SECTION 2.



BID LETTER

HONORABLE CHAIRMAN AND MEMBERS OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY ONE GATEWAY PLAZA LOS ANGELES, CA 90012-2952

SUBJECT:

INVITATION FOR BIDS FOR CONTRACT NO. (IFB No. C0991)

(DIVISION 16: SOUTHWESTERN YARD)

In response to the above-referenced Invitation For Bids (IFB) and in accordance with the accompanying Instructions to Bidders, the Bidder hereby commits to the Los Angeles County Metropolitan Transportation Authority (Metro) to perform the Work in accordance with the provisions of the Bid Level Contract Documents and any amendment thereto and at the prices stated opposite the respective items set forth in the form entitled SCHEDULE OF QUANTITIES AND PRICES, included and made a part of the Contract.

The Bidder agrees that the Bid constitutes a firm offer that cannot be withdrawn for one hundred eighty (180) calendar days from the bid opening or until the Contract for the Work is fully executed between Metro and a third party, whichever is earlier.

If awarded a Contract, the Bidder agrees to execute the Contract and deliver it to Metro within ten (10) calendar days after receiving a Letter of Award together with the necessary Certificates of Insurance, Performance Bond, Payment Bond, and Alcohol and Drug-Free Workplace Program. The Contractor shall proceed with the Work upon receipt of a Notice to Proceed in accordance with Article entitled NOTICE TO PROCEED of the General Conditions.

Attached is a certified check, a cashier's check (in U.S. Dollars), Bid Bond, or a combination thereof in an amount not less than ten percent (10%) of the Total Bid Price. The undersigned agrees that said amount shall be retained by Metro if we fail or refuse to execute the Contract or furnish the required Bonds, Certificates of Insurance, and Alcohol and Drug-Free Workplace Program within the time provided.

In addition to the formal certifications provided in the following, the Bidder certifies that it has:

- Examined and is fully familiar with all of the provisions of the IFB Documents and any amendment thereto;
- Satisfied itself as to the requirements of the Contract, the nature and location of the Work, the general and local conditions to be encountered in performance of the Work, and all other matters that can in any way affect the Work and/or the cost thereof;
- Examined the experience, skill and certification requirements specified in the Statement of Work and that the entities (Bidder, Subcontractor, Supplier) performing the Work under the Contract fulfill the specified requirements; and
- Carefully reviewed the accuracy of all statements and figures shown in the Bid and attachments hereto.

The Bidder further certifies that:

- 1. The only persons, firms, corporations, Joint Ventures/partnerships, and/or other parties interested in the Bid as principals are those listed as such in the Bid Forms; and that,
- 2. The Bid has been prepared without collusion with any other person, firm, corporation, Joint Venture/partnership, and/or other party.

(Joint Ventures/partnerships are to provide a signed copy of their agreement with their bid)

Bidder's Name: Walsh Construction Company II				
Business Address:	1777 Oakland Blvd., Suite 300 Walnut Creek, CA 94596			
Contractor's License No.:	982816			
License Expiration Date:	4.30.15			
Classification Type:	A & B			
Phone: 925.627.1700	Fax: 925.944.9860			
Signature of Authorized Of Sean C. Walsh	fficial			
Type or Print Name				
President				
Title				
3.13.15				
Date				

Bid Bond



BID BOND

THAT,			
Walsh Construction Company	II, LLC	as	Principal
and,			
ravelers Casualty and Surety	Company of	America as	Surety
are held firmly bound unto the LOS ANGEL TRANSPORTATION AUTHORITY, hereina			
in the sum of			
Ten Percent of Amour (use words)	nt Bid		
(dae words)			
	***************************************		DOLLARS
(\$),(10%)			
(figures)			
Metro's Invitation For Bids (IFB) Contract N NOW, THEREFORE, if said Principal is away the time and in the manner required by the Contract Agreement bound with said IFB ar faithful performance and the other to guaran the required certificate of insurance and Alc obligation shall be null and void; otherwise, suit is brought upon this Bond by Metro and costs incurred by Metro in such suit, includi court.	rarded a Contract Invitation For Bid and furnishes the rantee payment for cohol and Drug-For it shall remain in d judgment is reco	for the Work by s (IFB), enters in equired bonds, of labor and mater ree Workplace P full force and eff overed, said Sur- torneys' fees to l	nto the written one to guarantee ials, and furnishes rogram, then this fect. In the event ety shall pay all oe fixed by the
SIGNED AND SEALED, this21	_ day of Jan	uary,	2015
(SEAL)	(SE	EAL)	
Walsh Construction company II, I Principal BY: Signature Sean C. Walsh, President	Sur	ety Kenf	ecora, Attorney-in
METRO GA14-98 (IFB NO. C0991)	5-22		BID BOND PRO FORM 056 REVISION DATE: 07.01.09

Page !

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of Illinois	
County of <u>Cook</u>	
On <u>1-21-15</u> before me <u>To Amn D</u>	Notary Public, personally appeared
Kerry Pecora,	Who proved to me on the basis of satisfactory



Place Notary Seal Above

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Illinois that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature:

\$ignature of Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of Illinois	
County of <u>Cook</u>	
On 1-21-15 before me	Notary Public, personally appeared
Sean C. Walsh,	
	Who proved to me on the basis of satisfactory
	evidence to be the person(s) whose name(s
	is/are subscribed to the within instrument and



Place Notary Seal Above

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Illinois that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature:

Signature of Notary Public



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

225482

Certificate No. 006095723

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Brian R. Walsh, J. William Ernstrom, Jodi Wallace, and Kerry Pecora

of the City ofChicago					1 Attorney(s)-in-Fact,
each in their separate capacity if more than one is named above, other writings obligatory in the nature thereof on behalf of the contracts and executing or guaranteeing bonds and undertakings	Companies in their	business of guaranteein	g the fidelity of pe	rsons, guaranteein	
IN WITNESS WHEREOF, the Companies have caused this instruction of October 2014	trument to be sign	d and their corporate se	als to be hereto affi	xed, this	15th
Farmington Casualty Comp Fidelity and Guaranty Insu Fidelity and Guaranty Insu St. Paul Fire and Marine In St. Paul Guardian Insurance	oany rance Company rance Underwrite surance Compan	St. Tra s, Inc. Tra	Paul Mercury Insuvelers Casualty an velers Casualty an ted States Fidelity	urance Company ad Surety Compan ad Surety Compan	y of America
19820 MICORPORATED BY 1951	SEA SEA	SEAL SEAL	HARTFORN, CONN.	HARTFORD, S	HICOPOSIDED STANDARD ANNOTES
State of Connecticut City of Hartford ss.		Ву:	Robert L. Raney	y, Senior Vice Preside	nt
On this the day of October be the Senior Vice President of Farmington Casualty Company, It Fire and Marine Insurance Company, St. Paul Guardian Insurance Casualty and Surety Company of America, and United States Fire instrument for the purposes therein contained by signing on behavior	e Company, St. Pa delity and Guarant	, before me personall y Insurance Company, I il Mercury Insurance Co Company, and that he,	Fidelity and Guaran ompany, Travelers C as such, being auth	ty Insurance Under Casualty and Surety	writers, Inc., St. Paul Company, Travelers

58440-8-12 Printed in U.S.A.

In Witness Whereof, I hereunto set my hand and official seal. My Commission expires the 30th day of June, 2016.

Page 4

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, and Vi President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 21 day of January

__, 2015 .



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

225482

Certificate No. 006095723

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Brian R. Walsh, J. William Ernstrom, Jodi Wallace, and Kerry Pecora

of the City of	Chicago	, State of	Illinois	, th	eir true and lawful	Attorney(s)-in-Fact,
each in their separ other writings obl	ate capacity if more than one is name igatory in the nature thereof on beha- cuting or guaranteeing bonds and und	ed above, to sign, execute, alf of the Companies in the	eir business of guarantee	ing the fidelity of pe	rsons, guaranteeing	
IN WITNESS W day of	HEREOF, the Companies have causer 2014.	ed this instrument to be si	gned and their corporate s	eals to be hereto affin	xed, this	15th
	Fidelity and Guar St. Paul Fire and M	alty Company anty Insurance Company anty Insurance Underwr Marine Insurance Compa Insurance Company	Tr iters, Inc.	. Paul Mercury Insuravelers Casualty an avelers Casualty an inted States Fidelity	d Surety Company d Surety Company	y of America
1982 1982	1977 S NCORPORATED ST	THE GOLD SERVICE STATES	ORANGE SEAL SEAL SEAL SEAL SEAL SEAL SEAL SEA	OUNTY AVO	HARTFORD, S.	MICOPORALED DE 1896 DE
State of Connection City of Hartford s			Ву:	Robert L. Raney	y, Senior Vice Presider	nt
On this thebe the Senior Vice Fire and Marine I Casualty and Sure	day of October President of Farmington Casualty Consurance Company, St. Paul Guardia ety Company of America, and United purposes therein contained by signing	ompany, Fidelity and Gua n Insurance Company, St. l States Fidelity and Guara	Paul Mercury Insurance Canty Company, and that he	Company, Travelers C , as such, being auth	Casualty and Surety	Company, Travelers
	reof , I hereunto set my hand and office expires the 30th day of June, 2016.	rial seal.	_	Man	in C. J	theoult y Public

58440-8-12 Printed in U.S.A.

BID LETTER

HONORABLE CHAIRMAN AND MEMBERS OF THE LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY ONE GATEWAY PLAZA LOS ANGELES, CA 90012-2952

INVITATION FOR BIDS FOR CONTRACT NO. (IFB No. C0991) SUBJECT:

(DIVISION 16: SOUTHWESTERN YARD)

In response to the above-referenced Invitation For Bids (IFB) and in accordance with the accompanying Instructions to Bidders, the Bidder hereby commits to the Los Angeles County Metropolitan Transportation Authority (Metro) to perform the Work in accordance with the provisions of the Bid Level Contract Documents and any amendment thereto and at the prices stated opposite the respective items set forth in the form entitled SCHEDULE OF QUANTITIES AND PRICES, included and made a part of the Contract.

The Bidder agrees that the Bid constitutes a firm offer that cannot be withdrawn for one-hundred and eighty (180) calendar days from the bid opening or until the Contract for the Work is fully executed between Metro and a third party, whichever is earlier.

If awarded a Contract, the Bidder agrees to execute the Contract and deliver it to Metro within ten (10) calendar days after receiving a Letter of Award together with the necessary Certificates of Insurance, Performance Bond, Payment Bond, and Alcohol and Drug-Free Workplace Program. The Contractor shall proceed with the Work upon receipt of a Notice to Proceed in accordance with Article entitled NOTICE TO PROCEED of the General Conditions.

Attached is a certified check, a cashier's check (in U.S. Dollars), Bid Bond, or a combination thereof in an amount not less than ten percent (10%) of the Total Bid Price. The undersigned agrees that said amount shall be retained by Metro if we fail or refuse to execute the Contract or furnish the required Bonds, Certificates of Insurance, and Alcohol and Drug-Free Workplace Program within the time provided.

In addition to the formal certifications provided in the following, the Bidder certifies that it has:

- 1. Examined and is fully familiar with all of the provisions of the IFB Documents and any amendment thereto:
- 2. Satisfied itself as to the requirements of the Contract, the nature and location of the Work, the general and local conditions to be encountered in performance of the Work, and all other matters that can in any way affect the Work and/or the cost thereof:
- 3. Examined the experience, skill and certification requirements specified in the Statement of Work and that the entities (Bidder, Subcontractor, Supplier) performing the Work under the Contract fulfill the specified requirements; and
- 4. Carefully reviewed the accuracy of all statements and figures shown in the Bid and attachments hereto.

Therefore, the undersigned hereby agrees that Metro will not be responsible for any errors or omissions in the Bid.

The undersigned acknowledges receipt, understanding and full consideration of the following amendment to the Contract Documents:

Amendment No(s):

Amendment # 1	9/19/14
Amendment # 2	10/24/14
Amendment # 3	11/25/14
Amendment # 4	12/18/14
Amendment #5	1/12/15

5-2 Page 7

The Bidder further certifies that:

- 1. The only persons, firms, corporations, Joint Ventures/partnerships, and/or other parties interested in the Bid as principals are those listed as such in the Bid Forms; and that,
- 2. The Bid has been prepared without collusion with any other person, firm, corporation, Joint Venture/partnership, and/or other party.

(Joint Ventures/partnerships are to provide a signed copy of their agreement with their bid)

Bidder's Name:	Walsh Construction Company II, LLC
Business Address:	1777 Oakland Blvd., Ste 300
	Walnut Creek, CA 94596
Contractor's License No	.: 982816
License Expiration Date	4/30/2015
Classification Type:	A & B
Phone: (925) 627-1700	Fax: (925) 944-9860
Signature of Authorized	Official
Sean C. Walsh	
Type or Print Name	
President	
Title	
1.13.15	
Date	

Sean C. Walsh being duly sworn, deposes and says
That he/she is the President of Walsh Construction Company II, we Title
and that all statements and information contained in the Proposal and made a part of through attachment and/or reference, are true and correct.
Subscribed and sworn before me before this day of, 2015
Notary Public:
My Commission expires: 7 21 2018
OFFICIAL SEAL CAROL E. STANTON NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 07/21/2018

METRO GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

5-4 Dage 9

DBE Goal/Participation



Pro Form 068 List of Proposed Subcontractors/ Suppliers



FORM 1 - PROPOSED LIST OF SUBCONTRACTORS AND SUPPLIERS - DESIGN TO BE COMPLETED BY OFFEROR

Offerors are required to list ALL (DBE and Non-DBE) first-tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Andericans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Division 16: Southwestern Yard

2. Project Name:

Walsh Construction Company II, LLC

1. Offeror's Name:

3.⊢			Offeror	-	2.	რ	4.	5.	9	7.	
3. Total Bid Price: \$9,970,190	A	NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 ST TIER)	Offeror Walsh Construction Company II, LLC General Contractor	MGD	Diaz Yourman						
Ol	В	DESCRIPTION OF WORK	C General Contractor	Lead Architect	Geotechnical Engineer						
4. Bi	O	SUBCONTRACTORS: C = Consultant Or Contractor S = Supplier¹ M= Manufacturer B = Broker¹ T = Trucker	Prime's \$ Amount → with its own workforce	O	O						
4. Bid Due Date: 1/22/2015	O	BID PRICE	0\$	\$9,695,190	\$275,000						
22/2015	Е	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)		\$1,720,840	\$275,000						
	Ь	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	\$								
	g	TOTAL DBE BID PRICE (COL # E + F)	\$	\$1,720,840	\$275,000						

\$1,995,840

\$1,995,840

\$9,970,190

Should equal Line #3 above) TOTAL BID PRICE

6 œ

age

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

If a RC DBE or RN DBE firm listed in column D and E are regular dealers enter 60% of the bid price in column G. If a RC DBE or RN DBE firm listed in column D and E brokers, enter the total amount of the fees and lor commissions charges in column G. If a DBE business is listed to provide materials/supplies, only sixty-percent (60%) of the cost for materials/supplies will be counted toward the DBE.

FORM 2 – DBE AFFIDAVIT – DESIGN

TO BE COMPLETED BY OFFEROR ONLY

Part A: DBE GOAL DECLARATION								
☑ RC DBE GOAL ACHIEVED								
The Offeror declares to the best of its knowledge, information and belief that by its efforts, it ACHIEVED a level of participation greater than or equal to the goal established for RC DBE participation.								
The level achieved is								
RC DBE GOAL NOT ACHIEVED								
The Offeror declares to the best of its knowledge, information and belief that while it made efforts to achieve the RC DBE participation goal, it DID NOT ACHIEVE a level of RC DBE participation greater than or equal to the goal established for RC DBE participation.								
The level achieved is percent (%)								
While the Offeror did exert efforts to achieve the goal, it was not successful. The Offeror certifies that if requested, evidence of good faith efforts (GFE) will be submitted within forty-eight (48) hours of Metro's written request. DO NOT INCLUDE EVIDENCE OF GOOD FAITH EFFORTS WITH BIDS/PROPOSALS								
Part B: SIGNATURE								
Executed on:, 20, 20, at,Chicago, IL								
Business Name: Walsh Construction Company II, LLC								
Authorized Signature:								
Printed Name: Sean C. Walsh								
Title: President								
E-mail:rsimms@walshgroup.com								
Phone: (925) 627-1700								



FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3 :

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

9	TOTAL DBE BID PRICE (Col # E + F)	\$									\$
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
O	BID PRICE	084'941									146,480 \$
U	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	To singer (BB)									l Line #3 above)
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Ambient Energy	0.0								9. TOTAL BID PRICE (Should equal Line #3 above)
		Sabs	1.	2.	3.	4.	5.	9.	7.	8.	9. TO

Page 12

PRO FORM 068A REV. DATE: 06.26.13

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA)

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	t A: Business Dat	a a constant of the second of						
1.	Business Name: Walsh Construction Company II, LLC							
2.	Business Address:	1777 Oakland Blvd., Ste 300 Walnut Creek Street City			94596 Zip	_		
3.	Mailing Address:	Street	City	State	Σip			
	(If different from above)	PO. Box or Street Address	City	State	Zip			
4.	County (and State)	Business is located in: Contra	Costa County			CA)		
5.	Name of Owner:	Name	President		State			
6.	Owner'(s) Ethnicity	Caucasian						
7.		627 - 1700	9. Email Address: rsin	nms@walshgr	oup.com			
8.	Fax: (925) _	944 - 9860	10. Age of Business: _	5Years	1	Months		
11.	If your business red	quires a license, complete below:	12. Business Annual G	Bross Receipts:				
	a. License Type	Contractors License A & B						
	b. License #	982816) to \$1,000,000 00 to \$2,000,00 00 to \$5,000,00				
	c. Expires on	4/30/2015			,0			
Pai	t B: DBE CERTIFI	CATION STATUS						
13.	Is your business cu	urrently a DBE?		Yes	No X			
	If "YES," attach a	copy of your DBE Certification	Letter and check all app	oropriate boxes	below:			
	b. Certified by an o	California Unified Certification Pro rganization outside of California? ng Agency:		DBE	Non-DBE	i ,		
14.	Is your business cu	urrently participating in a Joint Ver	nture?	Yes nis Form.	No X			
15.	Name of Joint Vent	ture and Partners. Is this busines	s currently a certified DI			_		
	a Rusiness Name	N/A		DBE	Non-DBE	:		
		ng Agency						
		ng Agency		_				
(IFB	CMTA GA14-98 B NO. C0991) UED: 08.29.14	u .	3-50	DBE INSTR TO B AND FORMS DE PRO FORM 068A	SIGN/BUILE	(RC-FTA)		

Pai	rt C: Work Descriptions	
16.	RFIQ, IFB, or RFP #:_ IFB C0991	
17.	Provide complete description of	scope of work, services, and materials to be performed or furnished ¹ :
	Design Build General Contract	ctor
	NAICS: 27310	
18.		ng company services on this project? Please mark one: Yes \square No \boxed{X}
	If marked YES, please complet	te items a. to c. below. If answered NO, answer "Not Applicable."
	a. How many trucks does yourb. How many trucks does yourc. How many trucks are registe	company lease?
Pai	rt C: Signature	
	e authorized signer declares the rent, complete and accurate.	hat the information on this form and any attachments, are
	Business Name:	Walsh Construction Company II, LLC
	Authorized Signature:	Signafure of Director, Office General Partner or similarly situated Principal of the Business
	Printed Name:	Sean C. Walsh
	Title:	President
	Date:	1.14.15

3-52 Page 12-B

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	rt A: Business Data					
1.	Business Name:Ambient Energy					
2.	Business Address:333 S Grand Ave #1480A, Los A	Angeles	CA	90071		
3.	Mailing Address: 130 W 5th Ave, PO. Box or Street Address	Denver				
4.	County (and State)Business is located in:	Los Angeles		(CA)		
5.	Name of Owner:Renee Azerbegi	President Title				
6.	Owner'(s) Ethnicity:Caucasian					
7.	Phone: (303)2781532	_ 9. Email Address:	renee@ambier	nt-e.com		
8.	Fax: (303)2788533	10. Age of Business: _	10 Years	0 Months		
11.	If your business requires a license, complete below a. License Type b. License # c. Expires on	a. ☐ Less that b. ☐ \$500,000 c. ☒ \$1,000,0 d. ☐ \$2,000,0	n \$500,000 0 to \$1,000,000 00 to \$2,000,000 00 to \$5,000,000			
Pai	rt B: DBE CERTIFICATION STATUS			<u></u>		
13.	Is your business currently a DBE ?		Yes	No x		
	If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below: DBE Non-DBE a. Certified by the California Unified Certification Program (CUCP)? b. Certified by an organization outside of California? c. Name of Certifying Agency:					
14.	Is your business currently participating in a Joint V		Yes III Iis Form.	No X		
15.	Name of Joint Venture and Partners. Is this busin	ess currently a certified DI		Non-DBE		
	a. Business Name N/AName of Certifying Agency					
			_ 🗆			

3-50 Page 13

Par	t C: Work Descriptions	
16.	RFIQ, IFB, or RFP #:_C0991- Division 16: Southwes	tern Yard
17.	Provide complete description of s	scope of work, services, and materials to be performed or furnished ¹ :
	Energy consulting, LEED consulting, commissioni	ng.
	NAICS: 541330	541310
18.	Will your business provide trucking	ng company services on this project? Please mark one: Yes No
	a. How many trucks does your b. How many trucks does your c. How many trucks are register	company lease?
Par	t C: Signature	
	rent, complete and accurate.	nat the information on this form and any attachments, are
	Business Name:	Ambient Energy, Inc.
	Authorized Signature:	Signature of Director, Officer General Fartner or similarly situated Principal of the Business
	Printed Name:	Kenec Azerbegi
	Title:	President
	Date:	October 15, 2014

3-52 Page 15

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBES listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3.

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

9	TOTAL DBE BID PRICE (Col # E + F)	\$									\$
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
D	BID PRICE	25,690									\$52,690
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	Building Jystens	0 0								Line #3 above)
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	ANS Engineers, Inc.)								9. TOTAL BID PRICE (Should equal Line #3 above)
		SqnS	1.	2.	3.	4.	5.	9.	7.	8.	9. TO

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	rt A: Business Data					
1.	Business Name:	AVS Engineers, Inc.				
2.	Business Address:	556 N. Diamond Bar Blvd.				
3.	Mailing Address:	Street	City	State	Zip	
	(If different from above)	PO. Box or Street Address	City	State	_ Zip	
4.	County (and State)	Business is located in: Lo	s Angeles		(_	CA_)
5.	Name of Owner:	Daniel Leung Name	Principal Title		State	
6.	Owner'(s) Ethnicity:	Asian				
7.		860 - 1400				
8.	Fax: (909) _	860 1442	10. Age of Business:	14 Years	6	Months
11.		uires a license, complete below:	a. X Less th b. 3500,00 c. 31,000,	an \$500,000 00 to \$1,000,000 000 to \$2,000,000 000 to \$5,000,000		
Par	t B: DBE CERTIFIC	ATION STATUS				
13.	Is your business cur	rently a DBE ?		Yes N	o X	
	If "YES," attach a c	opy of your DBE Certification	Letter and check all a	ppropriate boxes b	elow:	
	b. Certified by an or	california Unified Certification Programication outside of California? g Agency:			on-DBI	E
14.		rrently participating in a Joint Ver the Joint Venture Agreement		Yes — this Form.	No X	
15.	Name of Joint Vento	ure and Partners. Is this busines	ss currently a certified I			_
		N/A g Agency			on-DBI	Ē
	b. Business Name _	N/A g Agency				
	NATA 044400					

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14 DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

c. Business Name	
Name of Certifying Agency	
DBEs must attach a copy of current certification.	

Part C: Work Descriptions					
16. RFIQ, IFB, or RFP #: C0991- Division 16: Southwestern Yard	<u> </u>				
7. Provide complete description of scope of work, services, and materials to be performed or furnished ¹ :					
AVS will provide the Security Electronics and Communications Systems design ar	AVS will provide the Security Electronics and Communications Systems design and				
construction administration services. AVS will coordinate with the client and oth	er				
disciplines to provide a coordinated and complete design.					
NAICS: 541330					
18. Will your business provide trucking company services on this project? Please mark one:	Yes No X				
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company?					
Part C: Signature					
The authorized signer declares that the information on this form and any attachment current, complete and accurate.	its, are				
Business Name: AVS Engineers, Inc.					
Authorized Signature: Signature of Director, Officer, General Partner or similarly situated Principal of	f the Business				
Printed Name: Daniel Leung					
Title: Principal					

3-52 Page 19

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3 :

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

	T		_		_	_	_	_			
9	TOTAL DBE BID PRICE (Col # E + F)	⇔									\$
L	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
В	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
D	BID PRICE	\$1,325,725									\$ 1325,725 \$
O	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	Trok Systems	þ								Line #3 above)
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Buns Engineering, Inc.	1								9. TOTAL BID PRICE (Should equal Line #3 above)
		Sabs	1.	2.	3.	4.	5.	6.	7.	∞.	9. TO

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	rt A: Business Data					
1.	Business Name: Burns Enginee	ering, Inc.				_
2.	Business Address: 1835 Market S	Street, Ste. 300	Philadelphia	PA	19103	
3.	Mailing Address:	Street	City	State	Zip	
	(If different from above)	PO. Box or Street Address	City	State	 Zip	
4.			Philadelphi			PA)
5.	Name of Owner: Matth		President/CEO		State	/
٥.		Burns, PE	Seniöt Vice P		7. 2. 2.	
6.		asian				
7.	Phone: (215) 979	- 7700	_ 9. Email Address: _	MBurns@burns-	group.c	om
8.	Fax: (215) 405	- 2510	_ 10. Age of Business	:53 Years	11	Months
11.	If your business requires a li					
	a. License Type Califor	mia Corporate Nui		nan \$500,000 100 to \$1,000,000		
	b. License # C300759	95	c \$1,000	,000 to \$2,000,00	00	
	c. Expires on06/201	5	d.∐ \$2,000 e.⊠ Over\$	0,000 to \$5,000,00 5,000,000	00	
Pai	rt B: DBE CERTIFICATION	STATUS				
13.	Is your business currently a	DBE?		Yes	No X	
	If "YES," attach a copy of y	our DBE Certification	n Letter and check all a	appropriate boxes	below:	
	a. Certified by the Californiab. Certified by an organizationc. Name of Certifying Agence	on outside of California	?	DBE	Non-DB	E
14.	Is your business currently parties if "YES," a copy of the Joi			Yes this Form.	No X	
15.	Name of Joint Venture and I	Partners. Is this busine	ess currently a certified			_
	a.Business Name Name of Certifying Agency	NA			Non-DB	E
	b. Business Name Name of Certifying Agency					
LAC	CMTA GA14-98			DBE INSTR TO B	SIDDERS/PF	ROPOSERS

Jage 21

c. Business Name	
Name of Certifying Agency	
DBEs must attach a copy of current certification.	

Pai	t C: Work Descriptions						
16.	RFIQ, IFB, or RFP #:_C0991- Division 16: Southwest	ern Yard					
17.	Provide complete description of se	cope of work, services, and materials to be performed or furnished ¹ :					
	Professional engineering	design services including traction power,					
	Overhead Catenary System	and Automatic Train Control.					
	NAICS: ₅₄₁₃₃₀						
18.	Will your business provide truckin	g company services on this project? Please mark one: Yes No X					
	a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company?						
Pai	t C: Signature						
	The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.						
	Business Name:	Burns Engineering, Inc.					
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
	Printed Name:	Daren Petroski, PE					
	Title:	Vice President					
	Date:	October 16, 2014					

3-52 Page 23

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): Cet Technical Solutions and Sances, Inc. Prime's Name: Walsh Construction Company II, LLC Total Bid Price:

	A	В	U	۵	Ш	ш	9
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs	CSTSS	Getreal Engineering	Your business's \$	∠ 2 6 0 2 1 0	\$ 017'097	\$	\$ 260,20
1.		0					
2.							
3.							
4							
5.							
9.							
7.							
∞.							
9. TC	9. TOTAL BID PRICE (Should equal Line #3 above)	Il Line #3 above)	1	012'092\$	\$260,210 \$260,210	\$	\$ 260,219

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	Part A: Business Data								
1.	Business C&J T	Technical Solut	ions and Servic	es, Inc.					
2.	Business Address: 4000	Valley Blvd., St		Walnı		CA		91789	
3.	Mailing Address:		Street		City		State	Zip	
	(If different from above)		PO. Box or Street Address	6	City		State	Zip	
4.	County (and State	e)Business is loc	ated in: Los	Angeles				(<u>CA</u>)
5.	Name of Owner:_	John L. Jolly	III Name		Principal Title			State	
6.	Owner'(s) Ethnicit	Allicali Al							
7.	Phone: (909)	598	6067	_ 9. Em	ail Address:	jjolly@	cjtss.net		
8.	Fax: (909)	598	6089	_ 10. Ag	ge of Busines	ss:10_	Years _		Months
11.	If your business re	equires a license	e, complete belov	v: 12. Bu	usiness Annu	al Gross	Receipts:		
	a. License Type	Mechanical &	Electrical Engi	<u>ne</u> er	a. Less				
	b. License #	M31009	E18533		b. \ \$500 c. _\cap \$1,00		1,000,000 \$2,000,000)	
	c. Expires on	6/30/2015	12/31/2016		d. 32,00		\$5,000,000		
Pai	t B: DBE CERTIF	ICATION STAT	US						
13.	Is your business of	currently a DBE?	,			Y	es X	No 🗌	
	If "YES," attach a	copy of your [DBE Certificatio	n Letter a	and check all	l appropri	ate boxes l	pelow:	
							DBE I	Non-DBE	<u> </u>
	a. Certified by the	California Unifie	ed Certification P	rogram (0	CUCP)?		X		
	b. Certified by an				1 m		X		
	c. Name of Certify	/ing Agency:	Florida, No	orth Cent	ral Texas		Yes	No	
14.	Is your business of	currently particip	ating in a Joint V	enture?				\mathbf{x}	
	If "YES," a copy	of the Joint Ve	nture Agreemer	nt must b	e attached t	o this Fo	rm.		
15.	Name of Joint Ver	nture and Partne	ers. Is this busin	ess curre	ntly a certifie	d DBE?			
	a. Business Name	<u>.</u>	N/A				DBE I	Non-DBE ☐	=
	Name of Certify	ing Agency	, ,						
	b. Business Name	e							
	Name of Certify	ring Agency							
1 00	MTA CA14 00					DRE	NISTR TO BII		OPOSERS

c. Business Name	
Name of Certifying Agency	
DBEs must attach a copy of current certification.	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13





CALIFORNIA UNIFIED CERTIFICATION PROGRAM

April 19, 2010

CUCP #36120 Metro File # 4891

John Jolly III C&J Technical Solutions & Services, Inc. 4000 Valley Blvd. #103 Walnut, CA 91789

RE: Disadvantaged Business Enterprise Certification

Dear Mr. Jolly:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. Your DBE certification status will be honored by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise:

NAICS (2007)	<u>Description</u>	Size Standard
541330	Engineering Services	\$4.5 million
541340	Drafting Services	\$7.0 million

Your DBE certification is good for five years from the date of this letter and applies only for the above NAICS 2007 codes. Requests for additional or revised NAICS 2007 codes must be made in writing to Metro. After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. Prior to your firm's DBE certification expiration date, Metro will send a letter to you requesting information necessary to complete this review.

The Regulations also require annual updates during this five-year period. In order to assure continuing DBE status, you must submit annually a DBE Declaration with supporting documentation, in the format that will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until the five-year certification has expired.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Your DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under §26.109 of the Regulations.

Congratulations, and thank you for your interest in the CUCP.

Sincerely,

Linda/B. Wright

Deputy Executive Officer

C: | Documents and Settings | garciael | My Documents | 00 - MTA Files | CERTIFICATION | Cert-Word | 00 - Shirley Wong | C Firms | C & | Technical Solutions & Services, Inc. - DBE.doc

age 27

Pai	t C: Work Descriptions						
16.	RFIQ, IFB, or RFP #: C0991- Division 16: Southwest	ern Yard					
17.	Provide complete description of scope of work, services, and materials to be performed or furnished ¹ :						
	Electrical engineering desig	n and consulting, drafting and coordination during					
	design and construction pha	ases.					
	NAICS: 541330: Engineering Se	ervices, 541340: Drafting Service & 541690: Energy Consulting Services					
18.	Will your business provide trucking	g company services on this project? Please mark one: Yes No X					
	If marked YES, please complete	e items a. to c. below. If answered NO, answer "Not Applicable."					
	a. How many trucks does your						
	b. How many trucks does your ofc. How many trucks are register						
Pai	t C: Signature						
	•	at the information on this form and any attachments, are					
cu	rrent, complete and accurate.						
	Business Name:	C&J Technical Soluions and Services, Inc.					
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
	Printed Name:	John L. Jolly III					
	Title:	Principal					
	Date:	January 16, 2015					
1 0	NATA 0.444.00	DRE INCTRITO DIDDEDC/DDODOCEDO					

FORM 5 - DBE AFFIRMATION - DESIGN

LACMTA GA14-98 (IFB NO. C0991)

ISSUED: 08.29.14

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	C0991					
	_						
2.	Project Name	Division 16: Southw	estern Yard				
	- 1						
3.	Name of the Prime:	Walsh Construction	Company I	, LLC			
4.	Business Address:	1777 Oakland Blvd.	, Suite 300	Walnut Creek	CA	94596	-
	_	Street		City	State	Zip	
5.	Name of Proposed D	DBE Business: C&J	Technical	Solutions and	Services, I	nc.	
6.	Business Address: 4	.000 Valley Blvd.,	Suite 103	Walnut,	CA	91789	
7.		ommitted: \$ 260	,210	City listed for this business o	State n Form 1 or Form 3	Zip	
8.		work to be performed on System (NAICS) co					America
	•	ering design and o	. ,				
	design and cons	truction phases.					
	NAIGO						
		gineering Services, 5	541340: Dra	afting Services &	541690: En	ergy Consult	ing Services
Sig co sh	firmation: gnatures of the authori mmitment by both par all include the scope(s cument shall be a con	ties. A formal subcon s) of work and moneta	tract agreer ry commitm	nent between the	Offeror and t	he DBE subc	ontractor
Va Na	The of Business	many I, LLC		C&J Technica Name of DBE Busin		and Service	es, Inc.
	La cof		<	Daylow (on Authorized Signatur	behalf of	John L. Jolly	m)
Ay >	horized Signature of Bu	, ,		Authorized Signatur John L. Jolly II		ness	-
Ty	<i>Ped or Printed Name of S</i>			Typed or Printed Na			
	President			Principal			

3-53 Page 29

Title of Signee	Title of Signee
(925) 627-1700	909-598-6067
Telephone	Telephone
(SIAMS @walshgroup. Con	jjolly@cjtss.net
Email , /	Email
1/21/15	01/16/2015
Date /	Date

3-54 Page 36

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - DESIGN

16: Southeston TO BE COMPLETED BY ALL SUBCONTRACTORS Project Name: C° GG° $D_{VIS/OO}$ /E .

on-minority groups bid or All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race

660)

IFB/RFP #:

Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These gnolude: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's loroposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include nowomen. Women. Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.	e performance of this proje an Pacific Americans, Natives the contract goal. Metro m 4 (Business Data Sheet	s project. RC DBEs are ethnic groups that have been identified as statistically and significantly unstructure. Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.	ups that have been identificans, and Subcontinent fits overall goal utilizing rache California Subletting an	ed as statistically and sign sian Americans. ONLY R e neutral measures. Rac d Subcontracting Fair Prac	s project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These g, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's lattor will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include not Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.
1. Subcontractor Sub.): 3. Total Bid Price:	Nat Journan 9 \$275,000	4 4550c/2/c>	2. Prime's Name:	Nodsh Construct	2. Prime's Name: Norld Construction Company II,

G	TOTAL DBE BID PRICE (COL # E + F)	\$ 275,000									\$ 275,000
4	BID PRICE FOR RN DBE FIRMS DBE (CRace Neutral Participation)	2 \$									FC \$
Ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	\$ 275,000									\$ 275,000 \$
D	BID PRICE	\$ 275,000									\$ 275,000 \$ 275,000
၁	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M= Manufacturer B = Broker T = Trucker										1
В	DESCRIPTION OF WORK	chileal									
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Daz Yorman 4 Associales Geoli									9. TOTAL BID PRICE (Should equal Line #3 above)
	•	Subs	1.	2.	რ	4	5.	9	7.	œ	9. TC (Should
		a	ge	iors S		cou	qnS	ier :	r 19	мо¬	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	rt A: Business Data				
1.	Business Name: Diaz Yourman & Associates				
2.		Santa Ana	CA	92705	
3.	Mailing Address:	City	State	Zip	
	(If different from above) PO. Box or Street Address	City	State	Zip	
4.	County (and State)Business is located in: Oran	nge		(CA)
5.	Name of Owner: Christopher M. Diaz	Preside	nt	State	
6.	Hispanic				
7.	Phone: (714)2452920				<u>n</u>
8.	Fax: (714)2452950	10. Age of Business: _	22 Years	1	Months
11.	If your business requires a license, complete below: a. License Type	a. Less tha	Gross Receipts n \$500,000 0 to \$1,000,000		
	b. License # c. Expires on		00 to \$2,000,0 00 to \$5,000,0	00	
Par	t B: DBE CERTIFICATION STATUS	. c. c. c. c. c.			
	Is your business currently a DBE?		YesX	No 🗌	
	If "YES," attach a copy of your DBE Certification	Letter and check all app			
	a. Certified by the California Unified Certification Prob. Certified by an organization outside of California?c. Name of Certifying Agency:		DBE X	Non-DBE	
14.	Is your business currently participating in a Joint Ver If "YES," a copy of the Joint Venture Agreement		Yes nis Form.	No X	
15.	Name of Joint Venture and Partners. Is this business	s currently a certified DI	BE?		
	a. Business Name		_ DBE	Non-DBE	
	Name of Certifying Agency b. Business Name				
	Name of Certifying Agency				
	MTA CA14.00		DDE MOTO TO	NDDEDO/SS	0000000

3-50
Page 32

c. Business Name	
Name of Certifying Agency	
DBEs must attach a copy of current certification.	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE CALIFORNIA UNIFIED CER, IFICATION PROGRAM

DIAZ CONSULTANTS, INC.

1616 EAST 17TH STREET SANTA ANA, CA 92705 8509 Owner: CHRISTOPHER DIAZ

Business Structure: CORPORATION

This certificate acknowledges that said firm is approved by the California Unified Ceptification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended for the following NAICS codes:

NAICS Code(s) * Indicates primary NAICS code

* 541330 Engineering Services

541620 Environmental Consulting Services

541380 Lesting Laboratories
541380 Lesting Laboratories
CONSULTANT, ENVIRONMENTAL

Work Category Code(s)

S8710 ENGINEERING

LABORATORY TESTING AND ANALYSIS

EGT Georgenical Engineer

EC Civil Engineer

Licenses

NIFIED CERTIFICATION PROGRAM

20160

CERTIFYING AGENCY:

LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)

ONE GATEWAY PLAZA LOS ANGELES, CA 90012 0000

(213) 922-2600

UCP Firm Number: 20

May 1, 2013

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.



May 3, 2013

CUCP #20160 Metro File # 784

Mr. Christopher Diaz

Diaz & Associates, Inc. dba Diaz Yourman & Assoiciates

1616 E. 17th St

Santa Ana, California 92705

RE: Disadvantaged Business Enterprise Certification

Dear Mr. Diaz:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	<u>Description</u>	Size Standard
541330	Engineering Services	\$14.0 Million
541620	Environmental Consulting Services	\$14.0 Million
541380	Testing Laboratories	\$14.0 Million

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP website at www.californiaucp.org. Any additions and revisions must be submitted to Metro for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration form (which will be sent to you) with supporting documentation. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. I wish you every business success and should you have any questions, please contact us at <u>213-922-2600</u>. For information on Metro contracting opportunities, please visit our website at <u>www.metro.net</u>.

Sincerely,

Marilyn White

HSW Certification Consultant

C:|Documents and Settings|garclael|My Documents|Cert-Word|00 - Certification Letters|D Firms|Diaz Consultants - DBE Approval Letter - Marilyn.doc

Small Rusiness Certification Unit

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued) Page 2 of 2

Part	C: Work Descriptions						
16.	RFIQ, IFB, or RFP #:_C0991 Division 16: Southwe	est Yard Design Build					
		cope of work, services, and materials to be performed or furnished ¹ :					
	541330, 541380, 54160						
	NAICS:						
	If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? Not Applicable Not Applicable Not Applicable Not Applicable Not Applicable						
Part	C: Signature						
	authorized signer declares th ent, complete and accurate.	at the information on this form and any attachments, are					
	Business Name:	Diaz Yourman & Associates					
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
	Printed Name:	Christopher M. Diaz					
	Title:	President					
	Date:	1/21/2015					

3-52 Page 36

FORM 5 - DBE AFFIRMATION - DESIGN

LACMTA GA14-98

(IFB NO. C0991)

ISSUED: 08.29.14

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	C0991			
	-				
2.	Project Name	Metro Division 16: Sout	hwest Yard		
	-				
3.	Name of the Prime:	Walsh Construction C	company II, LLC		
	_				
1.	Business Address:	5777 W. Century Boul	evard, Suite 1750	Los Angeles, CA 90	0045
	-	Street	City	State Zip	
5.	Name of Proposed I	DBE Business: Diaz You	rman & Associates		
6.	Business Address:	1616 E. 17th Street,	Santa Ana	CA 92705	
3.		work to be performed by Don System (NAICS) code(s)		rovide applicable Northe	rn America
	NAICS:	541020			
Sig cor sha do	mmitment by both par all include the scope(s cument shall be a con	ized representatives of the ties. A formal subcontract so of work and monetary condition of contract award.	agreement between the mmitment referenced ab	Offeror and the DBE sub love. DBE commitments	bcontractor
Va	ralsh Construction Come of Business thorized Signature of Business		Diaz Yourman Name of DBE Busin Authorized Signatur	ess M. E	
	Pan (11)	124	Christopher M.		
y	ped or Printed Name of		Typed or Printed Na		
_7	Kresident		President		

3-53 Page 37

Title of Signee	Title of Signee	
925-627-1700	714 - 245 - 2920	
Telephone	Telephone	
TSIMMS @ walsh group. com	chris@diazyourman.com	
Email ///	Email	
1/21/15	1/21/2015	
Date /	Date	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3 :

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

				Т	_	_	Т	T	T	Т	
g	TOTAL DBE BID PRICE (Col # E + F)	\$									\$
L	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
В	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
O	BID PRICE	♦ 675,750									\$ 056,2738
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	Tack Engineer	5								Line #3 above)
А	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	HDK Engineering, Inc.	1 0								9. TOTAL BID PRICE (Should equal Line #3 above)
		Sabs	1.	2.	3.	4.	5.	9.	7.	8.	9. TO

Page 39

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	rt A: Business Data		
1.	Business Name: HDR Engineering, Inc.		
2.	Business Address: 801 South Grand Ave, Suite 500 Los Angeles CA Street City State	9007	1
3.	Mailing Address:	ZIP	
	(If different from above) PO. Box or Street Address City State	Zip	
4.	County (and State)Business is located in: Los Angeles	(CA_)
5.	Name of Owner: Employee Owned Corporation	State	
6.	Owner'(s) Ethnicity: Over 8,500 Employee Owners	_	
7.	Phone: (213) <u>239-5800</u> 9. Email Address: <u>Tom.Kim@hdrine</u>	c.com	
8.	Fax: (213) <u>239-5801</u> 10. Age of Business: <u>97</u> Years		Months
11.	If your business requires a license, complete below: 12. Business Annual Gross Receipts: a. License Type Not Applicable a. Less than \$500,000		
	b. License # Individual staff have required licenses. c. \$1,000,000 to \$2,000,000 d. \$2,000,000 to \$5,000,000		
	c. Expires on e. 🗵 Over \$5,000,000		
Par	rt B: DBE CERTIFICATION STATUS		
13.	Is your business currently a DBE?	X	
	If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes be	low:	
	DBE No	n-DBE	
	a. Certified by the California Unified Certification Program (CUCP)?		
	b. Certified by an organization outside of California? c. Name of Certifying Agency:		
14.	Yes Is your business currently participating in a Joint Venture? If "YES," a copy of the Joint Venture Agreement must be attached to this Form.	No X	
15	Name of Joint Venture and Partners. Is this business currently a certified DBE?		
	DPE No	n-DBE	
	a. Business Name		
	b. Business Name		
	Name of Certifying Agency		
	OMTA CA44.00		0000000

c. Business Name	
Name of Certifying Agency	
DBEs must attach a copy of current certification.	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued) Page 2 of 2

Pai	t C: Work Descriptions						
16.	RFIQ, IFB, or RFP #: C0991- Division 16: Southwes	tern Yard					
17.	7. Provide complete description of scope of work, services, and materials to be performed or furnished ¹ :						
	Track Design						
	NAICS: 237990, 541330						
18.	Will your business provide trucking	ng company services on this project? Please mark one: Yes \square No \square					
	If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."						
	 a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? 						
Pai	t C: Signature						
	e authorized signer declares the rent, complete and accurate.	at the information on this form and any attachments, are					
	Business Name:	HDR Engineering, Inc.					
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
	Printed Name:	Thomas T. Kim					
	Title:	Senior Vice President					
	Date:	October 9, 2014					

3-52 Page 42

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Division 16: Southwestern Yard Project Name:

C0991 IFB/RFP #:

proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act

1. Subcontractor

(Sub.):

Maintenance Design Group, LLC

2. Prime's Name:

Walsh Construction Company II, LLC

	တ		, ,
	ш		BID PRICE FOR
	ш		BID PRICE FOR
	O		
	ပ	SUBCONTRACTORS	C = Consultant
-	В		NO Haid Cond
3. Total Bid Price: \$9,695,190	A		NAME OF
3. Tota			

	4	В	O	٥	ш	ш	9
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK Design Management	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M= Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs	Maintenance Design Group	Equipment Industrial Designour business' \$ Elect. Engineering Amount		\$ 3,411,125	↔	₩	₩
-	RNL			2,245,580			
5.	W2 Design	Civil Engineer		770,380	770,380		770,380
က်	Burns Engineering	Track Systems		1,325,725			
4	HDR	Track Engineer		675,750			
5.	Nabih Youssef	Structural Engineer		117,000			
9	PACRIM	Structural Engineer		690,250	690,250		690,250
7.	AVS	Building Systems		52,690			
ω̈́	Ambient Energy CJTSS	LEED Advisor Electrical Engineering		146,480 260.210	260,210		260,210
9. T (Shoul	9. TOTAL BID PRICE (Should equal Line #3 above)		1	\$ 9,695,190	\$ 1,720,840	\$	\$ 1,720,840

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

Lower Tier Subcontractors Page 43

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	art A: Business Data						
1.	Business Name: Maintenance Design Group, LLC						
2.	Business Address: 1600 Stout Street, Suite 940 Denver	СО	80202				
3.	Mailing Address: Same as above City	State	Zip				
	(If different from above) PO. Box or Street Address City	State	Zip				
4.	County (and State)Business is located in: Denver	19	(<u>CO</u>)				
5.	Name of Owner: Donald J. Leidy Managing Principa Name Title	l	State				
6.	Owner'(s) Ethnicity: White/Caucasian		, , , , , , , , , , , , , , , , , , ,				
7.	Phone: (303) 302 - 0266 9. Email Address: don.	.leidy@mdg-llo	c.com				
8.	Fax: (303) <u>302 - 0270</u> 10. Age of Business: <u>1</u>	19Years	5 Months				
11.	If your business requires a license, complete below: 12. Business Annual Gr						
	a. License Type a. Less than						
		to \$1,000,000					
	b. License # c. \(\sum \) \$1,000,000 d. \(\sum \) \$2,000,000	0 to \$2,000,00 0 to \$5.000.00					
	c. Expires on e. _ Over \$5,00						
Par	rt B: DBE CERTIFICATION STATUS						
13.	Is your business currently a DBE ?	Yes	No X				
	If "YES," attach a copy of your DBE Certification Letter and check all appr	opriate boxes	below:				
		DBE	Non-DBE				
	a. Certified by the California Unified Certification Program (CUCP)?						
	b. Certified by an organization outside of California?						
	c. Name of Certifying Agency:	V	N				
14	Is your business currently participating in a Joint Venture?	Yes	No ×				
17.	If "YES," a copy of the Joint Venture Agreement must be attached to this	s Form.					
15.	Name of Joint Venture and Partners. Is this business currently a certified DBI	E?					
	At la	DBE	Non-DBE				
	a. Business Name		x				
	b. Business Name		x				
	Name of Certifying Agency						

3-50 Page 44

c. Business Name	N/A	X
Name of Certifying Agency		

DBEs must attach a copy of current certification.

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued)Page 2 of 2

Part C	: Work Descriptions	
	FIQ, IFB, or RFP C0991- Division 16: Southweste	ern Yard
17. Pı	rovide complete description of so	cope of work, services, and materials to be performed or furnished ¹ :
_!	Design team project manageme	nt and equipment industrial design
_		
- [
1	NAICS: 541420, 541410	
18. W	fill your business provide trucking	g company services on this project? Please mark one: Yes No X
If	marked YES, please complete	items a. to c. below. If answered NO, answer "Not Applicable."
a. b. c.		ompany lease? N/A
Part C	: Signature	
	uthorized signer declares tha nt, complete and accurate.	at the information on this form and any attachments, are
В	usiness Name:	Maintenance Design Group, LLC
A	uthorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business
Pi	rinted Name:	Donald J. Leidy
Ti	tle:	Managing Principal
D	ate:	November 6, 2014

3-52 Page 46

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): Nabih Toussef & Associate.
Total Bid Price:

3 :

Prime's Name: Walsh Construction Company II, LLC

9	TOTAL DBE BID PRICE (Col # E + F)	\$									\$
L	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
В	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
D	BID PRICE	<i>> 117, ∞</i>									\$ 000/2/118
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									
В	DESCRIPTION OF WORK	Shubal Eynar	>								l Line #3 above)
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Whit Powset & Associates Stutual Engine									9. TOTAL BID PRICE (Should equal Line #3 above)
		sqnS	1.	2.	3.	4.	5.	6.	7.	8.	9. TO

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	rt A: Business Data	The second secon			F4 5 4 5	ingonie.
1.	Business Name: NABIH YOUSSEF & ASSOCIATES					
2.	Business Address: 550 South Hope Street, Los Angeles, CA 90071					
3.	Mailing Address:	City	State	Zip		
	(If different from above) PO. Box or Street Address	City	State	Zip		
4.	County (and State)Business is located in: Los	Angeles			CA)
5.	Name of Owner: Nabih Youssef, President			State		_ ′
	Name	Title				_
6.	Owner'(s) Ethnicity: Egyptian					
7.		9. Email Address:	nabih@nyase.com			
8.	Fax: (213)688	10. Age of Business	:25Years	1	Mon	ths
11.	If your business requires a license, complete below:	12. Business Annua	I Gross Receipts:			
	a. License Type Business		nan \$500,000			
	b. License # 0000752864-001-9	b \$500,0	00 to \$1,000,000			
	b. License #	. c. ☐ \$1,000 d. ☐ \$2,000	,000 to \$2,000,000,000)		
	c. Expires on 12-31-2014	e. 🛛 Over \$				
Par	t B: DBE CERTIFICATION STATUS	120				1898 s
13.	Is your business currently a DBE?		Yes 1	No X		
	If "YES," attach a copy of your DBE Certification	Letter and check all a	ppropriate boxes t	pelow:		
			DBE N	Non-DBE		
	 Certified by the California Unified Certification Pro 	gram (CUCP)?				
	b. Certified by an organization outside of California?					
	c. Name of Certifying Agency:		- Yes	No		
14.	Is your business currently participating in a Joint Ven	ture?		X		
	If "YES," a copy of the Joint Venture Agreement	must be attached to	this Form.			
15.	Name of Joint Venture and Partners. Is this business	s currently a certified I	DBE?			
	a Rusiness Name	1	DBE N	lon-DBE		
	a. Business Name					
	b.Business Name					
	Name of Certifying Agency					
	MTA GA14-98		DBE INSTR TO BID	DERS/PRO	POSE	ERS
	NO. C0991) ED: 08.29.14	3-50	AND FORMS DES PRO FORM 068A F	IGN/BUILD	(RC-F	TA)

c. Business Name	
DBEs must attach a copy of current certification.	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued) Page 2 of 2

Pai	rt C: Work Descriptions		
16.	RFIQ, IFB, or RFP #: C0991- Division 16: Southwest	ern Yard	
17.	Provide complete description of so	cope of work, services, and materials to be performed or furnished ¹ :	
	NAICS: 541330		
18.	Will your business provide truckin	g company services on this project? Please mark one: Yes No	o X
	a. How many trucks does your cb. How many trucks does your cc. How many trucks are register	company lease?	
Par	t C: Signature		
	e authorized signer declares the rent, complete and accurate.	at the information on this form and any attachments, are	
	Business Name:	NABIH YOUSSEF & ASSOCIATES	
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business	
	Printed Name:	NABIH YOUSSEF, S.E.	
	Title:	PRESIDENT	
	Date:	11-5-2014	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

IFB/RFP #: C0991

Project Name: C0991 Division 16: Southwestern Yard

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3. 1.

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

	А	В	C	D	ш	ш	9
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs	Packen Engineering	Stacked Engineer	Your business's \$	CSC,093 oSC,093 ►	CSZ '069	\$	\$ 690,250
	2	5					
7	9. TOTAL BID PRICE (Should equal Line #3 above)	Il Line #3 above)	1	\$1690,250 \$ 640,250		\$	cs2'069 \$
				THE CASE OF THE PROPERTY OF TH			The same of the sa

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	t A: Business Data			
1.	Business Name: PacRim Engineering			
2.	Business Address: 233 W. Cerritos Avenue, Anaheim, CA 92805			
3.	Mailing Address: SAME as business address above	City	State	Zip
4.	(If different from above) PO. Box or Street Address County (and State)Business is located in: Orange	City	State	Zip (California)
5.	Name of Owner: Peter Liu, President	Title	-	State
6.	Owner'(s) Ethnicity:	Tide		
7.	Phone: (714) 683 - 0470	9. Email Address: rkim	@PacRimEngineering.com	m
8.	Fax: (714) 683 - 0460	10. Age of Business: 7	Years	9 Months
11.	If your business requires a license, complete below:	12. Business Annual G	Bross Receipts:	
	a. License Type Professional Engineering	a. ☐ Less than		
	b. License # 48409	c. 🔳 \$1,000,0	00 to \$2,000,000 00 to \$5,000,000	
	c. Expires on June 2016	e. Over \$5,	000,000	
Par	t B: DBE CERTIFICATION STATUS			
13.	Is your business currently a DBE?		Yes	No 🗌
	If "YES," attach a copy of your DBE Certification	Letter and check all app	propriate boxes b	pelow:
	a. Certified by the California Unified Certification Prob. Certified by an organization outside of California?c. Name of Certifying Agency:	- '	DBE N	Non-DBE
14.	Is your business currently participating in a Joint Ver If "YES," a copy of the Joint Venture Agreement		Yes is Form.	No ×
15.	Name of Joint Venture and Partners. Is this busines	s currently a certified DI		Non-DBE
	a. Business Name			
	b. Business Name			
(IFB	MTA GA14-98 NO. C0991) JED: 08.29.14	3-50	AND FORMS DES	DDERS/PROPOSERS SIGN/BUILD (RC-FTA) REV. DATE: 06.26.13

c. Business Name	
DBEs must attach a copy of current certification.	

3-51 Page 53

CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



January 21, 2014

Ms. Amy G. Kok PACRIM Engineering, Inc. 233 W. Cerritos Avenue Anaheim, CA 92805

RE: DISADVANTAGED BUSINESS ENTERPRISE (DBE) CERTIFICATION APPROVAL CUCP File No. - 36743

Dear Ms. Kok:

We are pleased to advise you that after careful review of your application and supporting documentation, the City of Los Angeles has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended.

Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs and the City of Los Angeles DBE/MBE/WBE directory under the following specific area(s) of expertise that you have identified on the business service form for contracting opportunities:

NAICS Codes	<u>Description</u>
236220	Commercial and Institutional Building Construction
237110	Water and Sewer Line and Related Structures Construction
237310	Highway, Street, and Bridge Construction
237990	Other Heavy and Civil Engineering Construction
238190	Other Foundation, Structure, and Building Exterior Contractors
541330	Engineering Services
541350	Building Inspection Services
541620	Environmental Consulting Services

Your DBE certification applies only for the above code(s). You may review your firm's information in the CUCP DBE database which can be accessed at the California Unified Certification Program's website at http://californiaucp.org and the City of Los Angeles DBE/MBE/WBE database at http://bca.lacity.org. Any additions and revisions must be submitted to the City of Los Angeles for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration with supporting documentation, which will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.

City of Los Angeles • Department of Public Works • Bureau of Contract Administration • Office of Contract Compliance • Centralized Certification Administration 1149 South Broadway, Suite 300 • Los Angeles, CA 90015 • Phone (213) 847-2684 • Fax (213) 847-2777 Page 54

PACRIM Engineering, Inc. January 21, 2014 Page 2

Also, should any changes occur that could affect your certification status prior to receipt of the Declaration, such as changes in your firm's name, business/mailing address, ownership, management, or control, or failure to meet the applicable business size standards or personal net worth standard, please notify us immediately. DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under Section 26.109 of the Regulations.

Your DBE certification status will be honored by all of the U.S. DOT recipients in California.

For information on City of Los Angeles contracting opportunities, please register at http://LABAVN.org.

Should you have any questions, please contact Faye Serafin at (213) 847-2643 or e-mail at faye.serafin@lacity.org.

Sincerely,

Helmt Penul HELMUT PEINDL, Certification Manager

Office of Contract Compliance Bureau of Contract Administration

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued) Page 2 of 2

Par	t C: Work Descriptions	
16.	RFIQ, IFB, or RFP #: ^{C0991}	
17.	Provide complete description of	scope of work, services, and materials to be performed or furnished ¹ :
	Structural Engineering Design	
	NAICS: 541330	
18.	Will your business provide trucki	ng company services on this project? Please mark one: Yes No■
	If marked YES, please comple	te items a. to c. below. If answered NO, answer "Not Applicable."
	a. How many trucks does your	company own? Not Applicable
	b. How many trucks does your	company lease? Not Applicable
	c. How many trucks are registe	ered to your company? Not Applicable
Pai	t C: Signature	
Th		hat the information on this form and any attachments, are
	Business Name:	PacRim Engineering
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business
	Printed Name:	Peter Liu
	Title:	Principal
	Date:	December 5, 2014

FORM 5 - DBE AFFIRMATION - DESIGN

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	C0991			
0	- Drainat Nama				
2.	Project Name	Southwestern Yard Project			
	_				
3.	Name of the Prime:	While I can	time 1	mananu	11 46
	_	Walsh Constru	curre	empury.	
4.	Business Address:	1777 Oakfand	Blvd., 5	Suite 300	, Walnut Cree
	-	Street	City	State	Zip 9459
5.	Name of Proposed	DBE Business: PacRim Engine	ering Inc.		
	Business Address:				
6.	233 W. Cerritos Ave		Anaheim City	CA State	92805 Zip
	Structural Engin				
	NAICS: 541330				
Siç co sh do	mmitment by both pa all include the scope	orized representatives of the Offer arties. A formal subcontract agree (s) of work and monetary committed and its company 11,000	ement between t ment referenced	the Offeror and the labove. DBE com	DBE subcontractor
<u></u>	ithorized Signature of B	Resiness	Authorized Signa	ature of DBE Busine	SS
_ (5 Signature of E	4/3/	Peter Liu		
Ty	ped or Printed Name o	f Signee	Typed or Printed	Name of Signee	7,0
	residen	+	Princi	pa /	
(IF	CMTA GA14-98 B NO. C0991) SUED: 08.29.14		3-53	AND FOR	R TO BIDDERS/PROPOSEF MS DESIGN/BUILD (RC-FT M 068A REV. DATE: 06.26.
		4	age 57		

	Principal
Title of Signee	Title of Signee
(925) 627 - 1700	1 714-683-0470 ext 471
Telephone	Telephone
[SIMMS @ walshgroup . com	Thin Clackin Engineering. com
Email //	Email
1/20/15	December 5, 2014
Date	Date

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): RNL Infer 3. Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

9	TOTAL DBE BID PRICE (Col # E + F)	<									\$
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
Ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
D	BID PRICE	\$ 2,245,580									\$2,245,580\$
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	lan, Inc. Architecture									Line #3 above)
А	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	RNL Interplan, Inc.	/								9. TOTAL BID PRICE (Should equal Line #3 above)
		Subs	1.	2.	3.	4.	5.	9.	7.	8.	9. TOI

Page 59

DBE INSTR TO BIDDERS/PROPOSERS

PRO FORM 068A REV. DATE: 06.26.13

AND FORMS DESIGN/BUILD (RC-FTA)

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	rt A: Business Da	ta			
1.	Business Name: RNL In	terplan, Inc.			
2.	Business Address: 333 S	Grand Ave., Suite 1480	Los Angeles	CA	90071
3.	Mailing Address:	Street	City	State	Zip
	(If different from above)	PO. Box or Street Address	s City	State	Zip
4.	County (and State)Business is located in:Lo	os Angeles County		(_CA)
5. 6.	Name of Owner: Owner'(s) EthnicityN/A	RNL is an employee owned owns 89% of the company as y'individuals.	S-Corporation. The Ennd the remaining 11% i	nployee stock s s divided amo	owner ship plan ng 20
7.	Phone: (213)	955 - 9775	_ 9. Email Address: _pa	nt.mckelvey@r	nldesign.com
8.	Fax: (866)	390 - 2616	_ 10. Age of Business:	CA-25 Years	Months
11.	If your business re	equires a license, complete belov	v: 12. Business Annual (CO-58 years Gross Receipts:	
	a. License Type	Architecture	a Less tha		
	b. License #	C21617	c. 🔲 \$1,000,0	0 to \$1,000,000 000 to \$2,000,00	00
	c. Expires on	5/31/15	d.∐ \$2,000,0 e.⊠ Over \$5,	000 to \$5,000,00 ,000,000	00
Pai	rt B: DBE CERTIF	ICATION STATUS			
13.	Is your business c	urrently a DBE?		Yes	No X
	If "YES," attach a	copy of your DBE Certification	n Letter and check all ap	propriate boxes	below:
	b. Certified by an o	California Unified Certification Porganization outside of California	?	DBE	Non-DBE
14.	Is your business c	urrently participating in a Joint Vo	enture?	Yes — his Form.	No ☑
15.	Name of Joint Ven	ture and Partners. Is this busine	ess currently a certified D	BE?	
		ν/		DBE	Non-DBE
	Name of Certifyi	ng Agency			
	b. Business Name Name of Certifyi	ng Agency		_	Ш
				-	
	MTA GA14-98 NO. C0991)		3-50		SIDDERS/PROPOSERS ESIGN/BUILD (RC-FTA)

3-50 Page 60

ISSUED: 08.29.14

c. Business Name Name of Certifying Agency		
DRFs must attach a conv of current cortification		

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued) Page 2 of 2

Part C: Work Descriptions	
16. RFIQ, IFB, or RFP #: C0991- Division 16: Southwes	stern Yard
17. Provide complete description of	scope of work, services, and materials to be performed or furnished ¹ :
Architecture, Interior Design	a, Lighting Design, Sustainable Design.
NAICS: 541310, 54	1320, 541410
18. Will your business provide trucki	ng company services on this project? Please mark one:
a. How many trucks does yourb. How many trucks does yourc. How many trucks are register	company lease?
Part C: Signature	
The authorized signer declares the current, complete and accurate.	hat the information on this form and any attachments, are
Business Name:	RNL Interplan, Inc.
Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business
Printed Name:	Patrick M. McKelvey
Title:	Senior Principal
Date:	_10/24/14

3-52 Page 60

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3.

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

	A	В	C	D	Е	Ŀ	9
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs	WZ Design, Inc.	Civil Engineer	Your business's \$	085'016	ass'att	\$	o85'07 t 5
1.		Þ					
2.							
3.							
4.							
5.							
9.							
7.							
∞.							
9. TO	9. TOTAL BID PRICE (Should equal Line #3 above)	l Line #3 above)	1	086' of th	~88° 07£ \$ 086° 05£8 €	\$	ess'02t \$

Page 63

FORM 4 - BUSINESS DATA SHEET - DESIGN

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	rt A: Business Da	ata				
1.	Business Name:	W2 Design, Inc.				
2.	Business Address: 50 S. I	De Lacey Avenue, Suite 100	Pasadena	CA State	91105	
3.	Mailing Address:	Street	City	State	Zip	
	(If different from above)	PO. Box or Street Address	City	State	Zip	
4.	County (and State	e)Business is located in:	Los Angeles		(_	CA_)
5.	Name of Owner:_	Patrick D. Wong	President		State	
6.	Owner'(s) Ethnici	ty: Asian				
7.	Phone: (626)		9. Email Address: _	pdwong@w2de	esigninc	.com
8.	Fax: (626)	768 - 4137	10. Age of Business:	15Years	7	Months
11.	•	equires a license, complete below: Not Applicable	a. ☐ Less th	an \$500,000		
	b. License #	Not Applicable	c. 🗍 \$1,000,	00 to \$1,000,000 ,000 to \$2,000,00 ,000 to \$5,000,00		
	c. Expires on	Not Applicable		5,000,000	J	
Pai	t B: DBE CERTIF	FICATION STATUS				
13.	Is your business of	currently a DBE ?		$Yes\overline{X}$	No 🗌	3
	If "YES," attach a	a copy of your DBE Certification	Letter and check all a	ppropriate boxes	below:	
	b. Certified by an	California Unified Certification Pro organization outside of California? ying Agency:		DBE X	Non-DBE	!
14.	Is your business of	currently participating in a Joint Ver of the Joint Venture Agreement	nture?	Yes this Form.	No X	
15.		nture and Partners. Is this busines	s currently a certified I		Non-DBE	
		Not Applicable ring Agency				
	b. Business Name	e ring Agency				
(IFB	MTA GA14-98 NO. C0991) UED: 08.29.14	H	3-50 rge 64	DBE INSTR TO BI AND FORMS DES PRO FORM 068A	SIGN/BUILE	(RC-FTA)

c. Business NameName of Certifying Agency	
DBEs must attach a copy of current certification.	



Metro CALIFORNIA UNIFIED CERTIFICATION PROGRAM

March 23, 2010

CUCP #35062 Metro File # 4575 REPRINTED 3/5/14 – NAICS UPDATE

Patrick Wong

W-2 Design Inc.
50 S. DeLacey Ave. #100

Pasadena, CA 91105

RE: Disadvantaged Business Enterprise Certification

Dear Mr. Wong:

NAICS (2007)

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. Your DBE certification status will be honored by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise:

14A1CS (2001)	Description:
541330	Engineering Services (Erosion Control Engineering)
541340	Drafting Services
541611	Administrative Management & General Management Consulting Services
541614	Process, Physical Distribution & Logistics Consulting Services
541690	Other Scientific & Technical Consulting Services

Description

Your DBE certification applies only for the above NAICS 2007 codes. Requests for additional or revised NAICS 2007 codes must be made in writing to Metro. After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. Prior to your firm's DBE certification expiration date, Metro will send a letter to you requesting information necessary to complete this review.

The Regulations also require annual updates during this five-year period. In order to assure continuing DBE status, you must submit annually a DBE Declaration with supporting documentation, in the format that will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until the five-year certification has expired.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Your DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under §26.109 of the Regulations.

Congratulations, and thank you for your interest in the CUCP.

Sincerely,

Shirley Wong

Sr. Certification Representative

Diversity & Economic Opportunity Department

C: | Users | Garcíael | Documents | Cert-Word | 00 - Certification Letters | W-Z Firms | W2 Design Inc. DBE.doc

One Gateway Plaza, Mail Stop 99-13-5, Los Angeles, CA 90012-2952 Ph: 213-922-2600 Fax: 213-922-7660

Small Business Certification Unit

FORM 4 - BUSINESS DATA SHEET - DESIGN (Continued)Page 2 of 2

Par	t C: Work Descriptions	
16.	RFIQ, IFB, or RFP #:IFB No. C09	991
17.	Provide complete description of	scope of work, services, and materials to be performed or furnished ¹ :
	Civil Engineering; Uti	ility Engineering; Stormwater Management.
	NAICS: 541330, 541340, 54	41611, 541614, 541690
18.	Will your business provide trucki	ng company services on this project? Please mark one: Yes No \overline{X}
	If marked YES, please complet	te items a. to c. below. If answered NO, answer "Not Applicable."
	a. How many trucks does yourb. How many trucks does yourc. How many trucks are registe	company lease? Not Applicable
Par	C: Signature	
	authorized signer declares the rent, complete and accurate.	nat the information on this form and any attachments, are
	Business Name:	W2 Design, Inc.
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business
	Printed Name:	Patrick D. Wong
	Title:	President

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 5 - DBE AFFIRMATION - DESIGN

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	IFB No. C0991	3			
	_					
2.	Project Name	Division 16: Southwest	tern Yard			
	_					
3.	Name of the Prime:	Walsh Construction Co	ompany, LLC			j- 6.5
	_					
4.	Business Address:	1777 Oakland Blvd., S	uite 300 Walnut Creek	· .	CA 9	94596
	_	Street	City	State	Zip	
5.	Name of Proposed D	DBE Business: W2 Desi	gn, Inc.			
ô.	Business Address: 50 S. De Lac	ey Avenue, Suite 100	Pasadena	CA	91105	
7.	Total DBE Dollars Co	ommitted: \$ 770, 3	City S Amount listed for this business on F	State orm 1 or Form	∠ıp (3)	
3.		work to be performed by D on System (NAICS) code(s)				n America
	Civil Enginee	ering; Utility Engineering	g; Stormwater Managen	nent.		
	NAICS: 54	41330, 541340, 541611,	541614, 541690			
Sig cor sha doo	nmitment by both part all include the scope(s cument shall be a con-	zed representatives of the ties. A formal subcontract and monetary cordition of contract award.	agreement between the O	fferor and	the DBE subo	contractor
	alsh Construction Co	ompany, LLC	W2 Design, Inc			
	me of Business		Name of DBE Busines	in		
٩ut	horized Signature of Bus	siness	Authorized Signature of		ness	
-	ped or Printed Name of S	Valsh	Patrick D. Wor			
yp gr			Typed or Printed Name	e of Signee		
	resident		President			

3-53 Page 68

Title of Signee	Title of Signee
(925) 627 - 1700	(626) 396-9855
Telephone	Telephone
Telephone Simps & walshgooup. com Email	pdwong@w2designinc.com
Email	Email
1/20/15	November 1, 2014
Date /	Date

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

3-54 Page 69

FORM 1—PROPOSED LIST OF SUBCONTRACTORS AND SUPPLIERS—CONSTRUCTION

TO BE COMPLETED BY OFFEROR

Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the Offers are required to list ALL (DBE and Non-DBE) first-tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women. Completion of this form AND Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Walsh Construction Company II, LLC Offeror's Name: 3 :

\$180,420,699 Total Bid Price:

Bid Due Date: January 22, 2015

2. Project Name: Division 16: Southwestern Yard

9	TOTAL DBE BID PRICE (Col # E + F)		\$176,000	\$932,625		\$6,228,000	\$798,000	\$640,000	\$888,000	\$298,000
ш	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	8								
В	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)		\$176,000	\$932,625		\$6,228,000	\$798,000	\$640,000	\$888,000	\$298,000
D	BID PRICE	\$91,622,889	\$176,000	\$932,625	\$15,123,869	\$38,906,729	\$798,000	\$640,000	\$888,000	\$298,000
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Prime's \$ Amount with its own workforce	S	O	O	O	O	O	O	O
В	DESCRIPTION OF WORK	General Contractor	Flooring	Elevators	Electrical	F&I TPSS, OCS, ATC & Comm Systems	Site Concrete	Proj. Quality Management	QA/QC	Jobs Coordinator
A	NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 st Tier)	Walsh Construction Company II, LLC	Genard, Inc dba Lennova	Excelsior Elevator	Neal Electric Corp.	L.K. Comstock National Transit, Inc.	Alameda Construction Services, Inc.	fS3 Hodges	MTGL, Inc.	Casamar Group LLC
		Offerer	1.	2.	3.	4	5.	9	7.	œί

Tage 70

	A	В	O	O	В	ш	9
	NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 st Tier)	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
9.	RailWorks Track Services, Inc.	Track Work	O	\$12,127,900			
10.	TBD In conformance with the DBE Contracting Plan	TBD	TBD	\$18,906,687	\$18,906,687		
26. T	26. TOTAL BID PRICE (Should equal Line #3 above)	al Line #3 above)	↑	\$180,420,699	\$28,867,312	\$	\$28,867,312

column Dand E Eand E are brokers, enter the total amount of the fees and or commissions charges in column G. If a DBE business is listed to provide ¹ If a RC DBE or RN DBE firm listed in column D and E are regular dealers enter 60% of the bid price in column G. If a RC DBE or RN DBE firm listed in materials/suppliers, only sixty percent (60%) of the cost for materials/supplies will be counted toward the DBE.

DBE INSTR TO BIDDERS/PROPOSERS

AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

FORM 2 - DBE AFFIDAVIT - CONSTRUCTION

TO BE COMPLETED BY OFFEROR ONLY

Part A: DBE GOAL DECLARATION
⊠ RC DBE GOAL ACHIEVED
The Offeror shall demonstrate compliance with the DBE goal by achieving a level of DBE participation greater than or equal to the goal established for RC DBE participation.
The level achieved is
NOTE: Offeror shall identify additional subcontractors (DBE and non-DBE) on Form 1-PROPOSED SUBCONTRACTORS AND SUPPLIERS – CONSTRUCTION (Pro Form 070), no later than ninety (90) days after design packages are approved by Metro's Contracting Officer.
RC DBE GOAL NOT ACHIEVED
The Offeror declares to the best of its knowledge, information and belief that while it made efforts to achieve the RC DBE participation goal, it DID NOT ACHIEVE a level of RC DBE participation greater than or equal to the goal established for RC DBE participation.
The level achieved is percent (%)
While the Offeror did exert efforts to achieve the goal, it was not successful. The Offeror certifies that, if requested, evidence of good faith efforts (GFE) will be submitted within forty-eight (48) hours of Metro's written request.
DO NOT INCLUDE EVIDENCE OF GOOD FAITH EFFORTS WITH BIDS/PROPOSALS Part B: SIGNATURE
Executed on:, 20_15_, at,, 20_15, State
Business Name: Walsh Construction Company II, LLC
Authorized Signature:
Printed Name: Sean C. Walsh
Title: President
E-mail: rsimms@walshgroup.com
Phone: (925) 627-1700

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

IFB/RFP #: C099

Project Name: Division 16: Southwestern Yard

proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

Howeld Constrution Some fuez. Prime's Name.

3. Total Bid Price:

Walsh Construction Company II, LLC

9	TOTAL DBE BID PRICE (COL # E + F)	\$ 798,000									\$ 778,000
Ł	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	₩									€
Е	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	\$ 798,000									\$ 798,000
D	BID PRICE	000 /86£\$									cos 86± s
0	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M= Manufacturer B = Broker T = Trucker	s, &									1
В	DESCRIPTION OF WORK	Court									
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Subs Alameda Constrolos Souces Site (9. TOTAL BID PRICE (Should equal Line #3 above)
		Subs	٦.	2.	რ.	4	5.	9.	7.	ω.	9. TC (Should

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

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AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

DBE INSTR TO BIDDERS/PROPOSERS

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	rt A: Business Dat	a			
1.	Business Name:	Walsh Construction Company	II, LLC		
2.	Business Address:	1777 Oakland Blvd., Ste 300	Walnut Creek	CA	94596
3.	Mailing Address:	Street	City	State	Zip
	(If different from above)	PO. Box or Street Address	City	State	Zip
4.		Business is located in: Contra			(<u>CA</u>)
5.	Name of Owner:	Sean C Walsh	President		
5.	Owner'(s) Ethnicity	r_ Caucasian			
7.	Phone: (925)	627 - 1700	9. Email Address: <u>rs</u>	imms@walsh	group.com
8.	Fax: (925) _	944 - 9860	10. Age of Business:		1 Months
11.	a. License Type	quires a license, complete below: Contractors License A & B	a. ☐ Less tha b. ☐ \$500,00	in \$500,000 0 to \$1,000,000)
	b. License # c. Expires on	982816 4/30/2015		000 to \$2,000,0 000 to \$5,000,0 ,000,000	
Pa	t B: DBE CERTIFI	CATION STATUS			
13.	Is your business cu	urrently a DBE?		Yes	No X
	a. Certified by the	copy of your DBE Certification California Unified Certification Pro	ogram (CUCP)?	propriate boxes DBE	s below: Non-DBE
4.	Is your business cu	ng Agency: urrently participating in a Joint Vel of the Joint Venture Agreement	nture?	Yes his Form.	No X
15.	Name of Joint Ven	ture and Partners. Is this busines	ss currently a certified D		Non DDE
	a.Business Name . Name of Certifyir			DBE	Non-DBE
	b. Business Name	ng Agency			
		ig Agency		_ 🗆	
	Name of Certifying	ng Agency			
	CMTA GA14-98			DBE INSTR TO I	BIDDERS/PROPOSER

Page 73-A

AND FORMS DESIGN/BUILD (RC-FTA)

PRO FORM 068A REV. DATE: 06.26.13

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

Par	t C: Work Descriptions
	RFIQ, IFB, or RFP #: IFB C0991
17.	Provide complete description of scope of work, services, and materials to be performed or furnished ² :
	Design Build General Contractor
	NAICS: 27310
	Will your business provide trucking company services on this project? Please mark one: Yes No X
	If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."
	 a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company?
Par	t C: Signature
	e authorized signer declares that the information on this form and any attachments, are trent, complete and accurate.
	Business Name: Walsh Construction Company II, LLC
	Authorized Signature: Signature of Director, Officer, General Partner or similarly situated Principal of the Business
	Printed Name: Sean C. Walsh
	Title: President
	Date: 1.16.15

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

	art A: Business Data					+1000			
1.	Business Name: Al	ameda Construc	tion Services	, In	nc				
2.	Business Address: 2	528 E 125th St	reet		Compton		CA	9022	2
3.	maining Address.	ame as above Stre			City		State	Zip	
j	(If different from above)		Box or Street Address		City		State	Zip	
4.	County (and State)Bu	isiness is locate	d in:	Ang	geles				CA)
5.	Name of Owner:	vin Ramsey							State
6.	Owner'(s) Ethnicity:_A	Nam African America			Title				
7.	Phone: (310) 63	35 327	7	0 5	Email Address:	kramsey@a	alamedad	construct	ion com
8.	, , ,	35 _ 0562			Age of Business				
11.	If your business requires a. License Type	res a license, co	mplete below:	12.	Business Annua	al Gross R	eceipts:		Months
	b. License #	0423			b. \$500,0 c. \$1,000	000 to \$1,0 0,000 to \$2	000,000	00	
	c. Expires on	9/30/201	15		d. \$2,000 e. × Over \$	0,000 to \$9 5,000,000	5,000,00)	00	
Par	t B: DBE CERTIFICA	TION STATUS						79 - 10 - 10 - 10 - 10 - 10 - 10 - 10 - 1	
13.	Is your business curre	ntly a DBE?				Yes	x	No	
	If "YES," attach a cop a. Certified by the Cali b. Certified by an organ	fornia Unified C					BE	below: Non-DBI	ŧ
	c. Name of Certifying A	nization outside Agency:	of California?		, (000,).		x		
	c. Name of Certifying A Is your business current If "YES," a copy of the	Agency:ntly participating	of California? in a Joint Vente Agreement n	ture?	e be attached to	this Forn	Yes	No ×	
	c. Name of Certifying A	Agency:ntly participating	of California? in a Joint Vente Agreement n	ture?	e be attached to	this Forn	Yes	х	
	c. Name of Certifying A Is your business currer If "YES," a copy of th Name of Joint Venture a. Business Name	Agency:ntly participating e Joint Venture and Partners.	in a Joint Vente Agreement in	ture? nust	e be attached to rently a certified	this Forn	Yes	_	ŀ
15.	c. Name of Certifying A Is your business currer If "YES," a copy of th Name of Joint Venture a. Business Name Name of Certifying A b. Business Name	Agency: ntly participating ne Joint Venture and Partners.	in a Joint Vente Agreement in	ture? nust	e be attached to	this Forn	Yes	х	:
15.	c. Name of Certifying A Is your business currer If "YES," a copy of th Name of Joint Venture a. Business Name Name of Certifying A	Agency: ntly participating ne Joint Venture and Partners. Agency	of California? in a Joint Vente Agreement in list this business	ture?	e be attached to rently a certified	this Forn	Yes	х	:

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

7

CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



January 8, 2008

Mr. Kevin Ramsey Alameda Construction Services, Inc. 2528 East 125th Street Compton, CA 90222

DISADVANTAGED/MINORITY BUSINESS ENTERPRISE (DBE/MBE) RECERTIFICATION APPROVAL CCA - 8516

Dear Mr. Ramsey:

We are pleased to advise you that after careful review of your application and supporting documentation, the City of Los Angeles has determined that your firm meets the eligibility standards to be recertified as a **Disadvantaged/Minority Business Enterprise (DBE/MBE)** as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended.

Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs and the City of Los Angeles DBE/MBE/WBE directory under the following specific areas of expertise that you have identified on the business service form for contracting opportunities:

NAICS Codes	Description
236115	New Single-Family Housing Construction (Except Operative Builders)
236116	New Multifamily Housing Construction (Except Operative Builders)
236210	Industrial Building Construction
238110	Poured Consume Construction
238220	Poured Concrete Foundation and Structure Contractors
238140	Plumbing, Heating, and Air-Conditioning Contractors Masonry Contractors

Your DBE certification is good for five years from the date of this letter and applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the California Department of Transportation's website at www.dot.ca.gov/hq/bep/ and the City of Los Angeles of Los Angeles for review and approval.

After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. You will be notified of the pending DBE status review and any documentation update necessary prior to the expiration date.

City of Los Angeles • Department of Public Works • Bureau of Contract Administration • Office of Contract Compliance - Centralized Certification Section 1149 S. Broadway, Suite 300 • Los Angeles, CA 90015 • Phone (213) 847-1922 • Fax (213) 847-2777

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VACANT PRESIDENT

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ARLEEN P. TAYLOR

ERIC GARCETTI MAYOR JOHN L. REAMER, JR. Inspector of Public Works and Director

BUREAU OF CONTRACT ADMINISTRATION Office of Contract Compliance 1149 S. BROADWAY, SUITE 300 LOS ANGELES, CA 90015 (213) 847-1922

http://bca.lacity.org

July 10, 2013

Mr. Kevin Ramsey Alameda Construction Services, Inc. 2528 East 125th Street Compton, CA 90222

RE: ANNUAL UPDATE OF DISADVANTAGED/MINORITY BUSINESS ENTERPRISE (DBE/MBE) CERTIFICATION File No. - 8516

Dear Mr. Ramsey:

Your Disadvantaged/Minority Business Enterprise (DBE/MBE) Annual Update has been received by the Bureau of Contract Administration, Office of Contract Compliance (OCC). You will be notified when your file is assigned to an analyst for review.

During this waiting period your firm is still certified as a Disadvantaged/Minority/Women Business Enterprise (DBE/MBE/WBE). Section 26.83(h) of Title 49, Part 26 of the Code of Federal Regulations states that a certified firm shall remain certified unless and until its certification is removed for cause.

If you have any questions regarding this matter, please contact the Centralized Certification Administration at (213) 847-2684.

RECEIVED JUL 15 2013

AN EQUAL OPPORTUNITY- AFFIRMATIVE ACTION EMPLOYER
Page 76

CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



July 28, 2014

Mr. Kevin Ramsey Alameda Construction Services, Inc. 2528 East 125th Street Compton, CA 90222

RE: REQUEST FOR ANNUAL UPDATE OF DISADVANTAGED BUSINESS ENTERPRISE (DBE) CUCP File No. - 34242 **CERTIFICATION**

Dear Mr. Ramsey:

Thank you for your participation as a certified Disadvantaged Business Enterprise (DBE). Our records indicate that your firm is due for an annual update in order to continue your status as a DBE with the California Unified Certification Program (CUCP).

The enclosed No Change Declaration has been prepared to comply with the U.S. Department of Transportation's (U.S. DOT) Final Rule for Participation by Disadvantaged Business Enterprises in U.S. DOT Programs, 49 CFR Part 26.

Please complete the No Change Declaration and return it with copies of the following documents by 8/28/2014:

Most recent U.S. Federal Income Tax Return (Form 1040, 1120, 1120S, or 1065) including all schedules for your business and all affiliates.

If there are changes in the firm's disadvantaged status, ownership, control and/or management or any material changes in the information provided previously on the application form, submit a letter and describe any changes in disadvantaged status, ownership, control and/or management of the firm, along with supporting documentation.

Please be thorough with your submission to facilitate the annual update process for your firm in the shortest possible time. Upon receipt and approval, your firm will remain certified as a DBE until you are notified otherwise. Your firm will continue to be listed in the CUCP DBE Database. The Final Rule requires that only firms currently certified as eligible DBE's may participate in the DBE Programs of the CUCP participating agencies.

If you have any questions, please contact Rolando Tuason at (213) 847-2642 or e-mail at rolando.tuason@lacity.org.

MAIL TO: City of Los Angeles

> Department of Public Works **Bureau of Contract Administration**

Office of Contract Compliance - Centralized Certification Administration

1149 S. Broadway, Suite 300

Los Angeles, CA 90015

CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



NO CHANGE DECLARATION

	•					
circumstances affecting its ability to meet the size, disadvantaged Part 121. I/we further declare there have been no material char application for certification, except for any changes about which I/we	that there have been no changes* in <u>Alameda Construction Services</u> , <u>Inc.</u> status, ownership, or control requirements of 49 CFR Part 26 and 13 CFR nges in the information provided with <u>Alameda Construction Services</u> , <u>Inc.</u> e have provided written notice to the City of Los Angeles pursuant to 49 CFR					
§ 26.83(i). I/we declare that I am (or we are) socially disadvantaged because I/we have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of my/our identity as member(s) of one or more of the groups identified in 49 CFR § 26.5, without regard to my/our individual qualities. I/we further declare that my/our personal net worth does not exceed \$1,320,000.00, and that I am (or we are) economically disadvantaged because my/our ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged. In addition, I/we specifically declare that Alameda Construction Services, Inc. continues to meet the Small Business Administration (SBA) business size criteria and the overall gross receipts cap of 49 CFR Part 26. I/we specifically declare that Alameda Construction Services, Inc.'s						
average annual gross receipts (as defined by SBA rules) over the previous three fiscal years do not exceed the SBA size standard pursuant to 49 CFR § 26.65(b). I/we provide the attached size and gross receipts documentation to support this declaration. I/we declare under penalty of perjury that the foregoing is true and correct. ¹						
All owners claiming social and economic disadvantaged status	s must sign this declaration, attach an additional page if necessary					
Executed on August 1, 2014						
Signature	Signature					
Kevin Ramsey, President Printed Name & Title	Printed Name & Title					

If there are changes in the firm's disadvantaged status, ownership, control and/or management or any material changes in the information provided previously in the application form, please submit a letter on the firm's letterhead and describe any changes in disadvantaged status, ownership, control and/or management of the firm, along with supporting documents related to the change.

Please assist us in updating our files by verifying the following information:

Name of Firm: Alameda Construction Services, Inc. File#s: CCA - 8516 CUCP - 34242

Firm Address: 2528 East 125th Street Compton, CA 90222

Mailing Address: 2528 East 125th Street Compton, CA 90222

Telephone# (310) 635-3277 Fax# (310) 635-0562 E-mail Address: kramsey@alamedaconstruction.com

¹ Knowingly and willfully providing false information to the Federal government is a violation of 18 U.S.C. Section 1001 (False Statements) and could subject you to fines, imprisonment or both.

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

Pa	rt C: Work Descriptions	
16.	RFIQ, IFB, or RFP #: C0991 Division 16: Sout	hwestern Yard Operations & Maintenance Facility D/B
17.		scope of work, services, and materials to be performed or furnished ² :
	NAICS: 238110, 238990, 2362	20, 237310
18.	Will your business provide trucki	ng company services on this project? Please mark one: Yes No
	If marked YES, please complete	te items a. to c. below. If answered NO, answer "Not Applicable."
	a. How many trucks does your	company own? Not Applicable
	b. How many trucks does yourc. How many trucks are registe	company lease? Not Applicable pred to your company? Not Applicable
140		
an	C: Signature	
The	authorized signer declares the rent, complete and accurate.	nat the information on this form and any attachments, are
Ju.,	ong complete and accurate.	
	Business Name:	Alameda Construction Service, Inc
	Authorized Signature:	Luin Camu
	Printed Name:	Signature of Director, Office, General Partner of Simples, Simples, Tindpal of the Business Kevin Ramsey
	Title:	President
-	Date:	12/31/2014

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1. 2. 3. 4.	Project Name Name of the Prime:	C0991 Division 16: Southwestern Walsh Construction Compa	Yard		·
3. 4.	Name of the Prime:		Y ard		
4.		Walsh Construction Compa			
	Business Address:		ny II, LLC		
_		1777 Oakland Blvd., Ste 30		CA 94	.596 Zip
5.	Name of Proposed D	DBE Business: Am edd	a Construction	Service	y Inc
6.	Business Address:	2528 E. 125 th	Comp for	1 C4 9	Zip Zip
7.	Total DBE Dollars C	ommitted: \$ 798,000 (Amount should match \$ Amount	unt listed for this business on Form		
8.	Identify the scope of Industry Classification	work to be performed by DBE son System (NAICS) code(s): <h< th=""><th>subcontractor and providentp://www.census.gov/eo</th><th>e applicable No s/www/naics/></th><th>orthern America</th></h<>	subcontractor and providentp://www.census.gov/eo	e applicable No s/www/naics/>	orthern America
Sigi con sha	nmitment by both par all include the scope(s	ized representatives of the Offeties. A formal subcontract agres of work and monetary commit dition of contract award.	ement between the Offer	s below, repres or and the DBE	subcontractor
Nan Auti	Alsh Construction	siness Valsh	Name of DBE Business Authorized Signature of D Typed or Printed Name of	BE Business Am Susignee	Deruce Tre
	Tresident		PRESIDE	ent	
Litle	e of Signee	17	Title of Signee		
19			310 655	/ 1 7 /	
(9 Tolo	25) 627 - ephone	15h group. com	Talanhana	3277	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14 DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3.

Total Bid Price:

Prime's Name: Walsh Construction Company II, LLC

		The same of the sa				_	_	_		_	The second
ŋ	TOTAL DBE BID PRICE (Col # E + F)	\$298,000									\$ 298,000
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	\$ 798,000 \$					8				\$ 278,000
٥	BID PRICE	\$298,800									\$798,000 \$278,000
O	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	Jobs Cardinator									Line #3 above)
٨	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	asama Group, LLC Jobs.	•								9. TOTAL BID PRICE (Should equal Line #3 above)
		sqnS	1.	2.	3.	4.	5.	.9	7.	8.	9. TO

Page 81

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	rt A: Business Da	ta			
1.	Business Name:	CASAMAR GROUP, LLC			
2.	Business Address:				
		23335 ALAMOS LN. Street		CA	91321
3.	Mailing Address:	Sueet	City	State	Zip
	(If different from above)	PO. Box or Street Address	City	State	Zip
4.	County (and State))Business is located in: LOS AN	GELES		(<u>CA</u>)
5.	Name of Owner:	JOE GARCIA, PRINCIPAL			State
6.	Owner'(s) Ethnicity	Name J:_HISPANIC	Title		
7.	Phone: ()	626-255-2957 _	9. Email Address: JGA	RCIA@CASAMA	RGROUP.COM
8.	Fax: ()	661-253-0549 _	10. Age of Business:	7Years	5 Months
11.		quires a license, complete below:			
	a. License Type	N/A	a. Less than		
	b. License #	N/A	b. \$500,000 c. \$1,000,00	to \$1,000,000 00 to \$2,000,00	00
	c. Expires on	N/A	d. ☐ \$2,000,00 e. ☐ Over \$5,0		00
Pai	t B: DBE CERTIF	ICATION STATUS			
13.	Is your business co	urrently a DBE?		Yes	No 🗌
	If "YES," attach a	copy of your DBE Certification	Letter and check all app	ropriate boxes	below:
		.,		DBE	Non-DBE
	a. Certified by the	California Unified Certification Pro	gram (CUCP)?	\checkmark	
		organization outside of California?			
	c. Name of Certifyi	ing Agency: CALTRA	HIVO	Yes	No
14.	Is your business co	urrently participating in a Joint Ver	nture?	res	1
		of the Joint Venture Agreement		is Form.	V
15.	Name of Joint Ven	ture and Partners. Is this busines	s currently a certified DE	BE?	
	a Dusiness No.	N/	'A	DBE	Non-DBE
				_	
	b. Business Name	ng Agency			
		ng Agency		_	
	c. Business Name				
	Name of Certifyi	ng Agency			
1.00	CMTA GA14-98			DDE INOTO TO D	NDDEDO/DDODOGESS
(IFB	NO. C0991) UED: 08.29.14		3-60	AND FORMS DE	BIDDERS/PROPOSERS ESIGN/BUILD (RC-FTA) A REV. DATE: 06.26.13

DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE CALIFORNIA UNIFIED CERTIFICATION PROGRAM

CASAMAR GROUP, LLC

23445 GLENRIDGE DRIVE NEWHALL, CA 91321 Owner: JOSEPH J. GARCIA

Business Structure: LIMITED LIABILITY COMPANY

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

NAICS Code(s) * Indicates primary NAICS code

* 541330 Engineering Services

541910 Marketing Research and Public Opinion Polling

541611 Administrative Management and General Management Consulting Ser

541613 Marketing Consulting Services

541618 Other Management Consulting Services

541620 Environmental Consulting Services

541690 Other Scientific and Technical Consulting Services

C8701

BUSINESS ADMINISTRATION

Work Category Code(s)

C8700 CONSULTANT C8710 ENGINEERING

Licenses

EC Civil Engineer

UCP Firm Number:

DEPARTMENT OF TRANSPORTATION

CERTIFYING AGENCY:

1823 14TH STREET, MS 79 SACRAMENTO, CA 95811 0000

(916) 324-1700

6710

May 28, 2010

ICP OFFICER

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

ar	t C: Work Descriptions	
16.	RFIQ, IFB, or RFP #: <u>C0991</u>	
7.	Provide complete descriptio	n of scope of work, services, and materials to be performed or furnished ² :
	Jobs Coordinator	
	NAICS: 541611, 541618, 541	910
8	Will your husiness provide to	rucking company services on this project? Please mark one: Yes No
	If marked YES, please con	plete items a. to c. below. If answered NO, answer "Not Applicable."
	a. How many trucks does y	your company own?
	 b. How many trucks does y 	our company lease?
	c. How many trucks are re	gistered to your company?
ar	: C: Signature	
he	authorized signer declar	es that the information on this form and any attachments, are
uri	rent, complete and accura	ite.
	Business Name	CASAMAR GROUP, LLC
	Dusiliess Name.	CASAIVIAR GROUP, ELC
	Authorized Signature:	- Species of States
	Printed Name:	Signature of Director Officer, General Partner or similarly situated Principal of the Business Joe Garcia
	Title:	Principal
	Date:	11/20/14
	Date.	1 1120117

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	C0991				
2.	Project Name	Division 16: Southwestern	Yard			
3.	Name of the Prime:	Walsh Construction Comp	any II, LLC			
4.	Business Address:	1777 Oakland Blvd., Ste 3	00, Walnut Creek	CA State	94596 Zip	
5.	Name of Proposed D	DBE Business: Casamar Gr	oup, LLC			
6.	Business Address:	23335 Alamos Ln.	Newhall	CA	91321	
7.	Total DBE Dollars Co	nmitted: \$\frac{331,430.00}{298,000}\$ State Zip (Amount should match \$ Amount listed for this business on Form 1 or Form 3)				
8.	Industry Classification Jobs Coordinate NAICS: 541611, 54		http://www.census.go	ovide applica	able Northern America naics/>	
sha doc Wa	all include the scope(s	zed representatives of the Officies. A formal subcontract agree of work and monetary communition of contract award.	eement between the (Offeror and the ove. DBE co	he DRE subcontractor	
1	e h	//	- He	arcri		
Typ		siness v/sh ignee	Authorized Signature Joe Garcia Typed or Printed Nam		ess	
_/	resident		Principal			
Title	of Signee		Title of Signee			
(9	25) 627 - 1	700	626-255-2957			
	ephone		Telephone			
13	SIMMS & wals	h group. com	jgarcia@casama	argroup.con	n	
Ema	ail		Email			
	1/21/15		11/20/14			
Date	e ′ /		Date			
-						

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SL. PLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: Division 16: Southwest Yard Design-Build (METRO)

No. C0991 IFB/RFP #:

proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

L. K. Comstock National Transit, Inc.

\$38,906,729.00

3. Total Bid Price:

(Sub.):

2. Prime's Name:

Walsh Construction Company II, LLC

.0

Ъ	
В	
D	
C	SUBCONTRACTORS
В	
A	

	4	В	O	٥	Ш	ш	9
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCR	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier¹ M= Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs	L. K. Comstock National Transit, Inc.	F&I TPSS, OCS, ATC & Comms. Systems	Your business' \$ Amount	\$ \$32,678,729.00	\$	\$	\$ \$2,678,729.00
-	TBD	F&I TPSS	၁	\$3,870,000.00	000 048 EEE	TBD	\$3,870,000.00
2.	TBD	F&I OCS Poles	O	\$ 962,000.00	TED 962,000 RD	O TBD	\$ 962,000.00
3.	TBD	F&I Pos. & Neg. Cabling	O	\$ 996,000.00	TEB 996,000	TBD	\$ 996,000.00
4	TBD	F&I OCS PRE-FAB	O	\$ 400,000.00	000 '00/ TEEL	TBD	\$ 400,000.00
5.	TOTAL C	TOTAL COMMITMENT TO BE DBE PARTICIPATION	PARTICIPATION \$	6,228,000.00	3		
9							
7.							
œ							
9. T (Shou	9. TOTAL BID PRICE (Should equal Line #3 above)			\$ \$38,906,729.00	\$38,906,729.00 \$ 6,228,000	€\$	\$ -38,908,729.60
			2		2		6,228,000

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13 DBE INSTR TO BIDDERS/PROPOSERS

3-58

Page 87

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	Part A: Business Data					
1.	Business Name:	L. K. Comstock National Transit,	Inc.		·	
2.	Business Address:	8200 Roberts Drive, Suite 425	Atlanta	Georgia	30350	
3.	Mailing Address: (If different from above)	8200 Roberts Drive, Suite 425 PO. Box or Street Address	Atlanta City	Georgia State	30350 Zip	
4.		Business is located in:				
5.	Name of Owner:	Mark Patterson	President Title		State	
6.	Owner'(s) Ethnicity	Not Applicable				
7.	Phone: (678)	990 - 7579	9. Email Address: mr	oatterson@railwo	orks.com	
8.	Fax: (678).	990 7578	10. Age of Business: _	4 Years	7 Months	
11.	If your business re a. License Type	quires a license, complete below: Class A & C10	a. ☐ Less tha	n \$500,000		
	b. License #	951997	c. 🗍 \$1,000,0	0 to \$1,000,000 000 to \$2,000,000 000 to \$5,000,000		
	c. Expires on	9-30-2016	e. 🗵 Over \$5,			
Pai	t B: DBE CERTIF	ICATION STATUS				
13.	Is your business co	urrently a DBE ?		Yes N	No X	
	a. Certified by the b. Certified by an o	copy of your DBE Certification California Unified Certification Pro organization outside of California? ing Agency:	gram (CUCP)?		pelow: Non-DBE	
14.	Is your business co	urrently participating in a Joint Ver	nture?	Yes is Form.	No X	
15.	Name of Joint Ven	ture and Partners. Is this busines	s currently a certified D			
	a. Business Name	ng Agency			Non-DBE	
	b. Business Name			_ 🗆		
	c. Business Name	ng Agency		- _		

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

Part C: Work Descriptions						
16. RFIQ, IFB, or RFP #: <u>C0991 - Division 16: Southwes</u>	st Yard Design-Build (METRO)					
17. Provide complete description of	scope of work, services, and materials to be performed or furnished ² :					
Design, procure, install, test & c	ommission HV AC / Traction Power Substation, DC Switchgear, OCS,					
Automatic Train Control & Com	munications Systems.					
NAICS: 238210						
18. Will your business provide trucki	ng company services on this project? Please mark one: Yes No X					
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."						
a. How many trucks does your company own? Not Applicable						
	b. How many trucks does your company lease? Not Applicabel					
c. How many trucks are registe	ered to your company? <u>Not Applicable</u>					
Part C: Signature	建设的建设设施的					
current, complete and accurate.	hat the information on this form and any attachments, are					
Business Name:	L. K. Comstock National Transit, Inc.					
Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
Printed Name:	Mark Patterson					
Title:	President					
Date:	January 8, 2014 2015					
	MP					

3-62 Page 89

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub):
 Total Bid Price:

Excelsion Flevalors
\$932,625

Prime's Name: Walsh Construction Company II, LLC

	Q (25									5
9	TOTAL DBE BID PRICE (Col # E + F)	529'288 \$									\$ 932,625
ъ	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
Ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	\$ 327,628 252,628									932,625 \$ 832,625
Ο	BID PRICE	932,625									932,625
C	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	Eleva Tors									l Line #3 above)
٨	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Exalsion									9. TOTAL BID PRICE (Should equal Line #3 above)
7		Subs	1.	2.	3.	4.	5.	.9	7.	8.	9. TO

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pa	art A: Business Data			derica 11123
1.	Business Name: EXCECSIOR EURATOR			_
2.	Business Address: 1961 BLACE AVE SAWA AND STREET	A CA State	92705	
3.	Mailing Address: (If different from above) PO. Box or Street Address City	State	Zip	
4.	County (and State)Business is located in:		(CA	_)
5.	Name of Owner: LINA ROUGH PRESIDENT	T	State	
6.	Owner'(s) Ethnicity: Ascaw			
7.	Phone: (949) <u>757 - [688</u> 9. Email Address: <u>L</u>	INACEXU	es corecevi	ATOR CO
8.	Fax: (949) <u>757 - 1689</u> 10. Age of Business:		s Mon	ths
11.	. If your business requires a license, complete below: 12. Business Annual C	Gross Receipts	s:	
	a. License Type a Less tha		0	
	b. License # <u>+33576</u> c. 🗓 \$1,000,0	0 to \$1,000,00 00 to \$2,000,0 00 to \$5,000,0	000	
	c. Expires on M+2CH 31, 2016 e. ☐ Over \$5,		000	
Pai	rt B: DBE CERTIFICATION STATUS			
13.	. Is your business currently a DBE?	Yes⊠	No 🗌	
	a. Certified by the California Unified Certification Program (CUCP)? b. Certified by an organization outside of California? c. Name of Certifying Agency:	propriate boxe DBE	s below: Non-DBE	
14.	. Is your business currently participating in a Joint Venture? If "YES," a copy of the Joint Venture Agreement must be attached to the	Yes — nis Form.	No X	
15.	. Name of Joint Venture and Partners. Is this business currently a certified DI		N DDE	
	a. Business Name	_ DBE	Non-DBE	
	b. Business Name			
	Name of Certifying Agency	procedure of the latest terminal termin		
	c. Business NameName of Certifying Agency	_		
	, , ,			

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14



CALIFORNIA UNIFIED CERTIFICATION PROGRAM



October 24, 2014

CUCP #33783 Metro File # 3042

Lina Rough

Excelsior Elevator Corp.

1961 Blair Ave.

Santa Ana. CA 92705

RE: Disadvantaged Business Enterprise Certification

Dear Mrs. Rough:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	Description
238290	Other Building Equipment Contractors
811310	Commercial and Industrial Machinery and Equipment Repair and Maintenance

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP's website at www.californiaucp.org. Any additions and revisions must be submitted to Metro for review and approval.

In order to ensure your continued DBE status, you are required to submit an annual No Change Declaration Form (which will be sent to you) along with supporting documentation. If no changes are noted, then your DBE status remains current. If there are changes, Metro will review to determine continued DBE eligibility. Please note, your DBE status remains in effect unless Metro notifies you otherwise.

Should any changes occur that could affect your certification status prior to receipt of the No Change Declaration Form, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Failure to submit forms and/or change of information will be deemed a failure to cooperate under Section 26.109 of the Regulations.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. Should you have any questions, please contact us at 213-922-2600. For information on Metro contracting opportunities, please visit our website at www.metro.net.

Sincerely,

Tina Giles-Potter

Certification Consultant - SBEUS

Diversity & Economic Opportunity Department

Kil Approval Letters\DBE Approval Aug. 2014\Excelsion Elevator Corp...dox

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

Pai	: Work Descriptions	King
16.	FIQ, IFB, or RFP C 099 (
17.	ovide complete description of scope of work, services, and materials to be performed or furnished ² :	
	ELEVATOR INSTALLATION	
	AICS: 238290	
18.	Il your business provide trucking company services on this project? Please mark one: Yes No	
	narked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."	
	How many trucks does your company own? How many trucks does your company lease?	
	How many trucks are registered to your company?	
Par	Signature	対に
	uthorized signer declares that the information on this form and any attachments, are it, complete and accurate.	
	siness Name: EXCESSIOR EUROPATOR	
	thorized Signature: Signature of Director, Officer, General Pagner or similarly situated Principal of the Business	
	nted Name: LINA ROUGH	
	e: PRESIDENT	
	te: 1/15/15	

DBE INSTR TO BIDDERS/PROPOSERS

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number: C 0991						
2.	Project Name South WESTER	en YARD					
3.	Name of the Prime: Walsh Construction	Company II, LLC					
	Business Address: 1777 Oakland Blusiness Address:	City Ste 300 Walnut Crack, CA 94596					
5.	Name of Proposed DBE Business: EXCES(OR ELEVATOR					
6.	Business Address: 1961 BLACE AVE	City State Zip					
7.	Total DBE Dollars Committed: \$ 932,625 (Amount should match \$ Amount	listed for this business on Form 1 or Form 3)					
	. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): http://www.census.gov/eos/www/naics/						
	ELEVATOR INSTALLATION						
	NAICS: 23 8 29 0						
	rmation:	5551					
Sigr	natures of the authorized representatives of the Offeron nmitment by both parties. A formal subcontract agreen	r and the DBE business below, represents the					
shal	Il include the scope(s) of work and monetary commitm						
doc	ument shall be a condition of contract award.						
a	Ish Construction Company II, LLC	SAME OF DEE Business					
van	le di Business	value of DBE business					
Auth	norized Signature of Business	Authorized Signature of DBE Business					
_	ean C. Walsh	LINA ROLLGH					
Гуре		Typed or Printed Name of Signee					
1	President	PRESIDENT					
-		Title of Signee					
	25) 627 - 1700	949 - 757 - 1688					
	. 0 //	[elephone					
Ema		INAPEXCESCOCECEVATOR.COM					
_1110	1/21/15	1/15/15					
Date		Date					

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Division 16 SW Yard Operations and Maintenance

IFB/RFP #:_C0991

Project Name:

	All subc Conscic include: proposa	All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority	e subcontracting work are ance of this project. RC L Americans, Native Americ act goal. Metro will achie	requested to list ALL (I BEs are ethnic groups ans, Hispanic Americar we a percentage of its c	DBE and Non-DBE) low that have been identificate, and Subcontinent A syverall goal utilizing rac	re requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups icans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or ieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-min	Offerors are required to nificantly underutilized. RC DBEs listed in the Contral (RN) DBEs in th	utilize Race These groups Offeror's bid or nclude non-minority
	Compl	women. Completion of this form and Form 4 (Business Data Sheet)	dusiness Data Sheet) fu	ulfills the requirement	s of the California Su	fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.	acting Fair Practices	Act.
	1. Sul (Sub.):	1. Subcontractor fs3 Hodges Sub.);		2	2. Prime's Name:	Walsh Coust	Walsh Construction Company II. L	77.46
**	3. Tot	3. Total Bid Price:	000'oh					/ A
		A	В	0	D	Е	Ł	g
Pa		NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M= Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
90	Subs	453/ Hody cs	Paj. Quality Mant	Your business' \$ Amount	000 019	\$ 640,000	-	\$ 140,000
2 9	-	7))					
siors	2.							
raci	რ							
uoo	4						,	7.
oduS	5.							
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rer 1	7.							
νοη	ω.							
	9. T (Shoul	9. TOTAL BID PRICE (Should equal Line #3 above)		1	200'049\$	000'049	€	\$ 640,000

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	rt A: Business Data			
1.	Business Name: fs3 Hodges			
2.	Business Address: 50 Calle de la Luna	San Clemente	CA	92673
3.	Mailing Address: (If different from above) PO. Box or Street Address		State	Zip
4.	County (and State)Business is located in: Orar	ige		(<u>CA</u>)
5.	Name of Owner: Fred Saldana, President	Title		State
6.	Owner'(s) Ethnicity: Hispanic			
7.	Phone: (714) <u>864</u> _ <u>8186</u>	_ 9. Email Address: garrett@	fs3h.co	m
8.	Fax: (949) 218 7363	_ 10. Age of Business: 3	_Years	3 Months
11.	If your business requires a license, complete below	r: 12. Business Annual Gross R	eceipts:	
	a. License Type	a. Less than \$500		
	b. License #	b. \$500,000 to \$1, c. \$1,000,000 to \$ d. ✓ \$2,000,000 to \$	2,000,000	
	c. Expires on	0 05 000 00		,
Par	rt B: DBE CERTIFICATION STATUS			
	rt B: DBE CERTIFICATION STATUS Is your business currently a DBE?	Yes	s √ 1	No 🗌
			_	
	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P b. Certified by an organization outside of California	n Letter and check all appropriations of the community of	e boxes b	
13.	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P	n Letter and check all appropriate rogram (CUCP)? ? enture?	te boxes in the bo	pelow:
13.	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P b. Certified by an organization outside of California c. Name of Certifying Agency: Is your business currently participating in a Joint V	n Letter and check all appropriate rogram (CUCP)? ? enture? t must be attached to this For	te boxes in the bo	pelow: Non-DBE No No
13.	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P b. Certified by an organization outside of California c. Name of Certifying Agency: Is your business currently participating in a Joint V If "YES," a copy of the Joint Venture Agreement Name of Joint Venture and Partners. Is this busine a. Business Name	n Letter and check all appropriate rogram (CUCP)? ? enture? t must be attached to this Foresess currently a certified DBE?	te boxes in the bo	pelow: Non-DBE
13.	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P b. Certified by an organization outside of California c. Name of Certifying Agency: Is your business currently participating in a Joint V If "YES," a copy of the Joint Venture Agreement Name of Joint Venture and Partners. Is this busines a. Business Name Name of Certifying Agency b. Business Name	n Letter and check all appropriate rogram (CUCP)? ? enture? t must be attached to this Foresess currently a certified DBE?	te boxes in the bo	pelow: Non-DBE No No
13.	Is your business currently a DBE? If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification P b. Certified by an organization outside of California c. Name of Certifying Agency: Is your business currently participating in a Joint V If "YES," a copy of the Joint Venture Agreement Name of Joint Venture and Partners. Is this busines a. Business Name Name of Certifying Agency	n Letter and check all appropriate rogram (CUCP)? ? enture? t must be attached to this Foresess currently a certified DBE?	te boxes in the bo	pelow: Non-DBE No No

DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE CALIFORNIA UNIFIED CENTIFICATION PROGRAM

FS3, INC.

50 CALLE DE LA LUNA SAN CLEMENTE, CA 92673 Owner: FRED SALDANA

Business Structure: CORPORATION

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

NAICS Code(s) * Indicates primary NAICS code

* 236220 Commercial and Institutional Building Construction

541611 Administrative Management and General Management Consulting Ser

Work Category Code(s)

714 CONSULTANT, PROJECT MANAGEMENT/ BI

Construction Management - Commercial and Insti

Licenses

B General Building Contractor

CERTIFYING AGENCY:

LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)

ONE GATEWAY PLAZA

LOS ANGELES, CA 90012 0000 (213) 922-2600

11

UCP Firm Number:

August 30, 20

CUCP OFFICER

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.

#: C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B 17. Provide complete description of scope of work, services, and materials to be performed or furnished ² : CPM Scheduling, QA/QC, and other project management support services NAICS: 236220 18. Will your business provide trucking company services on this project? Please mark one: Yes No If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? Part C: Signature The authorized signer declares that the information on this form and any attachments, are current, complete and accurate. Business Name: Authorized Signature: Principal Principal	
16. RFIQ, IFB, or RFP #: C0991 Division 16: South	western Yard Operations & Maintenance Facility D/B
NAICS: 236220	
If marked YES, please complete a. How many trucks does your b. How many trucks does your	e items a. to c. below. If answered NO, answer "Not Applicable." company own? company lease?
16. RFIQ, IFB, or RFP #.C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B 17. Provide complete description of scope of work, services, and materials to be performed or furnished?: CPM Scheduling, QA/QC, and other project management support services NAICS: 236220 18. Will your business provide trucking company services on this project? Please mark one: Yes No If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks does your company lease? c. How many trucks are registered to your company? Part C: Signature The authorized signer declares that the information on this form and any attachments, are current, complete and accurate. Business Name: Authorized Signature: Fs3 Hodges Authorized Signature: Signature of Director, Officer, General Partner or similarly situated Principal of the Business Garrett Terlaak	
The authorized signer declares the current, complete and accurate.	
S. RFIQ. IFB, or RFP #: C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B 7. Provide complete description of scope of work, services, and materials to be performed or furnished?: CPM Scheduling, QA/QC, and other project management support services NAICS: 236220 3. Will your business provide trucking company services on this project? Please mark one: Yes \[\] No \[\] If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? art C: Signature the authorized signer declares that the information on this form and any attachments, are current, complete and accurate. Business Name: Authorized Signature: Printed Name: Fignature of Director, Officer, General Partner or similarly situated Principal of the Business Garrett Terlaak Principal 20 IAN15	
### C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B Provide complete description of scope of work, services, and materials to be performed or furnished? CPM Scheduling, QA/QC, and other project management support services NAICS: 236220 Will your business provide trucking company services on this project? Please mark one: Yes \[\] No \[\] If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? Int C: Signature The authorized signer declares that the information on this form and any attachments, are authorized signer declares. Fignature: Fignature: Printed Name: Fignature of Director, Officer, General Partner or similarly situated Principal of the Business Garrett Terlaak Principal 20 IAN15	
### C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B Provide complete description of scope of work, services, and materials to be performed or furnished?: CPM Scheduling, QA/QC, and other project management support services NAICS: 236220 Will your business provide trucking company services on this project? Please mark one: Yes \[\] No \[\] If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable." a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company? **TC: Signature** Business Name: Authorized Signature: Printed Name: Title: Principal 20 IAN15	

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

C0001

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:					
2.	Project Name	Division 16 SW Yard	Operations and Main	tenance		
3.	Name of the Prime:	Walsh ConTru	Tron Compan	y II, L	4	
4.	Business Address:	1777 Oak/an	1 R1 1	state	, Walnut C	scek,
5.	Name of Proposed	DBE Business: fs3 H	odges			
6.	Business Address:	50 Calle de la Luna	San Clemente	CA	92673	
7.	Total DBE Dollars C	Committed: \$ 640,	eatch \$ Amount listed for this bu	State siness on Form 1 or Fo	Zip orm 3)	
8.		f work to be performed lon System (NAICS) cod				America
	QA/QC, CPM Sche	duling, other Project Ma	anagement Support			
	NAICS: 236220					entractor
Sig cor sha	mmitment by both par all include the scope(rized representatives of rties. A formal subcontr s) of work and monetary ndition of contract award	ract agreement between y commitment reference	en the Offeror ar	nd the DBE subcor	ntractor
Wa	Ish Construction	onlaw TI LLC	fs3 Hodges	;		
	me of Business		Name of DBE	Business		
Rut	thorized Signature of Bu	usiness	Authorized Si	gnature of DBE B	usiness	
5	ean C. Wals	-6	Garrett Ter	rlaak		
	ped or Printed Name of		Typed or Prin	ted Name of Sign	ee	
	Preciolent		Principal			
Titl	e of Signee		Title of Signe	e		
19	25) 627 -1	700	714.864.8			
Tel	lephone	700	Telephone			
-	Simms @ wal	Sharoup COM	garrett@f	s3h.com		
Em		The state of the s	Email			
	1/21/15	•	20JAN15	5		
Da	te /		Date			

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS Project Name: Division 16: Southwestern Yard

C0991 IFB/RFP #:

proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Senard 3. Total Bid Price: 1. Subcontractor

d ba Lennova

Walsh Construction Company II, LLC

2. Prime's Name:

	4	В	O	٥	В	Н	Ŋ
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M= Manufacturer B = Broker T = Trucker	BID	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs	Subs General alba Comover	Flooring	Your business' \$ Amount	\$ 176,000	\$ 176,000	€	\$/76,000
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2.							
₆							
4							
5.							
9							
7.							
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9. T	9. TOTAL BID PRICE (Should equal Line #3 above)			\$ 176,000 \$ 176,000	\$ 176,000	€9.	\$/76,000

100

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

3-58

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	rt A: Business Data		(1894年18月1日)	
1.	Business Name: <u>Genard</u> , Ivc. dba Len	novA		
2.	Business Address: 10612 Midway Are. Course	tus, G.	90703	3
3.	Mailing Address: (If different from above) Street ABOVE PO. Box or Street Address City	State	Zip	
4.	County (and State)Business is located in: Los ANGELES	,	(_	1
5.	Name of Owner: Leonard PRTZ FIZES. /	CED	Sta	ate
6.	Owner'(s) Ethnicity: HISPANIC			
7.	Phone: (562) 860 - 3213 9. Email Address: L	eonard @	Lennova	ne-
8.	iness Name: Genard Juc. Genard Juc. Genard G			
11.	a. License Type	an \$500,000 0 to \$1,000,000 000 to \$2,000,0	00	
Da				
		Vac	No 🗆	(野田飯) (日本)
10.	If "YES," attach a copy of your DBE Certification Letter and check all ap	propriate boxe	s below:	
	 a. Certified by the California Unified Certification Program (CUCP)? b. Certified by an organization outside of California? c. Name of Certifying Agency. 	XX XX		
14.	Is your business currently participating in a Joint Venture?		No X	
15.	. Name of Joint Venture and Partners. Is this business currently a certified D			
	a. Business Name	DBE	Non-DBE	
	b. Business Name Name of Certifying Agency			
	c. Business Name			
LAC	CMTA GA14-98	DBE INSTR TO I	BIDDERS/PROPO	OSERS

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14 DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

3-60



Metro CALIFORNIA UNIFIED CERTIFICATION PROGRAM



July 9, 2014

CUCP #37702 Metro File # 4876

Leonard Ortiz

Genard Inc., dba Lennova
10612 Midway Ave.
Cerritos, CA 90703

RE: Disadvantaged Business Enterprise Certification

Dear Mr. Ortiz:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	Description	
238330	Flooring Contractors	
23840	Tile and Terrazzo Contractors	
237310	Highway, Street and Bridge Construction	
236220	Commercial and Institutional Building Construction	
238390	Other Building Finishing Contractors	

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP's website at www.californiaucp.org. Any additions and revisions must be submitted to Metro for review and approval.

In order to ensure your continued DBE status, you are required to submit an annual No Change Declaration Form (which will be sent to you) along with supporting documentation. If no changes are noted, then your DBE status remains current. If there are changes, Metro will review to determine continued DBE eligibility. Please note, your DBE status remains in effect unless Metro notifies you otherwise.

Should any changes occur that could affect your certification status prior to receipt of the No Change Declaration Form, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Failure to submit forms and/or change of information will be deemed a failure to cooperate under Section 26.109 of the Regulations.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

C: | Users | wongs | AppData | Local | Microsoft | Windows | Temporary Internet Files | Content Outlook | 02P1OOCC | Genard dba Lennova.doc

Congratulations, and thank you for your interest in the DBE program. Should you have any questions, please contact us at $\underline{213-922-2600}$. For information on Metro contracting opportunities, please visit our website at $\underline{www.metro.net}$.

Sincerely,

Fina Giles-Potter

Certification Consultant - SBEUS

Diversity & Economic Opportunity Department

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

Part C: Work Descriptions
16. RFIQ, IFB, or RFP C 099 1
17. Provide complete description of scope of work, services, and materials to be performed or furnished ² :
HOORING
NAICS: 238330
18. Will your business provide trucking company services on this project? Please mark one: Yes No
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."
a. How many trucks does your company own?
b. How many trucks does your company lease?
c. How many trucks are registered to your company?
Part C: Signature
The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.
Business Name: Sendydy, Inc. dba LennovA
Authorized Signature: Signature of Director, Officer, General Partner or similarly situated Principal of the Business
Printed Name: 10M Gehova
Title: VP Sales & Marketing
Date:

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	RFP/IFB Number:	C0971			
2.	Project Name	DIV 16	Southwes Terr	Yard	
3.	Name of the Prime:	Walsh Construction C	ompany II, LLC		
4.	Business Address:	1777 Oakland Blvd., Str	e 300, Walnut Creek	CA 94	4596
5.	Name of Proposed		d. Ivc. do	a Lenno	
6.	Business Address:	18/2 Mid	way Ave, (Cevitos to	CA. 90703
7.	Total DBE Dollars (John Milled.	Amount listed for this business on	Form 1 or Form 3)	
8.		on System (NAICS) code(s):			nern America
	-	100 ring			
	NAICS: 22	,8330			
Sig cor sha	mmitment by both pa all include the scope(rized representatives of the C rties. A formal subcontract a s) of work and monetary com ndition of contract award.	greement between the C	Offeror and the DBE s	ubcontractor
W.	me of Business	ton Company I, L	Name of DBE Busine	Evc. dba	Lennar
1	To or Business		(/all st		
Aut	thorized Signature of Bi	usiness	Authorized Signature	of DBE Business	
5	can C. W.	w/sh	TONY G	enova	
Тур	oed or Printed Name of	Signee	Typed or Printed Nam	ne of Signee	
	President		VP Sale	& & Marke	thing
Title	é of Signee		Title of Signee	- 0 0	
9	25) 627-	1700	362-86	0-3213	
Tel	ephone	//	Telephone	1	1
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Em	1 /21/15	0	Email / 1 7	0715	
Dat	te / e / is		Date	10	

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14 DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub): 3.

Total Bid Price:

200

Prime's Name: Walsh Construction Company II, LLC

				T		T	T	T	T		
ŋ	TOTAL DBE BID PRICE (Col # E + F)	\$ 888, 000									\$ 888,000
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
Ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	\$ 388 poo									
۵	BID PRICE	> #888,000 \$ 888,000									\$ 888,000 \$ 888,000
U	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									1
В	DESCRIPTION OF WORK	004/QC									Line #3 above)
٧	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	M766, Inc.									9. TOTAL BID PRICE (Should equal Line #3 above)
		sqnS	1.	2.	3.	4.	5.	6.	7.	8.	9. TO

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FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Pai	t A: Business Data	1								
1.	Business Name: _	MTGL, I	nc.							
2.	Business Address:	2992 E. I	a Palma Ave.,	Suite	A	Anaheim		CA	92806	
3.	Mailing Address: _		Street			City		State	Zip	
	(If different from above)		PO. Box or Stree		ge Cour	City		State	Zip	C7
4.	County (and State)			Orang	ge Cour	icy			(CA)
5.	Name of Owner: _	Mari	.anne Sierra			Owner				
6.	Owner'(s) Ethnicity:	Hispa								
7.	Phone: (714) _	632	2999		9. Em	ail Address:	mland	on@mtgli	nc.com	
8.	Fax: (714) _	632	_ 2974		10. A	ge of Busines	ss: _21	Years	s2	Months
11.	If your business req a. License Type		ense, complete	below:	12. B	a. Less	than \$50			
	b. License #		***************************************			c. \(\begin{array}{c} \$300 \\ c. \(\begin{array}{c} \$1,00 \\ d. \(\begin{array}{c} \$2,00 \\ end{array}	00,000 to	\$2,000,0	000	
	c. Expires on					e. Over			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Par	t B: DBE CERTIFIC	CATION S	TATUS							
13.	Is your business cur	rrently a D	BE?				Υ	es X	No 🗌	
	If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below: DBE Non-DBE a. Certified by the California Unified Certification Program (CUCP)? b. Certified by an organization outside of California? c. Name of Certifying Agency: N/A - only Service CA						I			
14.	Yes No Is your business currently participating in a Joint Venture? If "YES," a copy of the Joint Venture Agreement must be attached to this Form.									
15.	Name of Joint Ventu	ure and Pa	rtners. Is this I	busines	s curre	ntly a certifie	d DBE?			_
	a. Business Name		I/A		. 19			DBE	Non-DBI	-
	Name of Certifying b. Business Name									
	Name of Certifying c. Business Name Name of Certifying									

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14



CALIFORNIA UNIFIED CERTIFICATION PROGRAM



October 16, 2012

CUCP #33843 Metro File # 1199

Marianne Sierra MTGL Inc. 2992 E. La Palma Ave. Suite A Anaheim, CA 92806

RE: Disadvantaged Business Enterprise Certification

Dear Ms. Sierra:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	<u>Description</u>	Size Standard
541380	Testing Laboratories	\$14 million
541620	Environmental Consulting Services	\$14 million

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP website at www.californiaucp.org. Any additions and revisions must be submitted to Metro for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration form (which will be sent to you) with supporting documentation. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. I wish you every business success and should you have any questions, please contact us at <u>213-922-2600</u>. For information on Metro contracting opportunities, please visit our website at <u>www.metro.net</u>.

Sincerely

Shirley Wong

Certification Representative

Diversity & Economic Opportunity Department

C:|Documents and Settings[garciael]My Documents | 00 - MTA Files | CERTIFICATION | Cert-Word | 00 - Shirley Wong | M Firms | MTGL Inc. DBE.doc

Small Business Certification Unit
One Gateway Plaza, Mail Stop 99-8-4, Los Angeles, CA 90012-2952
Ph: 213-922-2600 Fax: 213-922-7660

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

ivision 16: Southwestern Yard							
f scope of work, services, and mate	Provide complete description of scope of work, services, and materials to be performed or furnished ² :						
Assurance. Materials Testing ar	nd Special Inspection Services						
11330							
that the information on this form	and any attachments are						
MTCI Tro	. and any diadrinional, are						
MTGL, Inc.							
MTGL, Inc.							
MTGL, Inc.	artner or similarly situated Principal of the Business						
MTGL, Inc. Signature of Director, Officer, General P.							
	king company services on this projecte items a. to c. below. If answers company own? If company own? If company lease? Itered to your company?						

FORM 5 - DBE AFFIRMATION - CONSTRUCTION

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1.	REP/IEB Number:								
2.	Project Name	Division 16: Southwestern Yard							
3.	Name of the Prime:	Walsh Const	Walsh Construction Company II, LLC						
4. Business Address: 1777 Oakland Blvd., Suite 300, Walnut Creek CA 94596									
		Street		City		State	Zip		
5.	Name of Proposed D	BE Business:_	MTGL, Inc.						
6.	Business Address:	2992 E. La I	Palma Ave., Sui	te A	Anaheim	CA	92806		
		Street		City		State	Zip		
7.	Total DBE Dollars Co		nt should match \$ Amo	unt listed	for this business o	on Form 1 or Form	3)		
8.	Identify the scope of Industry Classificatio						able Northern America		
	Quality Control /								
	- Quartey control /	Quality Abbui			ing and bpe	ciai inspec	eron services		
Sig cor sha	irmation: Inatures of the authoric Inmitment by both part Include the scope(s Include the scope)	ies. A formal s) of work and m	subcontract agre nonetary commit	ement	between the	Offeror and t	the DBE subcontractor		
a	sh Construction	Carpany	II LLC	MTGL	, Inc.				
Nai	me of Business	1//		Name	of DBE/Busin	iesis /			
	$l \sim e \omega l$				Slew	Kul-			
Aut	horized Signature of Bus	siness		Authorized Signature of DBE Business					
5	ean C. Wal	sh		Stev	en Koch				
Тур	ed or Printed Name of S	ignee		Type	or Printed Na	me of Signee			
1	resident			Sen	or Vice Pre	sident			
Title	e of Signee			Title o	f Signee				
9	25) 627 - 17	200		(714) 632-2999				
Tel	ephone	. ,	Yang	Telep	hone				
1	SIMMS@ wals	haroup.	COM	sko	ch@mtglinc.	com			
Em	ail / /			Email					
	1/21/15			11/1	2/14				
Dat	_ /			Date					
-				Date					

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Subcontractor (Sub):

Total Bid Price:

Wed Electric Corp

Prime's Name: Walsh Construction Company II, LLC

ŋ	TOTAL DBE BID PRICE (Col # E + F)	\$									\$
ш	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	\$									\$
ш	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)										\$
Q	BID PRICE	678'521'5/\$									\$ 15,123,869 \$
U	SUBCONTRACTORS C = Consultant or contractor S = Supplier ¹ M=Manufacturer B = Broker T = Trucker	Your business's \$									
В	DESCRIPTION OF WORK	Electrical									Line #3 above)
A	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	Neal Electro Corp.									9. TOTAL BID PRICE (Should equal Line #3 above)
		Subs	1.	2.	3.	4.	5.	.9	7.	8.	9. TO

Page 111

DBE INSTR TO BIDDERS/PROPOSERS

AND FORMS DESIGN/BUILD (RC-FTA)

PRO FORM 068A REV. DATE: 06.26.13

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	t A: Business Da	ata			
1.	Business Name:	Neal Electric Corp.			
2.	Business Address	13250 Kirkham Way	Poway	California	92064
3.	Mailing Address: (If different from above)	Same Street PO. Box or Street Address	City	State	Zip
4.	County (and State	e)Business is located in:Sa	n Diego County		(_CA)
5.	Name of Owner:	Alex Meruelo, CFO Meruel	o Enterprises, Inc.		State
6.	Owner'(s) Ethnici	ty: Hispanic			
7.	Phone: (858)	513-2525	9. Email Address: al	ex@meruelogro	oup.com
8.	Fax: (858).	513-9488	10. Age of Business:	30 Years	5 Months
11.	If your business ra. License Type	equires a license, complete below A,B, C-10, C-7	a. Less th	an \$500,000	
	b. License #	802588	c \$1,000,	00 to \$1,000,000 ,000 to \$2,000,00	00
	c. Expires on	December 31, 2015	d.	,000 to \$5,000,00 5,000,000	00
Pai	t B: DBE CERTIF	FICATION STATUS			Motor at the state of the state
13.	Is your business	currently a DBE ?		Yes	No X
	If "YES," attach a	a copy of your DBE Certificatio	n Letter and check all a	ppropriate boxes	below: Non-DBE
	a. Certified by the California Unified Certification Program (CUCP)? b. Certified by an organization outside of California?				
14.	c. Name of Certifying Agency: Yes No 4. Is your business currently participating in a Joint Venture? If "YES," a copy of the Joint Venture Agreement must be attached to this Form.				
15.	Name of Joint Ve	enture and Partners. Is this busine	ess currently a certified		
		eNot Applicable ying Agency		DBE	Non-DBE
	b. Business Name	e			\mathbf{x}
		ying Agency			
	c. Business Name Name of Certify	eying Agency			$[\mathbf{x}]$

(IFB NO. C0991) ISSUED: 08.29.14

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION (Continued)

Page 2 of 2

Par	t C: Work Descriptions						
16.	RFIQ, IFB, or RFP #: <u>C0991</u>						
17.	Provide complete description of s	cope of work, services, and materials to be performed or furnished ² :					
	Installation of electrical distribution equipment, electrical lighting, electrical conduit, wire						
	and electrical conduit ductb	eanks.					
	NAICS: 2012 NAICS: 23821	0 - Electrical contractors and other wiring installation contractors					
18.	Will your business provide trucking company services on this project? Please mark one: Yes No X						
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicate a. How many trucks does your company own? b. How many trucks does your company lease? c. How many trucks are registered to your company?							
Par	t C: Signature						
	e authorized signer declares the rent, complete and accurate.	at the information on this form and any attachments, are					
	Business Name:	Neal Electric Corp.					
	Authorized Signature:	Signature of Director, Officer, General Partner or similarly situated Principal of the Business					
	Printed Name:	Daniel Zupp,					
	Title:	President/COQ					
	Date:	01/19/2015					

FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: Division 16: Southwestern Yard

IFB/RFP #: C0991

proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

(Sub.):

RailWorks Track Services, Inc. \$ 12,127,900

2. Prime's Name:

Walsh Construction Company II, LLC

0)		۵	O	۵	ш	L	O
U)			SUBCONTRACTORS	(0)		- 5 1	
	NAME OF SUBCONTRACTOR AND ALL I OWER TIER	DES	C = Consultant Or Contractor S = Supplier	BID PRICE	BID PRICE FOR RC DBE FIRMS	BID PRICE FOR RN DBE FIRMS	TOTAL DBE BID PRICE
	SUBCONTRACTORS	WORK	M= Manufacturer B = Broker T = Trucker		(Race Conscious participation)	(Race Neutral Participation)	(COL#E+F)
Subs	Subs RailWarks Track Souces Track Work	Track Work	Your business' \$ Amount	\$12,127,900	6	₩.	₩
- -							
2.							
က်							
4.							
5.							
o.							
7.							
ωi							
9. TO	9. TOTAL BID PRICE			\$ 12,127,900 \$	6	€9	€

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14

9

Lower Tier Subcontractors

age

3-58

DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION

Page 1 of 2

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Par	rt A: Business Data			
1.	Business Name: RailWorks Track Services, I	nc.		
2.	Business Address: 12740-B Lakeland Rd.	Santa Fe Springs	CA State	90670 Zip
3.	Mailing Address: (If different from above) PO. Box or Street Addre	ss City	State	Zip
4.	County (and State)Business is located in: Los	s Angeles		(<u>CA</u>)
5.	Name of Owner: RailWorks Corporation	Corporation Title		State
6.	Owner'(s) Ethnicity: NA			
7.	Phone: (562) <u>356</u> - <u>3350</u>	9. Email Address: _jry	hal@railworl	ks.com
8.	Fax: (562) <u>698</u> - <u>0066</u>	10. Age of Business:	52 Years	Months
11.	If your business requires a license, complete belo a. License Type $\ \underline{Class\ A}$		\$500,000	
	b. License # <u>987265</u>		00 to \$2,000,0	00
	c. Expires on <u>09/30/2015</u>			
Par	rt B: DBE CERTIFICATION STATUS			
13	la verir husiness surrently a DDEO		Yes	No X
	Is your business currently a DBE ?		. 00	NO A
	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification F b. Certified by an organization outside of California	Program (CUCP)? a?	_	401 0110
	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification F	Program (CUCP)? a? Venture?	ropriate boxes DBE	s below:
14.	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification R b. Certified by an organization outside of Californi c. Name of Certifying Agency: Is your business currently participating in a Joint N	Program (CUCP)? a? Venture? nt must be attached to the	ropriate boxes DBE Yes is Form.	Non-DBE Noo No X
14.	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification R b. Certified by an organization outside of Californi c. Name of Certifying Agency: Is your business currently participating in a Joint V If "YES," a copy of the Joint Venture Agreeme Name of Joint Venture and Partners. Is this busin a.Business Name	Program (CUCP)? a? Venture? nt must be attached to the ness currently a certified DE	ropriate boxes DBE Yes is Form.	Non-DBE
14.	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification R b. Certified by an organization outside of Californi c. Name of Certifying Agency: Is your business currently participating in a Joint N If "YES," a copy of the Joint Venture Agreeme Name of Joint Venture and Partners. Is this busin a. Business Name Name of Certifying Agency b. Business Name	Program (CUCP)? a? Venture? nt must be attached to the ness currently a certified DE	ropriate boxes DBE Yes is Form.	Non-DBE Noo No X
14.	If "YES," attach a copy of your DBE Certification a. Certified by the California Unified Certification of the Certified by an organization outside of Californic Name of Certifying Agency: Is your business currently participating in a Joint of the Yes," a copy of the Joint Venture Agreeme Name of Joint Venture and Partners. Is this busin a Business Name Name of Certifying Agency	Program (CUCP)? a? Venture? Int must be attached to the ness currently a certified DE	ropriate boxes DBE Yes is Form.	Non-DBE Noo No X

LACMTA GA14-98 (IFB NO. C0991) ISSUED: 08.29.14 DBE INSTR TO BIDDERS/PROPOSERS AND FORMS DESIGN/BUILD (RC-FTA) PRO FORM 068A REV. DATE: 06.26.13

Part C: Work Descriptions	
16. RFIQ, IFB, or RFP #:	<u> </u>
17. Provide complete descriptio	on of scope of work, services, and materials to be performed or furnished ² :
RailWorks will be respon	nsible for the furnishing and installation of all track work which
will include all track and	special track work.
3 3 20 3	
NAICS: 237990	
18. Will your business provide t	rucking company services on this project? Please mark one: Yes No X
If marked VES inlease cor	nplete items a. to c. below. If answered NO, answer "Not Applicable."
il illarkeu 123, piease coi	I A
a. How many trucks does	
b. How many trucks does	
c. How many trucks are re	egistered to your company?
Part C: Signature	
The authorized signer declar current, complete and accur	res that the information on this form and any attachments, are ate.
Business Name:	RailWorks Track Services, Inc.
Authorized Signature:	Signature of Director, Officer, General Perpher or similarly situated Principal of the Business
Printed Name:	Edward R. Kennedy
Title:	President
Date:	January 19, 2015

DBE Contracting Plan

Introduction and Purpose of DBE Contracting Plan

Because the project has received federal assistance funding from the U.S. Department of Transportation (DOT) it must comply with 49 CFR Part 26, "Participation by Disadvantaged Business Enterprise in DOT Programs" and DOT Part 23, which provides assistance to DBE firms in overcoming bonding, financing, technical assistance and administrative services. The Los Angeles County Metropolitan Transportation Authority (Metro) has established DBE contract goals of 20% of the design allowance and 16% of the construction allowance for the Division 16: Southwestern Yard Project (Project).

The Walsh Construction Company II, LLC (Walsh) DBE Contracting Plan for using DBE subcontractors on the Project, which will be subject to review and approval by Metro, is provided below.

Walsh DBE Goal Commitment

Walsh is dedicated to enhancing the growth and development of local DBE firms by providing contracting opportunities on projects. This dedication is demonstrated by the committed 20% DBE participation we have aleady achieved for design. the following DBE contracting plan describes the planned efforts for meeting the 16% construction DBE goal and includes a description of good faith efforts Walsh intends to undertake to achieve the goals.

The objectives of the Contracting Plan include demonstrating good faith efforts by:

- Ensuring non-discrimination in the award and administration of DOT-funded contracts
- Creating a level playing field in which DBEs can compete fairly for DOT-funded contracts
- Tailoring the DBE Program in accordance with applicable law
- Verifying that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs
- Assisting with removing DBE participation barriers on DOT-funded contracts
- Assisting with the development of firms that can compete successfully in the market outside the DBE Program

Specifically, this DBE Contracting Plan includes the following elements as required by the IFB:

- Identification of the DBE Liaison Officer
- Estimated monetary design and construction commitments and availability
- Monthly Work Breakdown Structure (WBS) and Summary of Required Monthly Status Reports
- Race neutral outreach measures
- Subcontractor delivery method for each element or package of work

DBE Liaison Officer

Walsh has designated Joe Garcia of the Casamar Group as the DBE Liaison Officer. In this capacity, Joe will be responsible for implementing and monitoring the requirements of Walsh's DBE Program and interfacing with Metro on DBE issues. Specific responsibilities will include:



- Keeping the DBE Program up-to-date with the current business environment and latest revisions to applicable federal regulations, and ensuring the DBE program is responsive to and complies with regulations, including 49 CFR Part 26
- Gathering and reporting statistical data and other information as required
- Working with design professional services and construction team members to determine projected annual anticipated DBE participation level
- Maintaining a directory that identifies firms eligible to participate as DBEs, and helping firms applying for DBE certification
- Ensuring that bid notices and requests for proposals are available to DBEs in a timely manner, and monitoring results of solicitations
- Identifying contracts /procurements and assessing viability for race-neutral or race-conscious contractspecific goal application
- Participating in pre-bid meetings
- Advising Metro on DBE matters and achievement
- Providing DBEs with information and assistance in preparing bids, obtaining bonding and insurance.
- Organizing and facilitating various workshops for DBEs to ensure they receive appropriate guidance to increase their firm capacity
- Providing outreach to DBEs and community organizations to advise/inform firms of potential opportunities

Walsh, in collaboration with its DBE Liaison Officer, will employ aggressive good faith efforts from the pre-award phase through construction completion to meet established DBE goals. This includes the following activities:

- Coordinating pre-bid meetings to inform DBEs of contracting and subcontracting opportunities
- Holding meetings for DBE contracting community to discuss specific upcoming work packages and opportunities
- Advertising major subcontracting opportunities in general circulation, trade association and minorityfocused media
- 4. Distributing timely written and electronic solicitations to bid to all certified DBE firms with capabilities pertinent to the scope of work. These written solicitations will specify the sub bids required.
- Conducting workshops on estimating to familiarize interested contractors with bid requirements
- 6. Implementing workshops for awarded DBE firms in the areas of PLA, labor compliance and project administrative form completion.

- Assigning onsite project management staff to provided technical and operational assistance to DBE subcontractors
- 8. Ensuring at least one multi-lingual staff member is on the jobsite to help subcontractors and employees participate in the project
- 9. Selecting portions of the work that DBE firms could perform to increase the likelihood that the DBE goals would be achieved. Where appropriate, this includes breaking out contract work items into economically feasible units to facilitate DBE participation.
- Making select portions of work normally selfperformed by Walsh available to qualified DBE firms when possible
- Providing adequate information about the plans, specifications and contract requirements to interested DBE firms.
- 12. Negotiating in good faith with interested DBE firms. Evidence will include the names, addresses and phone numbers of DBE firms that were considered, a description of the information provided regarding the plans and specifications for the work selected for subcontracting, and a statement as to why additional agreements could not be reached to secure performance of the work by DBE firms.
- Ensuring DBE firms are not deemed unqualified without sound reasons based on a thorough investigation of their capabilities
- 14. Supplying the names of subcontractors that were selected over rejected DBE firms listed on bid documents and the reasons for that choice
- 15. Making efforts to help interested DBE firms obtain bonds, lines of credits and/or insurance to comply with Metro's or Walsh's requirements.
- Making efforts to help interested DBE firms obtain necessary equipment, supplies, materials or related assistance or services
- 17. Remaining sensitive to the prompt payment of DBE subcontractors by including prompt payment provisions in all-tiered contract agreements
- 18. Using the services of available community organizations; DBE contractors' groups; local, state and federal DBE business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in recruiting and placing DBE firms.
- 19. Documenting Walsh efforts to receive a response from the DBE to whom invitations to bid were extended and, as requested, supplying the names and dates of all certified DBEs solicited and the dates and methods used for following up on the initial solicitation to determine with certainty whether the DBE firms were interested. Copies of letters and supporting documentation would be included.



- 20. Supplying appropriate documentation, such as copies of newspaper ads, bid solicitation letters and telephone logs
- 21. Making good faith efforts to replace a DBE firm that is terminated or has failed to complete its work on a contract

The DBE Liaison Officer will also be responsible for monitoring the DBE Performance Plan and achieving the DBE goals by ensuring the following activities are completed:

- Submit monthly DBE utilization reports and a final DBE utilization report once the project is complete.
- Provide DBE progress reports Metro
- Conduct DBE workshops as required
- Provide construction contract monitoring
- Provide monthly DBE Utilization Report
- Ensure prompt progress payment of DBE Firms
- Provide final DBE Utilization Report

Subcontractor Estimated Commitments: Design and Construction

Walsh is actively committed to promoting the inclusion of DBE firms in the Project's design and construction and in meeting the Project's established goals as evidenced in the already committed 20% design participation.

Commitments made to date are shown in the tables below and on Pro Form 068 Form 1, 3 and 5—design and construction.

1 DBE - Design Estimate Subcontractor Committments

Scope	Committed Subcontractor	DBE \$ Design
Electrical Engineering	C& J Techincal	\$260,210
Structural Engineer	Pac Rim	\$690,250
Civil & Utility Engineer	W2 Design	\$770,380
Geotechnical Engineering	Diaz Yourman	\$275,000
	20% DBE Design Commitment	\$1,995,840



2 DBE - Construction Estimate Subcontractor Committments

Scope	Commited Subcontractor	DBE \$ Construction
Flooring	Genard, Inc dba Lennova	\$176,000
Elevators	Excelsior Elevator	\$932,625
F&I TPSS, OCS, ATC & Comm Systems	L.K. Comstock National Transit, Inc.	\$6,228,000
Site Concrete	Alameda Construction Services, Inc.	\$798,000
Proj. Quality Management	fS3 Hodges	\$640,000
QA/QC	MTGL, Inc.	\$888,000
DBE Liaison Officer	Casamar Group LLC	\$298,000
TBD*	TBD*	\$18,906,687
	16% DBE Construction Commitment	\$28,867,312

^{*}DBE commitment will be achieved through the scopes identified in Table 3. In addition, the commitment will be made within 90 days of approved final design per the requirements on Form 2.



Construction: Anticipated/Available Opportunities

Walsh is committed to seeking additional DBE opportunities post Notice of Award. During the IFB phase, we reached out to the DBE community through outreach events, advertised in local papers, emailed the Metro DBE certified list of DBE entities and met one-on-one with interested subcontractors to discuss project details, scope, pricing and opportunities on our team. These efforts helped determine how we will attain the DBE goals for this project. The table below provides information on scope of work and anticipated DBE contract opportunities.

Subcontractor Construction Commitments & DBE Anticipated

Type of Work	Self- Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Compettive Process	DBE Anticipated
CONCRETE REINFORCEMENT			Х	Χ
CAST-IN-PLACE CONCRETE	X(PARTIAL)		χ	X (PARTIAL)
CONCRETE UNIT MASONRY			χ	X (PARTIAL)
STRUCTURAL STEEL			χ	X (PARTIAL)
ROUGH CARPENTRY			χ	All the state of t
FINISH CARPENTRY			Х	
PULTRUDED FIBERGLASS GRATING			Х	
DAMP PROOFING			χ	
METAL WALL PANELS			Х	
ELASTOMERIC MEMBRANE ROOFING			X	X
JOINT SEALANTS			χ	
HOLLOW METAL DOORS AND FRAMES			X	
OVERHEAD COILING DOORS			Х	
ALUMINUM WINDOWS AND FRAMES			X	X (PARTIAL)
GYPSUM BOARD AND BACKER UNITS			Х	
FLOOR AND WALL TILE		X		Χ
RESILIENT FLOORING		X		Χ
ACCESS FLOORING			χ	
PAINTING			χ	
SIGNS			χ	
PLASTIC TOILET COMPARTMENTS			χ	
WIRE MESH PARTITIONS			Х	
WALL PROTECTION (EXPO)			χ	
TOILET ACCESSORIES			χ	
FIRE EXTINGUISHERS AND CABINETS			Х	
METAL LOCKERS	44, 34		Х	
STORAGE EQUIPMENT			χ	



Type of Work	Self-Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Compettive Process	DBE Anticipated
HAZMAT STORAGE BUILDINGS			Х	
FLAGPOLES (NO SPEC)			X	
BIRD DETERRENT DEVICES			Х	
FABRICATED EQUIPMENT			χ	
LOADING DOCK EQUIPMENT			Х	
RESIDENTIAL APPLIANCES (NO SPEC, APPENDIX D)			X	
FALL PROTECTION EQUIPMENT			χ	
ROLLER WINDOW SHADES (EXPO)	10 A		Х	
ENTRANCE FLOOR MATS AND FRAMES (EXPO)			χ	
SITE FURNISHING			X	
BICYCLE PARKING			χ	
HYDRAULIC PASSENGER ELEVATORS		X		Χ
BASIC FIRE SUPPRESSION SYSTEM REQUIREMENTS			Х	
BASIC PLUMBING REQUIRE- MENTS			X	X (PARTIAL)
BASIC MECHANICAL REQUIRE- MENTS - HVAC SYSTEMS			Х	X (PARTIAL)
BASIC ELECTRICAL MATERIALS AND METHODS - ELECTRICAL		X		X (PARTIAL)
UTILITIES	X (PARTIAL)			X(PARTIAL)
MONITORING - WELLS			χ	χ
SECURITY			χ	χ
LANDSCAPING			χ	Χ
FENCING			Х	Х
SURVEY			Χ	χ
FLATWORK		χ		Χ
QCM		Χ		χ
QC TESTING		Χ		Χ
DBE LIAISON		X		χ
TRUCKING			Χ	X (PARTIAL)
FURNISH AGG/BALLAST			χ	X (PARTIAL)
CONC PUMPING			Χ	X (PARTIAL)
SWPP			Χ	χ
WATER TRUCK			Х	Х
PORTABLE TOILETS			χ	Χ
COPY SUPPLIES			Х	χ
REPROGRAPHICS			χ	χ

Type of Work	Self- Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Compettive Process	DBE Anticipated
PROJECT STAFF	X (PARTIAL)			X (PARTIAL)
CLEANING			Х	X (PARTIAL)
SITE FENCE			X	X
SCHEDULING CONSULANT			χ	Х
TRACKWORK		Χ		Company of the
EARTHWORK	Χ			
CLEARING AND GRUBBING	χ			
DRAINAGE/UNDERDRAIN	X (PARTIAL)			X (PARTIAL)
INSTALL AGG/BALLAST	χ			
DUCT BANK	X (PARTIAL)			X (PARTIAL)
TRAFFIC CONTROL	χ			
TRACTION POWER & COMM		Х		X (PARTIAL)
HOISTS AND CRANES			χ	Χ





Monthly Work Breakdown Structure (WBS) and Provisional Work Status Reports

Walsh is committed to providing METRO with transparent and ongoing updates to our subcontracting plan. As such, we have provided our preliminary WBS that reflects the correlation between Walsh and all of our subcontractors at all tiers. This WBS will serve as the basis of our monthly report submitted to Metro to track work (see Table 4).

Our DBE Liaison will work within our Project Controls department and directly with our Business and Contracts Manager to advance the monthly WBS after Notice of Award; we will begin submitting after Notice to Proceed.

Walsh will provide a monthly provisional sum work status report that reflects DBE subcontract and performance, which will include provisional sum items per Schedule of Quantities and Prices Form Schedule C - Provisional Sums, amounts requested and amounts approved.

¥ 4 WBS Preliminary

	Prime	DBE or Non	1st Tier Subcontractors	DBE or Non	2nd Tier Subcontractors	DBE or Non
1.0	Walsh	Non DBE				
1.1			MDG	Non DBE		
1.1.1		4 .0			Ambient Energy	Non DBE
1.1.2					AVS Engineers	Non DBE
1.1.3					Burns	Non DBE
1.1.4					C& J Techincal	DBE
1.1.5					HDR	Non DBE
1.1.6					Nabih Youssef	Non DBE
1.1.7				8.8.8.82	Pac Rim	DBE
1.1.8					RNL	Non DBE
1.1.9					W2 Design	DBE
1.2			Diaz Yourman	DBE		1
1.3			Genard, Inc dba Lennova	DBE		
1.4			Excelsior Elevator	DBE		
1.5			L.K. Comstock National Transit, Inc.	Non DBE		
1.5.1					TBD - DBE	DBE
1.5.2					TBD - DBE	DBE
1.6			Alameda Construction Services, Inc.	DBE		
1.7			fS3 Hodges	DBE		
1.8			MTGL, Inc.	DBE		
1.9			Casamar Group LLC	DBE		

Race Neutral Outreach Measures

- Provide technical assistance and training on construction-related topics to assist all small businesses, including DBEs
- Conduct business communication and outreach activities to increase small business participation
- Make copies of the CUCP, DGS and Metro Directory available to mini-primes
- 4. Ensure compliance to prompt payment specifications
- Track DBE participation on federally assisted contracts, including monitoring the Commercially Useful Function of DBEs
- Host construction pre-bid and outreach meetings; encourage all businesses to attend and facilitate networking among potential bidders
- 7. Provide information on where to obtain assistance with surety bonding and liability insurance
- 8. Implement a Mentor Protégé Program between miniprimes and small businesses
- Maintain a directory that identifies firms eligible to participate as DBEs; help firms apply for DBE certification
- Ensure that bid notices and requests for proposals are available to DBEs in a timely manner
- Identify contracts /procurements and assess viability for race-neutral or race-conscious contract-specific goal application

Subcontractor Delivery Method (Best Value)

In making decisions on which construction packages Walsh will self-perform, we will evaluate the risks inherent in the body of work, effective management of material, and equipment resources. Our decision to self-perform is then balanced with our our subcontracting philosophy to provide as many opportunities as possible for small local DBEs that help ensure a cohesive project.

To get the best value for the best price, Walsh will tailor subcontractor packages that are conducive to the size and strengths of qualified subcontractors. We will make our selections based on a number of factors, including:

- Quantity of work subcontractor will self perform
- Emerging business status
- Past performance
- Past experience with Metro
- Experience with similar work
- Number of bid packages Sub is pursuing
- SB/DBE certification
- Bonding capacity
- Bid price

Another key factor in determining what work we will self-perform relates to scopes that will assist us in the direct control of resource allocation and enable us to manage construction activities on the critical path of the schedule. In the packaging phase after contract award, we will determine the division of work between Walsh and potential subcontractors.

Subcontractor packages will be assigned to take advantage of each firms' unique capabilities. Our packaging plans will facilitate competitive bids from highly qualified subcontractors.



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Price Bid





CONTRACT NO. IFB NO. C0991 DIVISION 16: SOUTHWESTERN YARD SCHEDULEOF QUANTITIES AND PRICES FORM

		SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'A' BASE WORK	'A' BAS	SE WOF	×	
ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
SCHED	SCHEDULE 'A' BASE WORK	ЯК				
Suppo	ort Facilities: Yar	Support Facilities: Yards, Shops, Administration Buildings (SCC 30)				
Н	30.03	Supporting Shops/Offices/Employee Facilities (1 st & 2 nd Flr.) Bldg. (B-01) and Equipment	1	SI	N/A	\$17,199,464.00
2	30.03	Truck/Car Repair/S&I/Blow-down/Wheel True Pit (1 st Flr.) Bldg. (B-02) and Equipment	н	L.S	N/A	\$22,039,446.44
က	30.03	Control Tower (3 rd Flr.) Bldg. (B-03) including Console	1	LS	N/A	\$1,616,010.00
4	30.04	Carwash Bldg. (B-04) Including Equipment	1	LS	N/A	\$4,288,190.00
2	30.04	Material Storage Bldg. (B-06) Including Equipment	1	LS	N/A	\$2,051,360.00
9	30.04	Cleaning Platform Area (B-07) Including Equipment	1	LS	N/A	\$1,657,410.00
7	30.04	Paint and Body Shop Bldg. (B-08) and (B-09) Including Equipment	1	LS	N/A	\$4,981,437.00
8	30.05	Yard and Yard Track	Н	LS	N/A	\$15,836,764.00

Sitewo	rrk & Special Cα	Sitework & Special Conditions (SCC 40):	!			
9	40.01	Demolition, Clearing and Earthwork	1	LS	N/A	\$4,434,240.00
10	40.02	Site Utilities, Utility Relocation	1	LS	N/A	\$3,400,000.00
11	40.05	Guard House & Main Entrance Gate (B-11)	1	SI	N/A	\$416,731.00
12	40.06	Landscape / Hardscape	1	ST	N/A	\$2,490,459.00
13	40.08	Mobilization — Construction	1	ST	N/A	\$14,205,194.00
14	40.08	General Requirements	1	ST	N/A	\$16,836,583.00
15	40.08	120-day Schedule, Baseline Schedule and Current Schedule Update	1	ΓS	N/A	\$584,460.00
16	40.08	Quality Insurance and Quality Control	1	ST	N/A	\$2,924,740.00
17	40.08	Approval of As-built Drawings	1	ΓS	N/A	\$800,000.00
18	40.08	Insurance Liability	1	LS	N/A	\$6,215,028.00
Systen	Systems (SCC 50)					
19	50.01	Train Control System & Signals. Bldgs. (B-13) and (B-14)	1	SI	N/A	\$16,975,953.00
20	50.03	Traction Power System Substation (TPSS). Bldg. (B-05)	1	ΓS	N/A	\$13,022,505.00
21	50.04	Traction Power Distribution (TPD) Catenary—Emergency Generator and Pad—(B-10)	1	ST	N/A	\$1,147,283.00
22	50.04	Traction Power Distribution (TPD) Catenary—DWP Service Site with Metering—(B-12) and (East Service)	Н	rs	N/A	\$997,697.00
23	50.05	Communications	1	SI	N/A	\$9,803,964.00

SCHEDULE OF QUANTITIES AND PRICES
PRO FORM 036
REVISION DATE: 07.01.09

SCHEDULE OF QUANTITIES AND PRICES	REVISION DATE: 07.01.09
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Profe	ssional Service	Professional Service Systems (SCC 80)				
24	80.02	Mobilization—Design	н	LS	N/A	\$11,285,781.00
25	80.02	Final Design	П	LS	N/A	\$10,000,000.00
			SCHED	ULE 'A'	- SUBTOTAL	SCHEDULE 'A' - SUBTOTAL \$185,210,699.44
i	7 -1 -1 -0 -1		:	•		مؤور مناسست مناور والمناور وال

The items in Schedule 'A' are included by LACMTA as part of the Total Contract Price and contract award to cover specified Work.

Legend: CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum,

SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

		SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'B' OPTIONS	JLE 'B' OI	PTIONS		
ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST.	FIN	UNIT PRICE	TOTAL PRICE
SCHEC	SCHEDULE 'B' OPTIONS	SN				
3.3.1	10.12	Optional Test Track	н	SJ	N/A	\$1,739,332.00
3.3.2	30.03	Optional Wheel Truing Machine	н	S	N/A	\$2,860,154.00
3.3.3	30.04	Optional Cleaning Platform Canopy				
		A) —Base Platform Canopy — 200 Foot Length	н	SJ	N/A	\$313,928.00
		B)—Full Platform Canopy – Additional 100 Foot Length	н	LS	N/A	\$162,624.00
3.3.4	30.04	Optional Cleaning Platform—Full Length (Additional 100 Feet)	Н	SJ	N/A	\$348,525.00
3.3.5	30.04	Optional Full Build-Out of Storage Tracks	Н	SJ	N/A	\$1,729,899.00
3.3.6	30.04	Deductive Option, Paint and Body Shop	н	SJ	N/A	-\$4,777,163.00
				СНЕВО	SCHEDULE 'B' TOTAL	\$2,377,299.00
The ite	ms in Schedule 'I	The items in Schedule 'B' are included by LACMTA and will be evaluated with the total price, but will not be part of the contract award unless the	III not be pa	art of the	contract award	unless the

option(s) are exercised. LACMTA will determine if any or all of the options will be exercised at award of this contract.

Legend: CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

5-11

		SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'C' PROVISIONAL SUMS	ROVISI	ONAL	SMNS	
ITEM	STANDARD COST		EST.			
Š.	3	DESCRIPTION	QTY.	UNIT	UNIT PRICE	TOTAL PRICE
SCHEI	SCHEDULE 'C' PROVISIONAL SUMS	IONAL SUMS				
П	40.03	Hazardous Material / Contaminated Soil Removal	1	PS	ST	\$2,000,000.00
2	40.08	Safety's First Incentive Program SP-24	1	PS	ST	\$180,000.00
ო	40.08	Partnering SP-30	1	PS	ST	\$240,000.00
4	40.08	Disputes Review Board SP-58	1	PS	รา	\$160,000.00
2	40.08	Support of Special Events	1	PS	ST	\$80,000.00
9	40.08	Requests from Third Parties	1	PS	ST	\$975,000.00
7	40.08	Incremental Community Improvement	1	PS	ΓS	\$260,000.00
∞	60.01	Approved Removal of Unknown Right-of-Way Encroachments Determined by Design-Build Survey	2	PS	\$40,000	\$80,000.00
6	50.05	Repair or Replacement of Metro-furnished Goods Damaged on or Before Furnishing to the Design-Builder	1	PS	SI	\$50,000.00
10	50.05	Allowance for Spare Parts, Special Tools and Materials	1	PS	SI	\$1,000,000.00

\$5,210,000.00	SCHEDULE 'C' SUBTOTAL	EDULE 'C	SCH			
\$60,000.00	SI	PS	1	Supply of AF track circuits, cab loops and software platform protocol specific to the southbound, northbound leads and optional test track. Refer to Document 2-1, 5.13.4.1.E	40.08	13
\$50,000.00	SJ	PS	П	Document 2-1 Supplemental Work	40.08	12
\$75,000.00	SJ	PS	1	Miscellaneous Materials and Equipment for Systems Integration and Testing as Directed by LACMTA	50.05	11

Schedule 'C' are not fully implemented, the Contract Price will be reduced by the unused amount in each line item. Contractor shall not be paid any of the The Provisional Sums in Schedule 'C' are amounts included by LACMTA as part of the Total Contract Price and contract award to compensate contractor Schedule 'C' amounts, except for amounts authorized and released by LACMTA in writing, in accordance with the Special Provisions Article entitled for such work that may be necessary during performance of the Work. In the event that the programs or Work contemplated by the line items in **Provisional Sums.**

Legend: CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

TTEM COST CO		- ·	SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'D' OVERHEAD COMPENSATION	SHEAD	COMP	ENSATION		
that is both Excusable and Compensable, as ral Conditions Article EXTENSION OF TIME, that ce to Proceed and the first 420 calendar days that is both Excusable and Compensable, as ral Conditions Article EXTENSION OF TIME, that order day 421 and calendar day 1,311. SCHEDULE 'D' SUBTOTAL	ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	TINO		TOTAL PRICE	
Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between Notice to Proceed and the first 420 calendar days Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between calendar day 421 and calendar day 1,311. SCHEDULE 'D' SUBTOTAL	SCHEI	JULE 'D' OVERH	HEAD COMPENSATION					
Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between calendar day 421 and calendar day 1,311. SCHEDULE 'D' SUBTOTAL	1	40.08	Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between Notice to Proceed and the first 420 calendar days	09	8	\$0.00	\$0.00	
	2	40.08	Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between calendar day 421 and calendar day 1,311.	06	CD	\$0.00	\$0.00	
				SCHE	DOLE 'D	' SUBTOTAL	\$0.00	

The items in Schedule 'D' will be evaluated with the total price, but will not be part of the contract award. Contractor shall not be paid any or all of the Schedule 'D' amount, except for amounts released by LACMTA through Contract Modifications for Excusable and Compensable Delays.

Legend: CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

		SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'E' UNIT PRICES	SCHEDULE	'E' UNIT	PRICES	
ITEM	STANDARD COST					
NO.	CATEGORY	DESCRIPTION	EST. QTY.	TINO	UNIT PRICE	TOTAL PRICE
SCHEDUL	SCHEDULE 'E' UNIT PRICES	CES				
П	40.08	Daily Standby	20	Day	\$1,200.00	\$24,000.00
7	40.03	Incremental Costs for Segregation of Potentially Contaminated Soils	1,000	TONS	\$12.79	\$12,790.00
3	40.03	Incremental Costs for Hauling and Disposing RCRA Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$189.33	\$189,330.00
4	40.03	Incremental Costs for Hauling and Disposing RCRA Hazardous Waste Soils (1,001 to 10,000 tons)	000′6	TONS	\$189.33	\$1,703,970.00
5	40.03	Incremental Costs for Hauling and Disposing Non-RCRA, California Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$84.33	\$84,330.00
9	40.03	Incremental Costs for Hauling and Disposing Non-RCRA, California Hazardous Soils (1,001 to 10,000 tons)	000′6	TONS	\$84.33	\$758,970.00
7	40.03	Incremental Costs for Hauling and Disposing Non- Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$84.33	\$84,330.00
×	40.03	Incremental Costs for Hauling and Disposing Non- Hazardous Waste Soils (1,001 to 10,000 tons)	000′6	TONS	\$84.33	\$758,970.00
o	40.03	Cost for Investigation, Permitting, Cleaning, Removal, Transportation and Disposal of Underground Storage Tanks, Including Cost for Collection and Analysis Required Soil Sampling and Closure Reports	ო	EA	\$25,000.00	\$75,000.00

SCHEDULE OF QUANTITIES AND PRICES PRO FORM 036 REVISION DATE: 07.01.09

10	40.03	Abandon Existing Groundwater/Soil Evaporation Monitoring Wells	8	EA	\$8,757.73	\$70,061.84
11	40.03	Relocate Existing Groundwater/Soil Evaporation Monitoring Wells	∞	EA	\$13,405.59	\$107,244.72
12	40.03	Modify Existing Groundwater/Soil Evaporation Monitoring Wells	9	EA	\$6,000.00	\$36,000.00
13	40.03	Install New Groundwater/Soil Evaporation Monitoring Wells	4	EA	\$9,085.00	\$36,340.00
14	40.02	Utility Investigation Potholes	09	EA	\$470.36	\$28,221.60
15	40.03	Combined Utility/Environmental Investigation Potholes	20	EA	\$1,000.00	\$20,000.00
16	40.07	Additional Concrete Curb, Type A	300	5	\$26.45	\$7,935.00
17	40.07	Additional Concrete Integral Curb and Gutter, Type C	300	5	\$36.71	\$11,013.00
18	40.07	Additional Concrete Sidewalk (Three Inch Thickness)	300	SY	\$35.16	\$10,548.00
19	40.07	Additional Concrete Driveway (Six Inch Thickness)	400	SY	\$60.49	\$24,196.00
20	40.07	Grind and overlay to City Standards (Normal work hours between Monday – Friday)	1,400	TONS	\$122.04	\$170,856.00
21	40.07	Grind and overlay to City Standards (After normal work hours and/or weekends)	1,500	TONS	\$126.29	\$189,435.00
22	40.08	Special Events—Incidental Traffic Control or Site Modifications	20	SHIFT	\$1,406.02	\$28,120.40
23	40.08	Special Events—Additional Security Guard Services	1,000	HR	\$20.00	\$20,000.00
24	40.08	Street Vacuum Sweeper with Operator	100	£	\$81.52	\$8,152.00

25	40.08	Special Events—Portable Toilets (3)	9	MO	\$450.00	\$2,700.00
26	50.05	Traction Power Technician	800 (NTE)	품	\$241.00	\$192,800.00
27	50.05	Radio Technician	800 (NTE)	Ŧ	\$173.00	\$138,400.00
28	50.05	Electrician—Eight-hour shift 6:00 AM to 6:00 PM	800 (NTE)	HR	\$78.54	\$62,832.00
29	50.05	Electrician—Eight-hour shift 6:00 PM to 6:00 AM	800 (NTE)	HR	\$91.89	\$73,512.00
30	50.05	Electrician—Two-hour overtime 6:00 AM to 6:00 PM	800 (NTE)	HR	\$117.81	\$94,248.00
31	50.05	Electrician–Two-hour overtime 6:00 PM to 6:00 AM	800 (NE)	HR	\$117.81	\$94,248.00
32	50.05	Electrician—Eight-hour shift on Saturday above normal work week 6:00 AM to 6:00 PM	800 (NTE)	H	\$117.81	\$94,248.00
33	50.05	Communications Technician—Eight-hour 6:00 AM to 6:00 PM	800 (NTE)	HR	\$139.00	\$111,200.00
34	50.05	Communications Technician—Eight-hour 6:00 PM to 6:00 AM	800 (NTE)	HR	\$156.00	\$124,800.00
35	50.05	Communication Technician—Two-hour overtime 6:00 AM to 6:00 PM	800 (NTE)	HR	\$147.00	\$117,600.00
36	50.05	Communication Technician—Two-hour overtime 6:00 PM to 6:00 AM	800 (NTE)	HR	\$162.00	\$129,600.00
37	50.05	Communications Technician—Eight-hour shift on Saturday above normal work week 6:00 AM to 6:00 PM	800 (NTE)	HR	\$179.00	\$143,200.00
38	50.05	Technical Support—Provide Assistance to LACMTA in integration testing from LACMTA's Rail Operations Center	800	HR	\$156.00	\$124,800.00

\$6,131,001.56	SCHEDULE 'E' SUBTOTAL	HEDULE '	SCI			
\$167,000.00	\$167.00	HR	1,000	Technical Support—Rail Activation	50.05	39

contract. The unit prices are complete and fully burdened and are not subject to any mark up when pricing changes for Provisional Sum authorizations. Schedule 'E'. The unit prices are fixed for the duration of the contract and will be used to price Changes and Provisional Sum Authorizations under the The total price for the line items in Schedule 'E' are not part of the Total Contract Price award. The contractor shall not be paid any of the amounts in The Total Prices for the line items in Schedule E shall be included in contractor's total price in accordance with the IFB.

Legend: CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

SCHEDULE OF QUANTITIES AND PRICES—SCHEDULES 'A', 'B', 'C', 'D' and 'E' TOTALS

IN WORDS—TOTAL BID

One Hundred Ninety Eight Million Nine Hundred Twenty Nine Thousand

US DOLLARS

END OF SCHEDULE OF QUANTITIES AND PRICES