of the Mechanism Whereby the Strength of Bases and Subbases Is Affected by Frost and Moisture

Research Agency: Michigan Technological University

Principal Invest.: Dr. W. M. Haas
Effective Date: February 15, 1963
Completion Date: August 31, 1965

Funds: \$64,105

This project involved an extension of present knowledge and understanding of the phenomena of the action of frost and moisture in bases and subbases. Initially, laboratory models were developed which incorporated significant variables as an aid in analyzing the mechanism of frost action and its relation to strength. Hypotheses evolving from the laboratory were checked in the field.

The project report was not published in the regular NCHRP report series, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road. Ann Arbor, Michigan 48106.

Project 4-6 FY '65

Protective Coatings for Highway Structural Steel-

Research Agency: Steel Structures Painting Council

Principal Invest.: John D. Keane
Effective Date: March 1, 1965
Completion Date: November 30, 1966

Funds: \$25,000

Considerable information exists in the literature concerning the protection of structural steel from corrosion. This, however, is widely scattered, often contradictory, and has never been critically reviewed and reported on as to which of the numerous coating formulations, coating systems, and practices are best in conjunction with environmental differences. This research involved a state-of-the-art review, field exposure testing on which definitive rankings may be based, and the development of plans for research to acquire needed information where adequate coatings are not available.

Information necessary to review, summarize, and evaluate the current state of the art of protection of structural steel was secured from a search of some 2,000 pieces of technical literature and by correspondence and discussions with numerous individuals, organizations, and societies both in the United States and abroad. A parallel experimental study was conducted to determine the effects of surface preparation on the performance of coatings.

Research has been completed, and the final report has been published as:

NCHRP Report 74, "Protective Coatings for Highway Structural Steel."

In addition, the following documents have been published in extremely limited quantities:

NCHRP Report 74A, "Protective Coatings for Highway Structural Steel—Literature Survey."

NCHRP Report 74B, "Protective Coatings for Highway Structural Steel—Current Highway Practices."

Project 4-7 FY '68, '69

Fatigue Strength of High-Yield Reinforcing Bars

Research Agency: Portland Cement Association

Principal Invest.: Dr. John M. Hanson

Dr. Thorsteinn Helgason

Effective Date: Oct. 1, 1967 Feb. 1, 1971 Completion Date: Feb. 28, 1970 Aug. 31, 1973

Funds: \$100,000 \$50,000

The AASHO Road Test indicated that the fatigue strength of reinforcing bars is one of the key elements determining the fatigue life of reinforced concrete bridge members. Advances in bridge technology, utilizing high-yield reinforcing bars, increase the possibilities of the fatigue strength of the reinforcement limiting the life of the structure.

The principal objective of this study was to obtain fatigue strength test data on ASTM A432 steel bars (generally Grades 60 and 75) to support realistic design criteria. This was approached through the design and execution of a statistically valid experiment.

Phase I experimental work consisted of repeated-load tests on rectangular and T-shaped concrete beams reinforced with a single longitudinal bar. These specimens contained bars ranging in size from No. 5 to No. 11 and having nominal yield stresses from 40 to 75 ksi. Major emphasis in the Phase I study was on stress range, minimum stress, bar diameter, type of specimen, and grade of bar.

Phase II had the objectives of (1) determining the effect of surface geometry (deformation pattern and details) and (2) incorporating the results of Phases I and II into a single final report. A total of 353 fatigue tests was conducted in the two phases of work.

On the basis of the observed behavior, a fatigue design provision was developed for deformed reinforcing bars suggesting a limitation on the service load stress range.

Research has been completed, and the project report published as:

NCHRP Report 164, "Fatigue Strength of High-Yield Reinforcing Bars."

Project 4-8 FY '68

Research Needs Relating to Performance of Aggregates in Highway Construction

Research Agency: Virginia Polytechnic Institute

Principal Invest.: Dr. R. D. Walker Effective Date: January 1, 1968 Completion Date: April 30, 1969

Funds: \$55,254

There is concern over the shortage of high-grade aggregates available at reasonable cost in many areas of the country. Efficient use of aggregates is handicapped by lack of quantitative information on the interaction between properties of the aggregate and its performance in a particular environment. The total problem involves (1) identification of the uses for which available aggregates are suitable with

normal processing, (2) methods of upgrading available aggregates where necessary to make them acceptable for a particular use, and (3) adapting construction practices to permit use of available aggregates.

The objective of this research was to formulate a comprehensive series of statements of research problems and recommended studies (including estimates of time, cost, and priority) which have as their objective the development of procedures by the use of which a highway materials engineer may evaluate quantitatively the relevant properties of aggregates to be selected for a given class of use in a given environment of service for a given level of performance.

Research has been completed, and the project report has been published as:

NCHRP Report 100, "Research Needs Relating to Performance of Aggregates in Highway Construction."

Project 4-8(2) FY '71

Density Standards for Field Compaction of Granular Bases and Subbases

Research Agency: Clemson University
Principal Invest.: J. P. Rostron
Effective Date: April 1, 1971
Completion Date: June 30, 1973
Funds: \$95,248

Information is needed on the degree of compaction that should be attained during the construction of highway granular base and subbase courses as a function of such factors as nature of the material, environment, traffic, subgrade conditions, thickness of layer, and location of layer within the system. Density standards that provide for these factors are needed. Test procedures used to develop data to set such standards must be suitable for various materials, however they may be used, and must account for these factors as may be appropriate. Often the so-called "degree of compaction" (such as 95% AASHO T 180) is not directly related to the materials' properties or to field performance. Improper setting of density standards results in (a) rejection of materials from which satisfactory bases and subbases can be constructed and (b) construction of bases and subbases that contribute to pavement system failure by subsequent additional compaction.

The objectives of this project were:

- 1. To evaluate current and proposed procedures and criteria for the setting of density standards.
- 2. To illustrate examples of inadequate standards and the consequences of such inadequacy.
- 3. To develop new or revised procedures and criteria for more appropriate density standards.
- 4. To illustrate that the new or revised procedures and criteria would yield adequate density standards.
- 5. To draft, in a form suitable for adoption or adaptation by highway departments, proposed new or revised procedures and criteria for the setting of density standards to control compaction during the construction of granular bases and subbases.

The research included a literature review, an information survey, interviews with highway personnel, laboratory tests, and prototype tests.

Research has been completed, and the project report has been published as:

NCHRP Report 172, "Density Standards for Field Compaction of Granular Bases and Subbases,"

Project 4-8(3) FY '72 and FY '76

Predicting Moisture-Induced Damage to Asphaltic Concrete

Research Agency: University of Idaho
Principal Invest.: Dr. Robert P. Lottman

 Effective Date:
 Sept. 1, 1971
 Aug. 1, 1975

 Completion Date:
 Mar. 31, 1974
 Jan. 31, 1982

 Funds:
 \$190,177
 \$71,652

The loss of bond (stripping) due to the presence of moisture between the asphalt and the aggregate in asphaltic concrete is a problem in many areas of the country and is severe from the standpoint of highway pavement performance in some instances. The problem is influenced by many factors, such as asphalt characteristics, aggregate properties, mix design, construction procedures, environmental conditions, and traffic; however, field experience has indicated almost invariably that the presence of moisture in combination with the other factors is critical with regard to the loss of adhesion between the asphalt cement and the aggregate particles.

Ultimately, the aggregate properties and the asphalt cement characteristics that affect adhesion must be identified. This knowledge is basic to the development of techniques that are needed for optimizing the choice of materials or for specifying appropriate corrective measures where loss of bond is likely to be a problem. However, the accomplishment of these ultimate objectives requires fundamental studies that are time consuming and necessitate the development of test systems for correlating the findings with field performance. The objective of this project is to meet an interim need for a laboratory testing system that will quantitatively predict the ability of asphaltic concrete to resist the detrimental effects of moisture under field conditions.

Research in Phase I included sampling and laboratory testing of mixtures composed of materials from many pavements in service, some of which were experiencing moisture damage and some not. Effort was made to reproduce in the laboratory the observed response to moisture in the field. The study produced a tentatively proposed system of tests for determining the moisture susceptibility of asphaltic concrete mixtures and a detailed work plan for a field evaluation of the system. The primary research program was conducted by the University of Idaho, with assistance by Battelle-Northwest and the University of Washington.

The final report for Phase I has been published as:

NCHRP Report 192, "Predicting Moisture-Induced Damage to Asphaltic Concrete."

The objective of the Phase II research is to provide field verification of, or suitable modifications thereto, the test system tentatively proposed under Phase I for predicting the susceptibility of asphaltic concrete mixtures to moisture damage. Cooperative arrangements have been made with six State highway and transportation agencies and with Region 10 of the Federal Highway Administration for experimental construction, testing, and performance observations over a five-year period to evaluate the proposed test system.

Research on Phase II is in progress. New asphaltic concrete pavements in eight test sections are included in the study. The aggregates used in the pavements are considered to have varying degrees of moisture susceptibility, based on previous experience. Laboratory testing and periodic sampling of the pavements is being conducted by the local highway agency. Test data are being forwarded to the University of Idaho for evaluation. An interim report has been prepared summarizing the data for the first 24 months of the 60-month evaluation period. During the first season, test data were quite variable and some cases indicated an increase in strength when exposed to moisture. After 24 months the data appear to be less variable and pavements that were predicted to have moderate to severe moisture damage are beginning to show an increasing trend toward loss of strength.

The interim report on Phase II is available from NCHRP on a loan basis.

Project 4-9 FY '69

Evaluation of Preformed Elastomeric Pavement Joint Sealing Systems and Practices

Research Agency: Utah Department of Transportation
Principal Invest.: Dale E. Peterson
Effective Date: Oct. 1, 1968 Oct. 1, 1972
Completion Date: June 30, 1971 Dec. 31, 1977
Funds: \$93,494 \$125,000

The problem of sealing transverse joints in portland cement concrete pavements to prevent intrusion of objectionable materials is of prime importance to many State highway departments. For several years, a number of States have specified extruded neoprene compression seals for the sealing of these joints. Recently, other types of elastomeric preformed seals have also been used for this purpose. Largely because of a lack of sufficient correlation between joint sealing requirements and field performance information, most existing specifications for preformed seals consist of requirements pertaining to the neoprene elastomer used in fabricating the seal and the size, shape, configuration, etc., of the fabricated product. The relation of these requirements to seal performance in service, or their significance as predictors of performance, has not been fully developed. In view of the increasing use of preformed seals, further laboratory and field studies are required to develop design, material, installation, and performance criteria.

Phase I of this project, through a review and analysis of existing information and an extensive laboratory testing program, has successfully provided urgently needed tentative guide specifications, inclusive of performance criteria, for preformed elastomeric joint seals for use in portland cement concrete pavement joints. This information was reported in NCHRP Research Results Digest 35, "Evaluation of Preformed Elastomeric Pavement Joint Sealing Systems and Practices" (Feb. 1972). The tentative guide specifications provided by Phase I are well suited to interim service. The primary experimental program for Phase I was conducted by the Utah Department of Transportation, with analytical assistance from the University of Utah.

In Phase II, an experimental program of field study has been designed and instituted to test the tentative specifications. Installation of preformed elastomeric sealers in sawed transverse joints on construction projects in seven states has been accomplished. Planning, supplemental specifications, and evaluative observations during the installations were provided as part of the project effort. Samples of the sealers were retained at the construction stage and subjected to laboratory test. Samples are also being removed periodically following construction and tested in the laboratory. Force-deflection tests are the primary method of sample evaluation.

Observations of field performance are being made at the time of post-construction sampling. The field sampling program has been completed. All scals have developed some permanent set during the 4-year period, but the seal material appears to be in good condition. Many more years of service could have been provided by the seals. Bond was nonexistent in many cases, resulting in fine material becoming lodged between the seal and the pavement. A major finding of the field evaluation program is that the ability of elastomeric sealing systems to prevent intrusion of moisture and foreign material is more dependent on the adhesion between the seal and the pavement than on the pressure exerted by the compressed seal.

The final report covering both phases of the project is in the review stage.

Project 4-10 FY '70

Promising Replacements for Conventional Aggregates for Highway Use

Research Agency: University of Illinois
Principal Invest.: Dr. C. R. Marck
Effective Date: October 15, 1969
Completion Date: March 31, 1971
Funds: \$50,000

Although nationally there is an abundant supply of conventional aggregates suitable for highway construction, there are localized areas, and in some cases regions, in which they are not economically available or are becoming depleted. The problem is compounded because many of

the existing sources are becoming unavailable through zoning restrictions, pollution control, and appreciating land values.

It is imperative that studies now be initiated to determine whether available technology can be used to alleviate the problem of diminishing aggregate supplies in the affected areas.

The purpose of this project was to study the utilization of modern technology as it might apply to the development of substitute materials and/or new procedures for upgrading existing unsuitable materials for use as aggregates in portland coment concrete, bituminous mixes, and hase courses.

The research has been completed, and the project report has been published as:

NCHRP Report 135, "Promising Replacements for Conventional Aggregates for Highway Use."

Project 4-10A FY '70

Waste Materials as Potential Replacements for Highway Aggregates

Research Agency: Valley Forge Laboratories

Principal Invest.: Richard H. Miller Effective Date: Sept. 1, 1972

Completion Date: Nov. 30, 1973 Funds: \$53,663

Although an abundant supply of conventional aggregates suitable for highway construction exists nationally, there are localized areas, and in some cases regions, in which aggregates are not economically available or are becoming depleted. The problem is compounded by the loss of existing sources through zoning restrictions, pollution controls, and appreciating land values.

The use of waste material as aggregate offers one method of alleviating this problem in highway construction where suitable sources are available in significant quantities. Such utilization will serve the public interest by providing ecologically and economically acceptable means for disposal of wastes in addition to providing replacements for needed aggregates in urban areas where the shortage is often most severe. Research is needed now to determine the types, sources, and quantities of waste materials potentially useful as replacements for highway aggregates.

NCHRP Project 4-10, "Promising Replacements for Conventional Aggregates for Highway Use," identified the potential for using waste materials as aggregate in highway construction. The objectives for Project 4-10A, as developed from the prior effort, were to:

- 1. Provide an inventory of the types, sources, and quantities of waste materials potentially suitable for the production of synthetic aggregates or for otherwise replacing conventional aggregates in highway construction.
- 2. Provide an assessment of the prospects for practical use of specific waste materials for production of synthetic aggregates or otherwise replacing the need for conventional aggregates in highway construction, particularly where aggregate supplies are scarce.

Accomplishment of project objectives involved:

- 1. Identification of all types and locations of waste materials available or anticipated to be available in the future in significant quantities that are considered potentially suitable for use in highway construction as replacements for conventional aggregates.
- 2. Compilation of information essential to evaluating the technical and economic feasibility of using the most promising waste materials as replacements for aggregates in highway construction.
- 3. Based on the information compiled in Task 2, an assessment of the technical and economic feasibility of current and future use of the waste materials having the greatest potential for use as replacements for aggregates in highway construction, particularly where conventional aggregates are not economically available or are becoming depleted.
- 4. Determination of the status of use of waste materials in highway construction as replacements for aggregates.

Based on technical, economic, and environmental evaluations, 30 waste materials were found to have some potential for use as highway aggregates. The basic technology was judged to exist for converting any one of the materials into aggregate, but in most instances much experimentation remains to be done.

Research has been completed, and the project report has been published as:

NCHRP Report 166, "Waste Materials as Potential Replacements for Highway Aggregates."

Project 4-11 FY '75

Buried Plastic Pipe for Drainage of Transportation Facilities

Research Agency: Simpson Gumpertz & Heger

Principal Invest: Frank J. Heger
Effective Date: September 16, 1974
Completion Date: March 15, 1978

Funds: \$200,000

A number of pipe products made of plastics as defined in ASTM D883 have become available to the transportation industry within the past few years and appear to have good potential for economical use as underdrains, storm sewers, culverts, and other drainage structures. However, due to the lack of experience with these products in transportation facilities, use in this field has been limited. Understandably, there has been a refuctance to use them in place of, or as alternates to, more conventional pipe products whose in-service behavior has been established by many years of experience in a wide range of applications. Accordingly, it is necessary to undertake an evaluation of the theoretical considerations and field performance of buried plastic pipe for use in transportation facilities.

The over-all objective of this project was to develop and evaluate design, installation, and performance criteria for the use of buried plastic pipe products in transportation facilities.

The specific objectives were to:

- 1. Identify the types, sizes, and physical and chemical characteristics of plastic pipe products currently available and considered suitable for use as underdrains, storm sewers, culverts, and other drainage structures.
- 2. Outline an experimental program to improve the understanding of (a) the time-dependent soil-structure interaction of buried plastic pipe subjected to earth and live loads and (b) any other performance factors that appear to need further study.
- 3. Conduct the experimental program outlined in Objective 2.
- 4. Analyze and interpret the information obtained from the experimental program, synthesize the data with other available information.
- 5. Prepare a manual on the use of buried plastic pipe for drainage of transportation facilities, with particular attention to permissible loads and deflections, use limitations, material specifications, acceptance tests, construction and maintenance techniques, and inspection criteria.

The project report is in the review stage.

Project 4-12 FY '77

Upgrading of Poor or Marginal Aggregates For PCC and Bituminous Pavements

Research Agency: The Pennsylvania State University

Principal Invest.: Dr. Philip D. Cady Effective Date: December 1, 1976 Completion Date: February 28, 1979

Funds: \$150,000

The performance of high-type pavements, of either PCC or bituminous concrete, is influenced by many factors. Some of these are materials, environment, traffic loading, construction practices, and maintenance. One of the most important factors in the satisfactory performance of a pavement is the incorporation of coarse aggregate consisting of sound, durable particles free from objectionable coatings. The rapid depletion or inaccessibility of such high-quality aggregates requires that methods be devised for upgrading poor or marginal materials.

The over-all objective of this study was to advance methods of upgrading poor or marginal-quality coarse aggregates to acceptable durability and structural levels for use in high-type bituminous and PCC pavement mixtures. The procedures for upgrading aggregates in this study were limited to the use of different types of coatings, chemical treatments, or impregnation with plastics or other materials.

The beneficiation addressed recognized problems such as freeze-thaw damage, stripping, degradation, inadequate soundness, alkali-aggregate reactions, destructive volume changes, and objectionable coatings.

This study did not address itself to pavement surface characteristics, such as skid properties, texture and roughness, or mixtures applied as seal coats or thin surface treatments.

The research included the following tasks:

Task 1. (a) Identification of aggregate problems that may be mitigated by beneficiation; (b) review of literature and research in progress; (c) identification of current and potentially available practices and methods for upgrading aggregate quality.

Task 2. Preliminary analysis of practices and methods.

Task 3. Preparation of an interim report that includes the findings from Tasks 1 and 2 and provides recommendations for the evaluation in Task 4 of procedures that appear to be technically and economically feasible.

Task 4. Laboratory development and evaluation of candidate procedures with selected aggregates.

Task 5. Preparation of a final report that includes findings of research and recommendations for possible field evaluation (i.e., pilot study) of selected procedures.

Research has been completed, and the final report is in the review stage.

Project 4-13 FY '77

Temporary Pavement Marking Systems

Research Agency: Southwest Research Institute

Principal Invest.: John M. Dale Effective Date: November 1, 1976 Completion Date: February 28, 1978

Funds: \$49,500

Maintenance and construction operations on all classes of highways frequently require temporary pavement markings to provide motorist guidance and safe traffic movement. When existing pavement marking materials, devices, and techniques are used for this purpose, they are difficult to remove in a cost-effective manner without leaving scars on the pavement that may mislead the motorist. There remains a continuing need, for all types of pavement surfaces under all environmental and traffic conditions, for a temporary pavement marking system. Temporary marking systems are defined as those either easily applied and easily removed or those easily applied and self-destructible under controlled conditions.

The general objective of this research is to explore the feasibility of one or more candidate solutions by awarding one or more contracts within the limits of available funds. The specific objectives are: (1) To examine one or more concepts, existing or new, that offer promise for development into workable temporary pavement marking systems. The desired characteristics of these systems include delineation quality, ease of installation and removal, absence of adverse environmental effects, ease of implementation, and cost-effectiveness. (1) To analyze the feasibility of the concept or concepts in comparison with existing practice with reference to, but not limited to: (a) manpower, equipment, and material costs (application and removal). (b) effect on traffic during application and removal, (c) traffic control effectiveness, (d) system durability, (e) material and process availability, and (f) hazards to workmen during application and removal,

The concept of this research was to develop and evaluate additives to be used with existing traffic paints. Research began with lab tests of candidate additives of four types: those with water of hydration, blowing agents, fuels, and oxidizers. No practicable material was found to meet the requirements of the project. A final report has been submitted and is available, on a loan basis, on request to the NCHRP Program Director.

Further research of temporary marking materials, pursuing other approaches, has been resumed under Project 4-13A.

Project 4-13A FY '77

Temporary Pavement Marking Paint Systems

Research Agency: Georgia Institute of Technology

Principal Invest.: Dr. Charles J. Ray
Effective Date: April 1, 1978
Completion Date: June 30, 1979
Funds: \$69,971

Maintenance and construction operations on all classes of highways frequently require temporary pavement markings to provide motorist guidance and safe traffic movement. When existing pavement marking materials, devices, and techniques are used for this purpose, they are difficult to remove in a cost-effective manner without leaving sears on the pavement that may mislead the motorist. There remains a continuing need, for all types of pavement surfaces under all environmental and traffic conditions, for a temporary pavement marking system. Temporary marking systems are defined as those either easily applied and easily removed or those easily applied and self-destructible under controlled conditions.

The specific objectives of this research are: (1) to examine new paint formulations, primer materials, and related combinations that offer promise for development into workable temporary pavement marking systems; (2) to analyze the feasibility of the concept or concepts in comparison with existing practice.

A wide range of materials and removal processes has been investigated to date. The greatest promise seems to be offered by polymer binder products and their removal by thermal degradation processes.

Project 4-14 FY '78

Coating Systems for Painting Old and New Structural Steel

Research Agency: Georgia Institute of Technology

Principal Invest.: Dr. D. J. O'Neil

F. A. Rideout

Effective Date: January 1, 1978 Completion Date: March 31, 1980 Funds: \$149,844 All state and local highway agencies have steel structures that must be painted to provide protection against corrosion. Available funds dictate the number of structures that can be painted and the grade of surface preparation that can be used

Although various coating systems intended to protect structural steel are available, users report a wide range of results. Many systems, including those in general use, require a degree of surface preparation and the use of solvents, both in the formulation and for cleanup, that are being increasingly restricted to protect health and environment. In addition, some systems have poor flow characteristics, require a high degree of surface preparation, and require highly skilled applicators.

The objective of this research is the preparation of tentative guidelines for the use of existing and recently developed nonproprietary coating systems for the painting of structural steel with emphasis on such considerations as (a) health and environment, (b) exposure conditions, (c) application requirements, and (d) economics. It is anticipated that accomplishment of this objective will involve:

- 1. Evaluation of current practices and experience of public agencies, industry, and others involved in the protection of structural steel (both new and existing) with regard to such factors as surface preparation, coating systems, coating thickness, and exposure.
- 2. Selection and experimental evaluation of recently developed coating systems for structural steel. The experimental program is intended to be an accelerated test that is expected to provide useful information after about one year of exposure.
- 3. Preparation of tentative guidelines for the selection and application of coating systems for defined sets of conditions based on current experience and limited experimental evaluation work.
- 4. Design of a field evaluation program for selected coating systems compatible with the project objective. The field evaluation design should consider the practicality as well as the performance of the selected systems.
- 5. Determination of gaps in the tentative guidelines and recommendation of priorities for needed research,

Research is in progress. Information has been compiled on recently developed nonproprietary coating systems that are considered to be acceptable from an environmental and health standpoint. The field experience, economics, and application requirements of the systems have also been reviewed. An experimental program has been developed involving the accelerated testing of four primers and five topcoats selected as having reasonable prospects of meeting project objectives. Test panels will be made from new and previously coated steel and will be subjected to salt spray, Weather-O-Meter, and coastal outdoor exposure. A more extensive field evaluation program will be designed following the accelerated testing.

AREA 5: **ILLUMINATION AND VISIBILITY**

FY '63 Project 5-2(1)

Effects of Illumination on Operating Characteristics of Freeways-Traffic Flow, Driver Behavior, and Accidents

Research Agency: Yale University,

Bureau of Highway Traffic

Fred W. Hurd Principal Invest .:

Effective Date: Feb. 15, 1963 Feb. 1, 1967 July 31, 1967 Completion Date: May 31, 1966 Funds: \$124,319 \$21,530

Because of insufficient information on the requirements in freeway illumination, thorough research needs to be performed. A scientific basis for warrants and design criteria for use in installing continuous and localized lighting on freeways is needed, as is evaluation in terms of benefits and costs.

A 5-mile segment of the Connecticut Turnpike in the Bridgeport area was selected for the study site. The light intensity was changed to reflect illumination at both the 0.2 and 0.6 average horizontal footcandle levels. The same study area has been used for Projects 5-2(2) and 5-2(3).

Yale University has evaluated the day and night operating characteristics of traffic flow, driver behavior, and accidents. Traffic characteristic data from more than 400,000 picture frames were transferred to punched cards and analyzed by an electronic computer. Information was obtained on lane use, variation of placement and velocity, headway distributions, vehicle clustering by type, and use of the on-ramp. Evaluations of day and night accident data and traffic volume data have been made.

The project report has been published as:

NCHRP Report 60, "Effects of Illumination on Operating Characteristics of Freeways,"

Project 5-2(2) FY '63

Effects of Illumination on Operating Characteristics of Freeways---Driver Response, Visibility, and Visual Discomfort

The Ohio State University Research Agency: Principal Invest.: Dr. Thomas H. Rockwell Dr. H. Richard Blackwell

Effective Date: February 15, 1963

Completion Date: August 31, 1965

Funds: \$81,187

The objectives of this research supplemented Project 5-2(1), the accent in this contract being on the characteristics of driver response, visibility, and visual discomfort.

In conducting its research, Ohio State made interdisciplinary personnel and resources available. The instrumented vehicle utilized in Project 3-3 was also used in this project, as were various types of lighting and optical instruments developed by The Ohio State University. This project was

coordinated with Project 5-2(1) for the phases of the work that were conducted on the Connecticut Turnpike site.

The driver response and roadway luminance data were transformed from the oscillograph record from the survey vehicle to numerical records for the studies conducted on the Connecticut Turnpike. Analytical procedures were prepared to provide a cross-correlation of driver control activity with roadway geometry, traffic density, subject characteristics, and illumination levels. The analysis tested the correlation of driver variables with the severity of disability glare, and studies were conducted to see if any change in the visual environment was effected by the light intensity

The results presented in the project report have been combined with the results of Project 5-2(1) and have been published as:

NCHRP Report 60, "Effects of Illumination on Operating Characteristics of Freeways."

Project 5-2(3) FY '63

Effects of Illumination on Operating Characteristics of Freeways-Driver Discomfort

Research Agency: The Justitute for Research at

State College, Pennsylvania Principal Invest.: Dr. Paul M. Hurst Effective Date: February 20, 1963

February 28, 1966 Funds: \$37,460

Completion Date:

As with Project 5-2(2), this research complemented that of Project 5-2(1). This study was concerned with only one aspect, that of driver comfort as related to anxiety as measured under various lighting conditions. The Institute for Research, a private research agency located at State College, Pennsylvania, obtained research data from motorists driving through the test area of the Connecticut Turnpike. Driver-questionnaire information was used to determine apprehension based on a numerical score and also to locate those events related to illumination which appeared to be most vexing to drivers.

The analysis included nonparametric tests of the effects of illumination, weather, moon brightness (as a function of elevation and phase), traffic volume, driver experience, driver familiarity, and day vs. night upon DDS scores and NTD scores.

The results presented in the project report have been combined with the results of Project 5-2(1) and have been published as:

NCHRP Report 60, "Effects of Illumination on Operating Characteristics of Freeways,"

Project 5-3 FY '64

Visual Information Needed by the Driver at Night

Research Agency: The Obio State University Principal Invest.:

Dr. Thomas H. Rockwell

Dr. Ronald L. Ernst

Effective Date: Completion Date: September 1, 1964 March 31, 1967

Funds:

\$100,940

This research was designed to determine minimum information necessary to maintain control stability and identify the information which is normally used. Visual degradation studies were conducted to determine limits of performance stability based on driver performance criteria previously established. Mapping of the visual field through selective degradation was conducted to identify classes of information used by nighttime drivers. Research was conducted to determine times and distances to satisfy information needs for optimal control. Visual cues were scaled by photometric calibration of viewed object contrasts and edge markings. An eye marking unit was employed to assess relative cue importance in maintaining performance. An attempt was made to formulate the effect of freeway informational features on driving performance based on perceptual and highway design factors.

The project report has been published as:

NCHRP Report 99, "Visual Information Needed by the Driver at Night."

Project 5-4 FY '64

Economic Study of Roadway Lighting

Research Agency:

The Franklin Institute

Principal Invest.: Effective Date: Arno Cassel July 20, 1964

Completion Date:

August 31, 1965

Funds:

\$19,412

The purpose of this project was to determine capital cost ranges and operating costs for prevailing light sources in relation to type of luminaire distribution system and light intensity on the pavement.

The researchers collected data for economic comparisons, including costs for hardware, installation, useful operating life, power, maintenance, depreciation, taxes, insurance, and financing for various lighting systems. Sample type and quantity of equipment were analyzed to provide standard illumination levels on typical two-lane. four-lane, and six-lane divided highways. A literature search was made of available lighting cost studies, specifications, design criteria for highway lighting installations, maintenance, and replacement factors. Methods for evaluating capital improvement proposals were reviewed, and the annual cost method appeared to be most suitable for evaluating costs of different roadway lighting configurations. Questionnaires were received from public utility companies, municipalities, and State highway departments to acquire cost information.

The project report has been published as:

NCHRP Report 20, "Economic Study of Roadway Lighting."

Project 5-5 FY '65

Nighttime Use of Highway Pavement Delineation Materials

Research Agency:

Southwest Research Institute

Principal Invest.;

John M. Dale

1 1065 1 1

Effective Date: Mar. 1, 1965 Completion Date: Dec. 31, 1966

July 15, 1967 Sept. 15, 1969

Funds:

\$50,000

\$100,000

In this study, ways of improving delineation of roadways under wet and dry conditions by either improving techniques utilizing existing materials or developing new materials and techniques were investigated.

This program was initiated by a field study of the performance characteristics of conventional marking materials. Following this, the researchers conducted studies of the physical nature of reflective materials with particular emphasis on their performance characteristics under various types of water films. Attention was directed to the development of a systematic approach to marking pavements wherein one qualifies the surface to be marked, determines the water film thicknesses to be encountered, and then selects one of several marking systems that will perform under the imposed conditions.

The project report on the laboratory phase of the research has been published as:

NCHRP Report 45, "Development of Improved Pavement Marking Materials—Laboratory Phase."

The purpose of the continuation phase was to further develop, optimize, and field test the new marking system that emerged from the initial research effort.

The project report on the field phase of the research has been published as:

NCHRP Report 85, "Development of Formed-in-Place Wet Reflective Markers."

In addition to the final report, a motion picture film was produced describing the results of the research. Loan copies of the film are available from the NCHRP Program Director for the cost of mailing and handling.

Project 5.5A FY '71

Development of Optimum Specifications for Glass Beads in Pavement Markings

Research Agency:

The Pennsylvania State University

Principal Invest.:

Dr. Luke M. Shuler

Effective Date:

May 1, 1971

Completion Date:

June 30, 1973

Completion 1 Funds:

\$99,350

This study was a continuation of recommended research based on the findings of Project 5-5 as reported in NCHRP Report 45.

Specific objectives were to:

Review and analyze world-wide research and practices involving the use and manufacture of traffic marking beads.

- 2. Identify those variables that markedly influence the effective utilization of glass beads in pavement markings. Evaluate these variables by laboratory and field tests as required in order to rate them in terms of their influence on the effectiveness and serviceability of delineation under actual traffic conditions. Field tests are to include measurements of wet-nighttime reflectivity.
- 3. Determine the capability and economics of producing glass beads of specified gradation, composition, shape, flow properties, color, etc.
- 4. Develop practical specifications and criteria for the selection and use of beads for reflectorizing traffic paint markings.
- 5. Evaluate for one or more states the probable benefits that would accrue should the proposed specifications be adopted in place of current specifications.

The research included a survey of current practice and field applications of test lines using a variety of paint film thicknesses and glass bead samples. A quantitative study was also undertaken of the retroreflective characteristics of glass beads in horizontal markings by calculations based on general mathematical optical theory.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 5-5B FY '72

Pavement Marking Systems for Improved Wet-Night Visibility Where Snowplowing is Prevalent

Research Agency: Texas A & M University

Research Foundation

Principal Invest.:

Dr. William M. Moore September 1, 1971

Effective Date: Completion Date:

December 31, 1974

Funds:

\$200,000

Conventional reflectorized pavement marking systems in common use lose their effectiveness markedly during periods of darkness in rainy weather. Raised reflectorized markers are quite effective under such circumstances and are in use where exposure to snowplows is not a factor. However, such markers may be quickly dislodged or destroyed in a large part of the U. S. where snowplowing is common during the winter months.

Accordingly, the objectives of this research were:

- 1. Develop one or more innovative concepts for pavement marking systems that are practical, economical, and effective under nighttime wet-pavement conditions and compatible with snowplowing.
- 2. Conduct a laboratory and controlled field evaluation of the system(s) developed in objective 1 and demonstrate its (their) practical and economic feasibility.

Interim reports submitted in September 1972 and October 1973 described the development and testing of the "first generation" markers and the development of the

"second generation" markers modified in accordance with the findings from the first winter field tests. The second generation marking systems were tested at sites in Colorado, New York, Pennsylvania, Virginia, and Texas.

The research has been completed, and the final report has been submitted. It will not be published, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 5-6 FY '68

Highway Fog

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: W. C. Koemond

K. Perchonok October 2, 1967

Effective Date: October 2, 196 Completion Date: April 30, 1969

Funds: \$99,955

The objectives of this research were: (1) to review past and current research of warm and cold fog as it affects highway operation: (2) to prepare a state-of-the-art summary of the review to include, but not be limited to, fog abatement, guidance systems, measures of visibility, and effect on traffic operations; (3) to determine the day and night fog levels (standards of visibility) that produce significant detrimental effects on driver performance and traffic operations; (4) to explore the feasibility of warm and cold fog abatement and vehicular guidance systems under highway conditions; and (5) to suggest ways and means of obtaining maximum effectiveness of systems to combat reduced visibility due to fog.

The research has been completed, and the final report has been published as:

NCHRP Report 95, "Highway Fog."

Project 5-6A FY '70

Highway Fog

Research Agency: Sperry Rand Corporation

Principal Invest.: James O. Dyal

Richard T. Brown William H. Heiss

Effective Date: September 1, 1970 Completion Date: May 31, 1973

Funds: \$93,540

This research was a continuation of NCHRP research in the general area of highway fog. The major objectives of the research were to:

- 1. Analyze the highway fog problem and determine the day and night fog levels (standards of visibility) that produce significant detrimental effects on driver performance and traffic operations.
- 2. Explore the feasibility of active and passive guidance systems for freeways and expressways that will inform and

warn the motorist of prevailing roadway fog and traffic conditions ahead, and guide and control traffic more safely and conveniently through the fog area.

The research was addressed principally to the first objective and developed a measurable fog visibility index and related this index to potential actions that can be taken to eliminate or minimize the detrimental effects of fog.

The research has been completed, and the final report has been published as:

NCHRP Report 171, "Highway Fog-Visibility Measures and Guidance Systems."

Project 5-7 FY '69

Roadway Delineation Systems

Research Agency: The Pennsylvania State University

Principal Invest.: Dr. J. I. Taylor
Effective Date: October 1, 1968
Completion Date: June 30, 1971
Funds: \$469,526

Vehicles running off the road constitute a substantial portion of the accidents on the nation's highways. Improved pavement and roadway delineation treatments may aid drivers in controlling their vehicles, thus improving the safety aspects of the highway and easing the driving task, especially during adverse weather conditions and at night.

Accordingly, the objectives of this research were: (1) to review past and current research pertaining to roadway delineation; (2) to prepare a state-of-the-art summary of the review; (3) to determine the driver's delineation requirements during various conditions, such as traffic, weather, highway geometry, and illumination; (4) to establish rational technique(s) for determining the effectiveness and any detrimental side effects of delineation treatments and, using the technique(s) established, evaluate existing and proposed delineation systems; (5) to test the more promising delineation systems; (6) to develop practical criteria for the selection of delineation treatments, including factors of cost effectiveness and maintenance problems; and (7) to compare the physical characteristics and performance of colored pavements with those of conventional asphalt and portland cement pavements.

The research has been completed, and the final report has been published as:

NCHRP Report 130, "Roadway Delineation Systems."

Project 5-8 FY '70

Warrants for Highway Lighting

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: Neilon J. Rowan

Ned Walton

Effective Date: March 16, 1970

Completion Date: Funds: February 15, 1973

\$198,875

A need existed to establish warrants for fixed roadway lighting on the various classes of roadways in both urban and rural areas; to determine whether the lighting should be continuous or just at specific locations; and to prepare guidelines for the design of lighting. Benefits from fixed-source roadway illumination, including driver performance, comfort, convenience and accident prevention, have needed evaluation.

Warrants for fixed lighting on specific roadway classes and at local highway situations should include consideration of benefits and costs of lighting (initial and operating) to satisfy the visual requirements of the driver. A method or methods of evaluating costs and benefits of roadway lighting to maximize returns on the investment should be developed for the designer in order to determine the specific design.

The specific objectives of this project were to:

- 1. Review and analyze world-wide research and practice in roadway lighting. Prepare a state-of-the-art summary of the review.
- 2. Develop requirements for a suitable visual environment to be obtained by fixed roadway lighting for safe and efficient traffic operations. Provide guidelines for the design of fixed roadway lighting to obtain this environment.
- 3. Evaluate the possible benefits derived when a suitable visual environment is provided by fixed roadway lighting.
- 4. Determine warrants (the minimum conditions) for where fixed roadway lighting systems should be installed for continuous lighting and at specific locations including, but not limited to, interchanges and intersections.
- 5. Analyze the role of cost-effectiveness and other evaluation techniques in (a) establishing the need for fixed roadway lighting, (b) setting priorities for fixed lighting projects, and (c) evaluating alternative designs of lighting.
- 6. Recommend a method of setting priorities for the installation of fixed lighting.
- 7. Provide typical example(s) of where lighting is warranted and demonstrate the practical application of objectives 1 through 6.

The research has been completed, and the final report has been published as:

NCHRP Report 152, "Warrants for Highway Lighting."

AREA 6: SNOW AND ICE CONTROL

Project 6-1 FY '63

Development of Economical and Effective Chemical Deicing Agents to Minimize Injury to Highway Structures and Vehicles

Research Agency: IIT Research Institute

Principal Invest.: D. B. Boies

Effective Date: Completion Date:

February 15, 1963 September 30, 1964

Funds:

\$40,000

Research was directed to the development of chemical agents that are not only economical and effective when used as deicing agents but also have minimal harmful effects on metals and concrete. Consideration was given to the relationship of laboratory tests to field conditions.

The project report has been published as:

NCHRP Report 19, "Economical and Effective Deicing Agents for Use on Highway Structures."

Project 6-2 FY '63

Nonchemical Methods for Preventing or Removing Snow and Ice Accumulations on Highway Structures

Research Agency: Roy Jorgensen and Associates

Principal Invest.: R. E. Jorgensen

R. D. Johnson

Effective Date: February 15, 1963 Completion Date: February 29, 1964

Funds: \$25,000

This study was primarily one of searching the literature and appraising the current status of knowledge of the subject. In addition to a literature survey, contacts were made with highway departments and other agencies that have been confronted with the problem. Designs for structure heating systems as used in the U.S. and other countries have been evaluated, as have other nonchemical methods. The researchers have included in their studies the effectiveness of nonchemical methods and economic losses due to structure deterioration.

The project report has been published as:

NCHRP Report 4, "Non-Chemical Methods of Snow and Ice Control on Highway Structures."

Project 6-3 FY '63

Development and Evaluation of Protective Coatings to Prevent Deterioration of Concrete Structures by Deicing Agents

Research Agency: Battelle Memorial Institute

Principal Invest.: M. J. Snyder
Effective Date: March 1, 1963
Completion Date: February 28, 1965

Funds: \$58,557

Investigations on this project were oriented toward developing new and evaluating existing materials to be applied to concrete surfaces to inhibit concrete deteriorations from deicing agents. Consideration was given to fresh as well as hardened concrete.

The project report has been published as:

NCHRP Report 16, "Protective Coatings to Prevent Deterioration of Concrete by Deicing Chemicals."

Project 6-4 FY '63

Evaluation and Development of Methods for Reducing Corrosion of Reinforcing Steel

Research Agency: Battelle Memorial Institute

Principal Invest.: A. B. Tripler, Jr.

Effective Date: March 1, 1963

Completion Date: April 30, 1965

Funds: \$39,330

Research investigations for this project related to an appraisal of existing methods for inhibiting corrosion of reinforcing steel in concrete. Consideration was given to such methods as (1) coatings on reinforcing bars, (2) inhibitors in concrete mixtures, (3) inhibitors in deicing chemicals, and (4) cathodic protection.

The project report has been published as:

NCHRP Report 23, "Methods for Reducing Corrosion of Reinforcing Steel."

Project 6-5 FY '63

Study of Physical Factors Influencing Resistance of Concrete to Deicing Agents

Research Agency: University of Illinois
Principal Invest.: Prof. C. E. Kesler
Effective Date: March 1, 1963
Completion Date: August 31, 1965
Funds: \$72,500

This research concerned the relationships between the physical characteristics of concrete and the susceptibility of concrete to damage from freezing and thawing in the presence of free moisture and deicing agents. Studies were made of the effects of varying concrete production methods on potentially durable concrete. Variations in the surface porosity, strength, and air-void system produced by differing finishing techniques were evaluated for typical air-entrained concretes. Large- and small-scale specimens were cast, and effects of period and time of finishing, environmental conditions, and additions of water during finishing were evaluated using surface scaling tests, surface tensile strength tests, and microscopical determination of surface air-void parameters.

This project has been completed, and the report has been published as:

NCHRP Report 27, "Physical Factors Influencing Resistance of Concrete to Deicing Agents."

Project 6-6 FY '63

To Evaluate Existing Methods and/or Develop Improved Methods for the Measurement of Certain Properties of Concrete

Research Agency: The Ohio State University Principal Invest.: Prof. R. W. Bletzacker

Effective Date; Completion Date: March 1, 1963 February 28, 1966

Funds:

\$69,393

In order to insure that finished concrete will conform to those specifications selected to produce adequate resistance to deicing agents, this study was initiated to evaluate and/or develop methods for securing pertinent quality control information at the earliest desirable or feasible age in order that any necessary corrective measures can be applied to the work in progress. Specifically, the study concerned the factors of (1) air content and uniformity of distribution, (2) cement content and uniformity of distribution, and (4) thickness of cover over reinforcement.

The project report was not published in the regular NCHRP report series, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 6-7 FY '63

Estimation of Disintegration in Concrete Structures

Research Agency: Principal Invest.:

Effective Date:

Geotechnics Floyd O. Slate March 1, 1963 August 31, 1964

Completion Date: Funds:

\$8,547

This study involved the development of instruments and method(s) for field use to detect and determine the extent of disintegration of structural concrete. The method(s) should be able to delineate area and depth within an accuracy of approximately 10 percent.

The contract was terminated with no project report. Research was resumed under Project 6-7A.

Project 6-7A FY '63

Estimation of Disintegration in Concrete Structures

Research Agency: Principal Invest.: IIT Research Institute Dr. W. J. McGonnagle February 1, 1965

Effective Date: Completion Date: Funds:

July 31, 1966 \$44,614

This research study involved the development of instruments and method(s) for field use to detect and determine the extent of disintegration of structural concrete. The

an accuracy of approximately 10 percent.

The project report was not published in the regular NCHRP report series, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

method(s) should be able to delineate area and depth within

Project 6-8 FY '63

Evaluation of Methods of Replacement of Deteriorated Concrete in Structures

Research Agency:

Bertram D. Tallamy Associates

Principal Invest.: Effective Date: Completion Date: Dr. B. D. Tallamy February 15, 1963 February 29, 1964

Funds:

\$25,000

This study was directed toward a search of available literature and a canvass of agencies that have been known to employ methods of repair of structural concrete. The researchers attempted an evaluation of the economics and adequacy of the various methods to accomplish the job. Recommendations were made of areas requiring further study.

The project report has been published as:

NCHRP Report 1, "Evaluation of Methods of Replacement of Deteriorated Concrete in Structures."

Project 6-9 FY '64

Potential Accelerating Effects of Chemical Deicing Damage by Traffic and Other Environmental-Induced Stresses in Concrete Bridge Decks

Research Agency: Principal Invest.: Effective Date:

University of Illinois Prof. Clyde E. Kesler January 1, 1965

Completion Date: Funds:

June 15, 1968 \$200,000

Some present bridge designs allow a degree of flexibility, which, under traffic and other environmental forces, may cause cracking and opening of existing cracks. This of itself may be structurally unimportant, but in the presence of deicing chemicals may contribute to corrosion of the reinforcing and spalling of the concrete by providing access channels for the corrosive agents. Stresses induced by traffic may augment those of frost action sufficiently to cause scaling in cases where a satisfactory performance would otherwise be expected. The objectives of this research were to establish by laboratory studies the relationships between performance and displacement in bridge-deck slabs. Airentrained reinforced concrete deck slabs with restraints similar to those experienced by slabs on structural steel and reinforced concrete beam-type bridges were investigated, and tests were conducted on replicas of actual bridgedeck slabs. Loading and environmental conditions in these tests simulated those encountered in the field.

The final report has been published as:

NCHRP Report 101, "Effect of Stress on Freeze-Thaw Durability of Concrete Bridge Decks."

Project 6-10 FY '68 and FY '69

Develop Improved Snow Removal and Ice Control Techniques at Interchanges

Research Agency: Bertram D. Tallamy Associates

Principal Invest.: I Effective Date: S

Completion Date:

L. G. Byrd September 1, 1967 September 30, 1970

Funds:

\$95,000

The variety of geometrical shapes of interchange ramps, with associated structures, and their urban or rural locations invariably creates problems with respect to optimum snow removal and ice control techniques in the interchange areas. Furthermore, alternate freezing and thawing of plowed or unplowed snow across superelevated ramps contributes to problems in snow and ice control. Drifting may further aggravate this problem. Improved snow removal and ice control techniques in interchange areas are vital to the safety of highway traffic.

The purpose of this study was to identify and evaluate the specific problems associated with snow removal and ice control operations at interchanges and to recommend methods for alleviating the problems. The investigation has been completed, and both physical and operational factors that influence winter maintenance operations at interchanges have been listed in the project report. Design considerations and operational procedures aimed at alleviating the problem have been described in a manual submitted as part of the final report.

The project report has been published as:

NCHRP Report 127, "Snow Removal and Ice Control Techniques at Interchanges."

Project 6-11 FY '71

Economic Evaluation of the Effects of Ice and Frost on Bridge Decks

Research Agency: Midwest Research Institute

Principal Invest.: Robert R. Blackburn

Effective Date: Sept. 1, 1970 Sept. 12, 1972

Completion Date: Nov. 30, 1971 Sept. 11, 1974

Funds: \$50,000 \$50,000

Ice or frost on bridge decks while the approach pavements remain ice- or frost-free is a known safety hazard. Although little hard evidence has been presented to indicate the extent of the problem, maintenance practice and research on various preventive or remedial techniques often assumes it to be significant. This project was undertaken to fill a need to quantify the problem as a basis for rational decisions concerning the economics of design and maintenance practices.

Phase I of the project consisted of a literature search, a survey of selected State highway departments, the formulation of a cost-benefit methodology, a preliminary model parametric analysis, the collection of cost data on preventive and remedial techniques in current use, the development of a subsidiary net cost model, the formulation and

evaluation of a bridge classification model, and the computation of illustrative examples of the cost-benefit methodology.

The application of the methodology developed in Phase I to sample cases identified data that were lacking. Furthermore, the resulting models were found not to be in a convenient form for ready implementation. Phase II of the project was designed to overcome the deficiencies. The continued research was directed at evaluating and implementing the methodology developed so that it could be used more readily by a highway administrator to determine the added design or extra maintenance cost justified to prevent or remedy ice or frost on bridge decks. The costhenefit methodology developed consists of a cost model and a benefit model. A bridge characterization model was also developed for predicting the annual number of ice and snow accidents to be expected on a bridge, given various characteristics of the bridge. The use of the methodology and bridge model appears promising; however, anyone wishing to apply the process will need to develop a more precise accident data base with regard to bridge and road surface conditions (frost, localized ice, etc.) for the particular area of interest beyond that now being collected. The data base can be generated using data collection procedures developed in the study.

Research has been completed, and the final report has been published as:

NCHRP Report 182, "Economic Evaluation of Ice and Frost on Bridge Decks."

AREA 7: TRAFFIC PLANNING

Project 7-1 FY '64 and FY '65

The Influence of Land Use on Urban Travel Patterns

Research Agency: Louis E. Keefer Principal Invest.: Louis E. Keefer

David K. Witheford

 Effective Date:
 Fcb. 1, 1964
 Apr. 1, 1966

 Completion Date:
 Jan. 31, 1966
 Sept. 30, 1967

 Funds:
 \$62,674
 \$66,894

This project sought to determine the criteria or values concerning travel patterns created by major traffic generators. Such information is useful in forecasting the effect of various land uses on street networks and in providing a better basis for facility design, as well as for the control of various land uses. The nature or relationship between travel patterns and influencing factors (i.e., travel time, traffic generator characteristics such as location, size, type and intensity of land use, modes of travel, and other pertinent variables) were evaluated.

A report on the initial research has been published as:

NCHRP Report 24, "Urban Travel Patterns for Airports, Shopping Centers, and Industrial Plants."

Origin and destination data for 12 commercial airports, 28 shopping centers, and 51 industrial plants from various cities in the United States were used in the analysis.

A report on the continuing phase of the research has been published as:

NCHRP Report 62, "Urban Travel Patterns for Hospitals, Universities, Office Buildings, and Capitols."

This report presents trip characteristics for four specific uses of land. The travel information on hospitals has been derived from the study of data for 77 hospitals located in 16 different metropolitan areas. The findings for college and university travel were developed from 38 institutions located in 16 metropolitan areas. Travel patterns for six State capitol complexes are presented. The trip characteristics for 20 office buildings located in 9 cities comprise the fourth type of land use studied and reported in the continuation research phase.

Project 7-2 FY '64 and FY '65

Traffic Attraction of Rural Outdoor Recreational Areas

Research Agency: IIT Research Institute
Principal Invest.: Andrew Ungar

 Effective Date:
 Fcb. 1, 1964
 May 1, 1965

 Completion Date:
 Mar. 15, 1965
 May 31, 1966

 Funds:
 \$24,652
 \$24,844

This research was concerned with determining the traffic attraction and generation of rural outdoor recreational areas, such as those created in many places by the creation of artificial lakes. Knowledge of the traffic patterns generated by such recreational areas would enable rational planning of highway access and parking facilities.

The final report evaluates the attractiveness characteristics and location of 18 Indiana state parks and compares the results to a similar study of reservoir recreational areas in Kansas. A predictive model suitable for application to the planning of new recreational areas is described utilizing trip distribution, a socio-economic activity index of the contributing area, and an estimate of the attractiveness based on the facilities to be provided.

The project report has been published as:

NCHRP Report 44 "Traffic Attraction of Rural Outdoor Recreational Areas."

Project 7-3 FY '64 and FY '65

Weighing Vehicles in Motion

Research Agency: The Franklin Institute
Principal Invest.: R. Clyde Herrick
Effective Date: February 1, 1964
Completion Date: August 31, 1967
Funds: \$73,391

The purpose of this research was to develop new or improved methodology for weighing vehicles in motion with review and study of existing or new equipment. The ultimate aim was to obtain load magnitudes automatically in a way similar to obtaining traffic volumes by traffic counters.

Franklin Institute's approach to this problem served to complement the studies performed by others rather than to duplicate existing research. The data processing system in block form only was developed on the project. It was planned that no full-scale or field testing would be performed under this contract. Study was primarily given to methods that will allow static weights of the axle to be calculated from a limited number of dynamic load observations.

The methods for estimating the static axle weight from sampled force studied include averaging, dynamic models, the interlacing polynomials, and regression analysis. A preliminary system for the detection and the analysis of weighing vehicles in motion was synthesized.

The project report has been published as:

NCHRP Report 71, "Analytical Study of Weighing Methods for Highway Vehicles in Motion."

Project 7-4 FY '64, FY '65, and FY '67

Factors and Trends in Trip Lengths

Research Agency: Alan M. Voorhees & Associates
Principal Invest.: Alan M. Voorhees

Salvatore Bellomo

 Effective Date:
 Feb. 1, 1964
 Oct. 23, 1967

 Completion Date:
 Oct. 31, 1966
 Jan. 10, 1969

 Funds:
 \$89,250
 \$61,730

This research involved the establishment of the characteristics of trends in trip lengths. Knowledge of such trends is needed to determine future urban travel demands. It was expected that characteristics of trip lengths will be influenced by factors such as trip purpose, level of service, size and spatial characteristics of urban areas, socioeconomic characteristics, and trip-generating activity location.

The results of the first two years of this research have been published as:

NCHRP Report 48, "Factors and Trends in Trip Length."

This report provides empirical and theoretical analyses from data collected from several transportation studies. Trip length guidelines have been developed to provide transportation planners with tests of reasonableness for travel forecasts.

The project was continued to enable the study of trip length in subareas within metropolitan areas. The objectives of the second phase were to establish various relationships to assist planners in minimizing trip length on a subarea basis and to provide guidelines for checking metropolitan trip length forecasts.

Data were collected for analysis from the two separate origin-and-destination studies conducted in each of the following cities: Detroit, Mich. (1953 and 1965); Sioux City, Iowa (1955 and 1965); Reading, Pa. (1958 and 1964).

The final report provides results of hypotheses formulated and tested to state the relationship over time between trip length and influencing factors. Simulation studies are

reported of home-based work-trip analyses for certain hypothetical urban forms and transportation systems.

The results of the continuation phase of the project have been published as:

NCHRP Report 89, "Factors, Trends, and Guidelines Related to Trip Length."

Project 7-5 FY '64 and FY '65

Predicted Traffic Usage of a Major Highway Facility Versus Actual Usage

Research Agency: Yale University,

Bureau of Highway Traffic

Principal Invest.: M. J. Huber

H. B. Boutwell

Effective Date: Completion Date: February 1, 1964 November 30, 1966

Funds:

\$99,675

This project involved the development of better methods for forecasting and assignment of traffic. Various methods in current use were investigated. Methods were developed to determine the effects a new facility has on the traffic pattern of existing facilities. A major emphasis of the research was to determine the accuracy of the predicted use as compared to the actual use of highway facility.

The project report describes various electronic computer traffic assignment methods with test results compared to actual survey data obtained along the Connecticut River. Pittsburgh Area Transportation Study data and network assignments were obtained to study several forecasts made 15 to 20 years ago.

A computer program was assembled to assign traffic to a network using four different capacity restraint methods. An analysis of statistical inferences from different network loadings was conducted.

The project report has been published as:

NCHRP Report 58, "Comparative Analysis of Traffic Assignment Techniques with Actual Highway Use."

Project 7-6 FY '66

Multiple Use of Lands Within Highway Rights-of-Way

Research Agency: Barton-Aschman Associates

Principal Invest.: Harvey R. Joyner Effective Date: February 1, 1966 Completion Date: February 28, 1967

Funds: \$24,220

Controlled-access highways in urban and rural areas include land which was necessarily acquired to provide space for the present and future safe design and operation of the facility but which is not now used. This project assembled information that illustrates what has been and what might be accomplished with these plots of land in the interest of both the highway user and the adjacent community.

The researchers reviewed the literature and prepared an annotated bibliography on the subject. A questionnaire was sent to the highway departments and several cities in the

U.S. as well as abroad to survey existing uses being made of highway rights-of-way. Personal visits to various sites were made to acquire more information on the effects of various uses. Policics and legal requirements were reviewed and recommendations made for the use of land within the highway rights-of-way.

The project report has been published as:

NCHRP Report 53, "Multiple Use of Lands Within Highway Rights-of-Way."

Project 7-7 FY '66

Motorists' Needs and Services on Interstate Highways

Research Agency: Airborne Instruments Laboratory

Principal Invest.: Martin A. Warskow Effective Date: January 1, 1966 Completion Date: December 31, 1967

Funds: \$99,267

This project was concerned with the needs and desires of motorists traveling on the Interstate Highway System, how these needs and desires are being satisfied, and what additional service provisions should be made. Legal and financial implications for providing various services were studied.

The researchers analyzed three basic classes of services: emergency services, which include out-of-fuel, mechanical failures, accidents, and medical needs; normal necessities, which include need for fuel, food, lodging, and directional information; and supplemental services, which include information on choice of lodging, fnel, and food facilities as to quality, location, brand, etc.

A national questionnaire was mailed to a sample of registered motorists to determine motorists' desires. Emergency service data were collected from various sources. A series of road trips was conducted in seven states in various parts of the country to experience a variety of the geographic aspects of this study. In each state, the researchers visited the local auto club, the State highway officials, and the State police to obtain various types of data.

The project report has been published as:

NCHRP Report 64, "Motorists' Needs and Services on Interstate Highways."

Project 7-8 FY '71

User Cost and Related Consequences of Alternative Levels of Highway Service

Research Agency: Stanford Research Institute

Principal Invest.: David A. Curry
Effective Date: September 1, 1970
Completion Date: April 15, 1972

Funds: \$99,070

Techniques for conducting compreheusive economic analyses of planned highway projects can be slow and cumbersome. In view of the evolving nature of the highway planning process, a need exists for an economic

analysis supplement to the Highway Capacity Manual utilizing the manual's definitions of highway types, levels of highway service, and other key concepts. The Highway Capacity Manual describes six levels of service for each of five types of highway facilities and provides detailed procedures for determining levels of service under various conditions. At present, however, these levels of service have not been quantified with respect to user costs and related consequences.

The objectives of this project were to evaluate data related to user costs on various highway facilities under different levels of service, volumes, and other conditions, and to develop a methodology that will relate these variables to user costs. Through the means of sensitivity analyses, highway design and situation variables were identified that have major impact on output variables that can be of use to highway decision-makers.

Motor vehicle running cost data were compiled and updated for use in calculating relative road user costs at different levels of bighway service and as affected by details of geometric design and traffic performance. By use of Appendix A of the Highway Capacity Manual, relationships were derived for peak-hour volume per lane in conjunction with AADT per lane pair. Queuing was analyzed based on the shock-wave method for uninterrupted flow and the deterministic method for interrupted flow. A methodology for estimating vehicle emissions was developed based on a "typical" vehicle configuration.

The research has been completed, and the project report has been published as:

NCHRP Report 133, "Procedures for Estimating Highway User Costs, Air Pollution, and Noise Effects."

Project 7-9

Development of Models for Predicting Weekend Recreational Traffic

Research Agency:

Midwest Research Institute

Principal Invest.: Effective Date:

Walter R. Benson

September 1, 1972 Completion Date: May 15, 1974

Funds:

\$74,983

Traffic congestion occurs frequently on weekends at the fringes of urban areas as well as at recreation sites. For a number of highways serving recreational travel, it has been found that the peak hours of the year are concentrated on weekends. This weekend dilemma is of increasing concern to highway officials particularly, because it is expected to increase with increasing personal income and work-free time. Urban transportation studies, charged with forccasting future travel patterns, have excluded weekend travel.

The objective of this research was to develop techniques for the prediction of weekend recreational traffic capable of responding to changes in recreation demand, recreation supply, and transportation supply.

The principal development was a computer program RTPM (Recreational Traffic Prediction Model). RTPM operates in conjunction with the Urban Planning Battery in a three-stage process as follows:

- 1. Urban Planning Battery programs are employed to create a highway network representing the primary roads in an area selected for study and to determine travel times between all zones in the network.
- 2. RTPM generates a trip file consisting of all origindestination weekend recreational travel for which either the origin or the destination point is within the area selected.
- 3. These trips, within a user-specified time-of-weekend period, are loaded onto the highway network by Urban Planning Battery programs to provide estimates of traffic on any one or more individual highway segments.

The project report was not published in the NCHRP report series: however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 7-10 FY '74 and FY '75

Peak-Period Traffic Congestion

Research Agency: Principal Invest.:

Remak-Rosenbloom Sandra Rosenbloom

Roberta Remak

Effective Date: Completion Date: April 1, 1974 March 31, 1975

Funds: \$49,624

Peak-period traffic congestion in urban areas is a critical transportation problem. Congestion is due primarily to the inability of transportation systems to meet concentrated spatial and temporal travel demands. The continued building of capital-intensive systems to effect solutions is often controversial in light of economic, social, and environmental impacts. Research was needed to evaluate the full range of possible options to improve peak-period efficiency of transportation systems in large and small urban areas.

The objectives of this project were to (1) conduct a state-of-the-art survey to identify methods currently used or envisioned to alleviate the problem, (2) evaluate methods to ameliorate peak-period traffic congestion and to combine promising mutually supportive approaches into packages, and (3) develop research problem statements in the areas of institutional, energy, and social impacts associated with potentially effective congestion reduction packages.

Techniques to ameliorate peak-period traffic congestion were classified as social, socioeconomic, sociotechnical, and technical. They have been summarized in a state-ofthe-art report. Experience with each technique has been described under the following categories: concepts, costs, time frame, funding source, political feasibility, and impact.

Mutually supportive techniques and incompatible techniques were identified. As a result, eight recommended packages of techniques to ameliorate peak-period traffic congestion were developed. These packages carry the following titles: (1) Work Hour Changes, (2) Pricing Techniques, (3) Restricting Access, (4) Changing Land Uses. (5) Prearranged Ride Sharing, (6) Communications Substitutes for Travel, (7) Travel Engineering Techniques, and (8) Transit Treatments.

To highlight existing deficiencies and knowledge, ten problem statements were developed.

Two reports describe the findings from this project. Volume 1, entitled "Peak-Period Traffic Congestion: State of the Art and Recommended Research," is available either on loan from the NCHRP Program Director or by purchase from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Mich. 48106. Volume 2, entitled "Peak-Period Traffic Congestion—Options for Current Programs." has been published as:

NCHRP Report 169, "Peak-Period Traffic Congestion— Options for Current Programs."

Research was continued under NCHRP Project 7-10(2).

enlist cooperation. Guidance is given, where appropriate, for federal, state, and local levels of government.

Joint implementation, requiring agency coordination, was found to generate institutional problems independent of the particular techniques involved in the congestion-reduction program. The research leads to the conclusion that metropolitan planning organizations (MPO) must integrate local and areawide transportation needs, resolve conflicts in plans of individual agencies, and at the same time ensure that federal and state program requirements are met. Strategies are recommended to assist an MPO or other central authority to effect necessary coordination.

The important institutional factors determined by the character of the community are the unofficial power structure, special-interest organizations, and community attitudes. Because these factors will be unique in each community, only broad strategies were recommended.

The final report is in the editorial and publication process.

7-10(2) FY '75

The Institutional Aspects of Implementing Congestion-Reducing Techniques

Research Agency:

Remak-Rosenbloom

Principal Invest.:

Roberta Remak Sandra Rosenbloom

Effective Date:

April I, 1975

Completion Date:

March 31, 1978

Funds:

\$68,903

Project 7-10(2) was a study of the institutional aspects of the congestion-reducing techniques and packages of techniques identified in Project 7-10. To implement the techniques and/or packages, all institutional factors need to be known, especially those that can help and those that can hinder.

The general objective of Project 7-10(2) was to develop strategies for assuring that congestion-reduction packages are considered rationally within today's institutional framework.

The research found that institutional problems can best be anticipated by recognizing that they derive from three sources. Some are inherent in the individual techniques selected. Others result from needs to coordinate activities of several essentially independent institutions. Still others derive from the character of the community in which the program is being carried out.

The congestion-reducing techniques recommended in NCHRP Project 7-10 were grouped according to common institutional problems they presented and strategies that could be used to overcome them. These groups were: (1) traffic engineering techniques; (2) transit improvement techniques; (3) techniques for restricting automobile use; (4) techniques for changing land use; and (5) techniques relying on employer initiative.

The report discusses the major problems for each group and recommends strategies to overcome opposition and

AREA 8: FORECASTING

Project 8-1 FY '64

Social and Economic Factors Affecting Travel

Research Agency:

Vogt, Ivers and Associates Robert S. Vogt

Principal Invest.: Effective Date:

February 1, 1964 September 23, 1966

Completion Date:

\$94,558

The purpose of this research was to develop means of estimating intercity travel using known traffic volumes and available economic and social data between selected cities and testing it by application to other pairs of cities between which travel is also known. A review was made of the adequacy of current processes of estimating urban travel using social and economic factors and the applicability of these techniques in estimating intercity travel. This study also researched whether useful and reliable work can be done by using urban transportation study techniques and applying them to other travel areas.

Knowledge gained by this research is useful to transportation planners and design engineers. Extensive use of electronic computers and existing computer programs to extract and classify summarized pertinent origin-destination data from existing studies has been accomplished.

A nationwide network has been produced for trip distribution purposes. More than 3,000 centroids representing each county or county equivalent with basic population, employment, income, bank deposit, and other social-economic information have been assigned. The centroids are connected by links representing the highway system. External O-D data were acquired and processed for 22 cities in Tennessec, Wisconsin, and Missouri. From these data, regression analyses were run to test various equation

forms and the correlation between variables, combination of variables, and transformation of variables for total trips and for trips by purpose. The trips predicted from the regression equations have been compared to actual survey trips.

The project report has been published as:

NCHRP Report 70, "Social and Economic Factors Affecting Travel."

Project 8-2 FY '64 and FY '65

Factors Influencing Modal Trip Assignment

Research Agency:

HT Research Institute

Principal Invest.: Effective Date: Completion Date: Dr. F. C. Bock February 1, 1964 August 31, 1966

Funds:

\$298,033

The intent of this research was to improve methods of assigning urban area traffic to the various modes of travel. It involved the identifying of factors underlying choice of travel mode, the determination of the relationships of these factors, and also the development of a method of analysis and forecasting. Methods were tested and found to be practicable for use under real-life conditions. Such methods would be applicable in making better trip assignments in urban transportation systems.

The project report contains a survey of existing modal split models, and analysis of five metroplitan areas having rail rapid transit, a study of factors influencing choice in travel mode, and prediction models for modal choice based on discriminant functions with a comparison of reported trips and computed paths.

A survey of travel choice of IIT Research Institute personnel was conducted. The reported trip time was compared with computed times using the updated 1965 CATS network. The Chicago 1960 census data were analyzed to improve predictive techniques for mode choice. A composite Chicago travel network was developed, with an analysis of variance of reported and computed transit travel time.

The project report has been published as:

NCHRP Report 57, "Factors Influencing Modal Trip Assignment,"

Project 8-3 FY '64

Individual Preferences for Various Means of Transportation

Research Agency: Principal Invest.: Effective Date:

Completion Date:

University of Pennsylvania Dr. Russell L. Ackoff February 1, 1964

Funds:

\$63,282

March 31, 1965

This project was designed to probe individuals' transportation preferences as contrasted to the more objective studies that Project 8-2 is concerned with. It was expected that the research would develop additional knowledge as to why and under what conditions persons will use or shift from one form of transportation to another. Better information and estimating bases are needed in order to obtain broad community agreement on plans for transit and highway improvement.

The final report was not published, but a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 8-4 FY '65

Criteria for Evaluating Alternative Transportation Plans

Research Agency: Principal Invest.: Northwestern University Dr. Edwin N. Thomas

Dr. Joseph I., Schofer

Effective Date: Completion Date: February 1, 1965 August 1, 1967

Funds: \$89,900

Present benefit-cost and other evaluative techniques do not take into account a number of costs, benefits, and broad policy matters which do not easily lend themselves to numerical computation. This project was intended to identify and evaluate the broad array of factors which should be considered in making an intelligent choice among alternative transportation plans. A system for using these factors should be devised.

To identify and evaluate the broad array of factors which reflect the user's and community's scale of values, the researchers took a systems-analysis approach. A home interview was conducted as a pilot effort to establish user and community values in specific cities. The perceptions and attitudes of the driver were derived, as well as the citizen's views about the transportation system in general. Models were developed to be able to match potential transportation system consequences with specific planning goals. Problems associated with predicting system consequences were studied.

The multi-volume report consists of a section in three parts entitled "Strategies for the Evaluation of Alternative Transportation Plans," and a section entitled "Evaluation of Engineering Projects Using Perceptions of and Preferences for Project Characteristics."

In response to comments of the project panel, some additional material was found to be desirable to be added to the final report. Certain modifications were deemed necessary to relate the findings of the research more closely to the immediate needs of transportation planners.

A continuation contract was executed under NCHRP Project 8-4A for the purpose of modifying the final report for publication.

Project 8-4A FY '65

Criteria for Evaluating Alternative Transportation Plans

Research Agency: University of Illinois
Principal Invest.: Dr. Joseph L. Schofer
Effective Date: October 14, 1968
Completion Date: January 10, 1969
Funds: \$5,000

See Project 8-4 for general scope and objective of the research.

To improve the flow of ideas throughout the document, the final report of Project 8-4 was modified. In addition, more extensive descriptions of strategies for treating streams of cost and effectiveness indicators were prepared and integrated into the text. Also, several illustrative examples of the application of cost-effectiveness analysis to transportation-plan evaluation were prepared to demonstrate the use of the methodology, as well as to support some of the broader concepts described in the final report.

The project report has been published as:

NCHRP Report 96, "Strategies for the Evaluation of Alternative Transportation Plans."

Project 8-5 FY '65 and FY '68

Transportation Aspects of Land-Use Controls

Research Agency: Victor Gruen Associates

Principal Invest.: Harold Marks

 Effective Date:
 April 1, 1965
 Aug. 7, 1967

 Completion Date:
 May 31, 1966
 Jan. 15, 1970

 Funds:
 \$25,967
 \$99,571

Proper land-use controls, properly administered, protect and enhance the public investment in transportation. Zoning, subdivision regulations, and all other land-use controls are intended to shape the pattern of the urban development. The objective of this research was to provide a better understanding of the effectiveness of existing land-use controls on the continuing utility of transportation systems.

The initial research primarily consisted of a literature search and a canvass of selected highway departments and other agencies concerned with transportation planning in areas of rapid growth and intensive development. The effects of zoning and general plans were studied, as were highway geometry and access control, in regard to protecting the investment of the highway systems.

A first technical report has been published as:

NCHRP Report 31, "A Review of Transportation Aspects of Land-Use Control."

This project was continued to establish principles or guidelines for developing land-use controls and other techniques that will be stable and effective in the protection of highway utility. The research effort was conceptual in nature and presented a variety of ideas and proposals by which the highway investment can be protected. Some of the guidelines were developed in considerable detail. These can be incorporated into the procedures and practices of land-use and highway administrators. Other principles were developed as a base from which more detailed analyses can be undertaken.

The project report discusses basic interrelationships between transportation facilities and land use and how such relationships can cause transportation facility breakdowns. The effects of changing land-use controls on the utility of highways are discussed, with special attention being given to large traffic generators located near freeway interchanges.

The continuation research has been completed, and the project report has been published as:

NCHRP Report 121, "Protection of Highway Utility."

Project 8-6 FY '66

Individual Preferences for Alternative Dwelling Types and Environments

Research Agency: University of North Carolina

Principal Invest.: F. Stuart Chapin, Jr. Effective Date: February 14, 1966
Completion Date: March 13, 1968

Funds: \$99,897

In predicting the future demand for transportation, it is imperative that future densities of residential areas be projected. In order that this may be done with confidence, a better understanding must be acquired for the preferences of various housing types and environments.

To measure and report on a representative cross section of the population, the researchers interviewed a sample of 1,476 households in various metropolitan areas. Logical relationships were developed between desired home type, price range, travel access mix, and living qualities. An attempt was made at estimating the number of people expected to move in a specified time period and where they will probably locate.

A national survey in 43 Standard Metropolitan Statistical Areas was conducted in October and November 1966. The information provides a detailed, factual profile on the mobility and residential choice behavior of households in metropolitan areas.

The project report deals with a summary of findings on housing choice of the households interviewed; an analysis of the residential mobility process; an analysis of the housing-choice process; and, drawing on these analyses, a discussion of the elements needed for a model of moving behavior which will have the capability of dealing with both the mobility and choice processes as components of residential changes.

The project report has been published as:

NCHRP Report 81, "Moving Behavior and Residential Choice—A National Survey."

Project 8-7 FY '69

Evaluation of Data Requirements and Collection Techniques for Transportation Planning

Research Agency; Creighton-Hamburg Principal Invest.: Roger L. Creighton Effective Date: September 13, 1968 Completion Date: August 28, 1970 Funds: \$190,000

Urban transportation planning studies require travel, transportation facility, land-use, and various socioeconomic data. Techniques for obtaining these data are slow and costly. The accuracy, utility, and adequacy of the data and the methods employed for their collection and assembly need to be evaluated in the light of the evolving transportation planning process.

The purpose of the research project was to see what data were needed, first, for the basic transportation planning process such as was required to be undertaken for metropolitan areas by the Highway Act of 1962, and, second, for new kinds of transportation planning that are developing, A very limited number of transportation studies were selected for careful and detailed data analysis to establish recommendations on guidelines for data requirements and collection techniques. The project defined data requirements for both basic and continuing urban transportation studies with regard to travel, transportation facility, landuse, and socioeconomic data. Sensitivity analysis was performed to examine variations of the transportation data for assessing the impact that data errors have on the output of the transportation planning process.

The research included a comprehensive study of the transportation planning process in five cities to determine data collected, how they were used for planning and research, and their times and costs. Sensitivity tests of these data were conducted. Studies of data needs for new types of transportation-planning processes and alternate means of collecting data were also undertaken. Research was conducted on data needs of related planning processes, such as TOPICS Planning and Transit Planning.

The project report has been published as:

NCHRP Report 120, "Data Requirements for Metropolitan Transportation Planning."

Project 8-7A FY '71

Data Requirements and Transportation Planning Procedures in Small Urban Areas

University of Tennessee Research Agency: Principal Invest .: Dr. William L. Grecco

Effective Date: June 1, 1973 Completion Date: June 14, 1975 Funds; \$98,005

Urban transportation planning studies in urban areas of less than 250,000 population have evolved as miniature versions of the transportation planning process in large urban areas. These studies have been time consuming and costly and have had inordinate data requirements. The complexity and expense of these procedures was of increasing concern to highway officials because of the need to establish ongoing, continuing transportation planning processes in small urban areas.

The initial focus of this research was to develop a simplified transportation planning process for small urban areas of less than 250,000 population that is sufficiently flexible so that travel forecasts can be based on a smallsample home-interview survey or simulation. It was found that the existing standardized procedures were incompatible with the possible variations in the nature of the problems, available resources, and expectations of the participants. The digest of responses from the small urban areas examined typifies the difficulty faced when attempting to adapt the planning problem to the planning process, rather than fitting the process to the specific problem. The need for a customization of planning procedures was established, and the current organizational framework and technical practices in both land-use and transportation planning were evaluated from that standpoint.

Land-use planning in small communities was found to be highly standardized in format and content, but not in procedures, which varied significantly in terms of sophistication. It was found to be appropriate for planners to forego elaborate procedures in favor of various hand methods that are heavily dependent on the planner's knowledge of the community and the exercise of professional judgment in an ad hoe, or opportunistic, fashion. The transportation planning procedures appeared to be relatively more standardized.

The research identified and presented four types of transportation planning techniques for application in small urban areas: (a) network simulation based on synthetic models and a small-sample household survey, (b) consumer-oriented transit planning procedure, (c) simple techniques for corridor analysis, and (d) hand-computation-oriented procedure for estimating localized impacts of major traffic generators. Existing techniques were reviewed and tested (to varying levels) within each category. Examples include cross-classification and synthetic models, corridor-growth traffic-forecasting models, use of work-trip data from employers to update continuing transportation studies, development of a consumer-oriented approach to determining local transit needs and providing activitycenter traffic estimates to assist in assessing the localized impact of land-use changes on the transportation system.

Research has been completed, and the project report has been published as:

NCHRP Report 167, "Transportation Planning for Small Urban Areas."

Project 8-8(1) FY '69

The Impact of Highways upon Environmental Values (Study Design)

Research Agency: Massachusetts Institute of Technology

Principal Invest.: Dr. Marvin L. Manheim Effective Date: September 16, 1968

Completion Date: March 14, 1969

Funds: \$29,654

The increased emphasis on social and esthetic values has focused attention on the need for improving integration of the highway with the community.

The scope of this project was to develop an independent study design to be used as the research plan for the secondphase work. The study design was completed, and the report received but not published.

Refer to Project 8-8(3) for description of the over-all project objectives and details of the second phase of this study.

Project 8-8(2) FY '69

The Impact of Highways upon Environmental Values (Study Design)

Research Agency: Daniel, Mann, Johnson & Mendenhall

Principal Invest.: S. R. Sludikoff
Effective Date: September 9, 1968
Completion Date: March 7, 1969

Funds: \$28,950

The increased emphasis on social and esthetic values has focused attention on the need for improving integration of the highway with the community.

The scope of this project was to develop an independent study design to be used as the research plan for the secondphase work. The study design was completed, and the report received but not published.

Refer to Project 8-8(3) for description of the over-all project objectives and details of the second phase of this study.

Project 8-8(3) FY '69

The Impact of Highways upon Environmental Values

Research Agency: Massachusetts Institute of Technology

Principal Invest.: Dr. Marvin I., Manheim Effective Date: September 15, 1969
Completion Date: July 31, 1974

Completion Date: July 31, 1974 Funds: \$470,000

The increasing emphasis on social and environmental values has focused attention on the need for improving integration of a transportation facility with both the natural and the human environment. To achieve desirable levels of integration, research was programmed by AASHTO to (a) develop a practical method for evaluating the immediate and long-term effects of highways on the social and environmental considerations of communities and (b) test, evaluate, and refine the method by applying it to specific cases covering a range of situations. Because the design process must maximize the probability that significant community values will be considered, even if the state of the art does not allow all of these values to be measured quantitatively or precisely, the research emphasizes development of

an approach in the context of the location process. Although the scope encompasses all types of highways, the study findings are applicable to all types of transportation facilities, many other public works projects, and all phases of planning.

In the initial phase, funded in 1969, MIT prepared a study design that served as the working plan to develop a pragmatic approach to the problem. The conclusion to the first phase was an unpublished draft report, "Community Values in Highway Location and Design: A Procedural Guide,"

The second and final phase included (1) working with selected State highway departments to implement the proposed approach and adapt it to specific situations; (2) extending the approach for use in metropolitan area and statewide multimodal, systems-level planning; (3) extending, testing, and refining the techniques set forth in the draft Procedural Guide; and (4) revising the Procedural Guide to reflect the additional knowledge.

The approach developed recognizes and considers ten elements basic to the consideration of environmental and social values in transportation planning. They are:

- (1) Differential effects.
- (2) Community values.
- (3) Community interaction,
- (4) Evaluation and reporting.
- (5) Consideration of alternatives.
- (6) Identification of impacts and affected interests.
- (7) Process management,
- (8) Interrelation of system and process planning.
- (9) Institutional arrangements and decision making.
- (10) Implementation of the approach.

These elements are described in an overview and discussed individually in detail. To assist in incorporating these elements into the transportation planning process, specific immediately implementable techniques that can be used by transportation agencies are described. Most of the techniques can be adopted individually without difficulty. (They are intended for use in developing and evaluating alternative transportation plans with the participation of other state and federal agencies and local citizens and officials.) Some of these techniques are already current practice in some agencies. Several have been tried in other professions; others have been recommended in the published literature or were suggested in discussions with federal and State highway officials. Many more stemmed from direct observation of the problems transportation agencies are facing.

Research has been completed, and the project report has been published as:

NCHRP Report 156, "Transportation Decision-Making—A Guide to Social and Environmental Considerations."

The report is closely related to the requirements of the Process Guidelines for the development of Environmental Action Plans as specified in Volume 7, Chapter 1, Section 1 of the Federal Highway Administration's Federal-Aid Highway Program Manual. It is structured to assist in the revision and implementation of Action Plans. The over-

view discussion of the ten elements is roughly analogous in scope and level of detail to the FHWA Process Guidelines. The remaining sections of the report correspond in many ways to the content of an Action Plan.

Project 8-9 FY '72

Comparative Economic Analysis of Alternative Multimodal Passenger Transportation Systems

Research Agency: Creighton-Hamburg

Principal Invest.: F. F. Fryc

Effective Date: September 1, 1971 Completion Date: January 31, 1973

Funds: \$100,000

Economic evaluation of proposed new highway facilities traditionally has been on a cost-benefit basis, as is common with other public works projects. On the other hand, evaluation of proposed new transit facilities, as an action of a private company or a public utility, has too often been on a cashbox-revenue return basis. From the point of view of public investment, it is necessary to view these expenditures within a comparable evaluation framework so that the measures of benefits and costs are interchangeable. Such a framework for the economic evaluation of multimodal passenger transportation systems has immediate applicability to urban transportation studies.

The objective of this research was to develop improvements and expansion of existing processes that evaluate alternative multimodal transportation system plans. These improvements were sought on the basis of increasing the number of relevant criteria used in the evaluation framework and ensuring that the measuring techniques (economic evaluation criteria) developed represented accurately the impacts of alternative transportation plans.

Research has been completed, and the project report has been published as:

NCHRP Report 146, "Alternative Multimodal Passenger Transportation Systems—Comparative Economic Analysis."

Project 8-10 FY '72

Planning and Design Guidelines for Efficient Bus Utilization of Highway Facilities

Research Agency: W

Wilbur Smith and Associates

Principal Invest.: Effective Date:

Herbert S. Levinson September 1, 1971

Completion Date:

July 31, 1973

Funds: \$149,907

Highways are capable of moving large numbers of persons on buses, but, in high-volume corridors, transportation service deteriorates due to peak traffic congestion. In order to move more people at an acceptable level of service, spe-

cial facilities and control measures can be employed. The desired goal is rapid, convenient, reliable bus transit. Thus, a highway transportation system can be designed to offer a high level of service for peak commuter loads.

The research, now completed, was designed to develop a single reference source of bus priority measures to increase the person-carrying capacity of urban highways.

The interim report, "Bus Use of Highways—State of the Art," published as NCHRP Report 143, contains a literature search and correlative analysis of more than 200 bus priority treatments throughout the world.

The final report, "Bus Use of Highways—Planning and Design Guidelines," published as NCHRP Report 155, contains planning and design guidelines for efficient bus use of highways based on the experience gained from the literature search and state-of-the-art survey. It identifies significant policy implications, contains relevant planning criteria and warrants for various bus priority treatments. suggests measures of effectiveness, presents bus design parameters, and sets forth detailed planning and design guidelines for both freeway-related and arterial-related bus priority treatments and for terminals. For measuring effectiveness, it was found that the variance of bus times is an important descriptor of bus reliability.

To aid the designer, vehicle design and performance characteristics are given, together with bus capacity considerations. These include queue behavior parameters, bus unloading and loading times, and bus capacity ranges.

Bns priority treatments should be complemented by appropriate policies that encourage and reinforce transit use, such as low bus fares, downtown commuter parking supply and rate adjustments, and strict enforcement of bus priority treatments. Within this policy framework, that recognizes public transport as an essential community service, various types of bus preferential treatments can be applied to specific urban situations.

Project 8-11 FY '73, FY '76, and FY '77

Social, Economic, Environmental Consequences of Not Constructing a Transportation Facility

Research Agency: Principal Invest.: DACP, Inc. Jonathan S. Lane September 16, 1974

Effective Date: Completion Date:

February 28, 1979

Funds:

\$346,749

To fully assess the impacts associated with a proposed transportation improvement, it is necessary to be able to compare the transportation, social, economic, and environmental consequences of this investment to the alternative consequences of not making any significant transportation investment, which may result in a relative decline in levels of transportation service. Such an evaluation is now required by Federal law. However, available evaluation techniques and procedures do not appear to be well suited to

specify and measure either short- or long-term social and economic consequences of not constructing a ground transportation facility. Research is needed to gain valid and usable techniques for such an evaluation. Because environmental and systems performance measures are well documented, the major emphasis needs to be on the social and economic consequences of not constructing a ground transportation facility (NCTF).

The general objective of this research is to strengthen techniques for the evaluation of the NCTF alternative and to better define the role of the NCTF alternative in an analysis of alternatives.

Research for Phase I has been completed and the results printed as The No-Build Alternative: The Social, Economic, and Environmental Consequences of Not Constructing Transportation Facilities; Part I-Findings and Guidelines; Part II-Appendixes. The report includes a literature search on alternative analysis and impact assessment; a review of environmental impact statements and related planning documents to identify projects where an NCTF alternative was clearly defined and evaluated; a nationwide survey of State transportation officials to identify projects for which an NCTF decision had been made and to determine the state of the art for an NCTF alternative definition and evaluation; and case studies of four major NCTF projects to examine the impacts of an NCTF decision and the use of NCTF alternatives in decision-making. A copy of the Phase I report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106, or is available on a loan basis from the NCHRP Program Director.

The primary product of the research was a set of guidelines outlining an approach to social, economic, and environmental impact assessment for NCTF alternatives. Recommendations in the guidelines dealt with the definition of NCTF alternatives, the prediction and measurement of NCTF impacts, and the use of NCTF alternatives in plan evaluation. Deficiencies in the procedures and techniques used to analyze NCTF alternatives were identified.

Following review of the guidelines by the NCHRP panel and State transportation agency personnel, a pilot test program was postponed until a major revision of the Phase I guidelines could be completed. The revised guidelines were printed as Impact Assessment Guidelines: The Role of the No-Build Alternative in the Evaluation of Transportation Projects. They dealt with the role of the NCTF alternative; the definition of alternatives; the impact assessment process and recommended assessment techniques for 13 categories of social, economic, and environmental impact; and techniques for plan evaluation. Copies may be purchased from the Publications Office of the Transportation Research Board at a prepaid cost of \$7.00 plus \$1.00 for postage and handling.

The pilot studies have involved two or more field visits to assist the states of California. Colorado, Maryland, Michigan, and Oregon in the use of the guidelines. Resulting state experience has been used to revise the guidelines a second time. These guidelines, together with the preliminary draft research report, are presently in the review stage.

Project 8-12 FY '75

Travel Estimation Procedures for Quick Response to Urban Policy Issues

Research Agency: Metropolitan Washington Council of

Governments

Principal Invest: George V. Wickstrom

Arthur B. Sosslau

Effective Date: September 3, 1974
Completion Date: December 31, 1975

Funds: \$39,895

Most techniques for estimating urban travel demand were developed to evaluate alternative transportation systems for an entire region. Application of these comprehensive techniques to provide timely answers to current policy questions has proven very difficult. This research effort was initiated to assemble and modify existing techniques, as well as to develop new approaches, for use by transportation planners faced with the need to be more responsive to current issues.

This research identified the most significant issues confronting urban transportation agencies that require travel demand information, defined the data requirements to meet those issues, and identified available planning techniques that can provide the needed data. Questionnaires were sent to numerous urban planning agencies to identify the issues requiring travel demand information, and personal interviews were conducted to follow up on the questionnaires. The issues were then classified and grouped to isolate the most pressing requirements of travel estimating procedures, and an exhaustive effort followed to locate the available techniques that would provide the needed data.

These techniques, more than 40 in all, were fully evaluated in terms of input requirements, types of output, potential applications, and complexity. A system was also developed to allow users to quickly locate the technique needed for their specific purpose.

Research has been completed, and the major findings have been incorporated into the research report emanating from Project 8-12A and published as:

NCHRP Report 186, "Travel Estimation Procedures for Quick Response to Urban Policy Issues."

Project 8-12A FY '75 and FY '76

Travel Estimation Procedures for Quick Response to Urban Policy Issues

Research Agency: Comsis Corporation

Principal Invest.: Arthur B. Sosslau

George V. Wickstrom

Effective Date:

November 1, 1975

Completion Date:

October 31, 1978

Funds:

\$239,331

This continuation of Project 8-12 has provided a user's guide of travel estimation techniques having quick response capabilities. The techniques are applicable for use by

transportation and land-use planners, giving emphasis to the impacts of land-use changes on transportation alternatives and the magnitude of urban activities consistent with differing levels of transportation service. Problems of scale are addressed: e.g., the applicability of techniques to regions, subregions, and corridors.

Detailed descriptions of manual techniques for use in each aspect of travel demand estimation (i.e., trip generation, trip distribution, modal choice, auto occupancy, time-of-day distribution, traffic assignment, capacity analysis, and development density versus highway spacing relationships) were developed in this research. Numerous charts, tables, and nomographs were prepared to simplify each analysis step. Data requirements were also reduced by making maximum use of transferable parameters developed from other studies and urban areas. Three scenario applications of the manual techniques were conducted to illustrate the potential usefulness of the various analysis techniques. The presentation of the procedures in the final report is structured to allow their utilization by transportation planners with various levels of experience.

Instructional materials for use in training sessions or workshops were developed based on the manual techniques described in the user's guide. These materials include more than 400 slides, 50 transparencies, an instructor's notebook, and a student's notebook. The training package is available from NCHRP on a loan or purchase basis. Requests should be directed to: Program Director, NCHRP, Transportation Research Board, 2101 Constitution Avenue, NW, Washington, DC, 20418.

The research report and user's guide have been published, respectively, as:

NCHRP Report 186, "Travel Estimation Procedures for Quick Response to Urban Policy Issues," and

NCHRP Report 187, "Quick-Response Urban Travel Estimation Techniques and Transferable Parameters—User's Guide."

Project 8-13 FY '75

Disaggregate Travel Demand Models

Research Agency: Charles River Associates

Principal Invest: William B. Tye
Effective Date: Sept. 15, 1974
Completion Date: Jan. 31, 1976
Funds: \$100,000

The urban transportation planning process, as it has developed over the past two decades, is characterized by the creation of long-range systems plans based on simulations of regional travel patterns using models developed and calibrated with aggregate zonal data. Three of the basic criticisms that have been made of the regional simulation and planning process have been that the presently used aggregate models cannot be readily used for subregional and project planning; they are not responsive to the policy issues that planners are being asked to address; and they require expensive large travel surveys for model calibration.

Recent research indicates that travel choice models based

on individual and household level data can be formulated which show how travel is influenced by changes in policy. These "disaggregate" models appear to have the potential to address subregional and project planning issues as well, and reduce data requirements. Disaggregate models developed to date further indicate considerable promise of transferability between urban areas. Research is now necessary to develop prototype disaggregate behavioral travel demand models with coefficients estimated by use of data from a large urban area and to demonstrate the usefulness of such models in the planning, design, and operation of transportation systems on both regional and subregional levels.

To meet the described need, the over-all objective of this research was to develop, in separately funded phases extening over several years, operational travel demand forecasting models consistent with travel choice behavior and with coefficients estimated by use of data at the level of households or individual travelers. It was anticipated that such models will form the basis of improved travel demand estimation procedures.

Models were developed in Phase I using Pittsburgh and Minneapolis/St. Paul data bases. Binary logit models were estimated for (1) the mode choice for work, (2) the mode choice for shopping, and (4) the trip frequency choice for shopping, and (4) the trip frequency choice for shopping. A report, "Disaggregate Travel Demand Models: Phase I Report," presents the major findings and is available on a loan basis from the NCHRP Program Director or may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106. In the report, the models are appraised in terms of their advantages in travel demand analysis, their low data collection costs, their transferability, and their flexibility in application. Several hypothetical applications are provided.

Research is being continued as Project 8-13(2).

Project 8-13(2) FY '77

Disaggregate Travel Demand Models—Phases II and III

Research Agency: Charles River Associates, Inc.

Principal Invest.: William B. Tye
Effective Date: May 1, 1976
Completion Date: September 30, 1979

Funds: \$200,000

The urban transportation planning process, as it has developed over the past two decades, is characterized by the creation of long-range systems plans based on simulations of regional travel patterns using models developed and calibrated with aggregate zonal data. Three of the basic criticisms that have been made of the regional simulation and planning process have been that the presently used aggregate models cannot be readily used for subregional and project planning; they are not responsive to the policy-issues that planners are being asked to address; and they require expensive large travel surveys for model calibration.

To meet the described need, the over-all objective of this research is to develop, in separately funded phases extending over several years, operational travel demand forecasting models consistent with travel choice behavior and with coefficients estimated by use of data at the level of households or individual travelers. It is anticipated that such models will form the basis of improved travel demand estimation procedures.

In Phase I, Project 8-13 successfully developed policysensitive travel demand forecasting models consistent with travel choice theory using 1967 Pittsburgh data at the level of individual travelers. Binary choice logit models were estimated for (1) the mode choice for work, (2) the mode choice for shopping, (3) the destination choice for shopping, and (4) the trip frequency choice for shopping. Phase II extended the Project 8-13 research program; (1) to conduct one or more demonstrations of the disaggregate models applied to policy issues at a state or local planning agency: and (2) to determine an approach to be used in solving problems that will be incurred in application (such as application of disaggregate models to aggregate data and aggregate forecasting). A worktrip mode-choice model, developed with Pittsburgh, Pa., data was used to predict the share of trips attracted to a new park-andexpress-ride bus service in Baltimore. The predicted ridership was approximately one-half of the observed trips. The application uncovered potential pitfalls in the application of disaggregate models, particularly when using aggregate data, that will provide valuable information for the preparation of manuals called for in Phase III. To meet Objective 2, a market segmentation approach was developed to overcome bias problems, when aggregating from households to a subregion and when using aggregate time and cost variables. The Phase II report is available from the NCHRP Program Director on a foan basis or by purchase from University Microfilms International, 300 North Zeeb Road, Ann Arhor, Michigan 48106.

Phase III will accomplish (1) model development using the Baltimore disaggregate data base, (2) demonstrations of the new models using case studies at a transportation planning agency, and (3) preparation of a user's manual to be considered for publication in the NCHRP report series.

Project 8-14 FY '75

New Approaches to Understanding Travel Behavior

Research Agency: Boston College Principal Invest: Marc A. Fried

John Havens

Effective Date: January 1, 1975

Completion Date: April 30, 1977

Funds: \$149,860

Current urban travel estimating procedures have been developed, for the most part, on the basis of identification of associative relationships, without concern for the causal processes from which travel behavior patterns arise. As a result, the procedures may produce reasonably satisfactory estimates of travel under conditions that are essentially unchanged from those existing at calibration, but are largely unable to provide satisfactory estimates of travel behavior under conditions representing significant change from the status quo. For example, decision makers are asking: (1) the consequences of no-build options; (2) the relevance of low-capital options, in and of themselves, and as alternatives to freeway systems; (3) the congestion and energy effects on the level of travel and mode choice; and (4) traveler responses to hypothetical systems with specified performance characteristics. Furthermore, existing procedures are unable to provide information on why a trip is made and are, hence, also unable to provide a means for estimating, under a variety of assumptions, changes in the number and characteristics of trips that an individual will make.

The over-all objective of this research was to develop, test, and operationalize a behavioral theory of travel based on needs and constraints, system availability, and activity site accessibility of potential travelers. This theory will be responsive to today's policy questions and hold potential for being responsive to future policy questions.

A careful review and evaluation was made of the transportation planning, economics, sociology, geography, and psychology literature to identify theoretical elements related to individual travel. This work was synthesized into a travel behavior theory comprised of two componentsa microtheory and a macrotheory. The microtheory concept proposes that individuals in similar social status positions, in similar life stages, living in similar environments, will adapt in similar and partially predictable ways. Important to this theory are role patterns and attitude structures. The macrotheory is concerned with how the existence of activity opportunities and constraints modifies or reinforces behaviors specified in the microtheory. The microtheory deals with the individual's demand for activity opportunities: the macrotheory, with the generation of the activity opportunity sets (i.e., transportation supply).

The project report is available for \$6.00 prepaid, plus \$1.00 for postage and handling. Send check or money order, payable to Transportation Research Board, to Publications Office, Transportation Research Board, 2101 Constitution Avenue NW, Washington, D.C. 20418.

Research is being continued as Project 8-14A. It will incorporate key elements of the synthesized theory into present travel demand forecast methods.

Project 8-14A FY '77

New Approaches to Understanding Travel Behavior: Phase II

Charles River Associates Research Agency:

Principal Invest.: Fred Dunbar Effective Date: January 1, 1978 Completion Date: December 31, 1979

Funds: \$186,208

Decisions concerning transportation investment are based partially on forecasts of travel. These forecasts are generally made using models that relate travel time and cost, demographic characteristics, and transportation accessibility to travel. The distribution and assignment models used by most agencies are prime examples. There is no subsuming theory of travel behavior which generates those models; they are merely convenient formulations for expressing and forecasting travel and assume stable relationships. Disaggregate models, although offering significant advantages over present techniques, deal almost entirely with individual choices, thus ignoring basic processes that generate travel. A major deficiency in both approaches is their general insensitivity to policy options that are important today. Such options typically involve energy, life styles, and transportation service quality.

In recognition of this deficiency, NCHRP Project 8-14 initiated development of a new approach to understanding travel behavior, concentrating on social and psychological relationships between individuals and their households as they exist in spatial layouts. The research carefully reviewed sociology and psychology literature as well as related fields that pertain to travel behavior. From this, a number of elements were identified that would assist in development of a theory, or theories, of travel behavior. Because of the complexity and extensiveness of the elements proposed, it has been further determined that research (Phase II) should concentrate on testing the key elements relating to individual and household behavior and incorporating those elements into operational travel forecasting procedures.

With additional support from the Federal Highway Administration in the amount of \$75,000, the key elements or concepts to be tested include the following:

- 1. Models of travel behavior should be derived from theories of household activity choice, duration, scheduling, and location.
- 2. Activity and travel patterns can be related to demographic descriptors such as social class, ethnicity, life cycle, and status
- 3. Intervening factors between activity and travel patterns include social roles, attitudes, resource constraints, social norms, and perceptions of opportunities.
- 4. The distribution and form of activity sites and transportion system variables affect activity and travel patterns.

Explicitly excluded from consideration are potential models developed from theories of adaptive processes. Although this is a valid subject for future research, the timeliness of useful travel forecasting techniques coming from these theories is questionable. On a less major issue, it is believed that residential location is either determined with or determined by travel and activity patterns. The research approach is to: (1) incorporate the above concepts into trip generation; (2) develop household activity and travel behavior models using the above enumerated concepts and the Baltimore Disaggregate Data Set, with the ultimate objective being the ability to forecast specific travel volumes and flows; and (3) use survey data that measure both variables external to the individual (such as peer-group pressures and family demands) and variables

internal to the individual (such as attitudes and perceptions) to determine their interrelationships in influencing travel behavior. The research will conclude with preparation of procedural manual(s) for use by the transportation planner.

Research is in progress and there are no reports available for general distribution.

Project 8-15 FY '75

State and Regional Transportation Impact Identification and Measurement

Research Agency: Bigelow-Crain Associates
Principal Invest: Charles D. Bigelow
Effective Date: September 1, 1974
Completion Date: May 31, 1976
Funds: \$80,000

There is a need for improved means of identifying and measuring social, economic, and environmental impacts for use in State and regional transportation studies. Emerging State and national land-use policies, proposed regulatory revisions, concern for energy utilization, plus heightened environmental and social considerations, serve to highlight this need.

The general objective of this research is to develop an improved understanding of specific, and operational, impact identification and measurement techniques, for use by transportation agencies in contributing to a variety of State and regional transportation decisions. To achieve the stated objective, the research is being conducted in two phases.

Phase I documented specific identification and measurement techniques in contrast to issues of impact evaluation. The investigation considered the direct and indirect impacts of economic development; land use and housing; air, noise, and water quality; energy utilization; natural resources and ecosystems; and social and community structure.

The Phase I report, "State and Regional Transportation Impact Identification and Measurement," is available for purchase, and a check or money order in the amount of \$8.00, plus \$1.00 for postage and handling, should be made payable to *Transportation Research Board* and sent to the Publications Office, Transportation Research Board, 2101 Constitution Avenue NW, Washington, D.C. 20418.

Project 8-15A FY '75

Economic Impacts of State Transportation Policies and Programs

Research Agency: Regional Science Research Institute

Principal Invest.: Dr. Benjamin H. Stevens

Effective Date: October 1, 1977
Completion Date: April 30, 1979
Funds: \$117,852

The identification of social, economic, environmental, and energy impact measurement techniques for use by state

and regional transportation agencies was undertaken in NCHRP Project 8-15, "State and Regional Transportation Impact Identification and Measurement." This first phase of a two-phase project resulted in an extensive summary of existing impact measurement techniques and identified a wide range of related research needs.

The objective of the second phase, NCHRP Project 8-15A, is to demonstrate the usefulness of available techniques that estimate the impact of alternative transport policies and/or programs on economic activities, and to document the techniques in the form of operating guidelines and demonstration results. The scale of analysis is at the statewide and/or economic region levels rather than urban.

The techniques selected for demonstration include regional input-output analysis, shift-share analysis, and econometric simulation models. The demonstrations are being conducted in cooperation with several State and regional transportation agencies and will determine the economic impacts of a planned highway project in Pennsylvania, a railroad freightyard consolidation in East St. Louis, and a proposed container facility in the Port of Boston. The researchers will evaluate and assess the demonstrations of the techniques, with emphasis on (a) accuracy and reliability of results; (b) comprehensiveness of results (i.e., which impacts are measured); (c) ease of use; (d) assumptions; (c) level of effort, time, and cost requirements; (f) transferability; (g) relevance to decision makers; (h) sensitivity of results to input variables; and (i) distributional effects.

The final report will contain a step-by-step set of operating guidelines to permit state and/or regional agencies to apply the techniques to policy and/or program alternatives. Three handbooks are being prepared for inclusion in the final report: (1) basic input-output analysis, (2) refinements to input-output analysis, and (3) simple eclectic forecasting techniques.

Project 8-16 FY '76

Guidelines for Public Transportation Levels of Service and Evaluation

Research Agency: University of Tennessee

Principal Invest.: Ray A. Mundy

Kenneth W. Heathington

Effective Date: January 1, 1976
Completion Date: May 31, 1979
Funds: \$480,000

Public transportation has traditionally been provided by fixed-route service financially supported through revenues from passengers. Reduced patronage resulting primarily from increased use of automobiles, plus higher operating costs, have caused growing deficits. Public concern about energy, environment, auto dependency, and congestion have obliged government to underwrite these deficits in most urban areas. The rising amounts of required public moneys, plus the successful operation of a wide range of services directed at more specialized market segments, have posed questions concerning how much financial support is appropriate, what services are required, and how these services could be provided. Public officials need guidelines on these issues in order to establish appropriate public policies in areas such as (a) special needs of various market segments, (b) resource allocation issues, and (c) institutional issues.

The general objective of this research is to determine the nature of the relationships between public transportation service, the urban environment, and user segments, and, subsequently, to develop guidelines for use by decisionmakers in evaluating short-term (approx. 5 years) alternative public transportation systems. It is anticipated that this objective will be met by research to be conducted in two phases.

The specific objectives of Phase I are:

- 1. Determine system attributes required to attract use from different market segments in various urban environments.
- 2. Determine system types that best satisfy these attribute requirements at various levels of service and financial support.
- 3. Determine expected costs and potential revenues of various types of service from different market segments in various urban environments.
- 4. Describe other significant impacts and institutional factors that may be caused by or result from implementation of various systems and service.

The specific objective for Phase II requires development of guidelines for use by public officials and handbooks for use by the nonprofessional decision-maker for each of the following purposes:

- 1. To compare public transportation systems and services among similar communities.
- 2. To evaluate, select, and implement alternative types of systems and services in various urban environments.
- 3. To evaluate the effectiveness of the systems and services provided.

The research approach is to explore and test the application of "market opportunity analysis" to public transportation. Progress through December 31, 1978, includes completion of on-site surveys in 17 cities preparatory to selection of cities for demonstration of the concept. The places for market-opportunity analysis in the transit-planning process have been defined. Methodologies for conducting three phases of the analysis—market segmentation, transportation attributes to serve market segments, and forecasting of demand for given markets and given transportation attributes—have been developed. Data collection and analysis in Jacksonville, Fla., for demonstration of a market-segmentation analysis has been completed. For a public transportation "learner" market segment, a question-

naire has been administered to determine transportation attitudes and preferred attributes. Individuals in this segment did not use public transportation. They represented the most positive opinions about public transportation and were judged to be most likely to consider using such service. Results from the attitudes-attributes survey have been integrated with information obtained from a study of public transportation goals and policy. Knowledge of decision-maker preferences and their willingness to provide funding, together with knowledge of the types of service characteristics desired by the "learner" market segment, have been used to select two alternate fixed route bus designs for concept testing.

Research is in progress. Interim Report No. 1, "Transportation Services for the Transportation Disadvantaged," is available on a loan basis from the NCHRP Program Director, or may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106. In addition, a narrated slide presentation has been prepared from the report and is available from the Urban Mass Transportation Administration. Inquiries should be directed to Mr. Phillip Hughes, Office of Policy and Program Development, *UPP 35*, Urban Mass Transportation Administration, US Dept. of Transportation, 400 7th Street SW, Washington, D.C. 20590.

Project 8-17 FY '76

Freight Data Requirements for Statewide Transportation Systems Planning

Research Agency: Roger Creighton Associates, Inc.

Principal Investigator: Frederick W. Memmott

Richard B. Blackwell

Effective Date: July 15, 1975 Completion Date: February 15, 1977

Funds: \$231,147

The general objective of this research was, first, to determine the type, amount, and relative importance of freight data required to develop statewide transportation system plans; and, second, to design and develop techniques, methods, and procedures for assembling these data.

This research was conducted in two phases. Specific tasks completed in Phase I were to:

- 1. Identify the types of freight data necessary for statewide transportation systems planning purposes. Recommend what type of data and the scale of detail that will be required in view of the current and proposed planning methodologies.
- 2. Rank these data requirements in terms of their relative importance to statewide transportation systems planning.
- 3. Given the data requirements, catalogue and determine the existence of available data in reference to the planning data requirements determined in Task 1. Investigate the institutional problems and constraints in the use of freight data (e.g., disclosure restrictions, proprietary nature of shipper and carrier data, and joint use and reciprocity agreements among private and public parties).

- 4. Identify deficiencies in existing freight data and evaluate the criticality of such deficiencies to statewide transportation systems planning.
- 5. Develop and evaluate alternative strategies for resolving such deficiencies.

Specific tasks completed in Phase II were to:

- 1. Prepare a manual describing in detail appropriate techniques for the assembly and understanding of existing freight data and the collection and understanding of such additional data as may be required by statewide transportation systems planning.
- 2. Provide illustrative, realistic examples of how to apply these techniques to typical problems encountered in statewide transportation systems planning.

Research has been completed, and the two-volume project report has been published as:

NCHRP Report 177, "Freight Data Requirements for Statewide Transportation Systems Planning—Research Report;" and

NCHRP Report 178, "Freight Data Requirements for Statewide Transportation Systems Planning—User's Manual."

Project 8-18 FY '76

Techniques for Evaluating Options in Statewide Transportation Planning/Programming

Research Agency: Planning Environment International,

A Division of Alan M. Voorhees

& Associates

Principal Invest.: Dr. Salvatore J. Bellomo

Dr. Joseph R. Stowers

Effective Date: September 1, 1975

Completion Date: May 31, 1978

Funds: \$300,393

Evaluation techniques have traditionally been thought of in the context of "plan evaluation" (i.e., comparison of alternative system networks), or "route evaluation" (i.e., comparison of locations for a given proposed facility). Although these evaluations and the techniques applied to them (such as user costs and benefits; balancing of travel demands assigned to a network with network capacity; and, more recently, localized corridor impact analyses) may still be valid for certain planning needs, the techniques suffer from a combination of large expenditures of time, high cost, extensive data requirements, and complex simulations.

The general objective of this research was to provide transportation planning methodologies that are policy-sensitive, allowing the testing and evaluation of options to produce timely results for decision-making. This research addressed reasonable-cost, sketch-planning-type techniques having an application to issues of statewide transportation planning as part of the programming process. This research was conducted in two phases.

Specific tasks of Phase I were to:

- 1. Identify and classify major transportation issues facing decision-makers at the statewide level.
- 2. Identify and categorize data and methodologies available to meet the needs of decision-makers in addressing transportation issues identified in Task 1.
- 3. Evaluate and document methodologies most likely to provide early usefulness,
- 4. Develop a study design to test several high-priority methodologies (identified in Task 3) in States willing to participate and commit resources.

The specific tasks of Phase II were to:

- 1. Develop a draft procedural manual for test application of techniques identified in Phase I.
- 2. Conduct the testing in the approved study design developed in Phase I, Task 4.
- 3. Document the results of the testing and revise manuals developed in Phase II, Task 1.
- 4. Based on the experience gained in Phase II, Task 2, and deficiencies recognized in Phase I, Task 3, evaluate the importance of the deficiencies and suggest new methodologies where appropriate.

Phase I has been completed, and the final report has been published as: NCHRP Report 179, "Evaluating Options in Statewide Transportation Planning/Programming—Issues, Techniques, and Their Relationships."

Phase II, consisting of test applications in Maryland (priority programming methodology), Georgia (energy conservation forecasting techniques), and Kentucky (highway user revenue model and short-range capital resource availability model), has also been completed, and the final report is in the editorial and publication process. Copies are available on a loan basis from the NCHRP Program Director.

Project 8-19 FY '77

The Relationship of Changes in Urban Highway Supply to Vehicle-Miles of Travel

Research Agency: Cambridge Systematics, Inc.

Principal Invest: Earl R. Ruiter

Effective Date: December 1, 1976

Completion Date: November 30, 1978

Funds: \$199,954

The concept that highways generate their own demand and subsequent vehicle-miles of travel (VMT) was so widespread that it gained legitimacy if only by sheer repetition. Yet studies conducted to estimate future travel demand did not conclusively show significant correlation between VMT and highway supply variables.

Proposed highway improvements were challenged from both an air-quality and energy-conservation perspective. VMT reduction requirements were placed on many transportation planning agencies, resulting in the promulgation of some stringent measures that would tend to decrease mobility. Highway agency attempts at both air quality improvements and energy conservation efforts have been based on the argument that increased highway supply

frees traffic flow and leads to more efficient operation of the system. Critics argue, however, that increased highway capacity aimed at reducing congestion induces sufficient VMT to offset any gains, resulting in greater levels of energy consumption and pollutant emissions. A clear understanding of the effect of highway supply on VMT was needed to adequately address the interrelationship of transportation, air quality, and energy issues.

The objective of this project was to determine whether a relationship exists between measures describing urban highway supply and VMT and, if so, to quantify and compare the relative significance of highway supply to other factors influencing VMT.

By its very nature VMT is an aggregate measure, which in this project was related to aggregate measures of highway supply. The research approach, however, hypothesized that VMT can only be expressed and predicted in terms of its components—vehicle trips and vehicle trip lengths—if it is to be validly predicted. These components, in turn, were predicted using a structural model system—one which employs both travel demand and supply models in a framework which approximates network equilibrium. The recognition that trips, and not VMT, was the appropriate unit for measuring demand was the key to the research approach.

The research approach to develop relationships between VMT and highway supply involved the repeated application. of a structural model system to actual case studies to determine changes in VMT. The demand portion of the structural model system was the system of disaggregate travel demand models developed by Cambridge Systematics, Inc., for the San Francisco Metropolitan Transportation Commission and adapted for use in this project. These models represented the best available due to their intuitive reasonableness and because they represent the complete range of urban travel and travel-related mobility decisions. However, adaptations to account for land-use changes induced by highway-supply changes and to reduce the computer time were necessary. Results will be given for changes in different types of lane-miles (e.g., new facilities, added lanes to existing facilities by functional type).

The final report is in the review stage.

Project 8-20 FY '78

Improved Methods for Vehicle Counting and Determining Vehicle-Miles of Travel

Research Agency: John Hamburg & Associates
Principal Invest.: Charles C. Francis, Jr.

Effective Date: January 2, 1978
Completion Date: June 30, 1979
Funds: \$200,000

The requirements placed on most highway and transportation agencies have expanded greatly during the past decade. To an agency concerned with the planning, design, construction, operations, and administration of transportation facilities, the provision of information on facility and system use continues to be essential. Traffic volume counts have proved to be the most basic means of monitoring high-

way use. The need for more reliable and detailed traffic data has recently expanded to support analysis of other transportation concerns, such as land use, air and water quality, noise abatement, energy conservation, modal split, and safety. In addition to the existing needs, it is anticipated that more requirements will be forthcoming.

The objective of this research is to develop improved cost-effective procedures for conducting highway vehicle counting programs and determining vehicle-miles of travel (VMT). Research is addressing the collection of traffic counts, processing of such counts, and production and use of traffic information. Although the primary thrust of the research is directed toward state-level programs, the findings will include appropriate applications at sub-state, rural, and urban jurisdictional levels.

Current and potential uses of highway traffic volume information and realistic levels of accuracy (bearing in mind financial and administrative constraints) are being determined. The research is also evaluating present traffic-counting programs and techniques, including administration, interagency coordination, collection, processing, presentation, and application of traffic information.

Cost-effective techniques and procedures are being developed and tested for direct application in a highway traffic volume information program. Operational costs, data flow schedules, and the tradeoffs between pragmatic considerations and formal statistical considerations are included.

The research will also determine how the improved volume-counting techniques can be applied to provide more acceptable estimates of VMT as opposed to other methods; e.g., fuel-consumption method. Improved methods should be capable of producing VMT for state and sub-state levels by functional and administrative class of highway.

The relationship of the volume-counting and VMT estimation procedures to vehicle classification, vehicle weight, census of transportation, and other similar programs will also be addressed. The final report will present the research findings in a form that can be used directly in the development of procedural guidelines and operational manuals.

Project 8-21 FY '79

Guidelines for Use of Vanpools and Carpools as a Transportation System Management Technique

Research Agency: George Washington University

Principal Invest,: Marian Miche

Joseph Margolin

Effective Date:

21 months

 $Completion\ Date:$

Funds:

\$250,000

A fundamental strategy of transportation system mauagement is to encourage more efficient use of highway and roadway vehicles and space through higher vehicle occupancies. Although highway and transportation departments, transit authorities, and other public agencies can and do encourage increased commuter use of carpools and

vanpools in large and small urban areas in a variety of ways (computer matching, purchase of vans for vanpooling, parking incentive programs, preferential highway treatment, etc.), many people fail to take advantage of, or even resist, these opportunities when offered. Decisions to participate in commuter-ridesharing arrangements appear to he based on many factors (economic, social, and psychological). Not well understood is how the two ridesharing alternatives of carpooling and vanpooling differ in their appeal to commuters in urban regions, and how the great variety of incentives for carpooling and vanpooling affect their use. An understanding of the underlying factors that motivate the decision to ride-share is necessary in order to assess the full potential of ridesharing development and to determine effective strategies to achieve that goal.

In addition many existing institutional factors (regulatory, zoning, insurance, profit motive, funding limitations, sponsor types) shape the kinds of carpooling and vanpooling incentives it is possible to implement. The determination of effective strategies and the subsequent evaluation of their implementation require methods and criteria that consider social, economic, travel, energy, and environmental impacts, as well as the perceptual, preferential, and attitudinal concerns of the public and decision-makers generally. Such concerns and impacts have not been determined or assessed.

The general objective of this research is to identify effective policies and their impacts to encourage vanpooling and carpooling use based on an understanding of individual and household preferences and behavior. Specifically, the research is expected to analyze individual and household attitudes, preferences, and behaviors related to ridesharing. The goal is to use the analysis results to develop guidelines for transportation practitioners and policymakers for selecting techniques that are compatible with other TSM strategies and are likely to result in significant increases in ridesharing over the short term (2 to 5 years).

To accomplish the objective, the following tasks are considered essential, but not limiting:

- 1. Define the major forms of commuter carpool and vanpool arrangements and incentives to be considered in this study and their important attributes in the context of relevant institutional constraints, environmental and energy concerns, city sizes and types, and various transportation alternatives.
- 2. Determine individual and household attitudes, preferences, and hehavior towards carpooling and vanpooling attributes and incentives and how these vary between market segments. This task should involve:
- (a) Reviewing the factors and marketing strategies that have been critical to the outcomes of various commuter carpooling and vanpooling efforts and the incentives used to attract and hold users.
- (b) Reviewing the available data and hindings from attitude studies, focus groups, economic models, etc., on the attributes of carpooling and vanpooling that influence travel decisions.
 - (c) Identifying gaps in current knowledge.
 - (d) Collecting and analyzing new data, as appropriate.

- 3. Document the important findings as to the factors affecting use of carpools and vanpools.
- 4. Develop guidelines for transportation practitioners and policy makers for selecting carpooling and vanpooling techniques and incentives likely to result in significant increases in ridesharing in different situations. These guidelines should suggest packages of techniques and incentives and provide hypothetical examples of their application, together with estimates of their travel and other impacts for various market segments.

Project 8-22 FY '79

Transportation Financing Within the Context of Energy Constraints

Research Agency: System Design Concepts, Inc.

Principal Invest.: Dr. Joseph R. Stowers

Effective Date:
Completion Date:
Funds: \$15 months
\$100,000

Because of the high inflation rate for highway construction, operation, and maintenance costs, funding has not generally kept pace with the cost of needed transportation improvements. This adverse financial situation has been further compounded by the need for energy conservation now being reflected by various existing and proposed policies that may have significant impacts on the availability of revenues for transportation programs. Among the examples of the conservation policies of concern are federal auto fuel economy standards, the 55-mph speed limit, promotion of greater transit use and high-occupancy vehicles, federal consumption disincentive taxes, and fuel rationing. The full impacts of these policies on state and local transportation financing are not known.

Given the national emphasis on fuel conservation, substantial changes in the state revenue generating structure and/or levels of taxes may be necessary in order to provide needed resources to construct, operate, and maintain transportation facilities. For example, there have been revenue-producing proposals to increase motor vehicle fuel taxes, to restructure and increase vehicle registration fees, and to develop new vehicle taxes. Conservation policies and proposals having significant impact on both new and traditional revenue sources need to be reviewed and analyzed to provide information to organizations responsible for financing transportation systems. The probable impacts of these policies and proposals on total revenue availability, class of taxpayer affected, user and non-user impacts, as well as other consequences, need to be determined.

The general objective of this research is to determine the impacts of energy conservation policies and proposals on state transportation financing. A methodology will be proposed to enable States to assess the impact of existing and proposed energy conservation policies on travel and fuel consumption. Further, user and non-user impacts from the existing and proposed energy conservation policies on the various revenue sources currently used to finance highway construction, operation, and maintenance will be determined.

The research will also propose possible modifications of existing State-level revenue sources and/or proposed new sources which would lend themselves to creating some stability and reasonable growth in future over-all highway transportation funding.

Project 8-23 FY '79

Fuel Supply Limitations and Passenger Travel

Research Agency: Charles River Assoc., Inc.

Principal Invest.: Fred Dunbar

Effective Date:
Completion Date: 15 months

Funds: \$110,000

The future of energy supplies, particularly petroleum, is uncertain. Numerous forecasts show significant differences in the magnitude of shortfalls (if any) between supply and demand from the early 1980s up through 2000 and beyond. If such shortfalls or extended interruptions occur, personal travel is likely to be affected. Yet our knowledge of the nature of such a response is extremely limited. The experience of the energy crisis of 1973-1974 suggests that supplies have far more effect on transportation fuel demand than does price. But this episode was too short-lived and too small in magnitude to produce significant shifts in travel behavior, consumer budgets, activity locations, residential moves, or changes in travel by different consumer groups. In short, our knowledge of the differential impacts of fuel shortages on travel (trip rate, mode, purpose and priority, destination, length, etc.) is extremely limited, as is our knowledge of likely secondand third-order impacts on consumer expenditures, distribution of impacts on different groups, settlement patterns, and auto purchasing patterns. Because the nation and its cities, states, and regions will continue to be faced with this problem for the foresecable future, research is needed now to understand and forecast the nature of travel behavior under energy constraints, and the key impacts of probable energy supply futures on travel, transportation investments, and the economy.

This research project is intended to assist decisionmakers at all levels of government to incorporate fuel supply limitations in passenger transportation planning, alternatives evaluation, and policy development. The principal objectives of the project are to:

- 1. Identify available approaches that might be applicable and generalizable for practical use by local, state, and national transportation planners and policy-makers.
- 2. Estimate the travel behavior responses to alternative energy supply scenarios and policies reflecting mid- and long-range conditions rather than short-term emergencies.
- 3. Identify and evaluate key impacts in the context of the first two objectives.

To satisfy these objectives, it is anticipated that the research will include, but not be limited to, the following tasks:

- 1. Based on a literature review and other methods, specify several scenarios of energy-deficient futures. These scenarios should include ranges of fuel supply and price, together with likely government policy responses such as allocation, taxation, pricing, regulation, and incentives, and should stress those key factors expected to influence travel behavior.
- 2. Identify appropriate approaches to estimating changes in travel behavior under the alternative scenarios. The approaches should consider both magnitude and direction of change. Potential approaches might be drawn from a wide variety of disciplines and include such techniques as judgmental estimation through panels and group interactions; survey research; models of travel behavior; econometric or statistical methods; and laboratory simulation. The approaches used should be documented in sufficient detail to facilitate future application by transportation agencies.
- 3. Using the procedures identified in Task 2, estimate the travel behavior responses under the scenarios and policy responses outlined in Task 1. Travel behavior responses to be considered shall include, to the extent possible, changes in travel demand in areas such as trip purpose and priority; auto ownership; mode choice, including non-motorized modes; trip length, chaining, frequency, timing, and destination; household activity allocations, time hudgets, and cost hudgets. These estimates should be cross-classified by a demographic breakdown (e.g., household and personal characteristics, such as socio-economic status, stage in life-cycle, ethnicity, sex, etc.) and geographic breakdown (e.g., urban, suburban, rnral, inter-city, region of the US.)
- 4. Identify and, to the extent possible, evaluate key impacts under various levels of aggregation. These impacts may include but need not be limited to changes in (a) personal transportation fuel consumption; (b) activity levels and locations; (c) economic activity, including production, income, employment, and unemployment; (d) consumer expenditure patterns; (e) distribution of these impacts among socioeconomic and demographic groups; and (f) auto purchasing patterns.

An interim report is anticipated upon completion of Tasks 1 and 2.

AREA 9: BITUMINOUS MATERIALS

Project 9-1 FY '64 and FY '65

Asphalt Durability and Its Relation to Pavement Performance

Research Agency: American Oil Company

Principal Invest .: Dr. A. W. Sisko

L. C. Brunstrum

 Effective Dates:
 Feb. 1, 1964
 Nov. 1, 1965

 Completion Dates:
 July 31, 1965
 Apr. 30, 1967

 Funds:
 \$50,000
 \$50,000

Research is needed to determine those fundamental properties of an asphalt which contribute to the durability of pavements and to develop suitable methods of tests for determining such properties. These tests are needed to provide improved bases for asphalt specifications to assure products which, when properly used, will result in durable asphalt pavements. The general properties with which this over-all problem was concerned involve rheological, chemical, and physio-chemical properties of the asphalt alone and as influenced by its interfacial relationship with aggregates. These properties and their values in the original asphalt and the retention of these values over a period of time in service are of importance.

Research has been completed, and the project report has been published as:

NCHRP Report 67, "Relation of Asphalt Rheological Properties to Payement Durability."

Project 9-2 FY '65

Asphalt Durability and Its Relation to Pavement Performance—Adhesion

Research Agency: Montana College of Mineral Science

and Technology

Principal Invest.: D. W. McGlashan
Effective Date: January 1, 1965
Completion Date: October 31, 1967

Funds: \$101,903

This research was concerned with asphaltic concrete pavement performance, particularly with regard to the influence of asphalt-aggregate adhesion. The research approach was based on the principle that interfacial activity occurring at the boundary between an asphalt cement and an aggregate is influenced by the characteristics of the particular asphalt and aggregate and that this activity, measured in electrical quantities, provides a comparative assessment of the adhesion between the asphalt and the aggregate.

A data acquisition system was developed for making electrokinetic measurements of interfacial activity when asphalt cements were forced through porous plugs under controlled temperature and pressure conditions. The porous plugs contained aggregates that were being tested for adhesion. Data were collected and analyzed using 15 asphalt cements and a number of different aggregate types to demonstrate the ability of the procedure for assessing the adhesion of an asphalt-aggregate mixture.

The project report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 9-3 FY '65

Evaluation of Pavement Joint and Crack Sealing Materials and Practices

Research Agency: Rensselaer Polytechnic Institute

Principal Invest.: Dr. John P. Cook
Effective Date: June 1, 1965
Completion Date: June 30, 1966
Funds: \$24.996

Under environmental, structural, and traffic requirements, highly variable and inadequate performance may result from the materials and construction practices regarding the sealing of joints in new pavements and the maintenance of joints and cracks in old pavements. All aspects of the sources of the deficiencies need to be identified so that corrective measures may be established either in terms of improved materials or improved construction practices. The objectives of this research were to (1) prepare a state-of-knowledge report on joint and crack sealing materials, joint design, specifications, test methods, and construction practice; (2) make a critical analysis of the information and define needs to improve performance; and (3) recommend a feasible research program. Bituminous and nonbituminous materials were included, and due consideration was given to such factors as economics and practicalities of usage.

Research has been completed, and the project report has been published as:

NCHRP Report 38, "Evaluation of Pavement Joint and Crack Sealing Materials and Practices."

Project 9-4 FY '72

Minimizing Premature Cracking of Asphaltic Concrete Pavements

Research Agency: Materials Research & Development

Principal Invest.: F. N. Finn

Keshavan Nair

Effective Date: November 1, 1971 Completion Date: June 30, 1973

Funds: \$99,560

The premature cracking of asphaltic concrete pavements is a continuing problem and often results in large expenditures of money to maintain a necessary level of pavement serviceability. Many factors, such as asphalt properties, mix design, construction procedures, aggregate properties, subgrade support, environmental conditions, and traffic loadings, influence the ability of the pavement to resist cracking.

The objective of this project was the determination of suitable materials specifications, paving mix design criteria, and construction requirements that will result in the ability to design and construct asphaltic concrete pavements to carry design traffic with a minimum of premature cracking.

Research has been completed, and the project report has been published as:

NCHRP Report 195, "Minimizing Premature Cracking in Asphaltic Concrete Pavement."

Project 9-4A FY '76

Bayesian Analysis Methodology for Verifying Recommendations to Minimize Asphalt Pavement Distress

Research Agency: Woodward-Clyde Consultants

Principal Invest.: F. N. Finn

W. S. Smith

Effective Date: September 15, 1975

Completion Date: May 1, 1978 Funds: \$204.194

NCHRP Project 9-4 findings contain recommendations intended to reduce possibility of premature cracking of asphaltic concrete pavements and a proposed verification program. The generally accepted approach to verification has been to monitor performance using statistical analysis for evaluation of the variables. An alternate approach has been to verify an analytical model using a small experimental program and case histories of in-service pavements. One of the findings of NCHRP Project 9-4 indicates that these two approaches are not realistic for verification of the project recommendations because the cost, time, and scope required for such a program to reach definitive conclusions would be excessive.

In the same report, the Bayesian approach is suggested as an alternate for verification and updating of project recommendations. It uses the past experience of engineers in a meaningful statistical format combined with experimental data and experience gained from observation of field performance of new construction.

The objectives of this project were (1) development of a procedure based on Bayesian statistical concepts for verifying recommendations to minimize pavement distress and (2) pilot implementation of the verification procedure for the specific distress mode of cracking from repetitive traffic loading.

Research has been completed with substantial accomplishment of project objectives. Procedures were developed for collecting and analyzing subjective information (engineering judgment of experienced highway personnel) and combining with objective information (field performance of pavements) to arrive at predictions of pavement performance for given sets of materials, traffic, and environmental conditions. Pilot implementation of the process included collection of subjective data on fatigue cracking of asphaltic concrete pavements by interviewing personnel from six State highway agencies, development of objective data using mechanistic models from NCHRP Project 1-10B, and combining of the subjective and objective data to illustrate the methodology. The researchers were not able to locate objective data from test roads or field sections suitable for this project.

The project report is in the editorial and publication process.

AREA 10: SPECIFICATIONS, PROCEDURES, AND PRACTICES

Project 10-1 FY '64

Development of Guidelines for Practical and Realistic Construction Specifications

Research Agency: Miller-Warden Associates

Principal Invest.: W. B. Warden

Effective Date: November 15, 1963

Completion Date: November 14, 1964

Funds: \$25,000

It is recognized that many existing specifications do not properly consider variations in work and materials which are inevitable and characteristic of the best construction possible today. In a development of gnidelines for adequate specifications, this project included such areas as surface smoothness for subgrades, bases, and pavements; thickness measurements for bases and pavements; gradation and other requirements for aggregates and aggregate mixtures; and a summary of selected current specifications pertinent to the areas of study. Consideration was given to the validity of specifications with respect to need in the accomplishment of purpose, economic impact inherent in specifications, natural variations inherent in work and material, and variations inherent in methods of measurement and control test procedures.

The final report for this project has been published as: NCHRP Report 17, "Development of Guidelines for Practical and Realistic Construction Specifications."

Project 10-2 FY '64

Evaluation of Construction Control Procedures

Research Agency: Miller-Warden Associates

Principal Invest.: S. B. Hudson
Effective Date: November 4, 1963
Completion Date: February 1, 1966

Funds: \$59,750

This research was initiated to obtain needed basic information for the formulation of standards for evaluation and acceptance of work, materials, and highway construction. Its objectives included a study to determine variations inherent to measurement methods, testing techniques, and sampling methods and procedures. The scope of this study was confined to the examination and investigation of gradation of aggregates. It included a review of measurement and test procedures to determine those not including precision statements and a study involving statistical techniques for evaluating gradation test procedures, sampling methods, and variations inherent in aggregate gradations.

Initial phase research has been completed, and the project report has been published as:

NCHRP Report 34, "Evaluation of Construction Control Procedures—Interim Report."

Project 10-2A FY '65

Evaluation of Construction Control Procedures

Research Agency: Materials Research and Development

Principal Invest.: S. B. Hudson
Effective Date: July 15, 1966
Completion Date: November 14, 1967

Funds: \$70.945

The continuation phase of Project 10-2 was conducted by Materials Research and Development, Inc., Miller-Warden Associates Division. The research specifically considered (1) the variations in gradation of aggregates, including fine aggregates, drawn from the bins of operating hot-mix plants, with sampling error, short- and long-term variations, and the effect of cold-feed variations to be included; (2) a statistically designed experiment to determine the effect of variation in gradation of coarse aggregate, within the range found to be inherent under existing controls, on the strength and workability of laboratory-prepared concrete; (3) the effect of increment size with respect to maximum particle size and accuracy of the results of sampling to provide additional information as to the shape and minimum capacity of tools to be used for sampling coarse aggregates; and (4) further study of the basic pattern of variation of gradation.

Research has been completed, and the project report has been published as:

NCHRP Report 69, "Evaluation of Construction Control Procedures—Aggregate Gradation Variations and Effects."

Project 10-3 FY '64 and FY '65

Effects of Different Methods of Stockpiling and Handling Aggregates

Research Agency: Miller-Warden Associates

Principal Invest .: S. B. Hudson

 Effective Date:
 Oct. 22, 1963
 Oct. 15, 1964

 Completion Date:
 Apr. 30, 1964
 Oct. 16, 1965

 Funds:
 \$25,000
 \$30,000

The difficulties associated with producing aggregates and providing them at the job site within desirable specification limits have been recognized for many years. To provide further knowledge for a possible solution to these difficulties, the over-all objectives of this research were to (1) find the effects of stockpiling and handling on the properties of an aggregate, including segregation and degradation, and (2) establish suggested procedures for better practices in stockpiling and handling.

Initial research was directed principally to the aspects of stockpiling, and the results have been published as:

NCHRP Report 5, "Effects of Different Methods of Stockpiling Aggregates."

Continuation of the initial research was authorized to expand the scope to include, in addition to further stockpiling investigations, the effects on aggregate properties of several routine methods for handling, spreading, and compacting bases. This work has been completed, and the project report has been published as:

NCHRP Report 46, "Effects of Different Methods of Stockpiling and Handling Aggregates."

Project 10-4 FY '64 and FY '65

Rapid Test Methods for Field Control of Construction

Research Agency: Clemson University
Principal Invest.: Dr. A. E. Schwartz
Effective Date: Feb. 1, 1964 May 1, 1965
Completion Date: Feb. 28, 1965 Feb. 28, 1967
Funds: \$30,000 \$69,320

It has been recognized that there is a need for improved methods of sampling and testing to keep pace with accelerated production rates and increased volumes of materials being used in highway construction. In an effort to fulfill this need, this research project proposed to seek out areas in which rapid test needs are most critical and to explore and summarize existing knowledge in these areas with the ultimate aim of accelerating the development of new methods of meeting these needs.

Work in the initial phase of this project consisted of a survey of the state of the art in the development, need, and use of rapid test methods for field control of construction. Areas of greatest need were determined; the present knowledge and state of development of various methods for meeting these needs were investigated; and those methods with greatest promise for satisfying the needs in the areas of bituminous paving mixtures, basecourse construction, and soil compaction were selected for detailed study and development. An additional study was made of quality control and acceptance-sampling plans in respect to the number of tests required to provide adequate statistical information for acceptance or rejection of highway materials within given limits of risk and confidence.

During the continuation phase, emphasis was placed on further development and evaluation of improved test procedures in the areas of asphalt content of bituminous paving mixtures, density of aggregate base courses and bituminous layers, gradation of aggregates, and soil compaction.

Research has been completed, and the project report has been published as:

NCHRP Report 103, "Rapid Test Methods for Field Control of Highway Construction."

Project 10-5 FY '64 and FY '65

Density and Moisture Content Measurements by Nuclear Methods

Research Agency: Research Triangle Institute

Principal Invest.: Dr. R. P. Gardner

Effective Date: Jan. 15, 1964 Apr. 1, 1965

Completion Date: Jan. 31, 1965 Oct. 7, 1966

Funds: \$28,801 \$59,835

For the past several years, investigators have studied the application of nuclear devices for determining moisture content and density of subgrade, subbases, and base components. Some of the researchers have indicated such devices are applicable for field control, while others are still evaluating the technique. If these nuclear devices are capable of accurate and reliable determinations, there is a possibility that considerable economy may result in construction and control procedures. The objectives of the initial research were (1) to review the literature and other available data to determine what has been done by others in the evaluation and correlation of nuclear equipment, (2) to evaluate and analyze assembled data considering such factors as accuracy and precision, and (3) to make recommendations for the development of needed equipment.

Research on the initial phase has been completed, and the project report for this phase has been published as:

NCHRP Report 14, "Density and Moisture Content Measurements by Nuclear Methods—Interim Report."

The objective of the continuation phase was to investigate, in depth, the promising findings from the initial research. Theoretical investigations were supplemented by field experiments to establish a technique for calibrating nuclear gauges to provide improved accuracy in the measurement of soil moisture content and density. In the pursuit of these objectives, calibration standards were developed which are applicable to nuclear gauges currently in use.

Research on the continuation phase has been completed, and the project report for this phase has been published as:

NCHRP Report 43, "Density and Moisture Content Measurements by Nuclear Methods."

Project 10-5A FY '68

Optimization of Nuclear Density and Moisture Content Measurement Methods

Research Agency: North Carolina State University
Principal Invest.: Dr. R. P. Gardner
Effective Date: February 1, 1968
Completion Date: January 31, 1970

Funds: \$51,214

In recent years, there have been numerous investigations of nuclear methods for determining the moisture content and density of subgrade, subbase, and base components of highway pavements. Nuclear devices have been evaluated and found to be potentially more accurate and faster than conventional measurement methods. During the conduct of Project 10-5 the primary problems associated with these devices were identified as sensitivity-elemental composition, nonuniform response to the sample due to the nonhomogeneous nature of soil and aggregate materials, surface roughness of the measurement area, and gauge calibration. Several nuclear gauge calibration methods were developed utilizing calibration model, energy discrimination, and dual-gauge principles.

The essential objective of this study was to optimize nuclear gauge calibration methods and thus improve opera-

tional performance of the gauges for control of moisture and density during construction of highway subgrade, subbase, and base components. The objectives of the research have been met. Procedures have been developed for optimization of nuclear backscatter-type density gauge calibration, a quantity factor approach has been developed for evaluating the over-all performance of density gauges, and a tentative model is available for improved calibration of nuclear moisture gauges. The research has also provided a basis for design of even hetter nuclear backscatter-type density gauges.

Research has been completed, and the project report has been published as:

NCHRP Report 125, "Optimization of Density and Moisture Content Measurements by Nuclear Methods."

Project 10-6 FY '64 and FY '65

Measurement of Pavement Thicknesses by Rapid and Nondestructive Methods

Research Agency: IIT Research Institute

Principal Invest.: K. E. Feith

Dr. S. D. Howkins February 1, 1964

Effective Date: February 1, 1964 Completion Date: October 31, 1966

Funds: \$108,821

Present methods of measuring the thicknesses of highway pavements are time consuming and generally do not provide data early enough for the contractor to alter operations so as to comply. It is recognized that a non-destructive technique would be advantageous, both cost-and time-wise, in comparison to present methods. In initiating this research, four objectives were outlined. They included: (1) a study of all past and present methods of measuring thicknesses of highway pavements to determine if any existing method may be suitable; (2) a feasibility study of proposed methods now under development; (3) proposals for other feasible methods; and (4) recommendations for promising methods for development of instrumentation.

Research has been completed, and the project report has been published as:

NCHRP Report 52, "Measurement of Pavement Thickness by Rapid and Nondestructive Methods."

Project 10-7 FY '64

Potential Uses of Sonic and Ultrasonic Devices in Highway Construction

Research Agency: The Ohio State University Principal Invest.: Dr. F. Moavenzadeh

Dr. R. C. McMaster

Effective Date: February 1, 1964
Completion Date: March 31, 1965

Funds: \$24,310

The use of sonic and ultrasonic devices is well known in some fields. Present practical application of sonic and ultrasonic frequencies and the results of recent experiments indicate a wide range of potential uses of such devices in highway construction. It is felt that possible uses may include pile driving, mixing and compaction of materials, sampling of materials, drilling, cutting, and many other applications. In an effort to evaluate potential uses, this research study was initiated with the objectives of studying available information on present uses of high-frequency vibrations and making a feasibility study of possible applications to highway construction.

This research has been completed, and the project report has been published as:

NCHRP Report 25, "Potential Uses of Sonic and Ultrasonic Devices in Highway Construction."

Project 10-8 FY '70

Evaluating Procedures for Determining Concrete Pavement Thickness and Reinforcement Position

Research Agency: Pennsylvania Dept. of Transportation

Principal Invest.: W. G. Weber

R. L. Grev

Effective Date: March 2, 1970 Completion Date: July 31, 1973

Funds: \$151,982

The measurement of portland cement concrete pavement thickness and the determination of the position of reinforcing steel are necessary to establish conformance with design and construction specification requirements. The conventional method for making these determinations—by cutting cores from the hardened concrete and performing the related operations of handling, and testing—is time consuming and costly as well as destructive to the finished pavement. Furthermore, the determinations thus made, although of value for record purposes, are of little use during the construction process.

The determination of strength, although part of the overall problem, was not included in the research conducted under this project.

The objective of this research was limited to the field evaluation of available nondestructive systems of inspection testing for determining pavement thickness and reinforcing steel position at the construction site, either before or soon after the concrete has hardened, to permit the elimination of, or substantial reduction in, the coring of pavements.

To accomplish this objective, the research agency:

1. Conducted a state-of-the-art study and a preliminary evaluation to select the devices and procedures for determining concrete pavement thickness and reinforcing steel placement that have been developed to the point that field evaluation is now feasible.

- 2. Selected candidate procedures for field evaluation.
- 3. Developed and conducted a field evaluation program in cooperation with several State highway agencies.
- 4. Analyzed and compared field data with current practices with regard to such considerations as practicality, accuracy, case of operation, and nondestructiveness.

All research on the project has been completed. The Ohio State ultrasonic gauge was found to be capable of measuring the thickness of both plain and reinforced concrete pavements with sufficient accuracy for construction control, as was also an eddy-current proximity gauge for use with plain (nonreinforced) pavements only. A pachometer was found to determine steel depth with sufficient accuracy for construction control. Statistical-type specifications were found to be required when the devices are used in construction control.

Research has been completed, and the project report has been published as:

NCHRP Report 168," Rapid Measurement of Concrete Thickness and Reinforcement Location—Field Evaluation of Nondestructive Systems."

Project 10-9 FY '70

Criteria for Need of Seal Coats for Bituminous Pavements

Research Agency: University of Minnesota

Principal Invest.: E. L. Skok

Effective Date: November 1, 1969 Completion Date: February 28, 1974

Funds: \$50,000

In order to most economically maintain bituminoussurfaced pavements in serviceable condition, seal coats may be periodically required. Determinations of the need for seal coats, the type required, and the proper time to apply are important. Premature scaling results in a needlessly early expenditure of funds, while tardy action may result in excessive deterioration or unsafe conditions and greater total maintenance expenditures. Currently available methods of rating pavements for the need of scaling are not totally adequate. They are time consuming, require the use of costly equipment and highly skilled personnel, rely on the judgment of experienced personnel, or are not reproducible. Methods and criteria for determining when sealcoat applications should be made are needed.

The objectives of this project were to develop, and evaluate in the field, guidelines for the programming of seal coats on bituminous pavements.

The essential findings of the study have been published as NCHRP Research Results Digest 48. The agency report has been distributed to the Program sponsors and other interested persons, and a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 10-10 FY '74

Acceptance Criteria for Electroslag Weldments in Bridges

Research Agency: United States Steel Corporation

Principal Invest.: W. P. Benter, Jr.

C. G. Schilling May 1, 1974

Effective Date: May 1, 1974
Completion Date: September 30, 1978

Funds: \$300,000

Engineers are hesitant to permit use of the electroslag welding process for weldments subject to bridge loadings because sufficient research has not been conducted to determine their performance. Some states are permitting use of the electroslag process based on a very limited number of tests, some with borderline results. Most engineers will not permit its use on a bridge of major proportions because of the existing uncertainties; therefore, this program is of the utmost importance if this economical tool is to be widely used in the fabrication of bridges. The principal areas of concern are the physical and metallurgical properties of the weld and the heat-affected zones. The adequacy of current electroslag welding specifications for bridges has been questioned. Thus, a thorough analytical and experimental program of evaluation of all parameters is needed.

The over-all objective of this project was to develop and verify acceptance criteria for the use of electroslag butt welds in bridges. Research was conducted in two phases. The specific objective of Phase I was to define necessary acceptance specifications based on the most complete study, using laboratory specimens from full-size welds, that current knowledge and testing equipment could provide within the allotted funds. The specific objective of Phase II was to verify the findings of Phase I by conducting dynamic tests of full-size bridge girders.

Accomplishment of Phase I objectives included the following tasks:

- 1. A thorough review of domestic and foreign literature on the subject.
- 2. Preparation of a state-of-the-art report on electroslag welding.
- 3. Testing of laboratory specimens taken from full-scale welds. Variables include welding procedures and equipment, grades of steel, and plate thicknesses. The testing is to determine toughness, fatigue, tensile, and metallurgical properties.
- 4. Development of tentative acceptance tests and criteria for electroslag butt welds based on the results of previous tasks.
- 5. Submission of an interim report summarizing the findings of Phase I.

The report prepared under Task 2 has been published as NCHRP Research Results Digest 74, "Electroslag Weldments in Bridges." Loan copies of the report prepared under Task 5 may be obtained from the NCHRP Program Director.

Phase II consisted of fabricating and dynamically testing full-size girders to determine fatigue and fracture behavior of electroslag butt welds in girder flanges in accordance with the work plan developed under Phase I. Loan copies of the agency report on Phase II may be obtained from the NCHRP Program Director.

Research has been completed, and the final report is in the editorial and publication process.

Project 10-11 FY '77

Development of a Performance Specification For Bridge Deck Joint-Sealing Systems

Research Agency: Howard Needles Tammen & Bergen-

doff

Principal Invest .: Arthur Linfante Effective Date: December 1, 1976 Completion Date: April 30, 1978

Funds: \$29,996

A large majority of bridge deck joint-sealing systems in use today are proprietary products. These products are designed in companies and corporations where a full range of experience with bridge deck behavior, field installation conditions, dynamic loading effects, climatic conditions, and pavement maintenance procedures is often not available. As a consequence, these products do not always function in the structures as intended. Some require costly maintenance, and others actually fail to survive more than a few years. Because most of these systems are furnished without a guarantee of any kind, public agencies are forced to assume responsibility for their adequacy, even though they are furnished and installed by project contractors. To avoid the worst of the systems, most agencies specify what appear to be the most practicable proprietary systems for their installations.

This specifying practice, when combined with current contract bidding procedures, has an adverse effect on the quality of sealing systems. Because contracts are normally awarded to the low-bid contractor, all contractors must, if they are to survive, devise ways to furnish and install products that will satisfy contract requirements at the least cost. Because this procedure emphasizes least cost rather than quality, the manufacturers of sealing systems are also forced to modify their designs and procedures to be competitive. But a competitive position can generally be attained only by a reduction in performance and quality and by a corresponding increase in maintenance and replacement cost. After several product-modification and cost-reduction cycles, initial benefits achieved by the use of such systems do not justify their substantial ultimate

Optimum cost, which considers long-term performance as well as first cost, should be the goal for bridge deck joint-sealing systems. This goal can be attained by applying an effective performance specification. A side advantage will be a reduction in the use of publicly funded structures for experimental installations for the development of proprietary products. Yet the private sector would be encouraged to design and develop the kind and quality of products that are needed today by most transportation

There is need to develop an effective performance specification for the joint-sealing systems being installed in the great majority of the bridge decks currently being built.

The ultimate objective of this research was to develop an effective performance specification for prefabricated, surface-mounted bridge deck joint-sealing systems designed for a total horizontal movement of 4 inches or less. This study included the following tasks:

- 1. Review of available performance specifications applicable to bridge deck joint-sealing systems.
- 2. Assessment of the performance of currently used bridge deck joint-sealing systems.
- 3. Evaluation of present design, construction, and maintenance practices of the various transportation agencies with respect to the performance of present bridge deck joint-sealing systems.
- 4. Development of reasonable performance criteria for bridge deck joint-sealing systems.
- 5. Recommendation of a performance specification in a form suitable for consideration for adoption by AASHTO.
- 6. Identification of bridge deck joint-sealing system problems in need of further research.

Research has been completed, and loan copies of the agency's final report may be obtained from the NCHRP Program Director. A decision to publish the report is pending.

Project 10-12 FY '77

Acceptance of Aggregates Used in Bituminous Paving Mixtures

Texas A & M University Research Agency:

Research Foundation

Dr. S. C. Britton Principal Invest .:

Dr. R. L. Lytton

Effective Date: September 1, 1977 Completion Date: August 31, 1980

Funds: \$174,889

The supply of aggregates that are presently accepted for highway construction is limited in some areas of the United States and will be depleted in many other areas. Some presently used acceptance methods preclude the use of aggregates that have been shown to function adequately in certain bituminous paving mixtures. There is a need for an evaluation of methods for accepting or rejecting aggregates, with emphasis on identifying methods for considering the use of aggregates that are currently classified as unacceptable by present methods.

The objective of this study is to evaluate currently used methods for the acceptance or rejection of aggregates used in bituminous paving mixtures. The study shall include, but not be limited to, methods for evaluating the degradation and durability of aggregates.

The project objective is to be accomplished in two phases, as follows:

Phase I

Task 1. Perform a literature review and national survey of aggregate evaluation methods (particularly those utilizing abrasion and soundness tests) currently used for accepting or rejecting aggregates to be used in bituminous mixes. This shall include but not be limited to types of tests, precision of test methods, test results and mixture performance as related to rock types and their characteristies, basic distress mechanisms, acceptance criteria, and correlations of test data with field performance.

Task 2. Using information obtained in Task 1, propose a promising scheme(s) for selection of aggregates to be used in bituminous paving mixtures.

Task 3. Develop a program to verify this scheme(s).

Task 4. Prepare an interim report covering Tasks 1, 2, and 3.

Phase II

Task 5. Conduct the program prepared under Task 3. Task 6. Revise, as appropriate, the aggregate evaluation scheine(s) prepared under Task 2.

Phase I of the research is in progress. A survey of aggregate evaluation methods in use by state highway agencies has been completed. Several schemes for evaluation of aggregates to be used in bituminous paving mixtures have been identified. The schemes consist of various comhinations of physical and chemical tests, prequalification of sources, and petrographic examination. Utility decision analysis is being used to determine the relative value of each scheme based on such factors as practicality, cost, and ease of implementation. The interim report is being prepared for review prior to initiation of work on Phase II.

Project 10-13 FY '79

Ultrasonic Measurement of Weld Flaw Size

Research Agency: Principal Invest:

Effective Date: Completion Date:

24 months

Funds:

\$125,000

There is an urgent need for ultrasonic testing procedures that can be used to measure the dimensions of weld discontinuities (flaws) with sufficient accuracy to permit evaluation using a fracture-mechanics approach, Most State transportation agencies use the provisions of the American Welding Society Structural Welding Code AWS D1.1 to determine the acceptability of structural welds. These provisions are based on an assumed relationship between the ultrasonic "Indication Rating" and flaw size. Experience indicates that this relationship may not be valid. Research is needed to advance or develop ultrasonic testing procedures, using equipment presently available, that will permit accurate measurement of the dimensions of flaws common to weldments. These procedures are needed for use in both shop and field inspection of weldments to determine acceptance during construction and for in-service evaluation. Reliable procedures for ultrasonic testing will obviate the costs and delays of unnecessary repairs while reducing the probability that defects, which may lead to structural failures, will be improperly

The objective of this study is to identify or develop, and to validate, ultrasonic testing procedures for accurate measurement of flaw dimensions that will allow fracturemechanics analysis.

This study is to be addressed primarily to evaluation of complete joint penetration groove welds containing planartype flaws such as cracks or incomplete fusion or incomplete penetration. The investigation is to include some consideration of slag inclusions. The flaws are to be at least 1 in. in length (flaw dimension 2c) with beight (flaw dimension a) ranging from approximately 1/16 in, up to 1/2 in.

The base metal used in test specimens is to be A36. A572 G50, A588, or A514/517 steel and include at least the following thicknesses: (a) 3/8 in., (b) 1 in. to 2 in., and (c) 3½ in. to 4 in. Test welds are to be produced using shielded metal-arc welding, flux cored arc welding, or submerged are welding. In addition, some electroslag welds are to be included.

It is anticipated that the research will include the following tasks:

Task 1. Evaluate the applicability and limitations of AWS D1.1-77 ultrasonic testing procedures for determining the dimensions of flaws in welds.

Task 2. Develop procedures that expand or extend available ultrasonic techniques and have a potential for accurate measurement of flaws typically found in structural weldments.

Task 3. Evaluate the accuracy, precision, reliability, and reproduceability of the techniques.

Task 4. Prepare and include as part of the final report written procedures and specifications appropriate for use by State transportation agencies for inspection of bridge welds.

Task 5. Prepare a final report that includes research findings and recommendations for possible additional study.

FY '79 Project 10-14

Locating Voids Beneath Pavement Using Pulsed Electromagnetic Wave Techniques

Georgia Institute of Technology Research Agency:

Dr. J. D. Echard Principal Invest.:

J. R. Moore

Effective Date:

Completion Date:

15 months

\$99,850

Funds:

Voids often develop over a period of years beneath portland cement concrete pavements at approaches to bridges and at other locations such as pavement joints due to pumping, consolidation, subsidence, and erosion of the support material from beneath the pavement. This loss of support results in pavement distress manifested by cracking, settlement, bumps, and depressions in the roadway that are rough and often hazardous to rapidly moving traffic. Maintenance activities and restoration of rideability of these distressed pavements are time consuming, costly, and disruptive to normal traffic movement. Such activities include patching, slabjacking, and replacement of pavement sections.

An ability to locate voids beneath portland cement concrete pavements by periodic nondestructive surveys would permit replacement of support material before the development of pavement distress and loss of structural qualities. Developments in recent years in the field of pulsed electromagnetic wave technology indicate good prospects for locating and defining the extent of voids beneath pavements by nondestructive methods.

The primary objective of this project is to determine the practicality of pulsed electromagnetic wave technology for locating voids beneath reinforced and nonreinforced portland coment concrete pavements up to 18 inches thick. Another objective is the identification or development of a data processing technique suitable for use with the equipment that can be operated by field personnel and that will provide information on the parameters of voids beneath pavements. It is further desired that the voids beneath pavements be defined with an accuracy of at least $\pm 1/2$ inch in depth and ± 6 inches in horizontal dimension.

It is envisioned that accomplishment of the objectives will involve the following:

Task 1—Equipment Selection. Obtain pulsed electromagnetic wave equipment that shows promise for accuracy, reliability, and economical application for locating and defining voids beneath pavement slabs. The response signal from such equipment shall be capable of being recorded.

Task 2—Data Analysis Procedures. Develop data analysis procedures that will have as input the response signals from pulsed electromagnetic wave equipment and as the final output the identification of the location, size, and shape of voids beneath pavements.

Task 3—Experimental Evaluation. Evaluate the void detection pulsed electromagnetic wave equipment and data analysis procedures with regard to accuracy, precision reliability, limitations, operational characteristics, and environmental effects. This evaluation shall be conducted on portland cement pavement sections with thicknesses ranging from 6 inches to 18 inches, with and without reinforcing steel, and over pavement subbases with both high and low muisture levels. Further, there shall be voids of various sizes and shapes, both empty and filled with water, directly beneath the pavement sections.

AREA 11: LAW

Project 11-1 FY '65

Rules of Compensability and Valuation in Highway Land Acquisition

Research Agency: University of Wisconsiu

Principal Invest.: Dr. Ri Effective Date: Januar Completion Date: April

Funds:

Dr. Richard U. Rateliff January 1, 1965 April 30, 1967

\$84,840

Difficult problems of compensability and valuation of land acquisition for highway rights-of-way continue to plague courts, highway administrators, and appraisers. This project analyzed current legal rules and appraisal practices and suggests methods to eliminate inconsistencies, ambiguities, and inequities based on constitutional mandates, sound judicial analysis, and appraisal theory and practice.

The research was to express the parameters of indemnity representing the ideal based upon logical and acceptable criteria, identify deviations from the ideal basic principles found in statutes, operating rules, and court decisions, analyze the motivation for these deviations, and suggest a workable compromise between the ideal and the practicalities in the application of the power of eminent domain.

The research included a sampling of reported highway condemnation cases involving evidentiary problems for 25 States covering a 16-year period. Cases of particular interest were cited to support the discussions about the specific rules of admissibility of various types of evidence.

The report contains information relative to the present law of evidence in eminent domain proceedings. Divergencies which appear in the law from State to State are identified and analyzed. The cause and extent of diversity are determined, and the connection between evidentiary law and the legal rules and standards of compensability and valuation is examined. The reasons the courts give as a hasis for their decisions to admit or exclude various types of evidence are set forth and described.

The final report has been published as:

NCHRP Report 104, "Rules of Compensability and Valuation Evidence for Highway Land Acquisition."

Project 11-1(1) FY '68

Eliminating Enhancement or Diminution Effects on Right-of-Way Valuation

Research Agency: Real Estate Research Corporation

Principal Invest.:

Stanley F. Miller

Morris A. Lieberman

Effective Date: Completion Date:

September 2, 1968 February 28, 1969

Funds: \$5,000

Most frequently, the date of taking is the same as the date of valuation. However, especially in urban areas, valuation of property on such date frequently creates inequities to either the property owner or the State because of an enhancement or diminution in value of the surrounding or subject properties resulting from the public improvement or the announcement thereof. The diminution occurs when knowledge of the highway improvement depreciates the value of property to be taken prior to the date of taking. The enhancement occurs when such knowledge appreciates the value of the property.

The objectives of the research were to assemble and analyze whatever statutory and case law now exists on this subject. Valuation problems involved were also studied.

The research included a study of the general principles and techniques (both valuation and legal) that cause enhancement or diminution in the value of surrounding properties or those being taken by eminent domain as a result of the date of valuation or announcement thereof. Statutory laws of each of the 50 States were examined.

The final report covers a general discussion of valuation principles, including identification of factors which cause enhancement or diminution of value. The impact of date of valuation is discussed, and case studies of the effect of time are presented. There is also a general discussion of the legal aspects and practices.

The final report has been published as:

NCHRP Report 114, "Effects of Proposed Highway Improvements on Property Values."

Project 11-1(2) FY '68

Recognition of Benefits to Remainder Property in Highway Valuation

Research Agency: Joseph M. Montano & Assoc.

Principal Invest.: Joseph M. Montano
Effective Date: October 1, 1968
Completion Date: March 31, 1969

Funds: \$5,000

The subject of benefits is often discussed and casually considered, largely because it is a mandatory finding in many States, but rarely pursued with enthusiasm. Because of the need for more equitable treatment of the public interest, the practitioner, both legal and appraisal, needs to be more fully informed of the potential involved.

Actually there is a rather large and surprisingly liberal body of case law allowing a variety of benefits to offset or mitigate the amount of compensation that must be paid. These were collected, analyzed, and grouped, with emphasis on the most recent cases to ascertain trends. The desired cud product was a trial memorandum that can be used by the practicing trial lawyer and appraised on a day-to-day basis. The research explored different approaches, both legal and appraisal, that would lead to greater recognition of benefits to offset or mitigate the amount of compensation which must be paid.

The final report gives a short and concise, but comprehensive, statement of what appellate courts have said about the trial aspects of benefits. It further contains an inventory of these appellate decisions, as well as a list of annotations, treatises, and legal periodicals. Moreover, the report gives some suggestions and ideas about what should be done and how to prove that benefits have resulted by virtue of the construction of public improvements.

The project report has been published as:

NCHRP Report 88, "Recognition of Benefits to Remainder Property in Highway Valuation Cases."

Project 11-1(3) FY '68

Taxation Aspects of Right-of-Way Acquisition

Research Agency: University of Tulsa
Principal Invest.: Dr. E. Dale Searcy
Effective Date: September 16, 1968
Completion Date: April 30, 1969
Funds: \$2,250

Public land acquisition may have significant effects on landowners' tax status and liability, depending on alternative methods of valuation and payment of compensation. Such tax aspects should be considered, inasmuch as a full appreciation of the alternative methods of tax treatment of land acquisition can facilitate negotiations.

The objective of this research was to identify, analyze, and explain, with appropriate examples, the many elements of the taxation aspects of right-of-way acquisition. It included the Federal income and capital-gains tax elements, but also treated these elements from a state income and ad valorem tax point of view for purposes of illustration.

The research distinguished, for taxation purposes, between all of the different compensation elements involved (i.e., relocation payments, partial takes, etc.). It included these and other elements involved in the various interests or awards (negotiations vs. condemnation, etc.) and types of properties (residential, business, agricultural, investment properties, etc.).

A final report was not submitted; therefore the contract was terminated.

Project 11-1(4) FY '68

Compensation in the Nature of Additives to Market Value

Research Agency: Univ. of Oklahema Research Inst.
Principal Invest.: J. Dwain Schmidt

Effective Date: J. Dwain Schmidt
Effective Date: December 1, 1968
Completion Date: May 31, 1969
Funds: \$2,500

In recent years, the courts, Congress, and the State legislatures have been and are being pressed to allow reimbursement or damages to property owners in addition to payment of compensation under the traditional market value concept. These include payment of interest; property owner's litigation costs, including appraisal and attorney fees; moving or relocation expenses; percentage premiums above market value; hardship premiums; business discontinuation allowances; rent supplements; etc.

The objective of this study was to analyze statutes and cases on a Federal and State-by-State basis to ascertain the present state of the law of these issues and to measure the trend, if any.

The research examined some outstanding cases concerning additives to market value in highway condemnation cases and delved into recent legislation materially affecting the law of eminent domain as it relates to just compensation.

The final report was not published in the NCHRP report

series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 11-1(5) FY '68

Rules of Discovery and Disclosure in Highway Condemnation Proceedings

Research Agency: Long, Mikkelborg, Wells & Fryer

Principal Invest.: Jeremiah Long
Effective Date: September 15, 1968
Completion Date: April 14, 1969

Funds: \$2,500

A significantly large body of statute and case law is developing concerning the applicability of State and Federal rules of discovery to eminent domain actions and the rights of the parties to compel disclosure of the opposition's valuation and other testimony. Depending on the way such disclosure is permitted, advance possession of the other party's valuation evidence, which is largely opinion, and the reasons therefor, may materially affect cross examination. The highway legal practitioner should be aware of the state of the law in this field.

Divergent conclusions and opinions relating to value are not based on the existence of differing facts but on individual interpretation of those facts in the expert's valuation of the property before and after acquisition. No amount of independent pre-trial effort on the part of opposing counsel or his client will reveal the conclusions and opinions of the opposing experts. Add to the uncertainties of preparation for cross-examination and rebuttal the primary importance of expert testimony in condemnation actions and the wide divergence in the contents of such opinion, and it is not surprising that the field of eminent domain has produced the most activity and the greatest diversity of legal opinion in the area of pre-trial discovery of the opinions and conclusions of value experts retained for negotiation and in anticipation of litigation.

The final project report discusses the existing Federal and State cases on the subject, the statutes, and rules adopted in various jurisdictions to resolve the uncertainties attending discovery of expert opinion.

The project report has been published as:

NCHRP Report 87, "Rules of Discovery and Disclosure in Highway Condemnation Proceedings."

Project 11-1(6) FY '68

Valuation and Condemnation Problems of Selected Special Purpose Properties

Research Agency: Edward E. Level
Principal Invest.: Edward E. Level
Effective Date: September 2, 1968
Completion Date: November 28, 1969
Funds: \$7,500

Properties put to special uses are frequently required, in whole or in part, for highway right-of-way purposes. The rules of compensation and methods of valuation of such properties are inconsistent in their practical application, often with incongruous and varying results from State to State.

Research is needed to clarify the special-purpose-property field illustrated by the taking of cemeteries, parks, schools, and churches, or portions thereof. The research was to assemble and analyze the case law applicable to this class of property and the present state of appraisal practice in the field involving these special-use properties and to provide a clear exposition of the correct theory and practice in terms of a series of alternatives applicable to such properties.

Schools, churches, cemeteries, parks, utilities, and similar properties, due to the lack of sales data, cannot readily be valued by the usual appraisal methods or legally allowable proof. The project report considers what special appraisal techniques and legal rules are applied in valuing such properties.

Cases and appraisal methods are discussed as to just compensation, elements of the special-purpose properties, appraisal evidence and evidence allowed, and the competency of witnesses in trials concerning special-purpose properties. Specific discussions of appraisal techniques and legal rules applicable to cemeteries, churches, parks, schools, and other special properties are discussed.

The project report has been published as:

NCHRP Report 92, "Valuation and Condemnation of Special Purpose Properties."

Project 11-1(7) FY '68

Valuation and Compensability of Noise, Pollution, and Other Environmental Factors

Research Agency: Univ. of Oklahoma Research Inst.

Principal Invest.:

Effective Date:

Completion Date:

March 31, 1969

Funds: \$2.500

Highway departments today are confronted with some complicated takings, particularly in urban areas, wherein allegations are made claiming damages which arise from highway-oriented noise, air, and water pollution and other similar environmental factors.

The decided cases in this limited area were singled out and examined, with careful analysis given to the valuation and legal compensability problems.

The power to take private property for a public purpose by eminent domain is a basic right of government. However, in the United States, private property shall not he so taken without the payment of just compensation. The question researched in this project was whether highway-produced noise, air, and water pollution—and other similar environmental factors—are the type of injuries for which compensation must be paid.

The final report was not published in the NCHRP

report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 11-1(8) FY '68

Remainder Damages Caused by Drainage, Runoff, Blasting, and Slides

Research Agency: Harrison Lewis
Principal Invest.: Harrison Lewis
Effective Date: October 15, 1968
Completion Date: January 15, 1970
Funds: \$7,500

During highway construction, or shortly thereafter, there are special types of damages relating to drainage, runoff, blasting, slides, etc., which sometimes result. Generally speaking, all damages which are the natural and probable result of involuntary takings are to be included and assessed in the condemnation proceedings, but the law and the appraisal practice relating to such special situations, litigated and negotiated, is far from clear and is not understood by many appraisers.

The purpose of the research was to identify and clarify these elements. The research included an assembly and analysis of case law from a majority of jurisdictions applicable to each of these special situations; an assembly and analysis of the best and prevailing appraisal principles applicable thereto; and a statement of the logical alternative methods of dealing with the valuation and damage problems involved, including the pros and cons of each such legal alternative.

The project report has been published as:

NCHRP Report 134, "Damages Due to Drainage, Runoff, Blasting, and Slides."

Project 11-1(9) FY '68

Valuation and Condemnation Problems Involving Trade Fixtures

Research Agency: Edward L. Snitzer
Principal Invest.: Edward L. Snitzer
Effective Date: March 15, 1969
Completion Date: December 1, 1969

Funds: \$5,000

In the acquisition of commercial properties, questions and disputes often arise between condemnor and condemnee as to the obligation of the comdemning authority to take and pay for "trade fixtures." The condemning authority frequently takes the position that, as same are movable and, hence, not affixed to the freehold, they are personal property and, thus, may be removed by the condemnee. In this area, the courts have also recognized a different rule than exists between landlord and tenant and mortgager and mortgagee in regard to such fixtures.

The objective of the research was to review all appellate cases in the trade fixture area and to cite and compare these with selected typical landlord-tenant and mortgagor-mortgagee cases to illustrate the different rules of law applicable. Appropriate jury instructions, based on the decided cases, were developed as to the acquisition and valuation criteria that have been judicially prescribed. Comments were made on the valuation techniques involved, particularly as to how they may differ, if they do, from conventional methods of fixture valuation. Existing legal and appraisal literature was reviewed and cited, particularly law review articles, ALR annotations, and The Appraisal Journal.

The project report has been published as:

NCHRP Report 94, "Valuation and Condeinnation Problems Involving Trade Fixtures."

Project 11-1(10) FY '68

Compensability and Valuation Aspects of Residential Displacement in Highway Programs

Research Agency: Ross, Hardies, O'Keefe, Babcock,

McDugald & Parsons

Principal Invest.: Fred P. Bosselman

Effective Date: March 15, 1969

Completion Date: September 15, 1969

Funds: \$5,000

Serious practical problems arise when highway construction unavoidably necessitates substantial displacement of residential units both in urban and rural areas. Relocation of displaced residents is, in varying degrees, becoming a responsibility of public agencies. However, up to the present time, alternative means and procedures for performing this responsibility have been limited, and it is evident that new and greater efforts in this activity must be made. Significant legal and valuation problems must be solved if legislators and administrators are to have guidelines for development of new methods of improving relocation assistance and for decisions between alternatives in specific situations.

The rescarch report contains discussions of the constitutional requirements and limitations and how the basic standards for the payment of compensation to persons whose property is taken for public use are derived from such sources. The need for new compensation techniques is discussed and analyzed. Traditionally, "consequential damages" resulting from the taking of a man's property have been considered part of the burden of citizenship. The rapid increase of residential takings has caused great pressure on government to compensate more of these consequential damages. The various monetary and nonmonetary effects are outlined to indicate the wide range of losses that may result when residences are taken.

The project report has been published as:

NCHRP Report 107, "New Approaches to Compensation for Residential Takings."

Project 11-1(11) FY '68

Valuation Elements of Joint Development Projects, Including Air Rights

Research Agency: Real Estate Research Corp.

Principal Invest.: John M. Bohling Effective Date: February 24, 1969 Completion Date: August 25, 1969

Funds: \$5,000

Interest is increasing with respect to joint development projects involving highways and other kinds of public and private facilities. There is actually little information available, however, about the application of known appraisal concepts to such joint development projects. Additionally, a whole new valuation dimension has come into focus, involving the valuation of vertical planes of value (air rights). All of these aspects need to be explored.

The study briefly reviewed the legal factors covering the valuation of air rights and of joint development projects. An exploration was made of known appraisal concepts and valuation principles and their application to the valuation of multiple-use projects. The findings of this study will provide guidance for appraisal practitioners and public officials concerned with the valuation of joint development projects.

The study found that the current appraisal technique, as presented by the Keubnle and White formulas, appears to present the best potential for the valuation of multipleuse projects, particularly as they apply to rights-of-way. These formulas present the value of the property interest to be disposed of (the air rights or tunnel easement) in order to approximate the difference between the value of the fee property before and after the specific property interest is conveyed. These formulas take into consideration other costs or benefits, such as (a) economic value loss because of reduction in functional utility due to construction, (b) added costs of constructing improvements in a different fashion than if erected on surface fee, (c) additional interest expense which would be incurred, (d) savings in excavation costs, (e) tenant relocation, (f) demolition.

The final report was not published in the NCHRP report series: however, a copy may be purchased from University Microfilms International. 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 11-2 FY '65

Theory and Practice in Inverse Condemnation

Research Agency: Regional and Urban Planning

Implementation

Principal Invest.: Mrs. Barbara Hering
Effective Date: February 1, 1965
Completion Date: June 30, 1966
Funds: \$15,000

Legal procedures for determining the question of liability of damage occurring during or after highway construction are neither clearly understood nor agreed upon. This project was intended to review case law covering inverse condemnation, review techniques to litigate inverse condemnation claims and defenses, analyze administrative techniques used in handling such claims, and compare judicial treatment and alternative statutory proposals applicable to State highway department problems.

An intensive review of legal cases has been conducted for five States having a substantial volume of such cases. Questionnaires supplemented by personal contact studied legal and administrative practice.

The project report has been published as:

NCHRP Report 72, "Theory and Practice in Inverse Condemnation for Five Representative States."

Project 11-3 FY '67

Valuation and Legal Implications of Scenic, Conservation, and Roadside Easements

Research Agency: Donald T. Sutte, Jr., and Assoc.

Principal Invest.: Donald T. Sutte, Jr.

Prof. Roger A. Cunningham

Effective Date: November 1, 1966 Completion Date: December 15, 1967

Funds: \$25,000

Because of the difficult problems that are emerging from the implementation of the Highway Beautification Act of 1965 and the scenic road programs, this project relates to the identification and application of legal and valuation principles for the acquisition of scenic, conservation, and roadside easements; outdoor advertising and junkyard activities; scenic enhancement interests; and the like.

All the available information was assembled pertaining to past experience in the use of scenic roadside easements and similar property interests in programs for scenic enhancement. An annotated bibliography of the relevant legal and appraisal literature has been prepared, and State and Federal highway agencies that have been active in acquisition of scenic easements were interviewed. The material was analyzed with regard to the statutory bases, the character of the easement, and the administrative and acquisition practices developed.

The researchers studied the steps for acquiring scenic easements, the advantages and disadvantages of scenic easements, and similar less-than-fee property interests. Model legislation was developed to deal with the legal problems identified.

The project report has been published as:

NCHRP Report 56, "Scenic Easements—Legal, Administrative, and Valuation Problems and Procedures."

Project 11-3(1) FY '68

Public Control of Roadside Advertising Signs for Highway Beautification

Research Agency: Donald T. Sutte, Jr., and Assoc.

Principal Invest.:

Donald T. Sutte, Jr.

Prof. Roger A. Cunningham

Effective Date: Completion Date: October 1, 1968 December 31, 1969

Funds:

\$20,000

The Highway Beautification Act of 1965 made several major changes in Federal policy regarding control of roadside advertising, which changes have affected State and local programs on such matters and require valuation and legal studies.

Based on the assumption that compensation must be paid for the elimination of those signs erected before October 1965 that must be removed, the legal research included a review of all the decided cases discussing all the various elements of compensation and, in particular, the taking from the owner of the sign, display, or device of all right, title, leasehold, and interest in such sign, display, or device and the taking from the owner of the real property on which the sign, display, or device is located, the right to erect and thereafter maintain such signs, displays, and devices thereon.

The valuation research included a general discussion of all applicable valuation principles and concepts considering the special-purpose nature of outdoor advertising signs; gave consideration to the explanation of the alternative methods of estimating compensation for all elements; gave separate treatment to the methods of measuring business losses; and recognized and separately treated the different types of outdoor advertising signs. Actual illustrations and case studies were utilized.

The project report has been published as:

NCHRP Report 119, "Control of Highway Advertising Signs—Some Legal Problems."

Project 11-3(2) FY '68

Public Control of Junkyards for Highway **Beautification**

Research Agency:

Real Estate Research Corp.

Principal Invest,:

Stanley F. Miller

Effective Date:

Morris A. Lieberman

September 2, 1968

Completion Date:

February 28, 1970

Funds:

\$13,300

The Highway Beautification Act of 1965 made several major changes in Federal policy regarding control of junkyards, which changes have affected State and local programs on such matters and require valuation and legal studies.

Based on the assumption that compensation must be paid for the relocation, removal, or disposal of junkyards specified in the Highway Beautification Act of 1965, the legal research included an investigation of decided cases in five representative States. Furthermore, the statutory laws of the 50 States were examined as they pertained to the problem and the research objective.

The research included a general examination of valuation principles and concepts applicable to the valuation of junkyards. Careful and objective consideration was given to alternative methods of estimating compensation for all elements. The studies recognized and separately treated the different types of junkyard establishments.

The project report covers the basic principles of market value and compensation. Valuation practices and procedures are discussed, and factors that cause enhancement or diminution of value are identified. Case studies are included in the report to show examples of the effect of time on value and to show examples of remainder and specific

The project report has been published as:

NCHRP Report 112, "Junkyard Valuation-Salvage Industry Appraisal Principles Applicable to Highway Beautification."

Project 11-4 FY '68

Elimination of Wide Divergence in Right-of-Way Valuation

Amer. Inst. of Real Estate Appraisers Research Agency:

Principal Invest.:

Frances Hokanson

Effective Date:

July 1, 1969

Completion Date:

February 28, 1971

Funds:

\$24,959

Wide variations in valuation have been reported in many States. These have most frequently occurred in instances (a) where two or more appraisers are so divergent that their testimony has little merit and (b) where appraisal of severance damage is shown by subsequent experience to be wholly unrealistic. Continued occurrence of such instances results in unnecessarily high awards and raises questions regarding validity of current valuation methods.

This research reviews, analyzes, and evaluates actual cases in which divergences existed. The reasons or bases for such divergences are identified. The research includes analyses of how divergencies relate to type of taking, type of use, level of government that acquires, and other factors. It also covers the extent to which appraisal divergencies reflect inadequacies in the appraisal process and techniques such as (a) misunderstanding of the facts of a particular appraisal, (b) lack of training and experience of appraisers, (c) conflicting legal and engineering premises, and (d) problems of severance damages. Alternative solutions are suggested to eliminate or diminish such divergences. The alternative solutions explored include possible changes in the law, presentation and admissibility of valuation evidence, changes of appraisal concept, or methods of administration.

The project report has been published as:

NCHRP Report 126, "Divergencies in Right-of-Way Valuation."

Project 11-5 FY '71

Valuation of Air Space

Research Agency: Daniel, Mann, Johnson, &

Mendenhall

Principal Invest.: Daniel J. McNichol
Effective Date: October 1, 1970
Completion Date: May 31, 1972

Funds: \$49,800

Use of air space over or under highways gives great promise as a major means of fitting highway transportation into the urban environment. However, difficulties in placing a proper valuation on rights in air space are hampering such developments in some areas. It is imperative that better methods for making such valuations be devised so that proper and orderly development can proceed without delay.

The objective of this study was to provide guidelines, procedures, and documentation for the right-of-way agent and lawyer in valuation, legal, and administrative problems as applied to air-space acquisition and planning. The primary emphasis was on developing applicable valuation theory and criteria.

The research included an inventory and review of beforeand-after case-study material where air space had been bought, sold, or leased. An analysis was made in terms of factors common to all cases and of special factors relevant to various uses of air space and various types of highway structures.

The research also evaluated the adequacy of existing legislation and analyzed and reported on legal ramifications that influence the valuation process, taking into consideration legal constraints peculiar to air-space valuation. A basic theory for the evaluation of air rights was developed.

The variables and factors that influence air-space acquisition and the valuation processes were identified and analyzed. Matrices were developed to provide a comprehensive collection of relevant valuation factors, including economic feasibility analysis. The primary aim was to provide a clear and precise presentation of all factors considered in the valuation process and a basis for selecting the most desirable use.

The project report has been published as: NCHRP Report 142, "Valuation of Air Space."

Project 11-6 FY '74

Valuation and Compensability of Noise Pollution

Research Agency: Jack Faucett Associates
Principal Invest.: Dr. E. J. Mosbaek
Effective Date: April 1, 1974
Completion Date: July 31, 1975

Funds: \$94,744

The view is widely held that highway noise has resulted in a deterioration in the quality of life along roadways. Although noise abatement procedures are being developed, their over-all effectiveness is still open to question, and they are not always applicable to all situations. Therefore, highway administrations in the several states are confronted with the need to consider various ways of dealing with the impact of noise pollution resulting from highway traffic. Methods for measurement and prediction of noise produced by highway traffic are reasonably well developed, as are criteria for the interference of this noise with various human activities. However, criteria for determining compensability where excessive noise levels are anticipated do not exist. Consequently, it is desirable to develop measures of compensability for damages resulting from such noise. Such measures could be used, for example, in socio-economic environmental analyses or for assessing the comparative feasibility of abatement measures, as well as for establishing a basis for compensation.

The objective of this research was to identify and develop fair and equitable valuation methods and compensability criteria for the effect on adjacent areas of noise anticipated to be produced by traffic on proposed highway improvements. To accomplish these objectives, the research included the following tasks:

- 1. Review and summarize recent literature, including court decisions, pertaining to elements of damages arising from noise, and theories of compensation therefor, including methods of measurement and valuation of such damage.
- 2. Define measures and scales for quantifying the extent of potentially compensable damages resulting from exposure to highway traffic noise. Variables to be quantified should include such factors as impact on property values and interference with human activities.
- 3. Develop a compensation model or models that relate levels of compensation to varying levels of noise exposure and different land uses.
- 4. Apply and evaluate the use of the compensation models against a set of representative highway environments to assess the economic effects of noise compensation and revise the compensation models on the basis of the evaluation.
- 5. Prepare a guide for determining rates of compensation for damages resulting from exposure to highway traffic noise for practical application in planning and design of highways.

Research has been completed, and copies of the agency's final report have been distributed to NCHRP sponsors.

A copy of the agency's final report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106. Loan copies of the report are available from the NCHRP Program Director.

AREA 12: BRIDGES

Project 12-1 FY '65

Deformation of Steel Beams Related to Permitted Highway Bridge Overloads

Research Agency: University of Missouri

Principal Invest.:

Dr. Adrian Pauw

Dr. J. W. Baldwin, Jr.

Effective Date: Completion Date:

February 1, 1965 June 30, 1967

Funds:

\$50,000

The bridge research in the AASHO Road Test demonstrated that permanent deformations can occur in steel beams due to a combination of load, fabrication, and environmental stresses which totally exceed the yield point of the steel. The current AASHTO specifications permit overloads on the typical highway bridges in service, and the possible occurrence of similar permanent deformations in these could foreseeably affect the useful life of the structure. This study was confined to simple-span composite and simple-span noncomposite steel-stringer highway bridges and is directed to a determination of (1) the causes and magnitudes of fabrication and environmental stresses, (2) the possible existence of permanent deformations in existing bridges due to current specifications, and (3) the effect from cycles of overloading.

This research was initiated to study the magnitude and effect of permanent deformations in simple-span composite and noncomposite steel-stringer highway bridges. Included in the work was a study of the causes and magnitudes of stress which, in addition to normal load stresses, lead to yielding of the steel stringer at load stresses with calculated magnitudes lower than the yield point of the material. Such factors as residual stress distribution due to rolling and welding, effects of thermal gradients, and the effects of creep and shrinkage of the slab on the stress in the steel were considered.

The final report has been included in the report for Project 12-6, which was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 12-2 FY '66

Distribution of Wheel Loads on Highway Bridges

Research Agency: lowa State University
Principal Invest.: Dr. W. W. Sanders, Ir.

Effective Date: June 1, 1966
Completion Date: December 31, 1968

Funds: \$79.512

The current AASHO specifications for the distribution of wheel loads to highway bridge floor systems are inadequate. This study correlated and evaluated the large amount of research conducted on this problem to date and made suitable recommendations for changes in the specifications covering wheel-load distribution factors for the various types of floor systems used in bridges. The major emphasis was on short- and medium-span bridges without skew. Included were floor slabs supported by steel, reinforced concrete, and prestressed concrete, as well as floor systems produced by adjacent box beams.

The final report has been published as:

NCHRP Report 83, "Distribution of Wheel Loads on Highway Bridges."

Project 12-3 FY '66

Development of Waterproof Roadway Joints for Bridges

Research Agency: Southwest Research Institute

Principal Invest.: Dr. E. W. Kiesling

J. E. Minor

Effective Date: December 15, 1965 Completion Date: March 14, 1969

Funds: \$149,895

Difficult maintenance problems have resulted from bridge deck expansion joints as they are presently designed and constructed. These problems include corrosion and disintegration of structural elements due to the passage of water through the joints and curtailment of longitudinal movement due to the accumulation of foreign material in the joint. The problem is compounded by the range of longitudinal motion required for the proper functioning of the joint and the magnitude of skews of many joints. The research was directed toward the development of designs for economically feasible waterproof bridge expansion joints that adequately provide for thermal expansion and contraction and remain serviceable when installed normal or skewed to the line of traffic. Recommendations were made for the design, installation, and maintenance of the joints.

The research has been completed. The essential findings from the study have been reported in NCHRP Research Results Digest 14 (Oct. 1969). Because it contains proprietary information, the final report will not be published in the NCHRP report series and is available only to the sponsors of the Program.

Project 12-4 FY '66

Thermal Characteristics of Highway Bridges

Research Agency: Southwest Research Institute

Principal Invest.: Dr. Thein Wah
Effective Date: December 15, 1965
Completion Date: March 31, 1968

Funds: \$102,400

Actual field studies on thermal behavior of bridges have shown that thermal forces can be of an appreciable magnitude and merit consideration. Inasmuch as present methods of design normally allow only for uniform thermal expansion of bridges, quantitative information is needed concerning all consequential ways in which temperature affects deformation and stresses in the structure. This study sought to determine the magnitude and significance of thermal gradients in girder-supported highway bridges and to develop an analytical method for predicting the resulting thermal stresses. Field tests were conducted to attempt to validate the analytical method.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 12-5 FY '67

Protection of Steel in Prestressed Concrete Bridges

Research Agency: University of Denver Principal Invest.: Dr. W. C. Hagel September 15, 1966 Completion Date: November 15, 1968 Funds: \$173,255

This project sought to determine environmental conditions under which special protection is required and to develop effective protective systems under both preand post-tensioning configurations. Specifically, the objectives were (1) to conduct a thorough survey of available domestic and foreign data on corrosion and prevention of corrosion of prestressing steel in bridges, buildings, pavements, and other structures: (2) to review present practice to evaluate the effectiveness of prevention of corrosion and mechanical damage during manufacturing, shipping, and placing; (3) to identify the mechanisms of corrosion which attack prestressing tendons under various conditions, possibly including, but not limited to, the influence of concrete and grout composition, the presence of free water, electrolysis, and the presence or absence of cracking; (4) to devise an appropriate accelerated corrosion test or tests simulating the various service conditions surrounding prestressing tendons; (5) to evaluate various possible protective systems for prestressing tendons, including, but not limited to, metallic, plastic, or inhibitive coatings, grout substitutes or admixtures, cathodic protection, etc.; (6) to perform field and laboratory experiments to determine the effectiveness of present grouting methods for post-tensioned work and to suggest improvements in methods and/or materials; and (7) to evaluate the effectiveness of concrete cover over

The final report has been published as:

NCHRP Report 90, "Protection of Steel in Prestressed Concrete Bridges."

Project 12-6 FY '67

Prediction of Permanent Camber of Bridges

Research Agency: University of Missouri
Principal Invest.: Dr. James W. Baldwin, Jr.
Dr. Adrian Pauw

Effective Date: February 1, 1967
Completion Date: April 30, 1972
Funds: \$82,253

The present construction practices used in providing camber in rolled beams result in an unpredictable loss of camber during the early life of the bridge. This loss of camber occurs under loads lower than those causing strains equal to the yield point of the material. There is a need for a determination of the causes of the loss of camber when the camber was produced by heat, strain, restraint, or a combination thereof. Toward fulfilling this need, the primary objective of this research was to recommend a means of predicting the permanent camber in rolled beams resulting from specific fabrication methods and to include (1) a thorough survey of available data on residual stresses in rolled beams; (2) a survey of existing methods of cambering beams and a classification of methods into different categories, if possible, with cambering by both mechanical and thermal means being studied; (3) the determination of the magnitude and distribution of residual stresses in beams as rolled and delivered to the fabricator without camber, with the beams studied being of sizes representative of typical highway bridges; (4) the determination of the effect of the cambering methods investigated on residual stresses; (5) the determination of permanent deformations in rolled hearns without added camber when subjected to repeated loads at various levels with loads lower than those causing computed yield-point stresses (this does not presume to be fatigue loading, but the number of cycles applied would be equal to six-months service life of a bridge); (6) the determination of permanent deformations in rolled beams cambered by the methods investigated when subjected to repeated loads at various levels of loading lower than those causing computed yield-point stresses, the number of cycles applied being equal to six-months service life of a bridge; and (7) the formulation of a mathematical model (after the determination of objectives 5 and 6) for predicting the permanent camber.

The final report, which includes the findings of Project 12-1, was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeh Road, Ann Arbor, Michigan 48106.

Project 12-7 FY '67

Effects of Weldments on Fatigue Strength of Steel Beams

Research Agency: Lehigh University
Principal Invest.: Dr. John W. Fisher

 Effective Date:
 Oct. 1, 1966
 July 1, 1970

 Completion Date:
 Jan. 31, 1970
 Dec. 31, 1972

 Funds:
 \$199,023
 \$200,000

The fatigue fractures observed in the coverplated steel beam bridges included in the AASHO Road Test, as well as those obtained in other similar structures, emphasize the important effect of welding and welded details on the life expectancy of highway beam or girder bridges. Also of great significance in these bridges are the loading history, the type of materials used, the design details, and the quality of fabrication. Among the more important design details are such factors as coverplates, stiffeners, attachments, and splices. Only approximate general mathematical design relationships have been possible on the basis of the limited existing experimental data. However, with the conduct of

additional research, and an analysis and evaluation of the many interrelated fatigue parameters, suitable basic relationships can be developed to properly design welded bridges for a desired life expectancy.

The principal objective of Phase I of this research was to develop design relationships that define the basic behavior of welded coverplated beams under constant-amplitude fatigue loading. The results of the Phase I work have been reported in:

NCHRP Report 102, "Effect of Weldments on the Fatigue Strength of Steel Beams."

The Phase II work had the objective of extending the basic knowledge obtained under Phase I into important design considerations, including stiffeners and/or lateral and transverse connections. Phase II included a continuing review of existing data and mathematical relationships defining the fatigue behavior of various details under constant-amplitude loading. It also included a statistically designed and controlled experiment that was intended to provide new information for the development of suitable mathematical relationships that can predict the fatigue behavior of welded beams with stiffeners and/or lateral and transverse connections. Variables studied included applied stresses, design details, and type of steel.

Phase II research has been completed, and the final report has been published as:

NCHRP Report 147, "Fatigue Strength of Steel Beams with Welded Stiffeners and Attachments,"

Project 12-8 FY '66

Bridge Rail Service Requirements as a Basis for Design Criteria

Research Agency:	Texas A & M University
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Research Foundation

Principal Invest.: Dr. Robert M. Olson

 Effective Date:
 Mar. 1, 1968
 Jan. 2, 1970

 Completion Date:
 Feb. 28, 1969
 June 30, 1971

 Funds:
 \$28,793
 \$69,753

Highway bridge railing systems have evolved through need and experience and with design information not fully substantiated by research. In recent years many full-scale crash tests on railings have been conducted providing much useful information, but still there is need for a better definition of service requirements. Of prime importance is a need for developing a fundamental concept of the purpose that railings are expected to serve under various site conditions with due consideration being given to a balance between safety, appearance, and economy. Design criteria, when established, can then be correlated with existing research data for development of specifications for the design of various railing configurations and materials.

The Phase I research effort to develop tentative service requirements has been completed, and the results have been published as:

NCHRP Report 86, "Tentative Service Requirements for Bridge Rail Systems."

The Phase II effort had as its objective the quantifica-

tion of the service requirements to produce design criteria for bridge rail systems. This objective was to be pursued by further establishing the validity of a simple mathematical model developed under Phase I: by conducting parameter studies using the mathematical model to evaluate simulated vehicle-barrier collisions; by developing tables, curves, or nomographs for use by design engineers; and by refining the limits of tolerable deceleration on the basis of more recent information.

The agency devoted study to the trends of automobile weights and dimensions; the evaluation of accident causation factors that may have a significant influence on the frequency of bridge rail-vehicle collisions; the analysis of structural response and failure mechanisms of concrete parapets; the relationship between barrier strength and rigidity versus vehicle damage and accelerations transmitted to the passengers; the effects of barrier design on the dynamic response of a vehicle; the required barrier height for certain selected vehicles; and analysis of crashtested bridge rail designs by a mathematical model for purposes of further validating the model and theoretically estimating the efficiency of the design.

Phase II research has been completed, and the final report has been published as:

NCHRP Report 149, "Bridge Rail Design—Factors, Trends and Guidelines,"

Project 12-9 FY '67

Elastomeric Bearing Research

Research Agency: Battelle Memorial Institute

Principal Invest.: J. C. Minor
Effective Date: September 1, 1967
Completion Date: January 31, 1970

Funds: \$84,800

The development of new elastomers and elastomeric bearing systems is proceeding at a rapid rate. The ability of these bearings and bearing systems to absorb the various loads and movements occurring in bridges in a more efficient manner and at a significantly lower cost than mechanical bearing systems justifies an effort to improve current designs. Toward this objective, this project contemplated research on elastomeric bearings and bearing systems using materials as defined in the AASHTO specifications for elastomeric bearing pads.

The major objectives of the project were to evaluate (1) effect of geometry on compressive strain, compressive set, shear modulus, and rotational modulus for hardness between 50 and 70 durometer and sizes from 50 to 200 sq in., and the effect of lamination on these values; (2) relative performance of glued laminated pads compared to fully vulcanized units, including an effective test of the adhesion between layers; (3) relative performance of molded pads versus pads sawed from larger sheets with an evaluation of the sawing process and determination of an acceptable cut surface; and (4) evaluation of the aging and low-temperature (to -40 F) characteristics of the various pads.

The research has been completed, and the final report has been published as:

NCHRP Report 109, "Elastomeric Bearing Research."

Project 12-10 FY '70

Analysis and Design of Bridge Bents

Research Agency: Portland Cement Association
Principal Invest.: Dr. James E. Carpenter
Effective Date: January 1, 1970

Completion Date: December 31, 1973

Funds: \$297,900

The present strong emphasis on safe and acsthetic design of reinforced concrete highway bridges has resulted in substructure configurations that depart widely from the traditional footing-column-cap frame design. Acsthetic considerations often dictate the concealment of massive concrete caps and elimination of numerous vertical columns; however, design procedures in current use are not applicable to these new configurations. There is a general feeling that current procedures result in overdesigned structures containing much more steel than is necessary. Therefore, an urgent need exists for the development of appropriate design procedures.

Although the ultimate need is to establish valid design procedures that are applicable to many configurations of bridge bents, this project was limited to investigation of bent caps concealed in straight, continuous, reinforced concrete bridges.

Design procedures were developed by (1) constructing and testing adequately scaled reinforced concrete models of representative bents and (2) developing a mathematical model to correlate with the experimental results. The design procedures may be corroborated by data taken from ful-size bridges instrumented during construction but not as a part of this project.

Research was based on prototypes representative of popular box-girder designs. The accomplishment of the research included: (1) reviewing the technical literature; (2) determining a design procedure for single- and multiple-column bents; (3) determining the cap design width by defining the extent of superstructure participating in supporting the cap loads; and (4) specifying changes required in the AASHTO specifications to permit use of the recommended design procedures.

To achieve the objectives of this research, a plan was developed that includes testing of ½-scale models of two reinforced concrete box girder bridges. These tests provided information on distribution of loads in the vicinity of the integrated bent cap. Five additional tests on model bent specimens provided further information on the location of critical sections and the effective width of the bent cap. These ½-scale specimens were intended to represent a transverse strip of bridge superstructure that is parallel to and includes the bent cap and columns. The reinforcement of the bent cap was varied in these models, as well as column flare and the thickening of the deck slab. Analytical studies of load distribution in the entire bridge and

of stress distribution in the bent cap accompanied the experimental work,

Research has been completed, and the project report has been published as:

NCHRP Report 163, "Design of Bent Caps for Concrete Box Girder Bridges,"

Project 12-11 FY '71

Waterproof Membranes for Protection of Concrete Bridge Decks

Research Agency: Materials Research and

Development

Principal Invest.: C. J. Van Til C. J. Van Til

B. J. Carr

 Effective Date:
 Aug. 1, 1970
 July 15, 1973

 Completion Date:
 Mar. 31, 1973
 September 30, 1978

Funds: \$206,025 \$100,000

Many bridge decks suffer damage as a result of penetration of water and deicer solutions through the deck surface. One possibility for providing the protection necessary to alleviate this damage is to place an impermeable membrane over the entire deck surface. To be effective, such a membrane must maintain bond with the deck surface and must have sufficient extensibility to bridge active cracks without rupture through the range of temperature and loads to which the deck is subjected. It is likely that, in order to realize an acceptable degree of permanence, the membrane either will be protected by a wearing surface, such as asphaltic concrete, or will provide adequate wearing qualities within itself.

The objective of this research was to develop, or discover, one or more effective waterproofing membrane systems for use on concrete bridge decks.

The objective was approached in a two-phase study. Phase I, now complete, was devoted to preliminary evaluation of all available membranes, selection of the most promising for field evaluation, and development of a field evaluation plan. Phase II was the field evaluation.

Phase I of the research consisted of conducting a detailed literature search; defining the service requirements for effective membrane systems; conducting sufficient field inspections to evaluate selected systems, including application techniques; conducting controlled laboratory studies to identify and define those properties that affect performance of membrane systems and devising qualifying tests relative to field performance; developing a procedure for determining cost-benefit ratios associated with the use of membrane systems and demonstrating the procedure by example cases; and devising an experimental program for evaluating the performance in the field of selected membrane systems under service conditions.

Of an initial group of 147 systems, 78 were selected for more detailed study. Characterization and performance data (from both laboratory and field) eventually produced five survivor systems that appeared to be the most promising candidates for further field evaluation. All of the survivors require a protective surfacing of asphaltic concrete to serve adequately, and all but one appear to require the application of an intermediate protective layer to avoid damage by construction operations subsequent to installation.

The results of Phase I have been reported in:

NCHRP Report 165, "Waterproof Membranes for Protection of Concrete Bridge Decks—Laboratory Phase."

Under Phase II, the five systems selected as most promising were experimentally installed on new decks at each of four bridge sites in 1974 and 1975. Semiannual observations of performance of the installed systems were made. Research is completed, and the agency's final report has been distributed to the Program sponsors. A copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

The agency pursued the project objectives by: a study of pertinent past work with particular emphasis on field measurements of stresses in bridges under traffic; a theoretical study to predict from existing hypotheses the fatigue behavior of small specimens and beams that were tested later in the study; variable-amplitude fatigue tests of small specimens simulating certain beam details for the purpose of verifying the variable-amplitude load spectra selected and crack propagation threshold assumptions; variable-amplitude fatigue tests of relatively large beams of various steels with typical bridge details similar to those tested in NCHRP Project 12-7; and complete evaluation of the experimental results and development of methods of utilizing the results for design and specification purposes.

Research has been completed, and the final report has been published as:

NCHRP Report 188, "Fatigue of Welded Steel Bridge Members Under Variable-Amplitude Loadings."

Project 12-12 FY '71

Welded Steel Bridge Members Under Variable-Cycle Fatigue Loadings

Research Agency: United States Steel Corporation

Principal Invest.: C. G. Schilling

K. H. Klippstein

Effective Date: October 1, 1970 Completion Date: October 31, 1975

Funds: \$310,000

Highway bridges are subjected to a great variety of forces that range from constant dead load (through slowly changing forces due to creep of materials and temperature differentials) to an almost infinite variety of live loads caused by moving vehicles. Currently, most bridges are designed to carry a static load produced by a design truck with certain empirical allowances being made for dynamic effects. On the basis of these loads and an assumed frequency of occurrences, the design considers the static and fatigue properties of the material used. Not much is known about the actual service life of the bridge and the actual service loads.

The first major problem in predicting the life of highway bridges is to determine, from a heterogeneous spectrum of frequencies and amplitudes, the loading conditions to which the structure is subjected during its lifetime. Others have conducted field tests to develop this information.

This project was directed to the next major problem: to determine the behavior of welded highway bridge steels (specifically, A36 and A514) under variable-cycle fatigue loads and to develop a hypothesis for the prediction of life expectancy from any spectrum of loading.

The primary objective of this project was to develop information on the properties of welded steel bridge members under variable-cycle fatigue loadings and to develop a hypothesis for the prediction of life expectancy from any spectrum of loading.

Project 12-13 FY '73

Cathodic Protection for Reinforced Concrete Bridge Decks

Research Agency: USS Engineers and Consultants

Principal Invest.: J. B. Vrable
Effective Date: October 1, 1972
Completion Date: July 31, 1974
Funds: \$174,601

Many reinforced concrete bridge decks experience damage because of corrosion of the reinforcing steel. One potential method for controlling this corrosion is the application of cathodic protection. Effective cathodic protection must provide proper current distribution and achieve protective polarization of the reinforcing steel. Therefore, there is a need to develop design criteria and optimum designs for cathodic protection systems that can arrest or control corrosion of reinforcing steel in concrete bridge decks, particularly in existing structures.

The objective of this research was to develop a technically and economically feasible cathodic protection system(s) for reinforced concrete bridge decks.

In this study, the two primary approaches to cathodic protection—the impressed current system and the sacrificial anode system—were investigated. Analog studies in the laboratory and prototype model studies were main features of the investigation. The feasibility of applying either approach to protecting bridge deck steel reinforcement against corrosion was demonstrated. A detailed work plan for a field evaluation of cathodic protection, applying the results of the study, was developed.

Research has been completed, and the project report has been published as:

NCHRP Report 180, "Cathodic Protection for Reinforced Concrete Bridge Decks—Laboratory Phase."

Project 12-13A FY '73

Field Evaluation of Galvanic Cathodic Protection for Reinforced Concrete Bridge Decks

Research Agency: Portland Cement Association

Principal Invest.: William F. Perenchio Effective Date: August 1, 1975 Completion Date: January 15, 1980

Funds: \$75,000

Many reinforced concrete bridge decks experience damage because of corrosion of the reinforcing steel. One method for controlling this corrosion is the application of cathodic protection. Effective cathodic protection must provide proper current distribution and achieve protective polarization of the reinforcing steel. There is a need to develop design criteria and optimum designs for cathodic protection systems that can arrest or control corrosion of reinforcing steel in concrete bridge decks, particularly in existing structures.

Research conducted under NCHRP Project 12-13 had as its primary objective the development of one or more technically and economically feasible cathodic protection systems for reinforced concrete bridge decks. The essential findings, including a proposed sacrificial anode system, are included in the final report for NCHRP Project 12-13. A field study program is now needed to evaluate the performance of the sacrificial anode approach to cathodic protection.

The objective of this research is to field evaluate the effectiveness of a zinc anode galvanic protective system applied to the surface of a bridge deck exhibiting active reinforcing steel corrosion. The research is to consist of the design and installation of two or more variations of the zinc anode galvanic protective system on a bridge deck in regular service. A two-year performance monitoring program is to be conducted, as is a program to obtain cost and life data. One experimental area is to contain zinc anodes placed over each reinforcement bar in the top layer of reinforcement. A third experimental area is reserved for an innovative system that will be the option of the research agency. Asphaltic concrete will be placed over the electrical elements to protect them and to serve as a wearing course.

The research agency entered into an agreement with the Illinois Division of Highways under which the experimental installations were placed in a bridge deck rehabilitation construction contract.

Through December 31, 1978, installation is complete, and periodic monitoring of the system is in progress.

Project 12-14 FY '73

Subcritical Crack Growth in Steel Bridge Members

Research Agency: United States Steel Corporation

Principal Invest.: Dr. John M. Barsom
Effective Date: October 1, 1972
Completion Date: June 30, 1974
Funds: \$99,923

Highway bridges are subjected to a great variety of forces, ranging from constant dead load, through slowly changing forces due to material creep and temperature differentials, to an almost infinite variety of live loads caused by moving vehicles.

The life of a welded steel bridge member may be determined by the size of the largest actively growing crack in the member that was not detected or was considered acceptable by inspection at the time of fabrication; the effect of geometry of the welded details on the rate of stable fatigue crack growth (current work on both NCHRP Project 12-7 and Project 12-12 deals with fatigue and crack growth of welded details in a benign environment); the increase of fatigue crack growth rate due to an aggressive environment; and the crack size that can initiate a rapid crack extension when the combined residual and applied stresses, crack size, and fracture toughness provide a critical condition. Some steel bridges have failed prematurely over the last 35 years because one or more of these factors were not considered properly in design.

Fracture toughness of bridge steels and fatigue crack growth of welded details have been and are being studied by a number of research agencies. However, little has been published on the effects of aggressive environment on the rate of fatigue crack growth for bridge steels. In addition, at the time of initiation of this project, no requirements had been established for fracture toughness levels for bridge steels, nor had fracture mechanics and fracture toughness been applied to welded bridge details.

The long-range objective of this research, which may be achieved through several phases of work, is to develop information that will lead to prevention of unstable crack growth in welded steel bridge members. This objective includes the definition of material requirements and design specifications to avoid brittle fracture.

The main objectives of this project were:

- 1. To develop corrosion-fatigue data on bridge steels in distilled water and 3 percent sodium chloride solution under stress fluctuations such as occur in actual bridges.
- 2. To develop an analytical method for predicting the cyclic life of bridge components in distilled water and 3 percent sodium chloride solution under stress fluctuations such as occur in actual bridges.
- 3. To develop methods of utilizing the results for design and specifications purposes.

The steels studied were A36, A588 grades A and B, and A514 grades E and F. The test specimens were made from base metal of 1-in. plate material and were 1 in. thick.

The longitudinal and transverse tensile properties at room temperature were established for each grade of steel. Moreover, energy absorption, lateral expansion, and percent shear were determined in the temperature range between $-100\,^{\circ}\mathrm{F}$ and room temperature by using standard impact Charpy V-notch specimens.

Research has been completed, and the project report has been published as:

NCHRP Report 181, "Subcritical Crack Growth in Steel Bridge Members."

Project 12-15 FY '73

Detection and Repair of Fatigue Cracking in Highway Bridges

Research Agency: Lehigh University
Principal Invest.: Dr. John W. Fisher
Effective Date: October 1, 1972
Completion Date: April 30, 1975
Funds: \$100,000

Relatively large reductions in fatigue strength of many welded details occur when fatigue cracks initiate and grow from the small micro-size defects that exist at the weld periphery. This behavior has been well demonstrated by studies on coverplated heams and other comparable details and has been reported in NCHRP Report 102, "Effect of Weldments on the Fatigue Strength of Steel Beams." Recently, fatigue cracking has been observed in the field where complete fracture of a tension flange was generated from fatigue crack growth at the toe of a transversely welded coverplate. In this instance, the bridge was only 13 years old. Subsequent inspection of 15 other coverplate ends revealed that the two beams adjacent to the cracked member were also cracked through about one-half the flange thickness. Smaller fatigue cracks were detected at several other coverplate ends.

When this research was initiated a review of available methods for the detection of fatigue cracks was needed. Typical details that are most susceptible to fatigue cracking needed also to be identified. In addition, methods were needed to improve the fatigue strength of severe notch-producing details of existing structures subjected to high volumes of heavy truck traffic.

The objectives of the study were to: (1) compile a state-of-the-art review of existing methods of nondestructive inspection and evaluate their reliability and adaptability in the detection of fatigue cracks in welded highway bridges; (2) compile a state-of-the-art review of typical existing and currently designed welded bridge details and evaluate those most susceptible to fatigue crack growth; (3) review and evaluate methods for improving the fatigue life and arresting the progress of fatigue damage that occurs at the weld toes of severe notch-producing details where the probability of failure is greatest. The methods were evaluated by tests of "as welded" and of fatigue-damaged coverplate beam specimens of A36 steel. These tests were comparable to and correlated with those conducted in NCHRP Project 12-7 and reported in NCHRP Reports 102 and 147. The experimental variables include crack size at the time of treatment, methods of improvement, stress range, and minimum stress; and (4) recommend methods for improving the fatigue life of, and arresting the progress of fatigue damage to, welded highway bridges.

Interim reports on the accomplishments under objectives 1 and 2 were published as NCHRP Research Results Digest 59, "Classification of Welded Bridge Details for Fatigue Loading," and NCHRP Research Results Digest 66, "Non-destructive Methods of Fatigue Crack Detection in Steel Bridge Members."

Research has been completed, and loan copies of the

agency's final report are available from the NCHRP Program Director. The findings have been combined for publication with those from Project 12-15(2).

Project 12-15(2) FY '75

Retrofitting Procedures for Fatigue-Damaged Full-Scale Welded Bridge Beams

Research Agency: Lehigh University
Principal Invest.: Dr. John W. Fisher
Effective Date: June 1, 1976
Completion Date: November 30, 1978

Funds: \$150,000

Relatively large reductions in fatigue strength of many welded details occur when cracks initiate and grow from the micro-sized defects that exist at the weld periphery. This behavior had been demonstrated by studies on coverplated beams and other structural details, and has been reported in NCHRP Report 102, "Effect of Weldments on the Fatigue Strength of Steel Beams," and NCHRP Report 147, "Fatigue Strength of Steel Beams with Welded Stiffeners and Attachments," Recently fatigue cracking has been observed in the field at a number of different structural details. In one instance, complete fracture of a tension flange followed fatigue crack growth at the toe of a transversely welded cover plate in a 13-year-old bridge. Subsequent inspection of 15 other cover-plate ends revealed that the two beams adjacent to the cracked member were also cracked through about one-half the flange thickness. Smaller fatigue cracks were detected at several other cover-plate ends.

This study built on research completed earlier under NCHRP Project 12-15, "Detection and Repair of Fatigue Cracking in Highway Bridges." Project 12-15 demonstrated that peening the weld toe and applying a gas tungsten are remelt process were successful in improving fatigue strength in the laboratory. The current study included further work on these methods and was concerned with three major areas related to the retrofit or repair of fatigue-damaged members.

Task I was intended as a pilot study to demonstrate the applicability of peening and gas tungsten are remelting in the field.

Task 2 was intended to provide supplemental information on the low stress range behavior of full-size bridge beams. These beams were retrofitted and retested after various levels of fatigue crack growth.

Task 3 was intended to examine the fatigue strength of beams, with cracks at the ends of transverse stiffeners, that have subsequently been repaired by drilling holes at the crack tip. Five existing welded built-up beams were available for this study from an earlier test program.

Research is completed, and the final report, including findings from Project 12-15, is in the editorial and publication process. Findings of Project 12-15(2) were summarized in NCJIRP Research Results Digest 101, "Retrofitting Procedures for Fatigue-Damaged Full-Scale Welded Bridge Beams."

Project 12-15(3) FY '78

Fatigue Behavior of Full-Scale Welded Bridge Attachments

Research Agency: Lehigh University
Principal Invest.: Dr. John W. Fisher
Effective Date: February 1, 1978
Completion Date: March 31, 1980
Funds: \$125,000

Fatigue problems have developed in a number of bridges with gusset plates welded to webs or flanges. Cracks have grown in the web gap between the end of the gusset weld and the transverse stiffener. This condition is complicated by the high residual stresses developed in these highly restrained configurations and also by out-of-plane movement caused by the lateral bracing. Information is needed on the fatigue strength of these details and on the efficacy of applicable retrofit measures.

The objective of this study is to examine the fatigue strength of beams with web and flange lateral attachment plates. In addition to providing a more comprehensive data base for this type of detail, the program is intended to examine the influence of lateral bracing members on the out-of-plane distortion of the lateral plate. Further work will also be undertaken during the experimental studies on the effectiveness of peening and gas tungsten are remelting the fatigue-damaged connections.

A total of 18 beams, each with three welded gusset plate details, will be tested in fatigue with stress ranges of 6 and 12 ksi. The results of these tests will permit an assessment of the adequacy of the applicable provisions of the AASHTO Specification. In addition, the influence of lateral bracing on the fatigue performance of the attachments will be evaluated.

Through December 1978, beams have been fahricated and testing has begun.

Project 12-16 FY '75

Influence of Bridge Deck Repairs on Corrosion of Reinforcing Steel

Research Agency: Battelle Columbus Laboratory

Principal Invest.: Walter K. Boyd
Effective Date: Scptember 1, 1974
Completion Date: November 30, 1977

Funds: \$214,912

This study was concerned with the problem of corrosion of reinforcing steel eaused by chloride ions in bridge deck concrete. Research indicates that the alkaline environment in concrete prevents the corrosion of steel that normally occurs in the presence of moisture and oxygen. The effect of chlorides is to cause a change in this alkaline environment, thus allowing the corrosion reaction to take place. Only a small amount of chloride is necessary to cause the reaction to start, but further addition of chlorides to the surface of concrete that has already shown distress may not be necessary for continued corrosion. Thus, application

of a waterproof membrane and an overlay on a repaired bridge deck from which all chloride-contaminated concrete has not been removed may not solve the problem and, in some cases, may actually aggravate the condition because it prevents processes such as flushing and drying that might help to remove chlorides and also because it prevents visual examination of the deck.

Numerous techniques are currently used to arrest and repair the damage caused by corroding reinforcing steel in otherwise structurally sound concrete bridge decks. Repair techniques include removal of the concrete to or below the level of the top mat of reinforcing steel, a variety of treatments of the steel, and use of various materials to replace the concrete removed. In addition to replacement of the damaged concrete, the repair often iocludes application of an overlay with or without a waterproofing membrane. The effect of these methods on subsequent corrosion has not beeu determined.

The over-all objective of this research was to determine the relative effectiveness of the various repair methods in arresting corrosion of the reinforcing steel, both within and outside the repaired areas, and whether some of these methods actually aggravate the corrosion problem.

The research included preliminary field survey, laboratory evaluation, and field investigation of repair methods used throughout the United States. The major emphasis of the project was on commouly used methods, but some methods that have been used experimentally in the field were also investigated.

The preliminary field survey sought to determine how well repair methods appear to be working and identify or "screen" methods for further study.

The laboratory evaluation was directed toward testing the observations and opinions obtained in the preliminary field survey and ranking the performance of the more successful repair methods. The evaluation included work on laboratory specimens that simulate or reproduce the corrosion phenomena and repair methods identified in the preliminary field survey as being worthy of investigation. Investigative techniques included electrical measurements, chloride analyses, and other corrosion detecting procedures.

The field investigation verified, under service conditions, the indications from the laboratory evaluations. Based ou results of the laboratory evaluation, a limited number of decks selected so as to provide examples of the most important findings were studied.

Research has been completed, and the draft final report is being revised.

Project 12-17 FY '77

Evaluation of Repair Techniques for Damaged Steel Bridge Members

Research Agency: Battelle Columbus Laboratories
Principal Invest.: H. W. Mishler
Effective Date: November 15, 1976

Completion Date: April 30, 1978 Funds: \$50,000

Steel bridge members often are subjected to damage due

to accidental impact, mishandling, or fire. Methods used for repair of such members include: heat straightening, welding or bolting splices, replacement components, or reinforcement. The decision to repair a damaged member and the techniques used are determined on the basis of the inspector's or engineer's evaluation of the situation, with little sound engineering information available for guidance. To place this decision-making process on a more rational basis, it is necessary to assemble information concerning the effect of these repair techniques on the service life, safety, performance and maintenance of the structure. Decisions on method of repair must also consider the cost, user inconvenience, and esthetics of the repair technique.

A two-phase project is anticipated. Only Phase I is in progress at this time.

The over-all objective of this project is to provide guidance for the assessment of accidental damage to steel bridge members and to identify, develop, and evaluate the effectiveness of repair techniques. The specific objective of Phase I is to synthesize available information on the subject and to identify areas in need of investigation. The specific objective of Phase II is to evaluate the effect of the damage and the repair techniques identified in Phase I on the behavior of the structure, determine potential detrimental effects, and define the limits within which these repair techniques can be used. This is expected to be accomplished through application of selected techniques to damaged members and subsequent laboratory testing.

Phase I includes the following tasks:

Task 1. Identify and categorize common types of structural damage and frequencies of their occurrence.

Task 2. Analyze the state of the art of present practice and equipment used for assessing damage and making repairs on highway bridges, railroad bridges, and other steel structures. Included in the topics to be considered are heating temperature, jacking methods, straightening tolerance, limitation of methods, degradation of steel's mechanical properties due to heating and straightening, speed of repairs, relative cost, and influence on the service life of the structure.

Task 3. Based on existing experimental and field performance data, evaluate techniques that have been applied or may have application in correcting structural damage.

Task 4. Prepare a report summarizing the work in Phase I and proposing a basic outline of research topics for Phase II.

Research has been completed, and the draft final report is being revised.

Project 12-18 FY '77

Development of an Integrated Bridge Design System

Research Agency: Multisystems Inc.
Principal Invest.: Dr. Som P. S. Virk
Effective Date: September 6, 1977

Completion Date: March 5, 1980 Funds: \$224,895

All highway agencies in the United States employ libraries of computer programs to perform individual tasks in the design of a bridge. This considerable array of software was developed at great cost and effort, much of which was expended in duplicate development. An obvious extension of the use of individual programs is to combine them into an integrated design system, a group of task-oriented modules linked together through a common data structure.

Several computerized bridge design systems now exist. But, for various reasons, many highway agencies are not making adequate use of them. There is a need for research to assess the available software, determine the constraints on its use, and begin a unified effort to meet the needs of bridge engineers.

The immediacy of the need for developmental research is heightened by recent changes in the AASHTO specifications which have rendered obsolete much of the existing software.

The development of an integrated design system would:

- 1. Permit alternative approaches and solutions to bridge design problems.
- 2. Result in cost-effective engineering and optimal use of materials and personnel.
 - 3. Save significant time in the total design process.
- 4. Permit changes in bridge design specifications to be incorporated with relative ease at strategic points in the design process.
- 5. Minimize duplication in computer program development.

The integrated system must be generally accepted by a wide range of users in the state, federal, and private sectors. It must also—as much as possible—allow for its implementation on a variety of computer system configurations.

The objective of this research is to initiate the development of an integrated, modular bridge design system encompassing current bridge design specifications and allowing the engineer a wide range of interaction with the computer in performing his design functions. Such a system should be able to accommodate a variety of typical bridges.

The project will consist of two phases to be performed consecutively under one contract. Phase I is intended to be a preliminary investigation with the most important products being an inventory of currently used bridge design software and the definition of a framework for an integrated bridge design system. The actual development of the system and its functional modules will take place in the second phase of research.

Phase I included the following tasks:

- 1. Contact State highway and transportation agencies by questionnaires supplemented by personal visits to review present bridge design practices. This information will provide the basis for establishing the feasibility of an integrated bridge design system.
- 2. Review existing bridge design systems and provide an assessment of their relative merits and limitations. This will

allow the researchers to draw on the experience gained in the development and implementation of those systems.

- Define the framework of the integrated bridge design system that will be developed in Phase II.
- 4. Review existing programs and determine their applicability for use in an integrated bridge design system as defined in Task 3. A product of this investigation shall be an inventory of applicable bridge design software, structured so as to indicate the relevant characteristics of the programs.
- 5. Propose a plan for implementation of the system beyond Phase II, including training of user personnel, installation assistance to users, and maintenance of the system after its development.
- 6. Prepare a draft of an interim report presenting the findings of Phase I and proposing a detailed working plan for Phase II. A section of this report shall serve as a development guide for potential module contributors.

Phase II shall immediately follow approval of the report on Phase I. This portion of the study shall consist of the following tasks:

- 1. Develop a system that will provide access to the modules in three modes:
- (a) In an independent mode, each module, together with pre- and post-processors, shall be directly usable as a standalone program.
- (b) In a sequential mode, the pertinent modules shall be linked together into a single run.
- (c) In a data-base mode, the modules shall communicate with each other through a data-base management system.

In the development of this system consideration will be given to the computer configurations available to the various state agencies. Although it is recognized that this system cannot be completely computer independent, it will be made as simple as possible to install on various computer configurations. The system will also include user-oriented pre-processors and convenience-oriented report writing post-processors, with consideration given to graphic output.

- 2. Select or develop a comprehensive set of functional modules conforming to the over-all system design. Preference will be given to well-tested modules from bridge design systems or programs identified in Phase I. Each module will process a distinct function of the design operation and will have clearly defined input and output data structures. The modules will be written in ANSI FORTRAN for maximum portability and will lend themselves to easy modification to conform to new design specifications and desired local adjustments.
- 3. Prepare complete documentation and user instructions for the system modules developed and complete documentation, flow charts, and file specifications for the system.
- 4. Prepare detailed standards for the design, programming, testing, and documentation of future modules for the system.
- 5. Demonstrate the use of the system in the design of commonly used bridge structures. The demonstration will combine structural analysis and member selection modules in a manner that will illustrate the linking of modules. The

demonstration will be conducted using at least two different representative computer configurations.

Through December 1978, Phase I has been completed, and the interim report is in the review stage.

Project 12-19 FY '78

Cathodic Protection of Concrete Bridge Structures

Research Agency: Corrosion Engineering & Research Co.

Principal Invest.: William J. Ellis
Effective Date: January 1, 1978
Completion Date: December 31, 1980

Funds: \$225,000

Steel in concrete bridge members corrodes as a result of chlorides in the concrete. Continued corrosion of the steel causes the concrete to crack and spall. Cathodic protection has been demonstrated to be a reliable means of controlling corrosion in the top mat of reinforcement in bridge decks. Techniques and materials need to be developed and evaluated for controlling corrosion in other bridge members.

The primary objective of this study is to develop and evaluate one or more cathodic protection systems to control corrosion of steel in chloride-contaminated structural members (excluding top reinforcement in decks and steel in members below water or soil).

The cathodic protection system(s) developed will reflect consideration of: economic feasibility, including design, installation, operating, and maintenance costs; compatibility with the structure, including repaired areas; potential safety hazards; life expectancy; and resistance to various environments, such as freeze-thaw and marine conditions. The projected life expectancy of the cathodic protection system(s) will be based on electrical properties and resistance to the environment.

A secondary objective is to prepare a state-of-the-art report based on a thorough survey of methods, materials, and criteria that have been used to control corrosion in concrete bridge members other than the top portion of decks. The report will describe both successful and unsuccessful experiences.

This project includes the following tasks:

- Task 1. Preparation of a state-of-the-art report in accordance with the previously stated secondary objective.
- Task 2. Identification of current and potentially available methods, materials, and concepts that may hold promise for cathodic protection systems for applications other than the top surfaces of bridge decks.
- Task 3. Preliminary analysis of methods, materials, and concepts identified in Task 2.
- Task 4. Preparation of a report on candidate materials and technologies and an updated working plan for laboratory evaluation.
- Task 5. Laboratory development and evaluation of candidate systems.
- Task 6. Preparation of an interim report on the findings of Tasks 2, 3, and 5 and recommendations for Task 7.
 - Task 7. Field evaluation of selected systems.
 - Task 8. Preparation of the final report.

Through December 1978, Tasks 1 through 4 have been completed, and the laboratory evaluation of candidate materials is in progress.

Project 12-19A FY '79

Concrete Sealers for Protection of Bridge Structures

Research Agency: Principal Invest.;

Effective Date: To Be Determined

Completion Date:

Funds:

Concrete structures in a corrosive environment undergo accelerated deterioration where deleterious chemical substances penetrate the concrete and cause corrosion of the embedded reinforcing steel. Accumulation of corrosion products around the reinforcing steel causes cracks to develop in the protective concrete cover, allowing intrusion of additional deleterious material and thereby accelerating corrosion, causing spalling, and diminishing the structural integrity of the member. Considerable attention has been directed to deterioration of bridge decks caused by deicing salts. However, in a marine environment, chloride penetration can affect all bridge members, including piles, caps, girders, and diaphragms, as well as decks. Deterioration is also often caused by faulty bridge deck drainage that permits contamination of structural members by deicing salts.

Development of treatments to provide protection against intrusion of chlorides into bridge members would extend the life of structures subjected to this type of environment and, thus, result in substantial benefits to highway agencies. Protective techniques are needed both for new construction and for existing bridges that are not already contaminated beyond tolerable limits. With highway funding becoming increasingly difficult to obtain, effective methods are urgently needed to protect uncontaminated concrete structures against corrosion and to develop effective maintenance methods to rehabilitate existing distressed structures. Techniques and materials need to be developed and evaluated for scaling concrete, including vertical faces and undersides of members, against penetration of corrosive elements.

The objective of this study is to establish the efficacy of sealers used to protect reinforced concrete bridges exposed to chloride contamination and to provide guidance for their use on bridge members. The research shall concentrate on the protection of structural elements other than the top surface of the deck.

Evaluation of sealers shall reflect consideration of: economic feasibility, including materials and application costs; compatibility with the structure, including repaired areas: pollution problems; esthetics; potential safety hazards; durability; and resistance to various environments, such as freeze-thaw, leaching, and marine conditions.

It is anticipated that this project will include at least the following tasks:

Task 1. Identification of current and potentially available methods, materials, and concepts that may hold prom-

ise for protection of concrete bridge members (other than the top surface of decks) by preventing penetration of chloride ions. *Emphasis shall be given to penetrants*. This task shall be based on a review of the literature, research in progress, and other appropriate sources.

Task 2. Preliminary analysis of methods, materials, and concepts identified in Task 1. Particular attention should be given to penetrants that are impermeable to liquid but are vapor-permeable, thereby permitting drying of the concrete while preventing chloride intrusion.

Task 3. Submission of a letter report that describes various sealers and the reasons for their rejection or selection for further study. This report shall include an updated working plan for laboratory evaluation of promising sealers. NCHRP approval will be required before proceeding with Task 4.

Task 4. Laboratory evaluation of sealers for the purpose of establishing feasibility based on the desired characteristics outlined earlier in this statement.

Task 5. Development of guidelines for selection and use of sealers to protect concrete structures.

Task 6. Preparation of a final report that includes all research findings and recommendations for application of the results.

Project 12-20 FY '78

Funds:

Bridges on Secondary Highways and Local Roads: Rehabilitation and Replacement

\$119,970

Research Agency: University of Virginia
Principal Invest.: Henry L. Kinnier
Effective Date: March 1, 1978
Completion Date: November 30, 1979

Many bridges on secondary highways and local roads are in need of replacement or major structural repair. It has been estimated that more than 110,000 bridges in the U.S. are inadequate for heavy loads or in need of major repairs and that another 51,000 have narrow widths, poor clearances, and dangerous approaches. Furthermore, it has been reported that about 150 bridge failures occur in the United States each year. Under the severe fiscal constraints that currently exist at the local level, most of these bridges cannot be replaced in the foreseeable future. Until recently, considerable effort had been devoted to the analysis and design of new structures, but little attention was given to problems associated with rehabilitation of older structures on the secondary and local road systems. Therefore, local agencies responsible for inspection, maintenance, and repair are required to make decisions without benefit of supporting information. Under these conditions, there exists an urgent need for research that will provide tools for engineers to reach and carry out cost-effective decisions. This project is intended to develop information that local highway agencies can apply immediately to the repair, improvement, or replacement of deficient bridges on secondary and local road systems.

The objective of this project is to develop (1) procedures for accomplishing repair and strengthening operations for hridges on secondary highways and local roads, (2) standard replacement structures and components that could be mass produced, and (3) an economic process for determining the most cost-effective alternative available in a given situation.

The research includes the following tasks:

- 1. Identification and categorization of common types of structural and functional deficiencies in bridges on secondary highways and local roads, their significance, and the relative frequencies of their occurrence.
- 2. Review and evaluation of current practice and methods used for rehabilitation.
- 3. Investigation of the potential use of and development of concepts for standard economical replacement structures and components.
- 4. Development of procedures for cost-effective selection among alternative strategies for correcting bridge deliciencies, including replacement and various levels of rehabilitation or repair.
- 5. Preparation of a final report containing a manual of recommended procedures for use by local agencies.

Through December 1978, Tasks 1 through 3 are essentially completed, and work continues on Task 4 and a synthesis of deficiencies and repairs.

Project 12-21 FY '79

Evaluation of Damage and Methods of Repair for Prestressed Concrete Bridge Members

Research Agency: G. O. Shanafelt

Principal Invest.:

Effective Date:

Completion Date:

15 months

Funds:

\$58,958

Prestressed concrete bridge members often are subjected to accidental damage due to vehicle impact, mishandling, or fire. Methods currently used or potentially available for repair of such members need to be identified and evaluated for various levels of damage. At present, the decision to repair or replace a damaged member, and the techniques used, are determined on the basis of the inspector's or engineer's evaluation of the situation, with little published information available for guidance. To place this decision-making process on a rational basis and to enable the determination of appropriate engineering solutions for the repair of prestressed concrete bridges, it is necessary to assemble and assess information concerning the effect of repair methods on the service life, safety, performance, and maintenance of the structure. Decisions on method of repair must also consider the cost, user inconvenience, and esthetics.

A two-phase study is anticipated. This project only addresses Phase I.

The over-all objective of this study is to provide guidance

for the assessment of accidental damage to prestressed concrete bridge members and to identify, develop, and evaluate the effectiveness of repair and replacement techniques. The specific objective of Phase I is to synthesize available information on the subject and to identify areas in need of investigation. The specific objective of Phase II is to evaluate the effect of the damage and the positive and negative aspects of selected repair techniques on the behavior of the structure, and to define the limits within which these techniques can be used. This is expected to be accomplished through application of the selected techniques to damaged members and subsequent field or laboratory testing.

It is anticipated that the Phase I survey of structural damage will indicate that primary emphasis in this study should be placed on damage to girders impacted by overheight vehicles; however, information on assessment and repair of other types of damage should also be collected and analyzed. The investigation shall include consideration of both pre-tensioned and post-tensioned construction.

Phase I will include the following tasks:

Task 1. Identify and categorize the types of accidental damage found in prestressed concrete bridge members and the severity and frequency of their occurrence.

Task 2. Identify and analyze present practice and equipment used for assessing damage and making repairs on highway bridges, railroad bridges, and other prestressed concrete structures.

Task 3. Based on existing experimental and field performance data, evaluate techniques that have been applied or may have application and postulate other methods of assessing and correcting structural damage. Included in the topics to be considered are: (1) Selection of materials, methods, and engineering solutions used in repair; (2) Effect of the repair on significant structural behavior and integrity such as fatigue life, ultimate flexural strength, bond strength, service, and overload response; (3) Speed of repairs, durability, relative costs, user inconvenience, and esthetics.

Task 4. Prepare a report summarizing the findings of Phase I and proposing a basic outline of research topics for Phase II.

AREA 13: EQUIPMENT

Project 13-1 FY '65

Equipment Rental Rates

Research Agency: Ernst & Ernst
Principal Invest.: T. S. Dudick
Effective Date: February 1, 1965
Completion Date: January 31, 1966

Funds: \$22.800

This research dealt with the development of uniform methods and procedures for establishing construction equipment rental rates. It included the establishment of the purposes for which rental rates are used: the feasibility of determining equipment rental rates by type, use, and region; a formula for equitable rental rates; and recommended procedures for obtaining and evaluating all information required for the various factors in the formula.

This research has been completed, and the project report has been published as:

NCHRP Report 26, "Development of Uniform Procedures for Establishing Construction Equipment Rental Rates."

AREA 14: MAINTENANCE OF WAY AND STRUCTURES

Project 14-1 FY '65

Upgrading of Unit Maintenance Cost Index and Development of Interstate Maintenance Requirements

Research Agency: Bertram D. Tallamy Associates

Principal Invest.: Dr. Bertram D. Tallamy

Effective Date: March 1, 1965 Completion Date: March 31, 1967 Funds: \$205,128

This research involved an intensive study into typical maintenance operations on 28 Interstate test sections in several States for the purpose of satisfying the urgent need for a definitive system of determining maintenance requirements on a quantitative basis with due consideration being given to the requirements in terms of type, magnitude, and frequency. This system is applicable to Interstate highways within individual States and to comparable activities on the State highways. Attempts have been made to develop means for relating utilization of men. equipment, and material to production and maintenance operations and, further, to optimize efficiency in maintenance operations. The standards which were developed have been tested on a sample of maintenance operations on Interstate highways, and a unit maintenance cost index snitable for periodic updating was developed.

Research has been completed, and the project report has been published as:

NCHRP Report 42, "Interstate Highway Maintenance Requirements and Unit Maintenance Expenditure Index."

Project 14-2 FY '71

Techniques for Reducing Roadway Occupancy During Routine Maintenance Activities

Research Agency: Byrd, Tallamy, MacDonald,

and Lewis

Principal Invest.: L. G. Byrd
Effective Date: October 1, 1970
Completion Date: March 31, 1973
Funds: \$200,000

Highway maintenance activities often require occupancy of traffic lanes, structures, and shoulders of the roadway by men and equipment. This situation causes conflict between these activities and the traveling public, thus endangering both workmen and motorists and restricting the flow of traffic. The resulting development of hazardous situations and interference with the orderly flow of traffic is most pronounced where high-speed and/or high-density traffic conditions exist. There are several possible approaches to minimizing the problem and to providing a high level of safety, economy, and convenience for the highway user during required maintenance activities. At this time, utilization of techniques designed to reduce occupancy of the roadway by maintenance activities appears to offer potential for alleviation of the problem with least duplication of research efforts.

The objectives of this project were to identify and evaluate techniques that will significantly reduce the time of occupancy of the highway travel way and shoulders by maintenance forces for at least the following specific routine maintenance activities:

- (a) Bridge deck repairing.
- (b) Travel way patching.
- (c) Crack and joint sealing.
- (d) Mudjacking and subscaling.

Techniques for accomplishment of maintenance activities were intended to encompass the entire operation, including the necessary manpower, equipment, and materials. However, development of new materials or equipment was not considered to be within the scope of this study.

Research has been completed, and the project report has been published as:

NCHRP Report 161, "Techniques for Reducing Roadway Occupancy During Routine Maintenance Activities."

Project 14-3 FY '73

Improved Pavement-Shoulder Joint Design

Research Agency: Georgia Institute of Technology

Principal Invest.: Dr. R. D. Barksdale Effective Date: September 15, 1972 Completion Date: March 15, 1976

Funds: \$100,838

The joint that results where portland cement concrete paveinents and bituminous-surfaced shoulders interface has proved to be a prime contributor to pavement and shoulder distress and the need for costly maintenance. Leakage of surface water through the joint can lead to pumping, faulting at transverse joints, and shoulder cracking and settlement when in combination with other adversely contributing factors. Additionally, water penetrating through leaky joints and reaching some kinds of base materials and subgrade soils can cause swelling and frost damage (in cold climates) with consequent pavement and shoulder damage.

Although the construction and maintenance of completely watertight pavement/shoulder joints for the life of

the pavement is generally conceded to be impossible, it is believed that an effort should be made to minimize the passage of surface water through the joint. Therefore, some water is likely to enter through the joint at some time during the pavement life, and provisions should be made for subsurface drainage and/or treating the pavement layers to minimize the effects of the water. Consequently, there is need to develop reasonably adequate sealing systems for the joint and to identify suitable design and construction techniques, including subsurface drainage, that will minimize the effects of the presence of some water.

The objectives of this project were to:

- 1. Determine the most suitable currently available procedures (including methods and materials for sealing the joint, subsurface drainage, and other methods for minimizing the effects of water) for alleviating the problems associated with the joint between a portland cement concrete pavement and a bituminous-surfaced shoulder, taking into account the variations in such factors as climate, subgrade, roadway pavement design, shoulder design, and traffic.
- 2. Develop and experimentally evaluate improved systems for minimizing the passage of water through the pavement/shoulder joint.
- 3. Prepare a plan for a field study program that could be undertaken by highway agencies to evaluate promising procedures for sealing the pavement/shoulder joint.

The research was conducted jointly with the University of Michigan.

The study was approached through a series of visits in a number of States to discuss and observe pavement/ shoulder joint performance, a program of movement measurements at pavement/shoulder joints in Georgia and Michigan, and laboratory studies of sealer performance and of the performance of drainage-layer materials.

The products of the research were a series of recommendations for pavement/shoulder joint design and construction, sealant specifications, shoulder design, and underdrainage facilities. Guidelines were offered for a test program to evaluate several promising shoulder and sealant systems developed in the project.

Research has been completed, and the final report is in the NCHRP editorial and publication process.

Project 14-4 FY '74

Reconditioning Heavy-Duty Freeways in Urban Areas

Research Agency: Texas A & M University

Research Foundation

Principal Invest .: Dr. William B. Ledbetter

Dr. Alvin H. Meyer

Effective Date: April 15, 1974 Completion Date: March 24, 1976

Funds: \$99,665

In the next decade, considerable mileage of pavement on the most heavily traveled freeways in urban areas will reach a condition where structural rehabilitation will be

required to keep the freeways operable. Some pavements already have reached this condition.

Today's commonly used repair methods of patching, removal and reconstruction, and the placing of thick overlays over the entire roadway are time consuming, disruptive of traffic flow due to blockage in the construction area, and generators of construction traffic for further interference. Patching rarely offers more than temporary relief. Removal for replacement has the disadvantage of wasting large quantities of existing pavement materials. Thick bituminous concrete and portland cement concrete overlays require wasteful coverage of the entire roadway although only a portion of the width may be structurally deficient. Vertical clearance requirements offer a further restraint in the use of thick overlays.

The duration and extent of interference to continuing use of the freeway facility during construction by current methods often create an impasse. Increased hazard to workmen and motorists makes timely, durable rehabilitation virtually impossible without closing or unacceptably restricting the freeway.

The over-all objective of this project was development of a new technology for reconstituting and/or replacing all or part of the pavement structure on a heavily traveled urban freeway so that the finished product has a design service life equal to or greater than that of the original pavement, including restoration of riding and nonskid characteristics. The capabilities of producing substantial lengths of new or reconstituted pavement during off-peak hours, minimal interference with traffic during construction, and full reopening during the hours of maximum traffic flow were required characteristics. The methods and procedures were evaluated in terms of economic feasibility for the rehabilitation of substantial segments of urban expressways. Lowest first cost per unit of repair or replacement is not a necessary limitation.

Research has been completed, and the project report has been published as:

NCHRP Report 196, "Reconditioning Heavy-Duty Freeways in Urban Areas."

Project 14-5 FY '78

Maintenance Level-of-Service Guidelines

Woodward-Clyde Consultants Research Agency:

Principal Invest .: Ram B. Kulkarni

Fred N. Finn

Effective Date: January 1, 1978 Completion Date: September 30, 1979

Funds: \$200,000

A given road or system of roads provides varying levels of service to the road user. Maintenance levels of service influence the magnitude of the maintenance work (e.g., pavement patching, mowing, paint striping) and, therefore, the work scheduling requirements, work priorities, and resource allocations. Selection of the maintenance level of service is influenced by a number of considerations that include safety, rideability, economics, environmental impact, protection of investment, and aesthetics. An objective methodology needs to be developed for the establishment of level-of-service guidelines for all maintenance elements of the highway (such as pavement surface, shoulder, vegetation, signs, structures, drainage ditches). The methodology should consider each of the components of quality and weigh those components to reflect different user evaluations. It also should allow for differing levels of service to be established for various maintenance elements, road functional classifications, and local values.

The general objective of this study is to develop and test a general methodology for establishing objective levels of service for use by maintenance managers at all levels for purposes of establishing priorities, allocating resources, scheduling and evaluating work, and preparing budgets with a goal to providing a reasonably consistent end result.

The research approach is to develop a method of establishing maintenance levels of service based on decision analysis theory. The method will likely involve 5 steps: (1) structuring the problem, (2) estimating impacts of alternative maintenance levels of service on various considerations (such as safety or riding comfort), (3) establishing a preference structure for evaluating combinations of different levels of attributes describing the considerations (such as PSI = 3, percent of vehicles redirected = 20), (4) ranking of alternatives by desirability index, which is combination of impacts and preferences, and (5) determining an optimum level of service for a set of maintenance conditions through use of linear or nonlinear integer programming.

Existing procedures to establish maintenance levels of service have been documented through a thorough review of the literature and visits to five geographically dispersed states. Based on this documentation, the first four steps have been developed and formalized in a computer program. Hypothetical problems have been solved by the method.

Providing the NCHRP review of the method is favorable, the method will be used to develop levels of service for traveled-way drop-off and for control of vegetation growth. In addition, a manual will be developed to guide transportation maintenance organizations in establishing levels of service.

AREA 15: GENERAL DESIGN

Project 15-1 FY '66

Guardrail Design

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: Raymond R. McHenry
Effective Date: December 15, 1965
Completion Date: June 14, 1966
Funds: \$19,723

Many factors are involved when the highway design engineer is faced with the decision of when to install a guardrail. The decision should be based on rational warrants for their use, and the system should be effective and compatible with these warrants. A number of agencies have conducted tests on various systems. The resulting data on design and warrants need to be evaluated in order to provide the engineer with a choice of effective systems. Phase I of the project was directed toward the search and evaluation of existing data on design and warrants, a critical analysis of past and current research, and defining additional needed research.

Research has been completed, and the project report has been published as:

NCHRP Report 36, "Highway Guardrails—A Review of Current Practice."

Project 15-1(2) FY '66 and FY '70

Guardrail Performance and Design

Research Agency: Southwest Research Institute

Principal Invest.: J. D. Michie

 Effective Date:
 July 1, 1967
 May 1, 1970

 Completion Date:
 Aug. 31, 1970
 Dec. 31, 1971

 Funds:
 \$280,000
 \$100,000

Highway design engineers need a choice of effective guardrail systems. The considerable research already conducted on the more commonly used types (W-beam, standard cable, box beam) needed to be compared and analyzed critically for determination of further investigations necessary to refine structural details and to obtain more effective performance. A need for full-scale testing was apparent to fill in the gaps in previously concluded investigations. Accordingly, the objectives of the Phase I research were:

(1) to critically analyze existing data on guardrail performance and identify additional needed research; (2) to conduct additional full-scale performance tests; and (3) to evaluate performance of various guardrail systems considering vehicle response and damage as a measure of accident severity and rail repair.

The Phase I findings have been published as NCHRP Report 54, "Location, Selection, and Maintenance of Highway Guardrails and Median Barriers," and NCHRP Report 115, "Guardrail Performance and Design." A 10-min sound film of the same title summarizes the Phase I research and is available on loan from the Program Director for the cost of mailing and handling.

The Phase II work consisted of four major tasks. The first task was to prepare a revision to NCHRP Report 54 that incorporates pertinent findings from the Phase I research and the findings from research conducted by others. Task 2 of the Phase II work was the preparation of a document to delineate warrants, service requirements, design criteria, and design procedures for all traffic barrier systems. For this purpose, traffic barrier systems were defined as including guardrail, median barrier, bridge rail, and energy attenuation devices. Task 3 included the formulation of new concepts for improved end treatments for longitudinal traffic barriers with some work devoted to improved transitions. Task 4 included the full-scale crash test

evaluation of those promising concepts produced under Task 3.

The results of Phase II Tasks 1 and 2 have been published as NCHRP Report 118, "Location, Selection and Maintenance of Highway Traffic Barriers." The results of Phase II Tasks 3 and 4 have been published as NCHRP Report 129, "Guardrail Crash Test Evaluation—New Concepts and End Designs."

For administrative reasons, additional related research has been placed under Area 22, "Vehicle Barrier Systems." Details will be found under that heading.

Project 15-2 FY '66

Design to Control Erosion in Roadside Drainage Channels

Research Agency: University of Minnesota Principal Invest.: Dr. Alvin G. Anderson

Effective Date: July 1, 1966 Completion Date: June 30, 1974 Funds: \$97,300

The highway drainage engineer is required to provide designs to control erosion in roadside drainage channels over a wide range of conditions. Acceptable procedures have been developed for the design of channels for conditions where easily established grass cover will suffice and for conditions where paved linings are required. The objectives of this study were to establish criteria and extend existing procedures for conditions intermediate between these two. The major emphasis of the research will be placed on developing a procedure for the design of armored channels with investigations into the critical tractive force of gravel and crushed stone.

Research has been completed, and the project report covering development of design procedures for armored channels has been published as:

NCHRP Report 108, "Tentative Design Procedures for Riprap-Lined Channels."

During an extension of the project, a limited field evaluation of the tentative design procedure was undertaken. The performance of four channels, designed and built in accordance with the procedures, was observed. Two of the four channels have been subjected to discharges approaching the design discharge and appear to be stable after the floods.

The agency's final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 15-3 FY '68

Rational Structural Analysis and Design of Pipe Culverts

Research Agency: Northwestern University

Principal Invest.: Dr. R. J. Krizek

Dr. R. A. Parmelee

Effective Date: October 1, 1967
Completion Date: December 31, 1968
Funds: \$49.937

Various methods are currently being used in the design of pipe culverts, and considerable research is in progress that examines these methods. There is a general lack of agreement between theory and field experience. Current methods being used in design of culverts fail to reflect in a rational way many of the major aspects of behavior observed in the field.

The objective of this study was to evaluate previous research and current practice for the purpose of developing rational design methods for both rigid and flexible pipe culverts.

Research has been completed, and a project report has been received containing an extensive bibliography and synthesis of current knowledge on the design and installation of pipe culverts. It is apparent that information is not available at this time to develop a completely rational structural design procedure, due largely to lack of a generally accepted definition of pipe failure. However, several specific factors, soch as installation practices, construction techniques, soil type, and safety factor, can be given greater consideration in design criteria.

The project report has been published as:

NCHRP Report 116, "Structural Analysis and Design of Pipe Culverts."

Project 15-4 FY '68

Estimating Runoff Rates from Small Rural Watersheds

Research Agency: The Travelers Research Center

Principal Invest.: Dr. Paul Bock

Isadore Enger

Effective Date: September 1, 1967

Completion Date: March 16, 1970

Funds: \$299,902

A basic problem in designing highway bridges and culverts for stream crossings is the determination of the flow to be accommodated. This involves estimating the magnitude of peak flows at various frequencies for the drainage area under consideration. Most small rural watersheds are ungaged; thus, the engineer is required to estimate the design flow for these areas on the basis of limited topographic and climatic data.

Many State highway departments and other agencies are participating with the U.S. Geological Survey in programs to collect runoff information from small rural watersheds that is intended to provide a better understanding of the generation of runoff. With this background, it appeared possible to develop improved procedures for estimating the magnitude and frequency of peak flows for small rural watersheds (approximately 20 sq mi or less). The objective of this project was to develop such procedures that (1) require only data readily obtainable by designers, (2) use parameters that are logically justified, (3) take cognizance of differences due to geographic characteristics, and (4) present the results in readily usable form.

The objectives have been partially met in that methods for estimating the magnitude and frequency of runoff from small rural ungaged watersheds have been developed. The question of whether they provide better estimates of runoff than currently used methods for a given watershed is not easily answered. Indications are that they may provide better estimates in some cases, Of probably greater significance is the compilation of information for 493 rural watersheds with an area of 25 square miles or less and at least 12 years of surface runoff data that can be used by others to develop better methods of prediction for a particular locality.

The research has been completed, and the project report has been published as:

NCHRP Report 136, "Estimating Peak Runoff Rates from Ungaged Small Rural Watersheds,"

Project 15-5 FY '68

Dynamic Characteristics of Heavy Highway Vehicles

Research Agency: General Motors Corporation

Principal Invest.:

D. E. Pollack

Effective Date: Completion Date:

August 15, 1967 January 10, 1969

Funds:

\$135,000

The dynamic loading of bridges and pavements by heavy highway vehicles influences the life expectancy of these highway structures by an unknown amount. Increasing permissible vehicle loads and speeds may increase the dynamic loading and shorten the life of these structures.

Dynamic pavement loading is influenced by the pavement roughness characteristics and by certain characteristics of the vehicle. It is necessary to consider these factors in order to predict the loads that will be produced.

With the foregoing in mind, information was gathered on those vehicle characteristics that make a significant contribution to the dynamic forces. Equipment for measuring these characteristics was constructed, and the characteristics of representative types of heavy vehicles were determined.

The research has been completed, and the final report has been published as:

NCHRP Report 105, "Dynamic Pavement Loads of Heavy Highway Vehicles."

Project 15-6 FY '68

Development of Criteria for Safer Luminaire Supports

Research Agency: Texas A & M University

Research Foundation

Dr. T. C. Edwards

Principal Invest.: Effective Date: Completion Date:

September 1, 1967 August 31, 1968

Funds:

\$147.254

Conventional luminaire support poles are, of necessity, mounted close to the traveled roadway. In these locations,

they constitute a severe roadside hazard and are frequently struck by vehicles that are out of control, with attendant severe vehicle damage and injury or death to occupants.

The purpose of this study was the development of luminaire support design criteria to minimize the hazard described. Consideration was given to the hazard presented to both the striking vehicle and to nearby traffic.

Five classifications of safety devices for luminaire supports, as presently being specified by state highway departments or industry, were investigated. These are: (1) frangible bases for use with aluminum or steel shafts, (2) the progressive failure-shear base, (3) a stainless-steel shaft with integral transition base, (4) an aluminum shaft on a cast-aluminum shoe base, (5) the multidirectional slip base.

The research has been completed, and the final report has been published as:

NCHRP Report 77, "Development of Design Criteria for Safer Luminaire Supports."

A 20-minute film, "Lights Out," is available on loan from the NCHRP Program Director for the cost of mailing and handling.

AREA 16: ROADSIDE DEVELOPMENT

Project 16-1 FY '66

Effects of Deicing Compounds on Vegetation and Water Supplies

Research Agency: Virginia Polytechnic Institute

Principal Invest.:
Effective Date:
Completion Date:

Dr. R. E. Blaser March 1, 1966 April 30, 1972

Funds: \$217,300

The rapidly increasing use of deicing salts to maintain roadways relatively free of ice and snow during the winter season has raised questions concerning the extent of certain detrimental effects attributed to their use. Several research projects have considered alternatives (such as additives to the salts, nonchemical methods, and different compounds) to the use of sodium chloride and calcium chlorides for deicing roadways. At present, it does not appear likely that reliable and economical alternate methods will come into common use in the foreseeable future. The objectives of this study were to identify the detrimental effects of deicing salts on roadside vegetation and water supplies and to seek means for counteracting these detrimental effects.

The first phase of the study was an extensive literature review and survey of experience with regard to deicing salt use on roadways and the effects of this use on roadside vegetation, water, and wildlife. It also included identification of research needs in this problem area. This was followed by an experimental program covering the actual effects of deicing salts on specific types and species of vegetation and on soils along highways. Efforts were made to evaluate methods of counteracting certain detrimental effects.

Research has been completed, and the results of the first phase of the study have been published as:

NCHRP Report 91, "Effects of Deicing Salts on Water Quality and Biota—Literature Review and Recommended Research."

The results of the experimental phase have heen published as:

NCHRP Report 170, "Effects of Deicing Salts on Plant Biota and Soils—Experimental Phase."

Project 16-2 FY '68

Evaluation of Research on Roadside Development

Research Agency: Western States Landscape Associates

Principal Invest.: Wayne O. Earley
Effective Date: October 1, 1967
Completion Date: March 31, 1969

Funds: \$100,000

The objective of this project was to review, interpret, and evaluate past and present research on roadside development, describe areas where additional or continued research is needed, and recommend procedures for resolving these needs. The study included, but was not limited to, consideration of the relationship of roadside development and (1) highway location and design; (2) vegetation (planning, establishment, and management by plant growth zones in consideration of erosion control and roadside plantings); (3) resource conservation; (4) rest areas, scenic turnouts, and overlooks; (5) safety; and (6) right-of-way, scenic areas, and adjacent land use. Recognition was given to research under way or accomplished in legal authority, but it was not evaluated in this project.

The research has been completed, and the project report has been published as:

NCHRP Report 137, "Evaluation of Research on Roadside Development."

Project 16-3 FY '73

Erosion Control During Highway Construction

Research Agency: Utah State University
Principal Invest.: Dr. Calvin G. Clyde

Dr. C. Earl Israelsen

Paul E. Packer

Effective Date: Nov. 1, 1973 Mar. 1, 1978
Completion Date: June 30, 1976 June 1, 1979
Funds: \$179,224 \$70,776

Uncontrolled water and wind erosion resulting from construction activities causes significant damage to the environment. The sediment produced pollutes surface water, restricts drainage, fills reservoirs, damages adjacent land, and destroys the natural ecology of lakes and streams. Besides harming the environment, soil erosion during construction increases costs and causes extensive delays and repairs.

Research is needed to develop more effective techniques, devices, and materials to control erosion during construction activities. This need was documented in NCHRP Synthesis 18, "Erosion Control on Highway Construction."

The synthesis study, while focusing attention on the need for a major research effort, also uncovered a large quantity of information, often fragmented or underevaluated, on known erosion control measures likely to have application in highway construction. Because of the existence of this information, the urgency of the problem, and research funding limitations, a logical first step in the eventual solution of the total problem was the development of recommendations for an interim set of specific guidelines for erosion control based on existing information.

The objectives of this project are to:

- 1. Assess the effectiveness of the control of erosion from highway construction.
- 2. Develop a manual of recommended techniques and design criteria for the control of erosion, using hydrologic, hydraulic, agronomic, pedologic, and economic principles.
 - 3. Identify research needs in the subject area.

Research to date has been conducted jointly with the U.S. Forest Service. It includes a search and cataloguing of published literature on erosion control and an extensive questionnaire survey of erosion control practice. Further, visits were made to highway construction sites throughout the country during the summer of 1974 to observe and evaluate erosion control practices. The Universal Soil Loss Equation was established as the project base for estimating soil loss. Existing maps that divide the country into areas of varying soil erosion potential were used in application of the universal equation. An erosion control manual was developed for immediate interim use by bighway and transportation agencies. This constituted the completion of Phase I research, and the agency report is available on a loan basis from the NCHRP Program Director.

Continuation of the study is under way as Phase II with the following objectives:

- 1. Prepare implementation materials for the erosion control manual developed during Phase I.
- Conduct an experimental program to verify Phase I findings and evaluate temporary erosion control methods on various soils and slopes.
- 3. Submit a project report covering both Phase I and Phase II.

Through the end of the year, the erosion control manual has been simplified and copies printed for distribution to state highway and other agencies for trial use. An experimental program is being conducted to verify or modify the soil loss prediction procedure described in the manual and provide a limited evaluation of various erosion control methods.

AREA 17: SAFETY

Project 17-1 FY '66

Development of Improved Methods for Reduction of Traffic Accidents

Research Agency: Cornell Aeronautical Laboratory

Principal Invest.: John W. Garrett
Effective Date: February 1, 1966
Completion Date: May 31, 1968
Funds: \$247,847

The objective of this research was to develop motor vehicle accident investigation procedures, records, and statistics, which will more accurately reveal accident causation than the current accident record system. An extensive review of the state of the art revealed that the current data collection forms and procedures do not meet research requirements; few statistically trained personnel are employed for data analysis. Also, safety findings are assimilated slowly by the agencies responsible for the design, maintenance, and operation of the highway system. Long-term recommendations included an improved centralized accident record system in which accident data were integrated with appropriate nonaccident data. Also proposed was a multilevel accident reporting scheme providing minimum data on all accidents, intensive investigative data on a small percentage of accidents, and special study data collected for a statistical sample of accidents. Improved cooperation between operating agencies with similar objectives was regarded as essential. Short-term recommendations included increased dissemination and utilization of current safety knowledge; utilization of modern technology at all levels of the system through the initiation of continuing education seminars and a safety review board; use of trained statistical personnel and techniques for better utilization of data; and use of accurate accident location methods. Location methods were reviewed and evaluated for guidance. Demonstration studies were performed to illustrate the feasibility of the proposed system and the techniques required. The study demonstrated the use of police to gather factual data in a study where they were provided with special report forms, written instructions, special training, and equipment. Utilization of intensive accident investigation procedures and the use of both accident and nonaccident data in a study also were demonstrated.

The project report has been published as:

NCHRP Report 79, "Development of Improved Methods for Reduction of Traffic Accidents."

Project 17-2 FY '72

Methods for Evaluating Highway Safety Improvements

Research Agency: Operations Research Incorporated

Principal Invest.: Harry Denning Effective Date: January 10, 1972

Completion Date: June 20, 1972 Funds: \$29,973

Methodology for measuring the effectiveness of potential safety improvements has been established. This methodology includes statistical design and analysis for beforeand-after and parallel studies. In addition, cost-benefit methodology has been documented in the research literature. However, in terms appropriate to engineers and technicians who actually do studies, a single document has not existed that contains the techniques for applying all aspects of the above-mentioned analytical tools.

The objective of the research was to provide a detailed technique in the form of guidelines from which calculations could be made that would allow officials to judge the effectiveness of highway improvements in terms, not only of reduced accidents, but also of cost-benefit of such improvements.

Activities prior to the contract's termination included detailed planning for the project and preparation of a detailed working plan. This research was resumed under Project 17-2A.

Project 17-2A FY '72

Methods for Evaluating Highway Safety Improvements

Research Agency: Roy Jorgensen Associates
Principal Invest.: John C. Laughland
Effective Date: February 1, 1973
Completion Date: July 31, 1974
Funds: \$98,403

Methodology for measuring the effectiveness of potential safety improvements has been established. This methodology includes statistical design and analysis for before-and-after and parallel studies. In addition, cost-benefit methodology has been documented in the research literature. However, in terms appropriate to engineers and technicians who actually do studies, a single document has not existed that contains the techniques for applying all aspects of the above-mentioned analytical tools.

The objective of the research was to provide a detailed technique in the form of guidelines from which calculations can be made that will allow officials to judge the effectiveness of highway improvements in terms, not only of reduced accidents, but also of cost-benefit of such improvements.

The research has been completed, and the final report has been published as:

NCHRP Report 162, "Methods for Evaluating Highway Safety Improvements."

Project 17-3 FY '78

Application of Traffic Conflicts Analysis at Intersections

Research Agency; Midwest Research Institute Principal Invest.: Dr. William D. Glauz Effective Date: Completion Date:

December 15, 1977 September 15, 1979

Funds:

\$190,000

There is a need for a reliable and inexpensive tool to be used in lieu of or in addition to accident data to diagnose safety and operational deficiencies and permit evaluation of improvements within a short period of time. Traffic conflicts analysis shows promise of providing such a tool, and several highway agencies are using the techniques as part of their standard operating procedures. However, conflict definitions and sampling procedures vary significantly. There is an immediate need to develop standard procedures to assist all levels of government in using traffic conflicts analysis in their safety and operations programs.

The objective of this research is to develop a procedure for collecting and using traffic conflicts data to diagnose safety and operational deficiencies and to evaluate the effectiveness of improvements at intersections. The product of the research will be a user's manual with examples that illustrate the methods of data collection, data analysis, and application. This objective is to be achieved by completing at least the following five tasks: examination of present use of traffic conflicts analysis, development of procedures, field testing, analysis and refinement, and documentation.

Work was carried out successfully to develop techniques and test them in the field during the summer of 1978. Analysis of the data collected and evaluation of the techniques will lead to their refinement.

Project 17-4 FY '78

Evaluation of Traffic Controls for Street and Highway Work Zones

Research Agency: Principal Invest.: Effective Date:

BioTechnology, Inc. Dr. Hugh W. McGee January 2, 1978

Enective Date: Completion Date:

March 31, 1979

Funds:

\$200,000

Devices described in Part VI of the Manual on Uniform Traffic Control Devices (MUTCD) have simply evolved from other devices, rather than as a result of scientific testing as to what best stimulates driver awareness of workzone situations. Data are lacking on controlled testing and evaluation that support the current design of these devices or their arrangement on the job.

The objective of this project was to determine the effectiveness of selected work-zone traffic control devices and to determine how these devices should be designed and used. The research was restricted to stationary work zones and did not consider moving operations. Consideration was given to worker safety requirements in the installation and maintenance of the traffic control devices.

An extensive literature review was completed covering the effectiveness of control devices, methods of use, accident experience, and driver performance measures. Present and alternative markings for barricades, cones, drums, and vertical panels were tested using a slide presentation in a laboratory study. Rail width, width and arrangement of stripes, and color ratio were investigated. A controlled field test of various devices and layout configurations was conducted, followed by further field testing at actual construction sites. The effectiveness of the devices when used collectively was evaluated in situations similar to those depicted in the typical MUTCD layouts. The field sites included rural two-lane and rural expressway locations.

Research has been completed, and the agency's preliminary draft final report is in the review stage.

AREA 18: CONCRETE MATERIALS

Project 18-1 FY '68

Revibration of Retarded Concrete for Continuous Bridge Decks

Research Agency: Principal Invest.: Effective Date: University of Illinois Dr. H. K. Hilsdorf September 1, 1967 December 1, 1969

Completion Date: Funds:

\$103,895

Transverse and longitudinal cracking of continuous concrete bridge decks can be caused by changes in deflection and rotation over supports during construction in addition to the possible effect of restraint to subsidence (bleeding) afforded by the top reinforcing steel. Such cracking is of significance with respect to the development of spalling. Revibration of retarded concrete may be useful in eliminating such occurrences in continuous bridge decks placed in one operation; therefore, this research had the objectives of (1) conducting a survey to determine the extent to which either delayed vibration or revibration has been used in placing bridge deck concrete, including the purpose, conditions, and results; (2) determining by laboratory and/or field tests if transverse and longitudinal cracking can be significantly reduced by revibration after retarded concrete has been placed over the entire deck of a continuous bridge or a complete segment of several spans supported by a continuous girder system; (3) determining the effect of revibration and subsequent finishing on the durability of bridge deck surfaces exposed to deicing chemicals; and (4) determining the most effective and practical means of revibration in the field.

The research has been completed, and the final report has been published as:

NCHRP Report 106, "Revibration of Retarded Concrete for Continuous Bridge Decks."

Project 18-2 FY '73

Use of Polymers in Highway Concrete

Research Agency:
Principal Invest.;
Effective Date:

Lehigh University Dr. John A. Manson October 1, 1972 $Completion\ Date;$

September 30, 1975

Funds:

\$300,000

Deterioration of concrete bridge decks, reduction of skid resistance on concrete surfaces, unacceptable concrete wear rates, and a need for thinner and stronger concrete slabs are problems that confront every State highway department. Among the major deficiencies of some of the concrete presently used are high permeability, low strength, cracking, low wearing ability, and spalling. The mechanisms causing deterioration include frost action, differential expansion and contraction, reinforcement corrosion, chemical attack, traffic loads, and wear.

Polymer-impregnated concrete reportedly provides significant increases in strength and durability. However, present developments and techniques have not progressed to the extent that they are adequate for field use; therefore, more work in this area is required.

The over-all objective of this project was to develop the technology for the economical use of polymers to improve the serviceability of concrete in highways. The immediate goal concerned economically feasible methods for polymer impregnation of concrete bridge decks in place.

The program was conducted jointly by Lehigh University and The Pennsylvania State University.

The study included a state-of-the-art survey, laboratory development of engineering data on the penetration of candidate materials, testing of drying techniques and prototype impregnation equipment, durability studies, and experimental impregnations of two bridge decks. Final work centered on the use of methyl methacrylate and trimethylolpropane trimethacrylate (MMA/TMPTMA) as the monomer system. Two methods of drying (propanefired infrared and propane torch units), two methods of monomer application (soaking and pressure), and two methods of polymerization (hot water and steam) were used. Polymer penetration to depths of more than 4 in. was achieved. Extreme dryness was found to be the key to deep penetration. This was obtained with temperatures of about 250 F at 4-in, depths. The first successful penetrations of a bridge deck were achieved with equipment covering areas of only a few square feet. Field equipment was enlarged and up-graded, and successful impregnations were achieved over several 36-sq ft areas on two bridge decks-one a test-track deck and the other a deck in regular service. A field manual describing the techniques that were developed and including suggested safety precautions and acceptance criteria is included in the final

Research has been completed, and the final report has been published as:

NCHRP Report 190, "Use of Polymers in Highway Concrete."

Project 18-2(2) FY '78

Polymer Concrete in Highway Bridge Decks

Research Agency: Lehigh University
Principal Invest.: Dr. John A. Manson

Effective Date: January 1, 1978
Completion Date: December 31, 1978

Funds: \$30,000

NCHRP Project 18-2 demonstrated the feasibility of polymer impregnation of salt-contaminated, but structurally sound, bridge decks to depths sufficient to encase the upper layer of steel reinforcement (about 4 in.) as a possible means of arresting or preventing corrosion. Additional research and development work will be needed to refine the method and to extend its applicability beyond the range of variables of the completed investigation. Polymer impregnation includes a high-temperature drying process whose effects on the durability and structural integrity of the deck concrete are not now understood. It has been noted that the process causes fine cracks to appear in the concrete, but little else is known. Research is needed to (1) measure the extent of this problem and provide a solution if required; (2) provide additional information on the long-term effectiveness of the impregnation process in preventing or arresting corrosion: and (3) determine the economics of the use of polymer impregnation. Determination of long-term effectiveness and economics will require consideration of the relative merits of various processes for impregnation as well as other methods of prevention and repair of bridge deck corrosion problems. Research is needed to determine whether corrosion in a contaminated deck can be controlled by sealing with a shallow polymer impregnation, or complete encapsulation of the top reinforcement is necessary.

The objective of this study was to clarify the state of knowledge with regard to polymer concrete in bridge decks. It did not involve extensive investigations to develop new research findings but was intended to outline what is already known, what additional information is needed, and what new research needs to be undertaken. The final report provides guidance for decisions on future research in this area.

Research has been completed, and the final report is in the review stage.

AREA 19: FINANCE

Project 19-1 FY '68

Budgeting for State Highway Departments

Research Agency: Ernst & Ernst Principal Invest.: F. W. Hinck, Jr. Effective Date: September 5, 1967 Completion Date: September 4, 1968

Funds; \$45,000

Effective budgeting is interwoven with and is hasic to the whole management and decision-making process. In seeking its fullest benefit, budgeting needs to be applied to all potential uses. The modern concept of the total budget process views hudgeting as an integral part of planning, administration, and policy making.

Although budget plans of varying effectiveness now exist

in the several State highway departments, there is no indication that highway administration recognizes and utilizes the budget process to its full potential.

Research is needed with the long-range objective of devising a concisely defined framework of budget systems, together with detailed documentation for implementing policies and procedures. To meet this need, the researchers analyzed the organization plans and funding arrange ments controlling State highway departments. They determined in detail the prerequisites which must be satisfied and the problems requiring resolution for effective State highway budgetary systems to be instituted. Documented recommendations were developed for devising a concise universal State highway budgeting system with detailed aids for implementing appropriate policies and procedures.

Research has been completed. The project report will not be published in the regular NCHRP report series, but the essential findings from the report have been published in NCHRP Research Results Digest 20.

Project 19-2(1) FY '69

Develop Performance Budgeting System to Serve Highway Maintenance Management

Research Agency: Booz • Allen & Hamilton

Principal Invest.: H. L. Wilsey
Effective Date: September 2, 1968
Completion Date: October 31, 1968

Funds: \$6,000

With highway maintenance expenditures rapidly increasing due to completion of the Interstate System, rising traffic volumes, trends toward higher standards of physical maintenance, and more traffic services, it becomes increasingly important that maintenance operations he based on reasonable and effective maintenance budgets.

The scope of this project was to develop independent work plans to be used as the research plan for the second-phase work. The work plan has been received but will not be published. Refer to Project 19-2(4) for description of the over-all project objectives and details of Phase II of this study.

Project 19-2(2) FY '69

Develop Performance Budgeting System to Serve Highway Maintenance Management

Research Agency: Ernst & Ernst & Fr. W. Hinck, Jr. Effective Date: September 2, 1968 October 31, 1968

Funds: \$6,000

With highway maintenance expenditures rapidly increasing due to completion of the Interstate System, rising traffic volumes, trends toward higher standards of physical maintenance, and more traffic services, it becomes increasingly important that maintenance operations be based on reasonable and effective maintenance budgets.

The scope of this project was to develop independent work plans to be used as the research plan for the second-phase work. The work plan has been received but will not be published. Refer to project 19-2(4) for description of the over-all project objectives and details of Phase II of this study.

Project 19-2(3) FY '69

Develop Performance Budgeting System to Serve Highway Maintenance Management

Research Agency: Roy Jorgensen & Associates

Principal Invest.: J. L. Garner
Effective Date: September 2, 1968
Campletion Date: October 31, 1968

Funds: \$6,000

With highway maintenance expenditures rapidly increasing due to completion of the Interstate System, rising traffic volumes, trends toward higher standards of physical maintenance, and more traffic services, it becomes increasingly important that maintenance operations be based on reasonable and effective maintenance budgets.

The scope of this project was to develop independent work plans to be used as the research plan for the second-phase work. The work plan has been received but will not be published. Refer to Project 19-2(4) for description of the over-all project objectives and details of Phase II of this study.

Project 19-2(4) FY '69

Develop Performance Budgeting System to Serve Highway Maintenance Management

Research Agency: Roy Jorgensen & Associates

Principal Invest.: Roy E. Jorgensen J. L. Garner

Effective Date: February 1, 1969
Completion Date: November 30, 1971

Funds: \$220,000

With highway maintenance expenditures rapidly increasing due to completion of the Interstate System, rising traffic volumes, trends toward higher standards of physical maintenance, and more traffic services, it becomes increasingly important that maintenance operations be based on reasonable and effective maintenance budgets.

Performance budgeting represents a method by which budgeting can be an effective management tool. The development of a performance budgeting system for highway maintenance must be based on at least the following factors: the work load; the criteria for establishment of maintenance levels; the levels of maintenance desired for various functions; resource requirements necessary to provide the selected levels of maintenance; records and reports required

to serve the hudget system; and procedures for management planning, evaluation, and control.

The objectives of this project were to develop a model highway maintenance performance budgeting system and to pilot test the installation of the system in a State highway department.

The objectives have been accomplished in terms of the development of a model system that can be adapted for use by a State highway department to make most effective use of available maintenance funds and to assist in the process of highway budget and management planning. Pilot installation of the model system in cooperation with the State Highway Department of Georgia indicates that implementation is feasible.

The research has been completed, and the project report has been published as:

NCHRP Report 131, "Performance Budgeting System for Highway Maintenance Management."

Project 19-3 FY '71

Economic Effects of Changes in Legal Vehicle Weights and Dimensions on Highways

Research Agency: Wilbur Smith and Associates

Principal Invest.: R. E. Whiteside
Effective Date: September 15, 1970
Completion Date: June 14, 1972
Funds: \$96,728

The Congress and State legislatures have the continuing responsibility for considering legislation respecting legal maximum limits of motor vehicle weights and dimensions. When laws are changed, highway designers must take into consideration the effects of the new legal limits on such things as vehicle design, vehicle use of the highways, axle configurations, road axle-weight distribution and frequency, and trucking practices. These factors, among others, affect management decisions relative to pavement design, bridge design, and highway geometric design; over-all highway maintenance policies and procedures; methods of upgrading existing highways and bridges; and budget for highway construction, betterments, and maintenance. Also affected are road-user tax incomes and highway cost allocations. However, absence of a clear definition of such things as the interrelationship between changes in the law and axle weights on the highway contributes to uncertainty and makes legislation and management decisions difficult. A further difficulty is that knowledge helpful to the making of decisions on the many factors involved is relatively scarce and widely scattered throughout the literature and the disciplines. A synthesis of the knowledge and a development of guidelines for evaluating the effects of such legislative changes are needed to make this knowledge more readily usable to State highway departments and others making decisions relative to the consequences of changes in the legal limits of vehicle weights and dimensions.

The objectives of this research were: (1) to critically review past and current research and methodologies relating

to the consequences of possible changes in legal vehicle weight; (2) to evaluate methodologies and procedures identified in the review as to their reliability, adequacy, case of application, and other attributes; (3) to assemble from existing knowledge a recommended methodology or methodologies identifying all decision points involved in reaching a conclusion regarding costs and benefits associated with changes in legal weights and dimension limits for vehicles; and (4) to recommend additional research and development as may be found necessary to fill gaps in present knowledge.

The research has been completed, and the project report has been published as:

NCHRP Report 141, "Changes in Legal Vehicle Weights and Dimensions—Some Economic Effects on Highways."

AREA 20: SPECIAL PROJECTS

Project 20-1 FY '65, FY '66, and FY '67

Highway Research Information Service

Research Agency: Highway Research Board

Principal Invest.: Dr. Paul E. Irick Effective Date: March 16, 1964 Completion Date: October 31, 1967

Funds: \$455,000

The objectives of the Highway Research Information Service were: (1) to select and store input information from current and past highway research that will be of value to users of highway information, (2) to disseminate current information to users, and (3) to retrieve relevant information on request.

All storage and retrieval procedures are now operational. The service, available to anyone interested, includes abstracts of publications, new reports on research in progress, and the updating of previously stored reports for ongoing research.

Project 20-2 FY '66

Research Needs in Highway Transportation

Research Agencies: Bertram D. Tallamy Associates

Wilbur Smith and Associates

Principal Invest.; Lloyd G. Byrd

Paul E. Conrad

Effective Date: April 1, 1966 Completion Date: December 31, 1967

Funds: \$98,760

This project developed a coordinated framework of needed short- and long-range research in the field of high-way transportation. Major areas of needed research were identified and arranged in the general framework. Technical priorities of need and an estimate of the appropriate level of funding for each are included. The framework was designed in such a manner as to permit updating with minimal effort.

The project report gives method or concept for structuring research as developed by the research, which includes a method for assigning priorities and costs to proposed research. The methods developed under this research were applied to 900 proposed research project statements considered in the study to formulate an example research program,

The final report has been published as:

NCHRP Report 55, "Research Needs in Highway Transportation."

was accepted but not published. A summary of the work has been provided in the report prepared under Project 20-3C.

At the end of 1968 the research agency requested, due to extensive other research commitments, to be relieved of further work. A continuation proposal was requested from the University of Michigan. The research was continued under Project 20-3A.

Project 20-3 FY '67 and FY '68

Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: Dr. J. A. Wattleworth

Kenneth G. Courage

Dec. 15, 1966 Effective Date: Jan. 1, 1967 Completion Date: Jan. 31, 1969

Dec. 31, 1968 \$200.540 * Funds: \$394.016

To meet present and future traffic demands, the combined freeway and surface street system must operate more efficiently. Practical measures for increasing operational efficiency by judicious application of traffic surveillance, communication, and control were studied for the heavily traveled corridor of the John C. Lodge Freeway in Detroit,

The initial research program included an evaluation of the effectiveness of the existing National Proving Ground surveillance, communication, and control system, and its individual components. Methods were determined for increasing the effectiveness of the freeway and surface street system, and equipment configurations were recommended to improve the system based on a cost-effectiveness study.

A technical report, "An Evaluation of Two Types of Freeway Control Systems," covering the 1967 research work was submitted and accepted. The report includes an evaluation of the initial NPG television and advisory speed and lane-control signs and a description and evaluation of the ramp-metering system. Six additional reports were prepared covering the 1967 research work.

The major work items proposed for completion in 1968 were a pilot study of a freeway-frontage road driver information system, further freeway operations studies using improved detection and refined control techniques, environmental effects studies, pilot equipment studies for trafficresponsive signal control throughout the corridor, and a preliminary design for a more extensive driver-communication system to include the surface streets within the corridor. The project report for the 1968 work, "A Freeway Corridor Surveillance, Information, and Control System,"

Project 20-3A

Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control

FY '69 and FY '70

Research Agency: University of Michigan Principal Invest.: Dr. Donald E. Cleveland

Effective Date: Nov. 20, 1968 Jan. 1, 1969 Completion Date: Dec. 31, 1969 May 31, 1971 Funds: \$505,631 \$20,000 †

This project was a continuation of the 1967 and 1968 research conducted by the Texas Transportation Institute under Project 20-3.

The basic tasks and their respective components of the 1969 research work were designed to develop information required for the ultimate synthesis of a traffic surveillance, driver information, and control system capable of real-time control of traffic throughout an entire network of arterial streets and freeways. The topics included (1) detection of capacity-reducing incidents, (2) improved ramp control techniques and environmental effects, (3) pilot studies of freeway-frontage road informational system, (4) an experiment in traffic routing within the freeway corridor, and (5) observation of freeway operations. Draft reports on the topics of the 1969 research work have been accepted by the project committee.

The 1970 research had the general objective of improving the combined level-of-service on the Freeway and the supporting street network. The work was divided into four principal tasks, all of which were completed; (1) improvement of ramp metering and freeway corridor flow: (2) improvement of Davison-Lodge interchange operation; (3) determination of the effect of weather on freeway corridor operations; and (4) long-term motorist response to the information system.

Draft final reports on the results from the work under the tasks were accepted and are available on a loan basis on request to the Program Director. They, along with the 1969 reports, have not been published, but are summarized in the report prepared under Project 20-3C.

^{*} NCHRP funds obligated under the \$314,340 four-way agreement among the National Academy of Sciences, Michigan Department of State Highways, Wayne County, and the City of Detroit.

[†] NCHRP funds obligated under the \$70,000 five-way agreement among the National Academy of Sciences, Michigan Department of State Highways, Wayne County, the City of Detroit, and the University of Michigan.

Project 20-3B FY '70

Optimizing Freeway Corridor Operation Through Traffic Surveillance, Communication, and Control-Summary Reporting

Research Agency: Patrick J. Athol Principal Invest: Patrick J. Athol Effective Date: July 1, 1972 September 27, 1974

Termination Date: Funds: S31,116

Because a substantial body of knowledge relative to more efficient operation of systems made up of freeways and adjacent streets has been acquired through NCHRP Projects 20-3, 20-3A, and studies under other programs, Project 20-3B was established with the following objectives:

- 1. Preparation of a report summarizing the main findings of freeway surveillance and control on the John C. Lodge Freeway in Detroit. The end product of this synthesis was to have been one report that summarized all historic and technical activities of the research conducted by the State of Michigan and under the NPG and NCHRP Projects 20-3 and 20-3A. The major emphasis was to be placed on reporting on usable results that have been found to be practical on the Lodge project.
- 2. Preparation of a report in the vein of "Getting the Most Service from Freeways," using published research reports and the experience available from past and ongoing freeway traffic operations projects.

Objective 1 was advanced only to the point of a preliminary report that was submitted to the NCHRP project panel for an acceptance review. Based on this review, extensive revisions were required. They were begun but were never completed; therefore, a revised report was never submitted. Some work was carried out toward Objective 2, but, although the original completion date had been overrun by a year, it was not substantial and never progressed to the point of a preliminary report. Still another extension was imminent; however, the contractor chose to quit without fulfilling the objectives set forth in his proposal. By mutual agreement, the project was terminated. This research was resumed under Project 20-3C.

Project 20-3C FY '70

Summary of the Lodge Freeway Research

Research Agency: Asriel Taragin Principal Invest.: Asriel Taragin Effective Date: November 15, 1975 Completion Date: July 15, 1976 Funds: \$10,183

This project was initiated to prepare a summary report outlining the main findings from a long series of freeway surveillance and control studies on the John C. Lodge Freeway in Detroit. The historical research has been completed, and a report has been submitted. It covers the

objectives, organization, and data, as well as the results, conclusions, and recommendations associated with each stage of the traffic research studies. References to all published and unpublished reports as well as file documents pertinent to the background of the studies have been appropriately identified.

The report has been distributed to the sponsoring agencies.

A copy of the agency's final report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 20-3D FY '70

Summary of All Freeway Surveillance, Communication and Control Experience

Research Agency: Alan M. Voorhees & Associates

Dr. Donald G. Capelle Principal Invest .:

Effective Date: May 15, 1977 Completion Date: August 15, 1978

Funds: \$40,000

This project complements Project 20-3C. It was established to prepare a summary report of all experience with the surveillance, communications, and traffic control aspects of freeway operations. The project will draw on both published research reports and other experience available from relevant research projects. The final report is intended, therefore, to synthesize past and present practices and to provide judgmental values on their merits and successes in order to aid highway administrators in decisions related to freeway operation problems.

Research has been completed. A final report has been submitted and is undergoing review.

Project 20-4 FY '68

Public Preference for Future Individual Transportation

Research Agencies: Chilton Research Services (CRS)

National Analysts (NA)

Principal Invest.: Robert K. McMillan James M. Marshall

May 2, 1967

Effective Date: Completion Date: January 21, 1969 (CRS)

January 2, 1968 (NA)

Funds: \$279,171

Reliable information is needed on public attitudes and behavior relating to transportation and the factors that influence these to permit more effective planning for the allocation of resources for transportation purposes. The objective of this research was to determine the attitudes and behavior of the public related to transportation and identify the factors that influence such attitude and behavior.

To determine the foregoing, two independent national samples of 2,500 interviews each were surveyed in May 1967 by the two separate agencies. The surveys used the

same questionnaire, so that after an initial statistical evaluation between the two surveys the results could be combined for a more detailed analysis. The survey was designed to determine what people think about the importance of various transportation modes and the sources and distribution of transportation financing. Attitudes were related to people characteristics, transportation and community values, transportation needs, and recorded behavior. This project presents a valid national description of transportation attitude and behavior patterns with determination of differences in social, economic, demographic, and geographic subgroups.

A first-phase report was published in 1968 as:

NCHRP Report 49, "National Survey of Transportation Attitudes and Behavior-Phase I Summary Report."

This report presents a preliminary analysis of the nationwide survey data. It includes a comparison of household and individual characteristics for both survey samples and a question-by-question analysis of the total sample.

A second-phase report has been published as:

NCHRP Report 82, "National Survey of Transportation Attitudes and Behavior-Phase II Analysis Report."

This report presents results of a more advanced statistical analysis of the data. This analysis is multi-variant in nature; that is, it considers many variables simultaneously to obtain a comprehensive view of transportation attitudes, their relation to behavior and demographic characteristics, and profiles of people holding these views.

The report includes 16 charts that indicate attitudes, according to eight demographic variables, toward spending for roadways and highways and public transportation. The report deals comprehensively with data by describing the methodology, statistical methods used, and the detailed findings.

Project 20-5 FY '68 and continuing

Synthesis of Information Related to Highway **Problems**

Research Agency: Transportation Research Board

Principal Invest .: Dr. Paul E, Irick

T. L. Copas

Effective Date: December 15, 1967

Completion Date: Continuing

Funds: \$100,000 annually, FY '68-'71

\$200,000 annually, FY '72-'75 \$300,000 annually, FY '76-'77

\$330,000 FY '78 \$360,000 FY '79

Administrators, practicing engineers, and researchers are continually faced with highway problems on which much information exists, either in documented form or in terms of undocumented experience and practice. Unfortunately this information is often fragmented, scattered, and unevaluated. As a consequence, full information on what has been learned about a problem is frequently not brought to bear on its solution. Costly research findings may be unused, valuable experience may be overlooked, and due consideration may not be given to recommended practices for solving or alleviating the problem.

In this project, particular highway problems, or sets of closely related problems, will be designated as topics for information synthesis.

For each topic the objectives are:

- 1. To locate and assemble documented information.
- 2. To learn what engineering practice has been used for solving or alleviating the problem.
 - 3. To identify all ongoing research.
 - 4. To learn what problems remain largely unsolved.
- 5. To organize, evaluate, synthesize, and document the useful information that is acquired.
- 6. To evaluate the effectiveness of the synthesis after it has been in the hands of its users for a period of time.

The 55 published syntheses of highway practice that have been prepared under this project are listed in Table 7.

The following topic report has been completed and is in the NCHRP editorial and publication process: "Joint-Related Distress in PCC Pavement-Cause, Prevention, and Rehabilitation."

The following reports are in the review stage: "Design and Use of Highway Shoulders;" "Relationship of Asphalt Cement Properties to Pavement Durability;" "Bridge Deck Durability;" "Consequences of Deferred Maintenance."

The following topics are in the research stage: "Bus Transit Service Planning Guides (Routes and Schedules);" "Photologging;" "Bituminous Patching Mixtures;" "Variable-Message Signs;" "Relation of Quality Assurance to Performance;" "Alternate Work Schedules: Experience and Transportation Impacts;" "Direction Finding from Arterials to Destinations;" "The Nature of Failure and the Repair of Continuously Reinforced Concrete Pavement;" "Potential State Resources for Financing Transportation Programs;" "Design of Sedimentation Basins;" "Glare Screen Guidelines;" "Welding and Inspection Practices in Bridge Fabrication;" "Rapid Testing;" "Environmental Monitoring (Air, Water, Noise);" "Formulating and Justifying Highway Maintenance Budgets;" "Motor Vehicle Size and Weight Regulation, Enforcement, and Permit Operations;" "Collection and Use of Pavement Condition Data;" "Bridge Drainage Systems;" "Below-the-Waterline Inspection and Repair of Bridge Substructures;" "State Transit Management Assistance to Local Communities."

Project 20-6 FY '69 and continuing

Right-of-Way and Legal Problems Arising out of **Highway Programs**

Research Agency: Transportation Research Board

Principal Invest .: John C. Vance Effective Date: November 1, 1968

Completion Date: Continuing

Funds: \$200,000 FY '69-'71 \$125,000 FY '72

\$50,000 FY '73 \$185,000 FY '74 \$125,000 FY '75 \$85,000 FY '76 \$75,000 FY '77 \$100,000 FY '78 \$100,000 FY '79

A major and continuing need of State highway departments involves the assembly, analysis, and evaluation of operating practices and the legal elements of special problems involving right-of-way acquisition and control and highway law in general. Individual State experiences need to be compared and made available for possible application nationally. Need exists with respect to both immediate and longer-range right-of-way and legal problems.

In spite of this critical need today, there is really no present mechanism that is capable of responding in time to be of practical assistance to State highway departments. The Right-of-Way and Legal Affairs Committee of the American Association of State Highway Officials has tried all of the known channels in an effort to initiate such research, but the response has been negative for one reason or another.

Accordingly, State highway officials have agreed that an appropriate mechanism be initiated under which needed research of the type suggested can be undertaken and with dispatch. Prototypes of such a device may be found in the various AASHO and HRB road-test projects that have been undertaken and, perhaps more closely related, in the 1956-60 special HRB Highway Laws Project.

NCHRP Project 20-6 has been established to meet the aforementioned need and is a continuing effort involving research on a priority listing of topics selected by the cognizant NCHRP project committee. The topics of concern to date are:

- Study No. 1—Relocation Assistance Under Chapter Five of the 1968 Federal-Aid Highway Act (Research Results Digest No. 3)
- Study No. 2—Standing to Suc for Purposes of Securing
 Judicial Review of Exercise of Administration Discretion in Route Location of Federal-Aid Highways (Research Results Digest No. 6)
- Study No. 3—Valuation Changes Resulting From Influence of Public Improvements (Research Results Digest No. 11)
- Study No. 4—Advance Acquisition Under the 1968 Federal-Aid Highway Act (Research Results Digest No. 19)
- Study No. 5—Valuation in Eminent Domain as Affected by Zoning (Research Results Digest No. 22)
- Study No. 6—Federal Environmental Legislation and Regulations as Affecting Highways (Research Results Digest No. 25)
- Study No. 7—Changes in Existing State Law Required by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (Research Results Digest No. 32)

- Study No. 8—Proposed Legislation to Anthorize Joint Development of Highway Rights-of-Ways (Research Results Digest No. 31)
- Study No. 9—Legal Effect of Representations as to Snbsurface Conditions (Research Results Digest No. 39)**
- Study No. 10—Right of State to Recover Contract Payments Made Under Contract Violating Competitive Bidding Statute (Canceled)
- Study No. 11—Personal Liability of State Highway Department Officers and Employees (Research Results Digest No. 79)**
- Study No. 12—Tort Liability of Highway Departments
 Arising Out of Skidding Accidents (Research Results Digest Nos. 83 and 95)**
- Study No. 13—Appeal Bodies for Relocation Assistance (Research Results Digest No. 40)
- Study No. 14—Admissibility and Use of Severance Studies in Condemnation Litigation (Terminated due to insufficient data on which to base the study)
- Study No. 15—Trial Strategy and Techniques to Exclude Noncompensable Damages and Improper Valuation Methods in Eminent Domain Cases (Research Results Digest No. 41)
- Study No. 16—Supplemental Condemnation: A Discussion of the Principles of Excess and Substitute Condemnation (Research Results Digest No. 42)
- Study No. 17—Liability of State Highway Departments for Design, Construction, and Maintenance Defects (Research Results Digest No. 80)**
- Study No. 18—Compensability and Valuation of Noise, Pollution, and Other Environmental Factors (Abandoned)
- Study No. 19—Right of State to Secure Judicial Review of Federal Administrative Decisions (Study abandoned due to insufficient amount of case law)
- Study No. 20--Meaning of "Highway Purpose" (Canceled)
- Study No. 21—Duty to Warn Against Highway Defects (Study abandoned due to insufficient amount of case law)
- Study No. 22—Compensability for Consequential Damages
 Resulting from Partial Take or Taking
 Without Appropriation and Entry Upon the
 Land (Canceled)
- Study No. 23—Exclusion of Valuation Changes Resulting from Influence of Public Improvement: A Study of the Provisions of 42 U.S.C. 4651

 (3) (Research Results Digest No. 45)
- Study No. 24—Eminent Domain: An Overview *
- Study No. 25—Where Does Police Power End and Eminent Domain Begin? *
- Study No. 26—Just Compensation and the Doctrine of Damnum Absque Injuria *
- Study No. 27—The Meaning of Highway Purpose (Research Results Digest 68)*

^{*} Published in Selected Studies in Highway Law, Vols. 1 and 2. ** Published in Selected Studies in Highway Law, Vol. 3.

- Study No. 28-Valuation of Outdoor Advertising Rights *
- Study No. 29-Valuation of Scenic Easements (Canceled)
- Study No. 30-Liability for Drainage Damage *
- Study No. 31—Trial Strategy and Techniques Using the Income Approach to Valuation (Research Results Digest No. 54)*
- Study No. 32—Trial Strategy and Techniques Using the Comparable Sales Approach to Valuation (Research Results Digest No. 47)*
- Study No. 33—Trial Strategy and Techniques Using the Reproduction Cost Less Depreciation Approach to Valuation *
- Study No. 34—Trial Aids in Highway Condemnation Cases *
- Study No. 35—Model Airspace Act: A Vehicle for Joint Development *
- Study No. 36-Formation of the Contract **
- Study No. 37—Effect of Mistakes in Bids, Plans and Specifications **
- Study No. 38—Legal Problems Arising from Changes, Change Clauses and Changed Conditions **
- Study No. 39—Contract Completion Time: Damages for Delay; Liquidated Damages; Work Stoppage Under Court Order **
- Study No. 40—Administrative Settlement and Disposition of Claims **
- Study No. 41—Trial Strategy and Techniques in Contract Litigation **
- Study No. 42—Environmental Litigation: Rights and Remedies **
- Study No. 43—Trial Strategy and Techniques in Environmental Litigation **
- Study No. 44-Legal Interrelationship of the Federal and State Governments **
- Study No. 45—Review of the One-Offer System of Rightof-Way Acquisition
- Study No. 46—Liability of Governmental Agencies for Improper Traffic Control Devices, Signs, and Pavement Markings **
- Study No. 47—Supplementation of Studies 15, 31, 32 and 33, and Project 11-1(2)
- Study No. 48—Supplementation of Studies, 3, 4, and 5.
- Study No. 49-Inverse Condemnation
- Study No. 50—Payment of Attorneys' Fees and Other Costs in Condemnation and Environmental Litigation
- Study No. 51—Appraisal of Property Damages Due to Highway Noise
- Study No. 52—The Use of Guarantee or Warranty Clauses in Highway Construction Contracts
- Study No. 53—Impact of Civil Rights Legislation and Regulations on State Highway and Transportation Department Activities
- Study No. 54—Outdoor Advertising Control and Acquisition
- Study No. 55—Legal Issues Arising out of Uniform Relocation Assistance and Real Property Acquisition Policy Act of 1970
- Study No. 56—Evaluation of the Adequacy and Equitability of Relocation Assistance Payments

- Study No. 57—Legal Aspects of Access Control on Unlimited-Access Highways
- Study No. 58—Zoning Changes Adjacent to Highways as a Result of the Highway Beautification Act
- Study No. 59—The Effect of Federal and State Public Information Acts on Highway and Transportation Department Activities
- Study No. 60—Relocation of Public Utilities
- Study No. 61—Right to Compensation in Eminent Domain for Abrogation of Restrictive Covenants

Studies completed under this project are published as NCHRP Research Results Digests (see Table 9). In addition, most recently completed studies have also been included in the three-volume text, Selected Studies in Highway Law. Volumes I and II, dealing primarily with the law of eminent domain, were published in 1976, and Volume III, dealing with contracts, torts, environmental and other areas of highway law, was published in early 1978. All three volumes have been distributed on a limited basis to selected state and federal offices. Information on obtaining copies of this text may be found in NCHRP Research Results Digest 96 or by contacting the Transportation Research Board Publications Office.

Rescarch on Stody No. 45 has been completed. Copies of the final report have been distributed to NCHRP sponsors, and loan copies are available from the NCHRP Program Director. A copy of the report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Future work in this continuing project will include research on new topics of current interest in both the right-of-way and legal fields. Updating and supplementing the text book will also be continued.

Through December 31, 1978, research has been completed on Study Nos. 47, 48, 49, 50, 51, 54, 57, and 61. Papers on these studies are in the editorial and publication process for inclusion in *Selected Studies in Highway Law*, distribution as NCHRP Research Results Digests, or both.

Project 20-7 FY '69 and continuing

Research for AASHTO Standing Committee on Highways

Research Agency:

Open

Principal Invest,:

.

Effective Date:

December 2, 1968

Completion Date:

Continuing

Funds:

\$100,000 annually

The American Association of State Highway and Transportation Officials (AASHTO) Standing Committee on Highways is called on continually to rule on engineering and operations policies as a guide for State highway and transportation departments to follow. The Committee desires to obtain guidance on a reasonably prompt schedule through a continuing research program geared to the needs and wishes of the Committee in the development of guides, standards, policies, and other AASHTO activities. In earlier years, objectives of the Committee were attained through

the establishment of a continuing research capability at the Texas Transportation Institute (TTI) of Texas A&M University. In June 1973, the Committee stipulated that accomplishment of task research could be through any agency deemed by the NCHRP to possess the necessary expertise, provided the research could be initiated quickly.

The project includes a series of tasks specified by the Committee to obtain data required by the Committee to fulfill its responsibilities.

The status of each of the tasks undertaken in this project is as follows:

Task 1, "Development of a Cost-Effectiveness Approach to the Programming of Roadside Safety Improvements" (TT1). Research has been completed, and the task report published as NCHRP Report 148, "Roadside Safety Improvement Programs on Freeways—A Cost-Effectiveness Priority Approach." The report describes a hazard model that can be used to evaluate the effectiveness of a roadside safety improvement program.

Task 2, "The Relation of Side Slope Design to Highway Safety" (TTI). Research has been completed, and the task report published as NCHRP Report 158, "Selection of Safe Roadside Cross Sections." Tentative criteria for the selection of safe side slopes and safe slope and ditch combinations are proposed.

Task 3, "Development of an Effective Earth-Berm Vehicle Deflector" (TTI). The final report has been completed, and the results summarized in NCHRP Research Results Digest 77. The study was exploratory in nature, and further research is recommended.

Task 4, "Lateral Accelerations and Lateral Tire-Pavement Forces in a Vehicle Traversing Curves Relative to Available Pavement Skid-Resistance Measures (TTI). The final report has been completed and accepted by the AASHTO Standing Committee. NCHRP Research Results Digest 55 summarizes the results of the study. The study found that, although more needs to be known about the limitations of the existing AASHTO curve design policy, the present policy will in most instances provide safe, conservative designs for highway curves.

Task 5, "Effect of Curb Geometry and Location" (TTI). Research has been completed, and the task report published as NCHRP Report 150, "Effect of Curb Geometry and Location on Vehicle Behavior." The study provides recommendations regarding curb configuration and placement.

Task 6, "Development of Impact Attenuators Utilizing Waste Materials" (TTI). Various used-tire configurations and a fiberized aluminum product were examined in the laboratory and analytically, and hy full-scale field testing in some instances, to determine feasibility and to develop design information regarding the use of these materials for vehicle impact attenuation. Research has been completed, and the task report published as NCHRP Report 157, "Crash Cushions of Waste Materials." Designs are proposed for attenuators using two different configurations of scrap tires.

Task 7, "Safety at Narrow Bridge Sites" (TT1). Research has been completed. A bridge hazard index is proposed for assessing the degree of hazard of narrow bridges.

Guidelines are offered for remedial treatments at narrow bridges. The essential findings have been published in NCHRP Research Results Digest 98. The revised agency report will be published in the NCHRP report series.

Task 8, "Energy and Transportation Systems" (California Department of Transportation). This study is designed to establish "energy factors" for the various elements of energy use in constructing, maintaining, and operating transportation systems; to develop procedures for evaluating the energy use by such systems by applying the established energy factors; and to develop a rational method for reporting the results. Research has been completed, and the agency report is being prepared for distribution to the Program sponsors.

Task 9, "Review of Highway Management Studies Co-Sponsored by AASHTO and HUFSAM" (Management and Transportation Associates, Inc.) This was an evaluation of the Highway and Transportation Management Institute and the National Highway and Transportation Management Conference that have been offered annually over the past several years to improve the management skills of highway department personnel. The study findings indicate that there is a continuing need within highway and transportation agencies for management training, but it is becoming increasingly difficult to justify the travel, time, and expenses required by the courses currently being scheduled. As an alternative, the report recommends development of a two-week course to be presented nnce each year in each of the four AASHTO regions. Research has been completed, and copies of the agency report distributed to the Program sponsors.

Task 10, "Review of Vehicle Weight/Horsepower Ratio as Related tu Passing-Lane Design Criteria" (The Pennsylvania State University). The current AASHTO publications on highway geometrics use a loaded truck with weight/horsepower ratio of 400:1 as the design vehicle in determining the need for passing lanes on hills. The objective of this task was to evaluate the currently used design vehicle. Research has been completed. A recommendation is made that a truck with a weight/horsepower ratio of 300:1 be used where truck traffic is the controlling factor. An automobile pulling a travel trailer with a combined weight/horsepower ratio of 60:1 is recommended as the design vehicle on sections of highway not subjected to truck traffic but heavily used by recreation vehicles. The project report has been prepared and distributed to the program sponsors.

Task 11, "Longitudinal Occupancy of Freeways by Utilities" (Byrd, Tallamy, MacDonald and Lewis). The objective of this task is to determine the over-all feasibility and practicality of joint occupancy of freeway ROW by trunkline and transmission-type utility facilities. Research has been completed. Interviews have been conducted with highway and utility personnel. Existing joint occupancy sites have been studied for identification of potential problems. Possible benefits to the general public have been assessed. The project report is being reviewed by the AASHTO Standing Committee on Highways.

Task 12, "Guidelines for Citizen Participation in Transportation Planning" (Kathleen Stein Hudson). The AASHTO Standing Committee on Planning has been com-

piling material for preparation of guidelines for citizen participation in transportation planning. The objective of this task is to prepare draft guidelines from the materials that have been compiled. The project report has been prepared and is being published by AASHTO.

Task 13, "Guidelines for Safety Criteria for Low-Volume Roads" (John C. Glennon). The objective of this task is to evaluate and suggest modifications for existing safety criteria with regard to their applicability and relevancy for roads carrying less than 400 vehicles per day at normal and reduced speeds. Research has been completed. The report is in the editorial and publication process.

Task 14, "A Policy on Geometric Design of Highways and Streets" (John F. Holman & Co., Inc.). The Task Force on Geometric Design of the AASHTO Operating Sub-Committee on Design is in the process of updating the current AASHTO publications, A Policy on Geometric Design of Rural Highways—1965 (Blue Book) and A Policy on Design of Urban Highways and Arterial Streets—1973 (Red Book). Major portions of the revised publication have been compiled, reviewed, and incorporated into chapters in rough-draft form of a new AASHTO publication, A Policy on Geometric Design of Highways and Streets. The objective of this task is the preparation of an edited version of the new publication for consideration by AASHTO. Research is in progress.

Task 15, "Development of a Simplified Pavement Management System" (ARE, Inc.). The objectives of this task are to (1) prepare a synthesis report on pavement management system (PMS) research and development and (2) develop a simplified PMS suitable for assisting highway agencies in rehabilitation programming of existing pavements. Research is in progress.

Task 16, "Regulation of Movement of Hazardous Cargos" (agency selection pending). The task objectives will be developed after completion of a survey of state practices by an AASHTO task force on hazardous materials.

Task 17, "Evaluating AASHO Road Test Satellite and Environmental Studies (Texas A&M University). The objectives of this task are to (1) compile available data and information from satellite road tests and from sections of the AASHO Road Test subsequent to the completion of the road test and (2) determine the feasibility of using the information to propose revisions to the "AASHO Interim Guide for Design of Pavement Structures, 1972."

Project 20-8 FY '71

Interactive Graphic Systems for Highway Design

Research Agency: Control Data Corporation

Principal Invest.: C. W. Beilfuss

Effective Date: September 1, 1970

Completion Date: July 31, 1971

Funds: \$49,672

Improved techniques and procedures making extensive use of computer and computer-graphics technology are being developed to enhance highway location and design. One prominent highway design evaluation capability under development is the ability to produce, on a variety of computer-controlled graphic display devices, perspective views based on computed design information and actual terrain data. Highway engineers, by making use of these new capabilities, will be better able to achieve optimal highway designs expeditiously.

To make effective use of the new graphic display evaluation techniques, there is a need for a man-machine interaction capability for revising highway designs. The man-machine interaction is the ability of the highway designer to make discrete changes to design parameters as a result of evaluating graphic displays, including animated perspective views, and directing the computer to modify all stored data and produce new displays that reflect the design parameter changes.

This project was a feasibility study to determine the costs and benefits associated with the development of an Interactive Graphics Road Design System (IGRDS). The agency determined that IGRDS is feasible and produced cost and benefit figures to support that finding. The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 20-9 FY '73

Socioeconomic Consequences of Right-of-Way Acquisition Induced Resident Dislocation

Research Agency: RMC Research Corporation

Principal Invest.: Jon E. Burkhardt
Effective Date: August 1, 1972
Completion Date: December 17, 1976

Funds: \$214.279

Residential dislocation is one of the major direct consequences of urban highway projects. Geographic and socioeconomic characteristics are of critical importance in predicting the impact of such dislocation on both the dislocatees themselves and on residents remaining in the area from which the dislocation occurs. There has been a critical need:

- For improved methodologies to serve as a basis for highway route location and design decisions and to conform to study and reporting requirements of pertinent federal legislation and regulations.
- To identify the needs for further equity adjustments in relocation assistance activities and programs.

The objectives of this research were (1) to identify the relevant variables and develop techniques for using these variables in predicting the dislocation consequences of alternate route and design proposals, and (2) to identify related legislative or regulatory constraints.

Early in the project, a preliminary hypothesis was established which identified problems, variables and predictive techniques to be examined in case studies. Subsequently, compensation issues were identified. Home interview data were collected from displacees before and after moving at six sites geographically distributed throughout the United States.

Preliminary analyses of the data show that compensation inequities exist only for the elderly. The relocation process itself, rather than socioeconomic variables, was shown to be correlated to measures of happiness and satisfaction. In other words, socioeconomic characteristics were not found to be of importance in predicting the impact of residential dislocation on dislocatees. The agency's final draft presents the results of a preliminary analysis and tentative recommendations for improving the relocation process. The report is available from the NCHRP Program Director on a loan basis or may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Mich. 48106.

The data are available in tape form to other investigators who may wish to pursue further data analysis. Inquiries should be directed to the NCHRP Program Director. For a copy of the tape, a blank 9-track 1600 BPI tape should be provided by the inquirer. Copies of the coding book and data printouts can be made available for the cost of reproduction and handling.

Project 20-10 FY '73

The Benefits of Separating Pedestrians and Vehicles

Research Agency: Stanford Research Institute

Principal Invest.: Ronald L. Braun

Marc Roddin

Effective Date: August 26, 1974 Completion Date: April 30, 1976

Funds: \$100,000

In recent decades, the pedestrian has not been given adequate consideration in the decisions for person mobility. Increasing concern for the environment, safety, energy, community cohesion, and health have contributed to a social awareness of the pedestrian. In determining use of space, an inherent conflict exists between vehicles and pedestrians. There has been a need to identify and measure benefits of separating pedestrians and vehicular traffic.

The general objective of this research was to identify and quantify the benefits related to separation of pedestrians and vehicles and develop techniques for relating these benefits to the evaluation of proposals for separation.

Four categories of direct and indirect benefits of separating pedestrians from roadway traffic were identified. These were (1) transportation; (2) safety, health, environment; (3) residential/business; and (4) environmental/institutional. The beneficiaries of these benefits have been defined. A methodology has been developed to weight the benefits identified according to values held by decision-makers and/or the community at large. The methodology

has been tested at field sites in Seattle, Wash. (a highway overpass); Brooklyn, N. Y. (a mall); and Ottawa, Ont. (a mall).

Results of the research have been published as:

NCHRP Report 189: "Quantifying the Benefits of Separating Pedestrians and Vehicles."

Research has been continued under Project 20-10(2).

Project 20-10(2) FY '78

The Benefits of Separating Pedestrians and Vehicles

Research Agency: SRI International Ronald L. Braun September 1, 1978
Completion Date: Funds: \$100,000

In recent decades, the pedestrian has not been given adequate consideration in the decisions for person mobility. Increasing concern for the environment, safety, energy, community cohesion, and health has contributed to social awareness of the pedestrian. In determining use of space, an inherent conflict exists between vehicles and pedestrians. There has been a need to identify and measure benefits of separating pedestrians and vehicular traffic. Research for Project 20-10 developed a comprehensive methodology that evaluates all primary and secondary impacts of a wide variety of pedestrian-oriented facilities. The methodology allows for a cost/benefit analysis should the planner insist on coverting all benefits to dollar values. However, the preferred approach recommended by the researchers is that cost/benefit analyses be done in terms of weighted scores. This is a new concept that has considerable potential in giving priorities to pedestrian separation.

To ease implementation of the methodology and its new concepts, it is highly desirable to develop and test combinations of written, visual, and audio materials to illustrate both the purpose and the application of the evaluation methodology. The materials to be developed will reflect the needs of two quite different types of stakeholders: technical persons (such as transport planners, designers, and evaluators), and nontechnical persons (such as facility users, political decision-makers, and other persons or groups affected by the facility).

Usable results in the form of effective and efficient communications aids for both types of stakeholders are the prime objective of this research. Simplification of facility types and evaluation parameters is being sought, along with upgraded measurement techniques for the selected parameters. The feasibility of warrants for pedestrian facilities is also being examined. Finally, expertise and experience are being solicited and considered for both the development and testing of the refined evaluation methodology. The final results will then be made available in a suitable form to support practical use by facility planners, evaluators, and decision-makers.

Progress to date has been to update the documentation of pedestrian facility planning methods and traffic control warrants. Work has begun on the conceptualization of pedestrian facility warrants.

Project 20-11 FY '73

Toward Environmental Benefit/Cost Analysis— Measurement Methodology

Research Agency: Polytechnic Institute of New York

Principal Invest.: Dr. Edmund J. Cantilli
Effective Date: September 1, 1972
Completion Date: May 31, 1974
Funds: \$100,000

Environmental factors are being given increasing consideration in the provision, and operation, of public facilities, including highways. Consequently, it is frequently necessary not only to compare facility effects on various aspects of the environment but also to compare effects on the environment of one facility alternate to another. It is also necessary, in order to choose among alternative facility plans, designs, and construction techniques, to assess their differential environmental effects and costs in the context of total benefits and costs.

Whereas various analytical methods are recognized as providing assessments of transportation benefits and costs, only very limited methods that are readily understood by the public have been developed for the assessment of environmental benefits and costs.

The basic objective of this project was to develop methods that are readily understood by the public for the qualitative evaluation of environmental values. Moreover, the methods should be practical and immediately implementable by responsible agencies.

The specific research objectives were to:

- 1. Identify and categorize environmental elements that are affected by the provision and operation of transportation facilities. These elements may be positive and/or negative, local and/or regional, long- and/or short-term.
- 2. Determine the significant elements and the relationships among these elements that may be altered by transportation facilities.
- 3. Develop quantitative scales for measuring quality levels of those environmental elements or categories, as appropriate, that have been identified as significant in Item 2.
- 4. Develop a method to identify threshold level(s) of adverse and beneficial effects on the quality scales defined in Item 3 for selected environmental elements and/or categories as appropriate.

The scope and direction of this project were modified to restrict the definition of "environment" to ecological and physical considerations. In addition, an energy concept was pursued by the research team, and attempts were made to develop it for use. This concept is a numerical means of calculating the energy lost by an ecosystem when a facility destroys part or all of a given system. The energy approach also permits comparisons to be made on various levels—such as nationwide, regional, statewide, and local—between energy lost, energy used in building the facility, and energy to be used in operating the facility. The

procedure allows for a comparison of the ecological impacts for transportation alternatives. The concept was applied to the Oyster Bay Bridge (New York) and U.S. Route 29 (Kansas).

The research has been completed. The final report was not published, but the agency's unedited final draft may be borrowed from the Program Director, NCHRP, or purchased from University Microfilms International, 300 North Zecb Road, Ann Arbor, Mich., 48106.

Project 20-11A FY '74

Toward Environmental Benefit/Cost Analysis—Measurement Methodology

Research Agency: Cornell University
Principal Invest.: Dr. Arnim H. Meyburg

Mitchell J. Lavine

Effective Date: Scptember 1, 1975 Completion Date: November 30, 1976

Funds: \$27,212

Since the passage of the National Environmental Policy Act of 1969 and other similar legislation spurred by growing environmental concerns, there has been an increasing demand to develop practical and meaningful environmental impact assessment methodologies. One such methodology, based on the energy-flow concept, had been developed in other research fields and was considered to offer promise for adaptation to transportation-related applications.

The general objective of this research was to identify and describe programs of research being undertaken or completed that use the energy-flow concept to measure impacts of man-made changes in ecosystems. Specifically, the following tasks were completed:

- 1. The identification and description of relevant research programs, including a literature search, a description of each of the research programs, and a description of supportive research information.
- Evaluation of potential applications to transportation facilities planning.
- 3. The formulation of recommendations identifying particularly promising programs or findings and recommendations necessary for further development and implementation of an energy-flow analysis methodology for transportation-facilities planning.

Research on this project has been completed, resulting in initiation of Projects 20-11B and 20-11C. The agency's draft final report, "Toward Environmental Benefit/Cost Analysis: Measurement Methodology," is available for purchase, and a check or money order in the amount of \$8.00, plus \$1.00 for postage and handling, should be made payable to *Transportation Research Board* and sent to the Publications Office, Transportation Research Board, 2101 Constitution Avenue NW, Washington, D.C. 20418.

Project 20-11B FY '74

Toward Environmental Benefit/Cost Analysis— Energy-Flow Analysis (Manual)

Research Agency: Cornell University
Principal Invest.: Dr. Arnim H. Meyburg

Mitchell J. Lavine January 24, 1977

Completion Date: March 23, 1979 Funds: \$140,000

Effective Date:

A start has been made in developing a usable methodology for assessing environmental impacts of transportation facilities using the energy-flow concept. However, there is no one source available now that succinctly describes the theories, tools, procedures, and data sources necessary to apply the energy-flow analysis methodology. An applications manual will help to assure that the methodology is thoroughly understood and readily usable. Such a reference will explicitly describe the procedures involved and identify their range of applicability in the transportation field.

The general objective of this research was to develop a user-oriented manual to assist any state or local transportation agency in conducting environmental analyses using the energy-flow concept. This work builds on the findings of NCHRP Project 20-11A and other related research efforts. The manual is designed for direct use in project development and system analysis for the movement of people and goods and emphasizes simplified techniques not requiring computer application. It includes:

- 1. A step-by-step description of the procedure for energy-flow analyses.
- 2. A checklist and brief discussion of specific parameters (e.g., productivity rates) for which data are required.
- 3. Methods for obtaining needed data, including a list of sources for data that do not require direct field collection.
- 4. Case studies that demonstrate the step-by-step methodology as it applies to transportation problems.
- 5. An explanation of the relationship between the stepby-step procedure contained in the manual and accepted theories of energy flow.
- 6. A discussion of the application and the limitations of the methodology to the planning, construction, operation, maintenance, and regulation of transportation facilities and services.

Research has been completed, and the agency's draft final report is in the review stage.

Project 20-11C FY '74

Toward Environmental Benefit/Cost Methodology— Energy-Flow Analysis (Study Design)

Research Agency: The Cannon Group Principal Invest.: W. E. Kirksey

J. C. Kraft

Effective Date: April 1, 1977

Completion Date: March 31, 1978

Funds: \$15,000

A start has been made in developing a usable methodology for assessing environmental impacts of transportation facilities using the energy-flow concept, including an evaluation of theoretical energy-flow concepts. It is now necessary to explore in some considerable detail the application of such concepts to transportation planning. The required exploration involves practical application in (a) measuring and interpreting transportation-related impacts and (h) assessing sensitivity to the variety of situations encountered in the planning of transportation facilities and services.

In view of the complex nature of these research requirements and the apparent broad application of energy-flow analysis to transportation systems and project planning, further specific research on the application of the methodology required careful preparation of study designs.

The objective of this project was to develop study designs for a program of research that will provide evaluations of the application of the energy-flow methodology to the planning of transportation facilities and services. Particular attention to the social-cultural and esthetic considerations that have not been adequately accounted for in preceding studies is provided in the study designs.

The final report will not be published; copies of the study designs are available on a loan basis from the NCHRP Program Director.

Project 20-12 FY '74

Effects of Air Pollution Regulations on Highway Construction and Maintenance

Research Agency: Howard, Needles, Tammen and

Bergendoff

Principal Invest.: Orrin Riley
Effective Date: April 1, 1974
Completion Date: July 31, 1975
Funds: \$80,446

This research evaluated the effect of air pollution regulations for fugitive particulates and hydrocarbons on the highway construction and maintenance industry. Research was limited to the on-site construction process rather than off-site materials processing.

A survey of air pollution control officials and highway maintenance and construction officials was conducted. This survey determined the monitoring procedures used by the industry to identify possible violations and tabulated those activities likely to produce illegal emissions. Mitigation methods favored by construction are also listed.

A testing program for fugitive particulates generated by highway construction was performed to measure ambient air quality concentrations. Also, a hydrocarbon testing program evaluated the emissions from both the asphalt paving operation and cutback asphalt application during highway construction.

It was found that fugitive particulate regulations have

had little effect on the industry because they are primarily concerned with persistent, permanent sources rather than sporadic, temporary sources such as construction. Conspicuous, costly, and lengthy test requirements make enforcement difficult. Open burning can be adequately controlled through present technology. Site watering reduces particulate levels for a short period of time and is often overused as a mitigant because it causes tracking of the soil from the construction site thereby increasing the dust potential. More efficient mitigants such as oil-based products and temporary pavements should be used more. Fugitive dust particles tend to settle out within right-of-way limits and the industry has long undertaken adequate mitigation procedures in response to neighbors' nuisance complaints.

The hydrocarbon tests revealed that the quantity of reactive hydrocarbons emitted from the more volatile cutbacks is small compared to that of vehicular exhaust, and that which is emitted dissipates within a short distance of its source. Essentially, no violations of the ambient air quality standards are attributable to highway paving and priming.

Research has been completed, and the report has been published as:

NCHRP Report 191, "Effect of Air Pollution Regulations on Highway Construction and Maintenance."

Project 20-13 FY '75

Beneficial Environmental Effects Associated with Freeway Construction

Research Agency: The Pennsylvania State University

Principal Invest.: Hays B. Gamble

Dr. Thomas B. Davinroy

Effective Date: September 3, 1974 Completion Date: August 2, 1975

Funds: \$49,965

It is necessary to discuss both positive and negative environmental aspects of a project during preparation of the environmental impact statement. The positive aspects have not previously been documented to any degree. This study provides an evaluation of environmental improvements attributable to freeways in order to place present and future freeways in proper perspective.

The objective of this project was to determine the longand short-range positive aspects of freeway construction. These were differentiated, where necessary, for urban and rural freeways. The literature was searched, analyzed, and evaluated. The investigators were concerned with studies such as:

- 1. Improved emergency ambulance, fire, and police services.
 - 2. Movement of goods and services.
 - 3. Influence on land-use planning.
 - 4. Influence on economic growth.
 - 5. Accessibility to recreational and other activities.
 - 6. Pollution control.
 - 7. Energy utilization.
 - 8. Effects on plants and wildlife.

A matrix approach was used to catalogue and classify beneficial environmental effects. Literature reviews and surveys conducted by a multi-disciplinary team were carried out to develop the required information for the matrix.

The project report has been published as:

NCHRP Report 193, "Beneficial Effects Associated with Freeway Construction—Environmental, Social, and Economic."

Project 20-14 FY '77

Monitoring Carbon Monoxide Concentrations in Urban Areas

Research Agency: Technology Service Corporation

Principal Invest .: William S. Meisel

Yuji Horie

Effective Date: October 1, 1976 Completion Date: March 31, 1978

Funds: \$99,973

Federal and state regulations have required that air quality reports be included in environmental impact statements in order that compliance with ambient air quality standards could be assessed. Many of these air quality reports needed to include an analysis of on-site monitoring data of urban background carbon monoxide (CO) concentrations. It had been assumed that this monitoring must be performed for at least one year to be reliable. However, practical considerations dictated that the period of monitoring be as short as possible consistent with chosen accuracy. Therefore, an acceptable statistical method for evaluating CO data obtained over a relatively short period of time needed to be developed.

The general objective was to develop a methodology (1) to estimate urban background CO concentrations from incomplete monitoring data sets for three types of areas (a) where urban background monitoring stations already exist, (b) where source-affected monitoring stations exist, and (c) where there are no existing stations; and (2) to determine the precision of the estimates.

The first step in the development of such a methodology was preparation of a high-quality data base for cities representing a wide geographical distribution throughout the U.S. Once the data base was established, the interrelationships among the CO concentrations at the target site, the CO concentrations at the auxiliary stations, and meteorological data were explored. The preliminary relationships determined were then refined to determine methods for extrapolating the CO concentrations at the target site to estimate the two critical annual statistics: the annual second 8-hour maximum and the annual second 1-hour maximum. From the analysis of CO concentrations, it was found that the 8-hour running average violated the air quality standard when the second-highest-reading-of-theyear standard was violated. This finding allowed research to concentrate on the extrapolating of 8-hour running averages.

The main result of this study was the following: As long as it is possible to monitor during a part of the CO

season (October to January, possibly February), the two statistics mentioned can be accurately estimated from one month of sampling. Restriction of monitoring to the CO season represented a change from practice in 1978. The most accurate of the methods tested was the simplest—use the highest 8-hour average observed during the period of monitoring at the highway site as the estimate of the annual second maximum. It must be verified that the monitoring period contained enough meteorologically adverse days to make the estimate valid. Such adverse days must be determined using an existing monitoring station nearby which has been operating for at least a year, by a meteorological index, or, less persuasively, by typical rates of occurrence of adverse days for the months encompassed by the monitoring period.

An approach based on using an estimated statistical distribution to estimate the annual statistics from limited measurements was less accurate than the observed-maximum approach.

The degree to which the error in the estimation process creates uncertainty in the estimate was quantified. Means for assessing confidence intervals were recommended.

The final report is in the editorial and publication process.

Project 20-15 FY '77

Ecological Effects of Highway Fills on Wetlands

Research Agency:

University of Massachusetts

Principal Invest.:

Dr. Paul W. Sbuldiner Prof. Carl A. Carlozzi

Effective Date:

December 1, 1976

Completion Date: Funds:

April 30, 1979 \$150,000

Many people and organizations are encouraging increased use of bridges rather than earth fills across wetlands to be traversed by highways. Earth fills produce various ecological effects, frequently reported to be detrimental, on wetlands. Reported effects include (a) inhibition of storm water and tidal distribution, (b) increased water turbidity, (c) alteration of water circulation patterns, (d) removal of natural filtration systems, (e) introduction of exotics, (f) inhibition of movement of animals, (g) alteration of biological productivity, and (h) alteration of nutrient flux.

Determination of the impact of a bridge or earth fill on the ecology of a specific wetland is a very complex problem. Nevertheless, transportation agencies are required to make environmental assessments for proposed wetlands facilities. Consequently, a need exists for a better understanding of the ecological effects of highways on wetlands as well as for guidance in making highway location and design decisions when wetlands and associated flood plains are involved.

The over-all objective of this project was to determine the ecological effects of placing highway fills on wetlands and associated flood plains and to develop initial guidelines as a management tool for the decision-making process regarding routes, fills, bridges, and other design alternatives,

Accomplishment of this objective involved simultaneous activity and iteration among the following tasks:

Task 1. Review, examination, synthesis, and evaluation of all available information relevant to the over-all objective and preparation of a state-of-the-art report covering (a) the ecological effects of highway fills and bridges on wetlands and (b) techniques, procedures, and methodology—including sampling and equipment—for assessing the ecological effects.

Task 2. Identification of wetlands case studies for which ecological data were available—either at the same or comparable sites—prior to, during, and following construction of a highway or similar fills and structures. These case study data were evaluated and compared to determine the nature and extent of ecological impacts. Types of wetlands cases that were considered included swamps, freshwater marshes, saltwater marshes, coastal and inland bays, and near-shore areas.

Task 3. Identification, adaptation, or development of systematic guidelines, presented in the form of a users' manual, for ecological assessment of wetlands and for guidance in selecting from among such design alternatives as fills, bridges, and related elements in wetlands and associated flood plains. Consideration was given to such specific parameters as analytical methods, sampling, and modeling techniques. Where feasible, documentation of observed and suspected ecological impacts was categorized by wetlands types. Existing and potential engineering remedies for adverse impacts and any observed beneficial ecological effects related to the location of highway fills and bridges in wetlands were reported.

Research has been completed. Based on a thorough literature review and the experience of the researchers, a state-of-the-art report on the ecological effects of highway fills on wetlands has been prepared and distributed to state highway and transportation agencies. The final report, including a manual on the assessment of ecological effects, is in the review stage.

Project 20-16 FY '77

State Laws and Regulations on Truck Size, Weight, and Speed

Research Agency:

R. J. Hansen Associates, Inc.

Principal Invest.:

Raiph D. Johnson

Effective Date:

John C. Laughland October 11, 1976

Effective Date: Completion Date:

September 1, 1978

Funds:

\$281,975

There is evidence that the diverse requirements of current state laws, regulations, and interstate agreements controlling the interstate and interregional movement of trucks may add unnecessarily to the cost of trucking operations and state administration. A need existed for comparative analyses of the effects of the existing diversities and for the establishment of alternatives to eliminate or minimize those effects by improving the uniformity of the laws, regulations,

and agreements. Alternative systems should be designed to facilitate interstate and interregional truck operation with due consideration given to economy, safety, and administrative efficiency.

The objectives of the research were to:

- 1. Identify and describe the effects of current state size, weight, and speed laws, regulations, and interstate agreements on trucks and the highway systems they use.
- 2. Investigate the potential benefits and disadvantages of increased uniformity in truck size, weight, and speed limits among states.
- 3. List and evaluate the available alternatives for eliminating or minimizing the differences in truck size, weight, and speed limits among states.

The research was originally envisioned in two phases. This first phase was intended to synthesize the present system of state regulation of truck size, weight, and speed and to describe its effects.

Phase I included the following tasks:

- 1. Compilation and comparative summarization of state laws, regulations, and interstate agreements relating to size, weight, and speed of trucks on all highway systems. The results of this task were presented as a synthesis of present state legal requirements regarding (a) regulation of truck size, weight, and speed; (b) the extent of uniformity; (c) the major considerations that have prevented achievement of greater uniformity; and (d) the major measures of interstate cooperation that have been developed to facilitate compliance with the administration of diverse state requirements regarding truck size, weight, and speed.
- 2. Identification and description of the effects of differences in current size, weight, and speed laws, regulations, and interstate agreements on truck operations among states, including, but not limited to, equipment selection, route selection, equipment utilization, vehicle qualification (permits), and fuel and operating costs. In this task, (a) classifications were developed based on the type of carriers and operations that are responsive to differences in vehicle size and weight, and (b) data were developed to show the impacts of states' nonuniformities of size, weight, and speed laws, regulations, and interstate agreements on these classifications.
- 3. Identification and description of: (a) the influence of different maximum allowable truck sizes, weights, and speeds on the structural and geometric requirements of highways, with appropriate consideration of safety and operational characteristics; and (b) the special state problems and costs of administering the present system of differing truck size, weight, and speed laws, including, but not limited to, record keeping, processing of permits, participation in interstate agreements, revenue accounting, and manpower.

Dependent on the findings of Phase I, a second phase was planned to identify and evaluate alternatives to eliminate or minimize the adverse effects of states' nonuniformities of truck size, weight, and speed limits.

After completion of part of Phase I, the research agency

proposed and the project panel approved a plan to merge both phases of the research.

Trucking interests and state highway agencies were contacted through both mail questionnaires and personal visits. Responses were summarized and evaluated. A commodity flow network was developed, and alternative size and weight levels were formulated and evaluated.

Research has been completed, and the final report is in the editorial and publication process.

Project 20-17 FY '79

Statewide Freight Demand Forecasting Procedures

Research Agency: Cambridge Systematics, Inc.

Principal Invest.: Drs. Paul O. Roberts Brian C. Kullman

Effective Date: 15 months

Completion Date: \$100,000

Multimodal freight planning, a concern of many state departments of transportation as well as other state and regional agencies, is a relatively new planning activity. Operational methodologies are needed for analyzing policy alternatives and system investment levels. In addition, there is a need for better understanding of how economic and demographic forecasts should be related to forecasts of the supporting freight flows by mode.

NCHRP Project 8-17, "Freight Data Requirements for Statewide Transportation Systems Planning," identified many current state planning issues related to freight transportation, described existing analysis techniques that address those issues, and catalogued a wide variety of available data sources and collection procedures to support those techniques. Research is now needed to extend this preliminary effort to provide operational freight forecasting techniques for use in policy, system, and project planning at the state level. The uses of freight demand forecasts in statewide planning, the freight forecasting procedures available, the population and economic activity information necessary as input to freight forecasting procedures, the extent to which the existing procedures meet statewide planning needs, and the types of new procedures required to meet these needs will be addressed.

A two-phase research effort is envisoned to provide operational freight forecasting techniques. The objective of Phase I is to propose appropriate, cost-effective, policy sensitive, multiregional and state freight demand forecasting techniques that utilize available information and data, while recognizing the issues states face in freight planning. This objective will be met through provision of a concept design and a detailed implementation strategy on which actual development work can proceed in Phase II.

In Phase I, the levels of freight demand forecast detail needed for different planning purposes will be determined, and the most appropriate techniques to provide the needed levels of furecast detail will be identified. Detailed specifications for statewide freight demand forecasting procedures will be developed.

The research will also identify the problems of applying the techniques in making the needed forecasts, including (1) the availability of data; (2) an understanding of the behavioral relationships of (and between) shippers and carriers; (3) the necessity of treating urban and rural freight movements, as well as intercity movements; (4) the treatment of flows through the state, in addition to intrastate movements; (5) the treatment of passengers and freight together where they share rights-of-way; (6) the treatment of all modes together; (7) the anticipation of external, unforeseen events (e.g., energy shortages); and (8) the availability of forecasts for independent variables.

An implementation strategy for Phase II that includes a detailed research plan for development of a few operational procedures that range from the simple to the more complex will be prepared.

Project 20-18 FY '79

Evaluation of Highway Air Pollution Dispersion Models

Research Agency: SRI International Principal Invest.: W. F. Dabberdt

Starting Date: 27
Completion Date:

27 months

Funds: \$199,6434

Federal and state regulations currently require that environmental impact statements (EIS) be prepared for highway projects. The air quality portion of an EIS usually includes microscale modeling of current and future carbon monoxide concentrations. A number of microscale models have been developed; they vary in approach, complexity, accuracy, and cost. However, due to lack of an independent comprehensive analysis of model performance for a variety of data bases, predictions made with these models may be unreliable. The air quality, meteorological, and traffic data necessary for such an analysis exist, but have not been assembled or evaluated. Therefore, analyses of these models and existing data are needed.

The general objective of this research is to develop a methodology for evaluating highway air pollution dispersion models, assemble a data base, and evaluate models against the data base. To meet this objective, it is anticipated that the research will be accomplished in two phases. Research for Phase I will include the following tasks:

1. Development of the necessary criteria to assess the reliability of model performance when comparing the model predictions with observed data. Such criteria will be used to develop a figure of merit and will consider at least (a) means and standard errors of the estimate. (b) chi-square test, (c) temporal and spatial correlation coefficients, (d) residual analysis, (e) scatter plots, (f) temporal plots of model predictions vs. observations. Also, establishment of criteria to determine the number of hourly

sets of air quality, meteorological, and traffic data required to statistically represent a valid data base.

- 2. Selection of the microscale prediction models for evaluation. The models selected will be capable of predicting point carbon monoxide concentrations from a transportation line source given various traffic and meteorological input parameters. The two Gaussian models, CALINE2 and HIWAY will be included and one numeric model, probably MROAD3. Other models to be included are the Gaussian model ROADMAP, the numeric model developed by R. G. Lamb, and the freeway submodel ISMAP. All models are available for public use. Each model selected will be implemented on computing facilities and the required testing performed to ensure proper execution.
- 3. Development of a methodology for a sensitivity analysis of dispersion models and application of this methodology to the dispersion models selected in Task 2. The sensitivity analysis will include input data errors and numerical approximations. Input data errors will include sensitivity of model estimates to a percentage change or uncertainty in the input data; i.e., wind speed and direction, atmospheric stability, traffic, and emissions. Numeric model estimates will be analyzed for sensitivity to numerical approximations inherent in dispersion models, such as grid size resolution most appropriate for roadway use.
- 4. Assembly of the three data bases: (a) carbon monoxide, meteorological, traffic, and tracer gas data gathered by the Stanford Research Institute; (b) complete meteorological and tracer gas concentrations obtained in the General Motors Experiment; and (c) carbon monoxide, meteorological, and traffic data collected by the California Department of Transportation and checking of each data base for completeness. Additionally, a methodology will be developed to extract from the whole data base, data for particular combinations of stability classes, roadways configurations, or other parameters. The data base will be documented, user instructions supplied, and criteria established for acquisition of air quality, meteorological, and traffic data for supplementing the data base. Emission factors applied to the traffic data will be those currently used and will be consistent throughout the data base. A rationale for emission factor selection will be presented.
- 5. The models acquired in Task 2 will be run using data samples selected from the data base assembled in Task 4. The samples selected will include as many roadway configurations (e.g., at grade, cut, elevated), wind conditions, and stabilities as the data permit. At each receptor, the predicted and measured pollution values are to be compared for each model and for each situation. The performance of each model is to be assessed, using the statistical measures and figures of merit described in Task 1, and the models ranked in order of decreasing performance. A cost/benefit evaluation of the models will be made that relates model performance to operating cost, considering data requirements and computer costs.

Phase II research is anticipated to be a comprehensive evaluation of highway air pollution diffusion models using the methodology developed in Phase 1 and the experience gained in the limited testing of diffusion models.

AREA 21: TESTING AND INSTRUMENTATION

Project 21-1 FY '70

Instrumentation for Measurement of Moisture

Research Agency: Research Triangle Institute

Principal Invest.: Dr. L. F. Ballard
Effective Date: August 25, 1969
Completion Date: February 24, 1971

Funds: \$35,027

Water in its various states, when insufficient or in excess in the components of a highway system, adversely affects the latter's service behavior. Despite recognition of the importance of the relationship between the presence of water and service behavior, the engineer has been hampered in his effort to provide predictable performance by the lack of instrumentation and techniques for adequate water or moisture measurement. The economic significance of the problem in highway construction and maintenance is particularly evidenced by the large financial investment aimed at removal of excess water which causes loss of supporting capacity of subgrade soils and aggregate bases, embankment instability, and deterioration of pavements.

The objective of this project was to evaluate, on the basis of a comprehensive literature review, the suitability of existing instrumentation and techniques to measure the amount and state of water in highway components such as embankments, subgrades, base courses, and structures.

The research has been completed, and the project report has been published as:

NCHRP report 138, "Instrumentation for Measurement of Moisture—Literature Review and Recommended Research."

Project 21-2 FY '71

Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Development)

Research Agency: Southwest Research Institute

Principal Invest.: Dr. C. G. Gardner Effective Date: February 1, 1972 January 31, 1974

Funds: \$64,976

There is an immediate need for reliable instrumentation to measure the moisture, in situ, in soil and untreated granular materials used in such highway substructures as subgrades, embankments, slopes, backfills, and base courses.

It is recognized that the moisture sensor is the critical component of any moisture measurement instrument or technique. For this reason, this project is to concentrate on the development of new and innovative, or modification of currently available, sensors for moisture measurement.

The objectives of this project were to design, build prototype models, and conduct laboratory verification programs for one or more sensors capable of measuring moisture in granular and soil materials that would be suitable for highway needs. During the initial phase of the study, nuclear magnetic resonance (NMR) and microwave absorption approaches were investigated independently. In general, satisfactory performance was achieved using the NMR approach, but considerable difficulty was encountered with the microwave technique. As a result, the experimental verification phase was limited to the NMR sensor.

Research has been completed, and an NMR sensor has been developed for measurement of moisture in fine-grained soils. The prototype model has undergone laboratory testing and is considered suitable for further development and field evaluation.

The technology on which the prototype sensor is based is described in a paper by Gardner & Matzkanin, published in TRB Record 532. The essential findings of the study have been published in NCHRP Research Results Digest 75. Information contained in the project report is included in the Project 21-2(3) report.

Project 21-2(2) FY '72

Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Development)

Research Agency: State U. of New York at Buffalo

Principal Invest.: Dr. E. T. Selig
Effective Date: April 1, 1972
Completion Date: September 30, 1973

Funds: \$29,953

There is an immediate need for reliable instrumentation to measure the moisture, in situ, in soil and untreated granular materials used in such highway substructures as subgrades, embankments, slopes, backfills, and base courses.

It is recognized that the moisture sensor is the critical component of any moisture measurement instrument or technique. For this reason, this project is to concentrate on the development of new and innovative, or modification of currently available, sensors for moisture measurement.

The objectives of this project were to design, build a prototype model, and conduct a laboratory verification program for a sensor capable of measuring moisture in granular and soil materials that would be suitable for highway needs.

Research has been completed, and a sensor has been developed based on the use of electrical capacitance as a measure of soil moisture. The prototype model has undergone laboratory testing and is considered suitable for further development and field evaluation.

The technology on which the prototype sensor is based is described in a paper by Selig, Wobschall, Mansukhani, and Motiwala published in *TRB Recond 532*. The essential findings of the study have been published in NCHRP Research Results Digest 75. Information contained in the project report is included in the Project 21-2(3) report.

Project 21-2(3) FY '75

Instrumentation for Moisture Measurement—Bases, Subgrades, and Earth Materials (Sensor Evaluation)

Research Agency: Southwest Research Institute

Principal Invest.: George A. Matzkanin

E. T. Selig (SUNY)

Effective Date: September 3, 1974
Completion Date: June 15, 1977
Funds: \$150,000

There is an immediate need for reliable instrumentation to measure the moisture, in situ, in soil and untreated granular materials used in such highway substructures as subgrades, embankments, slopes, backfills, and base courses. It is recognized that the sensor is the critical component of any moisture measurement instrument or technique. For this reason, NCHRP Projects 21-2 and 21-2(2) concentrated on the development of new and innovative moisture sensors. Prototype moisture sensors based on nuclear magnetic resonance (NMR) and electrical and capacitance technology have been developed under these projects and subjected to laboratory testing.

The objective of this project was further refinement and field evaluation of the two prototype moisture sensors developed under Projects 21-2 and 21-2(2). This included fabrication of the sensors and readout instrumentation, their installation in the subgrade portions of pavements in Arizona and Pennsylvania, and evaluation of data collected at the field sites.

Research has been completed, with accomplishment of the intended tasks. Although neither sensor meets all of the desired criteria, the research indicates that each has some potential for practical application to the soil moisture measurement problem. Operational problems encountered during the field evaluation should be resolved during the development of production models. A production model of the dielectric sensor is available from Ecotec Corp., Needham Heights, Mass.

The preliminary report has been reviewed and accepted, and the final report is being revised. The essential findings from the study will be published in the NCHRP Research Results Digest series. Copies of the agency report will be distributed to the Program sponsors.

AREA 22: VEHICLE BARRIER SYSTEMS

Project 22.1 FY '69

Concepts for Improved Traffic Barrier Systems

Research Agency: Walter W. White

Principal Invest.: Walter W. White Marvin A. Shulman

Effective Date: October 1, 1970
Completion Date: December 31, 1971

Funds: \$25,000

Conventional traffic barrier systems are presently being applied widely by highway and bridge engineers. All of these existing systems have some deficiencies that make their performance somewhat less than ideal. New concepts are therefore needed for economical, standardized, longitudinal traffic barrier systems that can provide a consistent degree of protection when installed as highway shoulder guardrails, median barriers, and bridge rails. The system should present a reasonably consistent appearance to the motorist as he moves along the highway and over structures, although parameters (such as height, post spacing, section properties, and anchorage) may vary to suit the application. Emphasis should be given to conceiving integrated systems that maintain continuity across bridges and avoid abrupt transitions.

The objective of the research was to produce one or more traffic barrier system designs, described with sketches and narrative to the degree necessary to convey understanding, that offer promise of: preventing penetration by a standard-size U.S. automobile weighing 4,000 to 5,000 lb and impacting at 25° and 65 mph; smoothly redirecting errant vehicles relatively parallel to traffic flow; providing a range of controlled dynamic deflections by varying design parameters; retaining longitudinal continuity following a collision; permitting adequate visibility; being capable of quick and easy repair; performing satisfactorily in various foundation conditions; limiting decelerations at the center of gravity of the vehicle to 5g lateral, 10g longitudinal, and a total of 12g when averaged over any 200-millisec period; having reasonably low first cost and pleasing appearance; and minimizing vehicle damage. The design was analyzed and technical information was presented to demonstrate the degree of achievement of the foregoing. Working drawings suitable for fabrication and installation of a prototype were prepared for each barrier system.

The final report was not published in the NCHRP report series; however, a copy may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 22-1A FY '73

Testing and Evaluation of Bridge Rail Concepts

Research Agency: Texas A & M University

Research Foundation

Principal Invest.: T. J. Hirsch

Effective Date: March 1, 1974 Completion Date: May 30, 1975

Funds: \$40,000

Conventional traffic barrier systems are presently being applied widely by highway and bridge engineers. All of these existing systems have some deficiencies that make their performance somewhat less than ideal. New concepts are therefore needed for economical, standardized, longitudinal traffic barrier systems that can provide a consistent degree of protection when installed as highway shoulder guardrails, median barriers, and bridge rails. The system should present a reasonably consistent appearance to the

motorist as he moves along the highway and over structures, although parameters (such as height, post spacing, section properties, and anchorage) may vary to suit the application. Emphasis should be given to conceiving integrated systems that maintain continuity across bridges and avoid abrupt transitions.

The objectives of NCHRP Project 22-1, "Concepts for Improved Traffic Barrier Systems," were accomplished by the development of a traffic barrier system that was analyzed using the Barrier IV computer program. The results of this analysis indicate that the proposed system meets the desired criteria.

The objective of Project 22-1A was to evaluate the prototype of the proposed barrier by full-scale impact tests.

The accomplishment of this objective included the following tasks:

- 1. Fabrication and construction of the barrier system shown in Figure 6 of the final report on Project 22-1 (Pages 148 to 173, NCHRP Summary of Progress Through 1972).
- 2. Testing and evaluation of the system under the following impact conditions:
 - (a) A passenger vehicle impacting the bridge rail at 60 mph and 25°.
 - (b) A passenger vehicle impacting the bridge rail at 60 mph and 7°.
 - (c) A passenger vehicle impacting the approach railingbridge rail transition at 60 mph and 25°.

Research has been completed, and the essential findings have been summarized in NCHRP Research Results Digest 81, "Crash Testing and Evaluation of Attenuating Bridge Railing System."

A copy of the agency's final report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 22-2 FY '69, FY '72 and FY '73

Traffic Barrier Performance and Design

Research Agency: Southwest Research Institute

Principal Invest.: M. E. Bronstad

J. D. Michie

Effective Date: Jan. 1, 1972 Oct. 1, 1973 Completion Date: Sept. 30, 1973 Mar. 31, 1975 Funds: \$125,000 \$80,000

Conventional traffic barrier systems are presently being widely applied by highway and bridge engineers. All of the existing systems have some deficiencies that make their performance somewhat less than ideal. New concepts are therefore needed for economical, standardized longitudinal traffic barrier systems that can provide a consistent degree of protection when installed as highway shoulder guardrails, median barriers, and bridge rails.

Among the most important of current needs in the area of vehicle barrier systems is a safer terminal design. The work of Project 22-2 was structured to emphasize the systematic experimental development of terminal treatments to fulfill this need. Terminal treatments for a number of selected guardrail systems were investigated. This study built on earlier preliminary NCHRP efforts that are described in NCHRP Reports 118 (1971) and 129 (1972).

The initial task in Phase I included a review of terminal concepts previously developed under Project 15-1(2), the development of several new concepts, and an examination of concepts developed outside the NCHRP. More than 20 of these concepts have come under consideration. This work was covered in an interim report that was submitted to the project panel in April 1972 and was subsequently accepted. Although the report will not be published, it is available on a loan basis.

Based on the interim report, the project panel selected designs and established priorities for full-scale testing of several terminal systems. The experimental program consisted of some 26 full-scale crash tests. Interest in this testing was concentrated on a breakaway cable terminal (BCT) in combination with the W-beam guardrail and median barrier systems most often used. Ten crash tests were carried out on the BCT with the flared W-beam guardrail. The second part of the experimental program, comprising some 16 tests, was concentrated on the development of a crash-cushioning terminal for use with median barriers.

A copy of the agency's Phase I report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Phase II research has been completed. Task 1 led to the refinement of BCT designs to provide more safety to smaller cars and to improve economy relative to the first cost, maintenance, and repair. Findings from the Phase II research were reported in NCHRP Research Results Digest 84 (March 1976).

A copy of the agency's final report on Phase II may also be purchased from University Microfilms International.

Subsequently, the Federal Highway Administration sponsored additional tests on the median barrier BCT. NCHRP Research Results Digest 102 summarized the findings of these and previous tests and clarified recommended details for both guardrail and median barrier terminals with either steel or timber posts.

A separate task of Phase II, funded at \$20,000, was intended to develop uniform barrier testing criteria and procedures. Research has been completed on this task, and the final report has been published as:

NCHRP Report 153, "Recommended Procedures for Vehicle Crash Testing of Highway Appurtenances."

Project 22-2(2) FY '73

Multiple Service Level Highway Bridge Railings— Performance and Design Criteria

Research Agency: Southwest Research Institute

Principal Invest.: M. E. Bronstad Effective Date: August 1, 1976 Completion Date:

January 31, 1979

Funds:

\$195,000

Current design specifications for bridge railing systems are predicated on a general performance requirement of assured containment. Decelerations and trajectories experienced by "average" vehicles impacting bridge railings at speeds and angles normally associated with primary and Interstate highways must be tolerable. The "average" vehicle referred to in AASHTO specifications is not defined but is generally considered to be a full-size domestic passenger car. Impacts by 4,000- to 4,500-lb (1,820 to 2,040 kg) vehicles at speeds in the 50- to 70-mph (80.5 to 112.6 kph) range with impact angles of up to 25° have been considered to be appropriate full-scale crash test conditions. Excessive vehicle decelerations or penetration of the bridge railing under these test conditions have been considered to constitute unacceptable performance.

Bridge railing systems used on primary and Interstate highways can be categorized as "normal service level" railings and must meet the above performance requirements. These are generally designed through application of staticelastic design criteria expressed in the AASHTO Standard Specifications for Highway Bridges. The resulting designs may have substantial structural integrity and a concomitant substantial cost. Routine verification of these designs through full-scale impact testing is not required by AASHTO specifications.

Many secondary or local roads are designed for and subjected to operating speeds, traffic volumes, vehicle weights, and possibly vehicle-barrier impact angles that are somewhat less than the "normal service level." These roadways can be considered to serve a "lower service" need, and, in the view of some, the application of "normal service level" bridge railing design criteria may not be cost-effective in these instances.

There are also situations where circumstances call for a higher level of performance than usual on primary or on Interstate highways. This may be due to heavy traffic volume, a preponderance of truck traffic, severe geometric conditions, or vulnerable land use beneath the bridge. In these cases, designers may consider using a high-performance railing such as the collapsing steel ring system recently developed by the Federal Highway Administration.

Accordingly, development of an array of service levels, performance criteria, and design criteria would prove useful to those desiring to use more appropriate and costeffective bridge railings.

The initial objective of this project was to identify and document realistic performance criteria and correlated design criteria for bridge railing systems on roadways providing various (at least three—normal, higher, and lower) levels of service. The major objective was to develop at least one design based on criteria for the lower service level and to validate this system using analytical and full-scale testing methods.

The research included the following tasks:

1. Identify traffic and other parameters for use in defining appropriate categories of roadway service levels.

- 2. Establish reasonable performance criteria for bridge railings to be employed in each category.
 - 3. Propose bridge railing design criteria for each category.
- 4. Develop and validate, through analytical simulation and full-scale testing (in accordance with the relevant provisions in NCHRP Report 153), at least one lower service level bridge railing design with first cost and maintenance advantages over normal service level systems. The railing will be designed according to the criteria proposed in Task 3, to give performance consistent with the criteria developed in Task 2. Bridge railing designs considered in this task may include some already in use.
- 5. Through analytical simulation, evaluate the performance of this railing when struck by a 25,000-lb (11,340 kg) school-type bus under various impact conditions,
- 6. Compare the developed bridge railing design with the present AASHTO static-elastic bridge railing design requirements.
- 7. Recommend appropriate modifications to current bridge railing design practice based on this study.

Research has been completed, and loan copies of an interim report on the findings may be obtained from the NCHRP Program Director.

The final report is in the review stage.

Project 22-2(3) FY '78

Multiple Service Level Highway Bridge Railings-Selection Procedures

Southwest Research Institute Research Agency:

Principal Invest.: Maurice E. Bronstad Effective Date: Completion Date:

January 1, 1979 December 31, 1980

\$200,000 Funds:

The concept of multiple service level bridge railings was developed in NCHRP Project 22-2(2). The objective of Project 22-2(3) is to further refine these procedures to make them more usable and accurate with respect to the needs of the highway community. Certain improvements have already been indicated from comments received on the initial studies.

Several aspects of the Multiple Service Level Approach (MSLA) are controversial and more comprehensive investigation is in order. The following steps will be carried out in this program:

- Perform a sensitivity analysis and refine MSLA procedures accordingly.
- Develop bridge railing systems for a number of ser-
- Determine total costs of bridge railing systems for a number of service levels.
- Based on cost, determine number of service levels needed.
 - Develop an upgrading strategy using MSLA.
 - Prepare a users' manual for practicing engineers.

 Assess the legal implications of MSLA and make modifications as indicated.

technology. This study will permit research on points needing more in-depth analysis than can be provided by the TRB Committee.

Project 22-2(4) FY '79

Procedures for Testing Highway Appurtenances

Research Agency: Southwest Research Institute

Principal Invest.: Jarvis D. Michie

Effective Date:
Completion Date:
Funds:

18 months
\$30,000

In 1962, the first procedures for full-scale vehicle crash testing of guardrails were published in *Highway Research Correlation Services Circular 482*. The one-page document delineated vehicle mass, impact speed, and approach angle. Although *Circular 482* did bring some order to traffic barrier research being performed at several research agencies, a number of questions arose that were not addressed.

Under NCHRP Project 22-2, SwRI addressed these questions and developed NCHRP Report 153, "Recommended Procedures for Vehicle Crash Testing of Highway Appurtenances" (1974), which provided testing and research agencies with recommended procedures to vehicle crash test highway appurtenances. The procedures represented technical input from more than 70 individuals and agencies and the results of extensive deliberation of a special ad hoc panel. It was recognized then that several areas of the procedures were based on inadequate experience or research. It was decided, however, to retain coverage of these areas in order to provide a more complete testing procedure.

These procedures have gained wide acceptance since their publication in 1974. It was recognized at that time that periodic updating would be needed, and, in January 1976, TRB Committee A2A04 accepted the responsibility of maintaining the efficacy of the procedures. Questionnaires were submitted to committee members in late 1976 to ascertain areas of the document that needed revision. The responses generally fell into two categories: (1) minor changes that would require expanded discussions of certain provisions and problem areas and the addition of more detailed guidelines; and (2) major changes that would require broadening the scope to include testing with trucks and buses, reevaluating the criteria for impact severity, and treating special highway appurtenances such as construction barriers. The committee agreed to address the minor changes through special committee action; this was done, and Transportation Research Circular No. 191 is the product of TRB Committee A2A04. For the major changes, the committee felt that the task was beyond its resource and requested TRB/NCHRP to investigate the possibility of having the work performed under a funded research contract with the "Procedures" being republished by 1980. Project 22-2(4) is intended to address these major changes. Its objective is to review, revise, and expand the scope of Transportation Research Circular No. 191 to reflect current

Project 22-3 FY '73

Field Evaluation of Vehicle Barrier Systems

Research Agency: Calspan Corporation

Principal Invest .: J. W. Garrett

N. J. DeLevs

Effective Date: January 1, 1974 Completion Date: February 15, 1975

Funds: \$25,000

The relative in-service performance of most guardrail systems is unknown. Although over-all performance of guardrail installations, in general, might be determined from state and national efforts in accident investigations, limitations in the data preclude the analysis of specific guardrail systems in terms of safety and cost. Accordingly, the relative merits of two or more systems must be evaluated on the basis of idealized laboratory experiments (including full-scale crash tests) and gross accounting procedures. The use of accident data to evaluate the field performance of barrier systems would be very desirable.

The objective of this project was to determine the degree to which accident data currently being accumulated by various agencies meet the needs of those concerned with the effectiveness of vehicle barrier systems and, to the extent warranted, to recommend new approaches that may better serve those needs.

Accomplishment of the objectives of this project included the following tasks:

- 1. Enumerate the specific items of information that are of interest in evaluation of the field performance of vehicle barriers, including angle and speed of impact, location of impact, frequency of occurrence, cost of damage to barrier system, permanent deformation of system, vehicle damage, occupant injury, and post-impact behavior of both barrier and system.
 - 2. Identify the primary existing sources of accident data.
- 3. Classify the information available from each of these sources as to its suitability to the needs identified in Task 1.
- 4. Recommend several alternative processes for using available data and for collecting new types of data that would be more appropriate than those available. This task also considered potential sources of funding.
- 5. Outline subsequent research to systematically collect and evaluate accident data.
- 6. To the extent possible within the funding and time constraints, analyze and discuss in the final report such useful data as were discovered during this study.

Research has been completed, and the essential findings from the final report have been summarized in NCHRP Research Results Digest 76, "Field Evaluation of Vehicle Barrier Systems." A copy of the agency's final report may be purchased from University Microfilms International, 300 North Zeeb Road, Ann Arbor, Michigan 48106.

Project 22-3A FY '73

Field Evaluation of Vehicle Barrier Systems

Arthur L. Elliott Research Agency: Principal Invest.: Arthur L. Elliott July 1, 1974 Effective Date: Completion Date: December 31, 1974

Funds: \$10,000

The relative in-service performance of most guardrail systems is unknown. Although over-all performance of guardrail installations, in general, might be determined from state and national efforts in accident investigations, limitations in the data preclude the analysis of specific guardrail systems in terms of safety and cost. Accordingly, the relative merits of two or more systems must be evaluated on the basis of idealized laboratory experiments (including full-scale crash tests) and gross accounting procedures. The use of accident data to evaluate the field performance of barrier systems would be very desirable. The use of formal accident reports has been investigated under NCHRP Project 22-3.

At the same time, Project 22-3A was concerned with an investigation of a less formal approach to barrier evaluation. This approach consisted of personal interviews with highway agency maintenance, safety, and traffic operations personnel to obtain any data they may have and to solicit their subjective opinions on the performance of various barriers. Five representative states were visited for this purpose.

Research has been completed, and the essential findings from the final report have been summarized in NCHRP Research Results Digest 76, "Field Evaluation of Vehicle Barrier Systems." A copy of the agency's final report may be purchased from University Microfilms International. 300 North Zeeb Road, Ann Arbor, Mich. 48106.

AREA 23: SOILS PROPERTIES

No projects

AREA 24: SOIL MECHANICS AND FOUNDATIONS

Project 24-1 FY '79

Research Agency: Haley and Aldrich Principal Invest.: Dr. A. W. Hathaway Effective Date: 21 months Completion Date: Funds: \$75,000

There is increasing recognition within transportation agencies of the need for use of geotechnical information in the planning, design, construction, operation, and rehabilitation of transportation facilities. Advances have been made in recent years in methodology for acquiring geotechnical information. Several agencies have made advances in the reporting of geotechnical information to other personnel and the application of such information to engineering activities.

AASHTO's Manual on Foundation Investigations applies to the acquisition and use of subsurface investigation data in the design of foundations for bridges and other structures. There is a need for expanding the scope of this publication to include engineering projects such as tunnels, excavations, embankments, pavements, and erosion control features pertinent to the development of transportation facilities.

The over-all objective of this project is preparation of a manual on subsurface investigations applicable to the general transportation field that can be considered for adoption by AASHTO. The manual is intended to be suitable for use by agency personnel responsible for the planning, design, construction, operation, and rehabilitation of transportation facilities, and to present information in a logical and orderly manner to:

- 1. Identify the interrelationships between geotechnical information and engineering projects such as structures, tunnels, excavations, embankments, pavements, and erosion control features.
- 2. Provide guidance on acquisition and use of reconnaissance, preliminary, and detailed subsurface investigation data for development of engineering projects.
- 3. Provide pertinent information concerning the practical tools and techniques available for use in subsurface investigations.
- 4. Provide information on soil and rock classification, field testing, and laboratory testing as required for identification of subsurface conditions.
- 5. Provide guidance concerning the accurate and orderly presentation of all pertinent geotechnical information so that it is readily utilized in project development.

AREA 25: IMPACT ANALYSIS

This area becomes effective January 1, 1979, and will be utilized relative to future projects, beginning with those of the FY 1981 program. Refer to Areas 7, 8, and 20 for previous projects in the realm of Impact Analysis.