

SECTION 2.

1

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# Bid Letter

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## BID LETTER

HONORABLE CHAIRMAN AND MEMBERS OF THE  
LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY  
ONE GATEWAY PLAZA  
LOS ANGELES, CA 90012-2952

SUBJECT: INVITATION FOR BIDS FOR CONTRACT NO. (IFB No. C0991)  
(DIVISION 16: SOUTHWESTERN YARD)

In response to the above-referenced Invitation For Bids (IFB) and in accordance with the accompanying Instructions to Bidders, the Bidder hereby commits to the Los Angeles County Metropolitan Transportation Authority (Metro) to perform the Work in accordance with the provisions of the Bid Level Contract Documents and any amendment thereto and at the prices stated opposite the respective items set forth in the form entitled SCHEDULE OF QUANTITIES AND PRICES, included and made a part of the Contract.

The Bidder agrees that the Bid constitutes a firm offer that cannot be withdrawn for one hundred eighty (180) calendar days from the bid opening or until the Contract for the Work is fully executed between Metro and a third party, whichever is earlier.

If awarded a Contract, the Bidder agrees to execute the Contract and deliver it to Metro within ten (10) calendar days after receiving a Letter of Award together with the necessary Certificates of Insurance, Performance Bond, Payment Bond, and Alcohol and Drug-Free Workplace Program. The Contractor shall proceed with the Work upon receipt of a Notice to Proceed in accordance with Article entitled NOTICE TO PROCEED of the General Conditions.

Attached is a certified check, a cashier's check (in U.S. Dollars), Bid Bond, or a combination thereof in an amount not less than ten percent (10%) of the Total Bid Price. The undersigned agrees that said amount shall be retained by Metro if we fail or refuse to execute the Contract or furnish the required Bonds, Certificates of Insurance, and Alcohol and Drug-Free Workplace Program within the time provided.

In addition to the formal certifications provided in the following, the Bidder certifies that it has:

1. Examined and is fully familiar with all of the provisions of the IFB Documents and any amendment thereto;
2. Satisfied itself as to the requirements of the Contract, the nature and location of the Work, the general and local conditions to be encountered in performance of the Work, and all other matters that can in any way affect the Work and/or the cost thereof;
3. Examined the experience, skill and certification requirements specified in the Statement of Work and that the entities (Bidder, Subcontractor, Supplier) performing the Work under the Contract fulfill the specified requirements; and
4. Carefully reviewed the accuracy of all statements and figures shown in the Bid and attachments hereto.

METRO GA14-98  
(IFB NO. C0991) AMENDMENT #2-#7 ISSUED:  
03.11.15  
ISSUED: 08.29.14 / 10.24.14

5-1

BID LETTER  
PRO FORM 052  
REVISION DATE: 07.01.09



The Bidder further certifies that:

1. The only persons, firms, corporations, Joint Ventures/partnerships, and/or other parties interested in the Bid as principals are those listed as such in the Bid Forms; and that,
2. The Bid has been prepared without collusion with any other person, firm, corporation, Joint Venture/partnership, and/or other party.

(Joint Ventures/partnerships are to provide a signed copy of their agreement with their bid)

Bidder's Name: Walsh Construction Company II, LLC  
Business Address: 1777 Oakland Blvd., Suite 300  
Walnut Creek, CA 94596

Contractor's License No.: 982816

License Expiration Date: 4.30.15

Classification Type: A & B

Phone: 925.627.1700 Fax: 925.944.9860

  
Signature of Authorized Official

Sean C. Walsh

Type or Print Name

President

Title

3.13.15

Date



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# Bid Bond

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SECTION 2.  
**2**







**BID BOND**

KNOW ALL MEN BY THESE PRESENTS:  
THAT,

Walsh Construction Company II, LLC as Principal  
and,

Travelers Casualty and Surety Company of America as Surety

are held firmly bound unto the LOS ANGELES COUNTY METROPOLITAN  
TRANSPORTATION AUTHORITY, hereinafter called Metro,

in the sum of  
Ten Percent of Amount Bid  
(use words)

\_\_\_\_\_ DOLLARS

(\$), (10%)  
(figures)

being not less than ten percent (10%) of the Total Bid Price; for the payment of which sum well  
and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and  
assigns, jointly and severably, firmly by these presents.

**WHEREAS**, said Principal has submitted a bid to Metro to perform all Work required under  
Metro's Invitation For Bids (IFB) Contract No. (IFB No. C0991).

**NOW, THEREFORE**, if said Principal is awarded a Contract for the Work by Metro and, within  
the time and in the manner required by the Invitation For Bids (IFB), enters into the written  
Contract Agreement bound with said IFB and furnishes the required bonds, one to guarantee  
faithful performance and the other to guarantee payment for labor and materials, and furnishes  
the required certificate of insurance and Alcohol and Drug-Free Workplace Program, then this  
obligation shall be null and void; otherwise, it shall remain in full force and effect. In the event  
suit is brought upon this Bond by Metro and judgment is recovered, said Surety shall pay all  
costs incurred by Metro in such suit, including reasonable attorneys' fees to be fixed by the  
court.

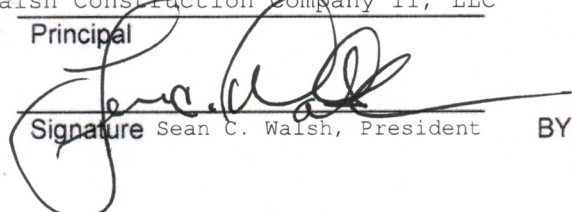
**SIGNED AND SEALED**, this 21 day of January, 2015

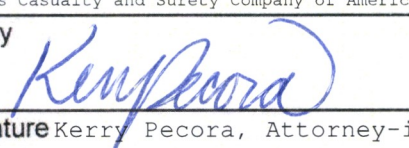
(SEAL)

(SEAL)

Walsh Construction Company II, LLC  
Principal

Travelers Casualty and Surety Company of America  
Surety

BY:   
Signature Sean C. Walsh, President

BY:   
Signature Kerry Pecora, Attorney-in-Fact

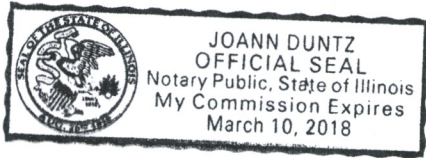


**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of Illinois  
County of Cook }

On 1-21-15 before me Jo Ann Duntz Notary Public, personally appeared Kerry Pecora.

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

I certify under PENALTY OF PERJURY under the laws of the State of Illinois that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Jo Ann Duntz  
Signature of Notary Public

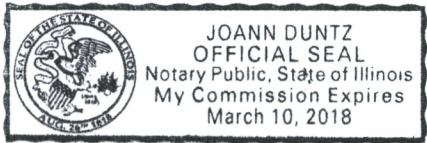


**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of Illinois }  
County of Cook }

On 1-21-15 before me Jo Ann Duntz Notary Public, personally appeared  
Sean C. Walsh,

Who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



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I certify under PENALTY OF PERJURY under the laws of the State of Illinois that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: Jo Ann Duntz  
Signature of Notary Public





POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Attorney-In Fact No. 225482

Certificate No. 006095723

KNOW ALL MEN BY THESE PRESENTS: That Farmington Casualty Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company are corporations duly organized under the laws of the State of Connecticut, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Brian R. Walsh, J. William Ernstrom, Jodi Wallace, and Kerry Pecora

of the City of Chicago, State of Illinois, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 15th day of October, 2014.

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company



State of Connecticut
City of Hartford ss.

By: [Signature]
Robert L. Raney, Senior Vice President

On this the 15th day of October, 2014, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.
My Commission expires the 30th day of June, 2016.



[Signature: Marie C. Tetreault]
Marie C. Tetreault, Notary Public





This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

**RESOLVED**, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

**FURTHER RESOLVED**, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

**FURTHER RESOLVED**, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

**FURTHER RESOLVED**, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 21 day of January, 2015.

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

*Kevin E. Hughes*  
Kevin E. Hughes, Assistant Secretary



To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at [www.travelersbond.com](http://www.travelersbond.com). Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.





POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company
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Attorney-In Fact No. 225482

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Brian R. Walsh, J. William Ernstrom, Jodi Wallace, and Kerry Pecora

of the City of Chicago, State of Illinois, their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed and their corporate seals to be hereto affixed, this 15th day of October, 2014.

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State of Connecticut
City of Hartford ss.

By: [Signature]
Robert L. Raney, Senior Vice President

On this the 15th day of October, 2014, before me personally appeared Robert L. Raney, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

In Witness Whereof, I hereunto set my hand and official seal.
My Commission expires the 30th day of June, 2016.



[Signature]
Marie C. Tetreault, Notary Public



## BID LETTER

HONORABLE CHAIRMAN AND MEMBERS OF THE  
LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY  
ONE GATEWAY PLAZA  
LOS ANGELES, CA 90012-2952

SUBJECT: INVITATION FOR BIDS FOR CONTRACT NO. (IFB No. C0991)  
(DIVISION 16: SOUTHWESTERN YARD)

In response to the above-referenced Invitation For Bids (IFB) and in accordance with the accompanying Instructions to Bidders, the Bidder hereby commits to the Los Angeles County Metropolitan Transportation Authority (Metro) to perform the Work in accordance with the provisions of the Bid Level Contract Documents and any amendment thereto and at the prices stated opposite the respective items set forth in the form entitled SCHEDULE OF QUANTITIES AND PRICES, included and made a part of the Contract.

The Bidder agrees that the Bid constitutes a firm offer that cannot be withdrawn for one-hundred and eighty (180) calendar days from the bid opening or until the Contract for the Work is fully executed between Metro and a third party, whichever is earlier.

If awarded a Contract, the Bidder agrees to execute the Contract and deliver it to Metro within ten (10) calendar days after receiving a Letter of Award together with the necessary Certificates of Insurance, Performance Bond, Payment Bond, and Alcohol and Drug-Free Workplace Program. The Contractor shall proceed with the Work upon receipt of a Notice to Proceed in accordance with Article entitled NOTICE TO PROCEED of the General Conditions.

Attached is a certified check, a cashier's check (in U.S. Dollars), Bid Bond, or a combination thereof in an amount not less than ten percent (10%) of the Total Bid Price. The undersigned agrees that said amount shall be retained by Metro if we fail or refuse to execute the Contract or furnish the required Bonds, Certificates of Insurance, and Alcohol and Drug-Free Workplace Program within the time provided.

In addition to the formal certifications provided in the following, the Bidder certifies that it has:

1. Examined and is fully familiar with all of the provisions of the IFB Documents and any amendment thereto;
2. Satisfied itself as to the requirements of the Contract, the nature and location of the Work, the general and local conditions to be encountered in performance of the Work, and all other matters that can in any way affect the Work and/or the cost thereof;
3. Examined the experience, skill and certification requirements specified in the Statement of Work and that the entities (Bidder, Subcontractor, Supplier) performing the Work under the Contract fulfill the specified requirements; and
4. Carefully reviewed the accuracy of all statements and figures shown in the Bid and attachments hereto.



Therefore, the undersigned hereby agrees that Metro will not be responsible for any errors or omissions in the Bid.

The undersigned acknowledges receipt, understanding and full consideration of the following amendment to the Contract Documents:

Amendment No(s):

Amendment # 1 9/19/14

Amendment # 2 10/24/14

Amendment # 3 11/25/14

Amendment # 4 12/18/14

Amendment #5 1/12/15

The Bidder further certifies that:

1. The only persons, firms, corporations, Joint Ventures/partnerships, and/or other parties interested in the Bid as principals are those listed as such in the Bid Forms; and that,
2. The Bid has been prepared without collusion with any other person, firm, corporation, Joint Venture/partnership, and/or other party.

(Joint Ventures/partnerships are to provide a signed copy of their agreement with their bid)

Bidder's Name: Walsh Construction Company II, LLC

Business Address: 1777 Oakland Blvd., Ste 300  
Walnut Creek, CA 94596

Contractor's License No.: 982816

License Expiration Date: 4/30/2015

Classification Type: A & B

Phone: (925) 627-1700 Fax: (925) 944-9860

  
Signature of Authorized Official

Sean C. Walsh  
Type or Print Name

President  
Title

1.13.15  
Date



Sean C. Walsh

being duly sworn, deposes and says

Name

That he/she is the

President

of

Walsh Construction Company II, LLC

Title

Company

and that all statements and information contained in the Proposal and made a part of through attachment and/or reference, are true and correct.

Subscribed and sworn before me before this

13 day of January, 2015

Notary Public:

Carol E. Stanton

My Commission expires:

7/21/2018





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# DBE Goal/Participation

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Pro Form 068 List of Proposed Subcontractors/  
Suppliers





**FORM 1 – PROPOSED LIST OF SUBCONTRACTORS AND SUPPLIERS – DESIGN  
TO BE COMPLETED BY OFFEROR**

Offerors are required to list ALL (DBE and Non-DBE) first-tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women. Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Offeror's Name: Walsh Construction Company II, LLC 2. Project Name: Division 16: Southwestern Yard  
 3. Total Bid Price: \$9,970,190 4. Bid Due Date: 1/22/2015

A	B	C	D	E	F	G
NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 <sup>ST</sup> TIER)	DESCRIPTION OF WORK	SUBCONTRACTORS: C = Consultant Or Contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker <sup>1</sup> T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Offeror Walsh Construction Company II, LLC	General Contractor	Prime's \$ Amount with its own workforce →	\$0	\$	\$	\$
1. MGD	Lead Architect	C	\$9,695,190	\$1,720,840		\$1,720,840
2. Diaz Yourman	Geotechnical Engineer	C	\$275,000	\$275,000		\$275,000
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<b>\$9,970,190</b>	<b>\$1,995,840</b>	<b>\$</b>	<b>\$1,995,840</b>

<sup>1</sup>If a RC DBE or RN DBE firm listed in column D and E are regular dealers enter 60% of the bid price in column G. If a RC DBE or RN DBE firm listed in column D and E are brokers, enter the total amount of the fees and /or commissions charges in column G. If a DBE business is listed to provide materials/supplies, only sixty-percent (60%) of the cost for materials/supplies will be counted toward the DBE.



**FORM 2 – DBE AFFIDAVIT – DESIGN**  
TO BE COMPLETED BY OFFEROR ONLY

**Part A: DBE GOAL DECLARATION**

**RC DBE GOAL ACHIEVED**

The Offeror declares to the best of its knowledge, information and belief that by its efforts, it ACHIEVED a level of participation greater than or equal to the goal established for RC DBE participation.

The level achieved is twenty percent (20 %)

**RC DBE GOAL NOT ACHIEVED**

The Offeror declares to the best of its knowledge, information and belief that while it made efforts to achieve the RC DBE participation goal, it DID NOT ACHIEVE a level of RC DBE participation greater than or equal to the goal established for RC DBE participation.

The level achieved is \_\_\_\_\_ percent ( \_\_\_\_\_ %)

While the Offeror did exert efforts to achieve the goal, it was not successful. The Offeror certifies that, if requested, evidence of good faith efforts (GFE) will be submitted within forty-eight (48) hours of Metro's written request.

**DO NOT INCLUDE EVIDENCE OF GOOD FAITH EFFORTS WITH BIDS/PROPOSALS**

**Part B: SIGNATURE**

Executed on: January 21, 20 15, at, Chicago, IL  
Date City State

Business Name: Walsh Construction Company II, LLC

Authorized Signature: 

Printed Name: Sean C. Walsh

Title: President

E-mail: rsimms@walshgroup.com

Phone: (925) 627-1700





# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991\_Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): Ambient Energy Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price: \$ 146,480

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Ambient Energy</u>	<u>LEAD Advisor</u>	<u>→</u>	<u>146,480</u>	\$	\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>146,480</u>	\$	\$	\$



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Walsh Construction Company II, LLC
2. Business Address: 1777 Oakland Blvd., Ste 300 Walnut Creek CA 94596  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Contra Costa County (CA)  
State
5. Name of Owner: Sean C. Walsh President  
Name Title
6. Owner(s) Ethnicity: Caucasian
7. Phone: ( 925 ) 627 - 1700
9. Email Address: rsimms@walshgroup.com
8. Fax: ( 925 ) 944 - 9860
10. Age of Business: 5 Years 1 Months
11. If your business requires a license, complete below:
  - a. License Type Contractors License A & B
  - b. License # 982816
  - c. Expires on 4/30/2015
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

*Page 12-A*



**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: IFB C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Design Build General Contractor

NAICS: 27310

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

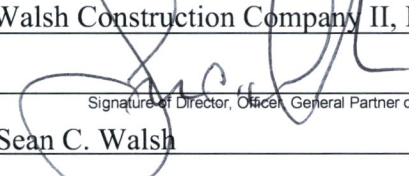
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Walsh Construction Company II, LLC

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Sean C. Walsh

Title: President

Date: 1.16.15



FORM 4 - BUSINESS DATA SHEET - DESIGN

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

Part A: Business Data

- 1. Business Name: Ambient Energy
2. Business Address: 333 S Grand Ave #1480A, Los Angeles CA 90071
3. Mailing Address: 130 W 5th Ave, Denver CO 80204
4. County (and State) Business is located in: Los Angeles (CA)
5. Name of Owner: Renee Azerbegi President
6. Owner(s) Ethnicity: Caucasian
7. Phone: (303) 278-1532
9. Email Address: renee@ambient-e.com
8. Fax: (303) 278-8533
10. Age of Business: 10 Years 0 Months
11. If your business requires a license, complete below:
12. Business Annual Gross Receipts: c. X \$1,000,000 to \$2,000,000

Part B: DBE CERTIFICATION STATUS

- 13. Is your business currently a DBE? Yes No X
If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
a. Certified by the California Unified Certification Program (CUCP)? DBE Non-DBE
b. Certified by an organization outside of California? DBE Non-DBE
c. Name of Certifying Agency:
14. Is your business currently participating in a Joint Venture? Yes No X
If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE? DBE Non-DBE
a. Business Name N/A DBE Non-DBE
b. Business Name N/A DBE Non-DBE





FORM 4 - BUSINESS DATA SHEET – DESIGN (Continued) Page 2 of 2

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Energy consulting, LEED consulting, commissioning.  
\_\_\_\_\_  
\_\_\_\_\_

NAICS: 541330 , 541310

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Ambient Energy, Inc.  
Authorized Signature: *Renec Azerbegi*  
Signature of Director, Officer, General Partner or similarly situated Principal of the Business  
Printed Name: Renec Azerbegi  
Title: President  
Date: October 15, 2014



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

- 1. Subcontractor (Sub): AVS Engineers, Inc. Prime's Name: Walsh Construction Company II, LLC
- 3. Total Bid Price: \$ 52,690

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>AVS Engineers, Inc.</u>	<u>Building Systems</u>	→	<u>52,690</u>		\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<b>\$ 52,690</b>		\$	\$



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

- 1. Business Name: AVS Engineers, Inc.
- 2. Business Address: 556 N. Diamond Bar Blvd., Suite 112, Diamond Bar, CA 91765  
Street City State Zip
- 3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
- 4. County (and State) Business is located in: Los Angeles ( CA )  
State
- 5. Name of Owner: Daniel Leung Principal  
Name Title
- 6. Owner(s) Ethnicity: Asian
- 7. Phone: ( 909 ) 860 - 1400
- 8. Fax: ( 909 ) 860 - 1442
- 9. Email Address: dleung@avs-engr.com
- 10. Age of Business: 14 Years 6 Months
- 11. If your business requires a license, complete below:
  - a. License Type \_\_\_\_\_
  - b. License # \_\_\_\_\_
  - c. Expires on \_\_\_\_\_
- 12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

- |  | DBE                      | Non-DBE                  |
|--|--------------------------|--------------------------|
| a. Certified by the California Unified Certification Program (CUCP)? | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Certified by an organization outside of California?               | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Name of Certifying Agency: _____                                  |                          |                          |

14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.

15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
- |                                 | DBE                      | Non-DBE                  |
|---------------------------------|--------------------------|--------------------------|
| a. Business Name <u>N/A</u>     | <input type="checkbox"/> | <input type="checkbox"/> |
| Name of Certifying Agency _____ |                          |                          |
| b. Business Name <u>N/A</u>     | <input type="checkbox"/> | <input type="checkbox"/> |
| Name of Certifying Agency _____ |                          |                          |

*Page 17*



c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:  
AVS will provide the Security Electronics and Communications Systems design and  
construction administration services. AVS will coordinate with the client and other  
disciplines to provide a coordinated and complete design.

NAICS: 541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

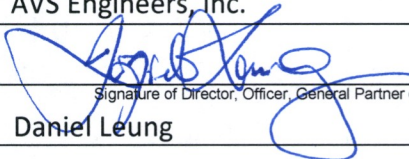
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: AVS Engineers, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Daniel Leung

Title: Principal

Date: 1/9/2015



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): Burns Engineering, Inc. Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price: \$1,325,725

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Burns Engineering, Inc.</u>	<u>Track Systems</u>	→	<u>1,325,725</u>		\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			→	\$	\$	\$



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

- 1. Business Name: Burns Engineering, Inc.
- 2. Business Address: 1835 Market Street, Ste. 300 Philadelphia PA 19103  
Street City State Zip
- 3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
- 4. County (and State) Business is located in: Philadelphia (PA)  
State
- 5. Name of Owner: Matthew Burns, PE President/CEO  
John Burns, PE Senior Vice President  
Name Title
- 6. Owner(s) Ethnicity: Caucasian
- 7. Phone: ( 215 ) 979 - 7700
- 8. Fax: ( 215 ) 405 - 2510
- 9. Email Address: MBurns@burns-group.com
- 10. Age of Business: 53 Years 11 Months
- 11. If your business requires a license, complete below:
  - a. License Type California Corporate Number
  - b. License # C3007595
  - c. Expires on 06/2015
- 12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

- 13. Is your business currently a DBE? Yes  No 
  - If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____	<b>Yes</b>	<b>No</b>
- 14. Is your business currently participating in a Joint Venture? Yes  No 
  - If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
- 15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





FORM 4 - BUSINESS DATA SHEET – DESIGN (Continued) Page 2 of 2

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP

#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Professional engineering design services including traction power,

Overhead Catenary System and Automatic Train Control.

NAICS: 541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

a. How many trucks does your company own? N/A

b. How many trucks does your company lease? \_\_\_\_\_

c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Burns Engineering, Inc.

Authorized Signature: \_\_\_\_\_

*Daren Petroski*

Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: \_\_\_\_\_

Daren Petroski, PE

Title: \_\_\_\_\_

Vice President

Date: \_\_\_\_\_

October 16, 2014



### FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): CJS Technical Solutions and Services, Inc. Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price: \$ 260,210

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>CJTS5</u>	<u>Electrical Engineering</u>	<u>→</u>	<u>260,210</u>	<u>260,210</u>	<u>\$</u>	<u>\$ 260,210</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>\$ 260,210</u>	<u>\$ 260,210</u>	<u>\$</u>	<u>\$ 260,210</u>



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: C&J Technical Solutions and Services, Inc.
2. Business Address: 4000 Valley Blvd., Ste. 103 Walnut, CA 91789  
Street City State Zip
3. Mailing Address:  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Los Angeles (CA)  
State
5. Name of Owner: John L. Jolly III Principal  
Name Title
6. Owner(s) Ethnicity: African American
7. Phone: ( 909 ) 598 - 6067 9. Email Address: jjolly@cjtss.net
8. Fax: ( 909 ) 598 - 6089 10. Age of Business: 10 Years \_\_\_\_\_ Months
11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:  
 a. License Type Mechanical & Electrical Engineer a.  Less than \$500,000  
 b. License # M31009 E18533 b.  \$500,000 to \$1,000,000  
 c. Expires on 6/30/2015 12/31/2016 c.  \$1,000,000 to \$2,000,000  
 d.  \$2,000,000 to \$5,000,000  
 e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: <u>Florida, North Central Texas</u>		
	<b>Yes</b>	<b>No</b>
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

*Page 25*



c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**







**Metro**

Los Angeles County  
Metropolitan Transportation Authority

One Gateway Plaza  
Los Angeles, CA 90012-2952

213.922.2000 Tel  
metro.net

**CALIFORNIA UNIFIED CERTIFICATION PROGRAM**



April 19, 2010

**CUCP #36120**  
**Metro File # 4891**

John Jolly III  
**C&J Technical Solutions & Services, Inc.**  
4000 Valley Blvd. #103  
Walnut, CA 91789

**RE: Disadvantaged Business Enterprise Certification**

Dear Mr. Jolly:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. Your DBE certification status will be honored by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise:

<u>NAICS (2007)</u>	<u>Description</u>	<u>Size Standard</u>
541330	Engineering Services	\$4.5 million
541340	Drafting Services	\$7.0 million

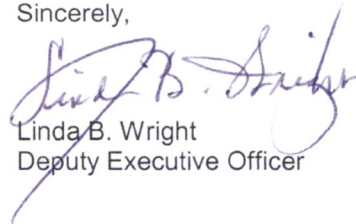
Your DBE certification is good for five years from the date of this letter and applies only for the above NAICS 2007 codes. Requests for additional or revised NAICS 2007 codes must be made in writing to Metro. After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. Prior to your firm's DBE certification expiration date, Metro will send a letter to you requesting information necessary to complete this review.

The Regulations also require annual updates during this five-year period. In order to assure continuing DBE status, you must submit annually a DBE Declaration with supporting documentation, in the format that will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until the five-year certification has expired.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/ mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Your DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under §26.109 of the Regulations.

Congratulations, and thank you for your interest in the CUCP.

Sincerely,



Linda B. Wright  
Deputy Executive Officer



**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:  
Electrical engineering design and consulting, drafting and coordination during design and construction phases.

NAICS: 541330: Engineering Services, 541340: Drafting Service & 541690: Energy Consulting Services

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

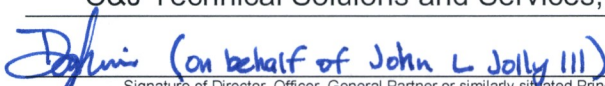
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? N/A
- c. How many trucks are registered to your company? N/A

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: C&J Technical Soluions and Services, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: John L. Jolly III

Title: Principal

Date: January 16, 2015



**FORM 5 - DBE AFFIRMATION - DESIGN**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1. RFP/IFB Number: C0991

2. Project Name Division 16: Southwestern Yard

3. Name of the Prime: Walsh Construction Company II, LLC

4. Business Address: 1777 Oakland Blvd., Suite 300 Walnut Creek CA 94596  
Street City State Zip

5. Name of Proposed DBE Business: C&J Technical Solutions and Services, Inc.

6. Business Address: 4000 Valley Blvd., Suite 103 Walnut, CA 91789  
Street City State Zip

7. Total DBE Dollars Committed: \$ 260,210  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)


8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Electrical engineering design and consulting, drafting and coordination during design and construction phases.

NAICS: 541330: Engineering Services, 541340: Drafting Services & 541690: Energy Consulting Services

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business  
  
Authorized Signature of Business  
Sean C. Walsh  
Typed or Printed Name of Signee  
President

C&J Technical Solutions and Services, Inc.  
Name of DBE Business  
  
Authorized Signature of DBE Business  
John L. Jolly III  
Typed or Printed Name of Signee  
Principal



Title of Signee

(925) 627-1700

Telephone

rsimms@waldgroup.com

Email

1/21/15

Date

Title of Signee

909-598-6067

Telephone

jjolly@cjtss.net

Email

01/16/2015

Date





**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - DESIGN**

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub.): Diaz Youman & Associates
2. Prime's Name: Walsh Construction Company II, LLC
3. Total Bid Price: \$275,000

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Truckee Your business' \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs <u>Diaz Youman &amp; Associates Geotechnical</u>			\$ 275,000	\$ 275,000	\$	\$ 275,000
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE</b> (Should equal Line #3 above)			\$ 275,000	\$ 275,000	\$	\$ 275,000

Lower Tier Subcontractors



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Diaz Yourman & Associates
2. Business Address: 1616 E. 17th Street Santa Ana CA 92705  
Street City State Zip
3. Mailing Address:  
(If different from above) \_\_\_\_\_  
PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Orange ( CA )  
State
5. Name of Owner: Christopher M. Diaz President  
Name Title
6. Owner'(s) Ethnicity: Hispanic
7. Phone: ( 714 ) 245 - 2920
8. Fax: ( 714 ) 245 - 2950
9. Email Address: chris@diazyourman.com
10. Age of Business: 22 Years 1 Months
11. If your business requires a license, complete below:
  - a. License Type \_\_\_\_\_
  - b. License # \_\_\_\_\_
  - c. Expires on \_\_\_\_\_
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**

# CALIFORNIA UNIFIED CERTIFICATION PROGRAM DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE

**DIAZ CONSULTANTS, INC.**

1616 EAST 17TH STREET  
SANTA ANA, CA 92705 8509

**Owner: CHRISTOPHER DIAZ**

**Business Structure: CORPORATION**

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

**NAICS Code(s) \* Indicates primary NAICS code**

\* 541330 Engineering Services

541620 Environmental Consulting Services

**UCP**  
541380 Testing Laboratories

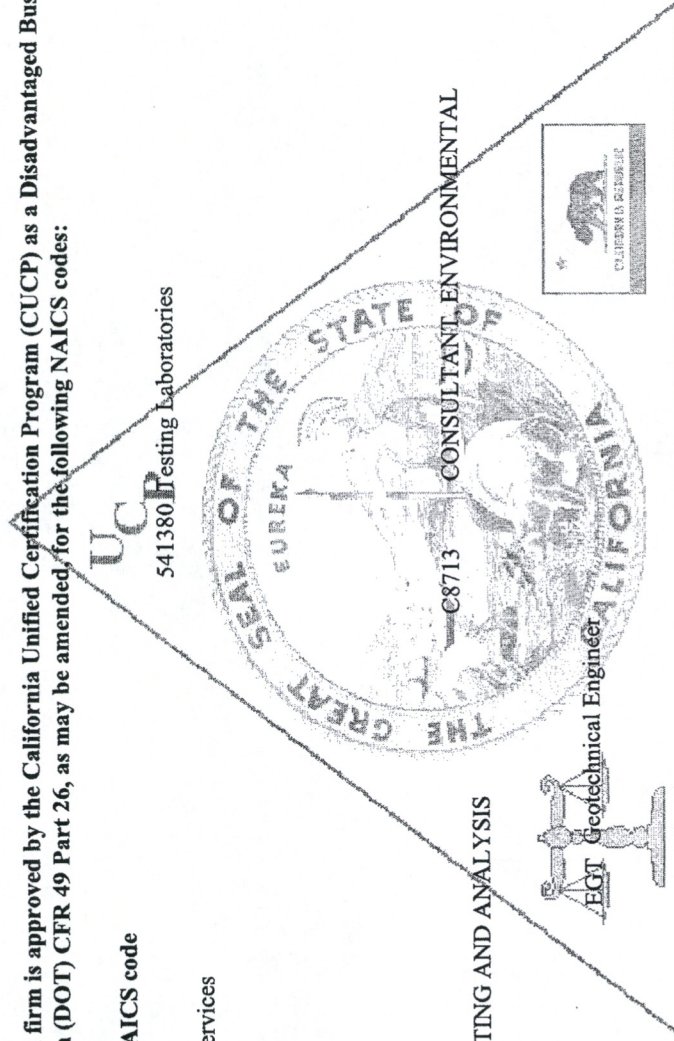
**Work Category Code(s)**

C8710 ENGINEERING

I8734 LABORATORY TESTING AND ANALYSIS

**Licenses**

EC Civil Engineer



**UNIFIED CERTIFICATION PROGRAM**

**CERTIFYING AGENCY:**

LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)  
ONE GATEWAY PLAZA  
LOS ANGELES, CA 90012 0000  
(213) 922-2600

UCP Firm Number : 20160

*[Signature]*  
CUCPOFFICER  
May 1, 2013

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.





**Metro**

Los Angeles County  
Metropolitan Transportation Authority

One Gateway Plaza  
Los Angeles, CA 90012-2952

213.922.2000 Tel  
metro.net

May 3, 2013

**CUCP #20160**  
**Metro File # 784**

Mr. Christopher Diaz  
**Diaz & Associates, Inc. dba Diaz Yourman & Associates**  
1616 E. 17th St  
Santa Ana, California 92705

**RE: Disadvantaged Business Enterprise Certification**

Dear Mr. Diaz:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

<u>NAICS (2007)</u>	<u>Description</u>	<u>Size Standard</u>
541330	Engineering Services	\$14.0 Million
541620	Environmental Consulting Services	\$14.0 Million
541380	Testing Laboratories	\$14.0 Million

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP website at [www.californiaucp.org](http://www.californiaucp.org). Any additions and revisions must be submitted to Metro for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration form (which will be sent to you) with supporting documentation. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailling address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. I wish you every business success and should you have any questions, please contact us at 213-922-2600. For information on Metro contracting opportunities, please visit our website at [www.metro.net](http://www.metro.net).

Sincerely,

Marilyn White  
HSW Certification Consultant





**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991 Division 16: Southwest Yard Design Build

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Geotechnical Services

541330, 541380, 54160  
NAICS:

18. Will your business provide trucking company services on this project? Please mark one: Yes  No


If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? Not Applicable
- b. How many trucks does your company lease? Not Applicable
- c. How many trucks are registered to your company? Not Applicable

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Diaz Yourman & Associates

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Christopher M. Diaz

Title: President

Date: 1/21/2015

**FORM 5 - DBE AFFIRMATION - DESIGN**

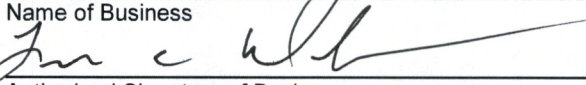
TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

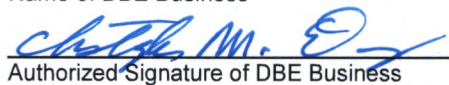
Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

- 1. RFP/IFB Number: C0991
- 2. Project Name Metro Division 16: Southwest Yard
- 3. Name of the Prime: Walsh Construction Company II, LLC
- 4. Business Address: 5777 W. Century Boulevard, Suite 1750 Los Angeles, CA 90045  
Street City State Zip
- 5. Name of Proposed DBE Business: Diaz Yourman & Associates
- 6. Business Address: 1616 E. 17th Street, Santa Ana CA 92705  
Street City State Zip
- 7. Total DBE Dollars Committed: \$ ~~553,000~~ \$275,000  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
- 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Geotechnical Services  
541330, 541380, 541620  
NAICS:

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business  
  
Authorized Signature of Business  
Sean C. Walsh  
Typed or Printed Name of Signee  
President

Diaz Yourman & Associates  
Name of DBE Business  
  
Authorized Signature of DBE Business  
Christopher M. Diaz  
Typed or Printed Name of Signee  
President

Title of Signee

925-627-1700

Telephone

rsimms@walshgroup.com

Email

1/21/15

Date

Title of Signee

714-245-2920

Telephone

chris@diazyourman.com

Email

1/21/2015

Date



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): HDR Engineering, Inc. Prime's Name: Walsh Construction Company II, LLC  
 3. Total Bid Price: \$675,750

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>HDR Engineering, Inc.</u>	<u>Tack Engineer</u>	Your business's \$ Amount <u>→</u>	<u>675,750</u>		\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>\$675,750</u> <u>→</u>		\$	\$



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: HDR Engineering, Inc.
2. Business Address: 801 South Grand Ave, Suite 500 Los Angeles CA 90071  
Street City State Zip
3. Mailing Address:  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Los Angeles (CA)  
State
5. Name of Owner: Employee Owned Corporation  
Name Title
6. Owner'(s) Ethnicity: Over 8,500 Employee Owners
7. Phone: ( 213 ) 239-5800 - \_\_\_\_\_ 9. Email Address: Tom.Kim@hdrinc.com
8. Fax: ( 213 ) 239-5801 - \_\_\_\_\_ 10. Age of Business: 97 Years \_\_\_\_\_ Months
11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:  
 a. License Type Not Applicable a.  Less than \$500,000  
 b. License # Individual staff have required licenses. b.  \$500,000 to \$1,000,000  
 c. Expires on \_\_\_\_\_ c.  \$1,000,000 to \$2,000,000  
 d.  \$2,000,000 to \$5,000,000  
 e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:  

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?  

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**



FORM 4 - BUSINESS DATA SHEET – DESIGN (Continued) Page 2 of 2

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Track Design

NAICS: 237990, 541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No


If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: HDR Engineering, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Thomas T. Kim

Title: Senior Vice President

Date: October 9, 2014

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**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - DESIGN**

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: Division 16: Southwestern Yard

IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

(Sub.): Maintenance Design Group, LLC

2. Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price: \$9,695,190

	A	B	C	D	E	F	G
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Truckler	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs	Maintenance Design Group	Design Management Equipment Industrial Design Elect. Engineering	Your business' \$ Amount	\$ 3,411,125	\$	\$	\$
1.	RNL	Architecture		2,245,580			
2.	W2 Design	Civil Engineer		770,380	770,380		770,380
3.	Burns Engineering	Track Systems		1,325,725			
4.	HDR	Track Engineer		675,750			
5.	Nabih Youssef	Structural Engineer		117,000			
6.	PACRIM	Structural Engineer		690,250	690,250		690,250
7.	AVS	Building Systems		52,690			
8.	Ambient Energy CJTSS	LEED Advisor Electrical Engineering		146,480 260,210	260,210		260,210
<b>9. TOTAL BID PRICE</b> (Should equal Line #3 above)				<b>\$ 9,695,190</b>	<b>\$ 1,720,840</b>	<b>\$</b>	<b>\$ 1,720,840</b>

Lower Tier Subcontractors

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**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Maintenance Design Group, LLC
2. Business Address: 1600 Stout Street, Suite 940 Denver CO 80202  
Street City State Zip
3. Mailing Address: Same as above  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Denver (CO)  
State
5. Name of Owner: Donald J. Leidy Managing Principal  
Name Title
6. Owner(s) Ethnicity: White/Caucasian
7. Phone: ( 303 ) 302 - 0266
8. Fax: ( 303 ) 302 - 0270
9. Email Address: don.leidy@mdg-llc.com
10. Age of Business: 19 Years 5 Months
11. If your business requires a license, complete below:
  - a. License Type \_\_\_\_\_
  - b. License # \_\_\_\_\_
  - c. Expires on \_\_\_\_\_
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____	<b>Yes</b>	<b>No</b>
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name <u>N/A</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Name of Certifying Agency _____		

*Page 44*



c. Business Name \_\_\_\_\_ *N/A* \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





FORM 4 - BUSINESS DATA SHEET – DESIGN (Continued) Page 2 of 2

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:  
Design team project management and equipment industrial design

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
NAICS: 541420, 541410


18. Will your business provide trucking company services on this project? Please mark one: Yes  No

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? N/A
- c. How many trucks are registered to your company? N/A

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Maintenance Design Group, LLC  
Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business  
Printed Name: Donald J. Leidy  
Title: Managing Principal  
Date: November 6, 2014



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Prime's Name: Walsh Construction Company II, LLC

1. Subcontractor (Sub): Nabih Yousef & Associates

3. Total Bid Price: \$ 117,000

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Nabih Yousef &amp; Associates</u>	<u>Structural Engineer</u>	→	<u>117,000</u>		\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			→	\$	\$	\$

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**FORM 4 - BUSINESS DATA SHEET - DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: NABIH YOUSSEF & ASSOCIATES
2. Business Address: 550 South Hope Street, Los Angeles, CA 90071  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Los Angeles ( CA )  
State
5. Name of Owner: Nabih Youssef, President  
Name Title
6. Owner(s) Ethnicity: Egyptian
7. Phone: ( 213 ) 362 - 0707 9. Email Address: nabih@nyase.com
8. Fax: ( 213 ) 688 - 3099 10. Age of Business: 25 Years 1 Months
11. If your business requires a license, complete below:
  - a. License Type Business
  - b. License # 0000752864-001-9
  - c. Expires on 12-31-2014
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		



c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:  
STRUCTURAL ENGINEERING

NAICS: 541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

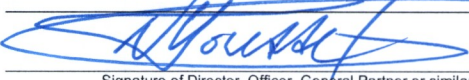
**If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."**

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

**The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.**

Business Name: NABIH YOUSSEF & ASSOCIATES

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: NABIH YOUSSEF, S.E.

Title: PRESIDENT

Date: 11-5-2014



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Prime's Name: Walsh Construction Company II, LLC

1. Subcontractor (Sub): Packm Engineering

3. Total Bid Price: \$690,250

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker  Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Packm Engineering</u>	<u>Structural Engineer</u>	→	<u>690,250</u>	<u>690,250</u>	\$	<u>\$ 690,250</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			→ <u>\$690,250</u>	<u>\$ 690,250</u>	\$	<u>\$ 690,250</u>



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

- 1. Business Name: PacRim Engineering
- 2. Business Address: 233 W. Cerritos Avenue, Anaheim, CA 92805  
Street City State Zip
- 3. Mailing Address: SAME as business address above  
(If different from above) PO. Box or Street Address City State Zip
- 4. County (and State) Business is located in: Orange ( California )  
State
- 5. Name of Owner: Peter Liu, President  
Name Title
- 6. Owner'(s) Ethnicity: Asian
- 7. Phone: ( 714 ) 683 - 0470
- 9. Email Address: rkim@PacRimEngineering.com
- 8. Fax: ( 714 ) 683 - 0460
- 10. Age of Business: 7 Years 9 Months
- 11. If your business requires a license, complete below:
  - a. License Type Professional Engineering
  - b. License # 48409
  - c. Expires on June 2016
- 12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

- 13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
- 14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
- 15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		



c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





# CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



January 21, 2014

Ms. Amy G. Kok  
PACRIM Engineering, Inc.  
233 W. Cerritos Avenue  
Anaheim, CA 92805

**RE: DISADVANTAGED BUSINESS ENTERPRISE (DBE) CERTIFICATION APPROVAL**  
**CUCP File No. - 36743**

Dear Ms. Kok:

We are pleased to advise you that after careful review of your application and supporting documentation, the City of Los Angeles has determined that your firm meets the eligibility standards to be certified as a **Disadvantaged Business Enterprise (DBE)** as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended.

Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs and the City of Los Angeles DBE/MBE/WBE directory under the following specific area(s) of expertise that you have identified on the business service form for contracting opportunities:

<u>NAICS Codes</u>	<u>Description</u>
236220	<b>Commercial and Institutional Building Construction</b>
237110	<b>Water and Sewer Line and Related Structures Construction</b>
237310	<b>Highway, Street, and Bridge Construction</b>
237990	<b>Other Heavy and Civil Engineering Construction</b>
238190	<b>Other Foundation, Structure, and Building Exterior Contractors</b>
541330	<b>Engineering Services</b>
541350	<b>Building Inspection Services</b>
541620	<b>Environmental Consulting Services</b>

Your DBE certification applies only for the above code(s). You may review your firm's information in the CUCP DBE database which can be accessed at the California Unified Certification Program's website at <http://californiaucp.org> and the City of Los Angeles DBE/MBE/WBE database at <http://bca.lacity.org>. Any additions and revisions must be submitted to the City of Los Angeles for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration with supporting documentation, which will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.



PACRIM Engineering, Inc.  
January 21, 2014  
Page 2


Also, should any changes occur that could affect your certification status prior to receipt of the Declaration, such as changes in your firm's name, business/ mailing address, ownership, management, or control, or failure to meet the applicable business size standards or personal net worth standard, please notify us immediately. DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under Section 26.109 of the Regulations.

Your DBE certification status will be honored by all of the U.S. DOT recipients in California.

For information on City of Los Angeles contracting opportunities, please register at <http://LABAVN.org>.

Should you have any questions, please contact Faye Serafin at (213) 847-2643 or e-mail at [faye.serafin@lacity.org](mailto:faye.serafin@lacity.org).

Sincerely,



HELMUT PEINDL, Certification Manager  
Office of Contract Compliance  
Bureau of Contract Administration



**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP

#: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Structural Engineering Design

NAICS:

541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

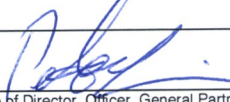
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? Not Applicable
- b. How many trucks does your company lease? Not Applicable
- c. How many trucks are registered to your company? Not Applicable

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: PacRim Engineering

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Peter Liu

Title: Principal

Date: December 5, 2014



**FORM 5 - DBE AFFIRMATION - DESIGN**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

- 1. RFP/IFB Number: C0991
- 2. Project Name Southwestern Yard Project
- 3. Name of the Prime: Walsh Construction Company II, LLC
- 4. Business Address: 1777 Oakland Blvd., Suite 300, Walnut Creek, CA  
Street City State Zip 94596
- 5. Name of Proposed DBE Business: PacRim Engineering Inc.
- 6. Business Address: 233 W. Cerritos Ave Anaheim CA 92805  
Street City State Zip
- 7. Total DBE Dollars Committed: \$ 690,250  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
- 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Structural Engineering Design  
NAICS: 541330

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
 Name of Business  
[Signature]  
 Authorized Signature of Business  
Sean C. Walsh  
 Typed or Printed Name of Signee  
President

PacRim Engineering, Inc.  
 Name of DBE Business  
[Signature]  
 Authorized Signature of DBE Business  
Peter Liu  
 Typed or Printed Name of Signee  
Principal

Principal

Title of Signee

(925) 627-1700

Telephone

rsimms@walshgroup.com

Email

1/20/15

Date

Title of Signee

1 714-683-0470 ext 471

Telephone

rkim@PacRimEngineering.com

Email

December 5, 2014

Date



**FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN**

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

- 1. Subcontractor (Sub): RNL Interplan, Inc
- 3. Total Bid Price: \$ 2,245,580

Prime's Name: Walsh Construction Company II, LLC

	A	B	C	D	E	F	G
	NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs	<u>RNL Interplan, Inc.</u>	<u>Architecture</u>	<u>→</u>	<u>2,245,580</u>		<u>\$</u>	<u>\$</u>
1.							
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4.							
5.							
6.							
7.							
8.							
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>→</u>	<u>\$ 2,245,580</u>		<u>\$</u>	<u>\$</u>



**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: RNL Interplan, Inc.
2. Business Address: 333 S Grand Ave., Suite 1480 Los Angeles CA 90071  
Street City State Zip
3. Mailing Address:  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Los Angeles County (CA)  
State
5. Name of Owner: RNL is an employee owned S-Corporation. The Employee stock owner ship plan  
Name Title  
owns 89% of the company and the remaining 11% is divided among 20
6. Owner(s) Ethnicity: individuals.  
N/A
7. Phone: ( 213 ) 955 - 9775
8. Fax: ( 866 ) 390 - 2616
9. Email Address: pat.mckelvey@rnldesign.com
10. Age of Business: CA-25 Years CO-58 years Months
11. If your business requires a license, complete below:
  - a. License Type Architecture
  - b. License # C21617
  - c. Expires on 5/31/15
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____	<b>Yes</b>	<b>No</b>
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991- Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:  
Architecture, Interior Design, Lighting Design, Sustainable Design.

NAICS: 541310, 541320, 541410

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

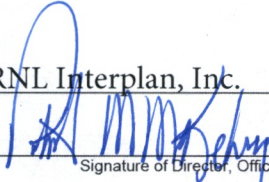
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: RNL Interplan, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Patrick M. McKelvey

Title: Senior Principal

Date: 10/24/14



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – DESIGN

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Prime's Name: Walsh Construction Company II, LLC

1. Subcontractor (Sub): W2 Design, Inc

3. Total Bid Price: \$ 770,380

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker  Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>W2 Design, Inc.</u>	<u>Civil Engineer</u>	<u>→</u>	<u>770,380</u>	<u>770,380</u>	<u>\$</u>	<u>\$ 770,380</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>\$ 770,380</u>	<u>\$ 770,380</u>	<u>\$</u>	<u>\$ 770,580</u>

Page 65





**FORM 4 - BUSINESS DATA SHEET – DESIGN**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

- 1. Business Name: W2 Design, Inc.
- 2. Business Address: 50 S. De Lacey Avenue, Suite 100 Pasadena CA 91105  
Street City State Zip
- 3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
- 4. County (and State) Business is located in: Los Angeles ( CA )  
State
- 5. Name of Owner: Patrick D. Wong President  
Name Title
- 6. Owner'(s) Ethnicity: Asian
- 7. Phone: ( 626 ) 396 - 9855
- 9. Email Address: pdwong@w2designinc.com
- 8. Fax: ( 626 ) 768 - 4137
- 10. Age of Business: 15 Years 7 Months
- 11. If your business requires a license, complete below:
  - a. License Type Not Applicable
  - b. License # Not Applicable
  - c. Expires on Not Applicable
- 12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

- 13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
- 14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
- 15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>Not Applicable</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

*Page 64*



c. Business Name \_\_\_\_\_  
Name of Certifying Agency \_\_\_\_\_

**DBEs must attach a copy of current certification.**





# Metro

## CALIFORNIA UNIFIED CERTIFICATION PROGRAM

March 23, 2010

**CUCP #35062**

**Metro File # 4575**

REPRINTED 3/5/14 - NAICS UPDATE

Patrick Wong  
**W-2 Design Inc.**  
50 S. DeLacey Ave. #100  
Pasadena, CA 91105

**RE: Disadvantaged Business Enterprise Certification**

Dear Mr. Wong:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. Your DBE certification status will be honored by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise:

<u>NAICS (2007)</u>	<u>Description</u>
541330	Engineering Services (Erosion Control Engineering)
541340	Drafting Services
541611	Administrative Management & General Management Consulting Services
541614	Process, Physical Distribution & Logistics Consulting Services
541690	Other Scientific & Technical Consulting Services

Your DBE certification applies only for the above NAICS 2007 codes. Requests for additional or revised NAICS 2007 codes must be made in writing to Metro. After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. Prior to your firm's DBE certification expiration date, Metro will send a letter to you requesting information necessary to complete this review.

The Regulations also require annual updates during this five-year period. In order to assure continuing DBE status, you must submit annually a DBE Declaration with supporting documentation, in the format that will be sent to you. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until the five-year certification has expired.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/mailling address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Your DBE certification is subject to review at any time. Failure to submit forms and/or change of information will be deemed as failure to cooperate under §26.109 of the Regulations.

Congratulations, and thank you for your interest in the CUCP.

Sincerely,

Shirley Wong  
Sr. Certification Representative  
Diversity & Economic Opportunity Department



**FORM 4 - BUSINESS DATA SHEET – DESIGN (Continued)** Page 2 of 2

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP #: IFB No. C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>1</sup>:

Civil Engineering; Utility Engineering; Stormwater Management.

NAICS: 541330, 541340, 541611, 541614, 541690

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

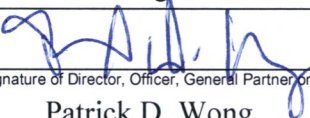
**If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."**

- a. How many trucks does your company own? Not Applicable
- b. How many trucks does your company lease? Not Applicable
- c. How many trucks are registered to your company? Not Applicable

**Part C: Signature**

**The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.**

Business Name: W2 Design, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Patrick D. Wong

Title: President

Date: November 1, 2014





**FORM 5 - DBE AFFIRMATION - DESIGN**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1. RFP/IFB Number: IFB No. C0991

2. Project Name Division 16: Southwestern Yard

3. Name of the Prime: Walsh Construction Company, LLC

4. Business Address: 1777 Oakland Blvd., Suite 300 Walnut Creek CA 94596  
Street City State Zip

5. Name of Proposed DBE Business: W2 Design, Inc.

6. Business Address: 50 S. De Lacey Avenue, Suite 100 Pasadena CA 91105  
Street City State Zip

7. Total DBE Dollars Committed: \$ 770,380  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)

8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <<http://www.census.gov/eos/www/naics/>>

Civil Engineering; Utility Engineering; Stormwater Management.

NAICS: 541330, 541340, 541611, 541614, 541690

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company, LLC  
Name of Business  
  
Authorized Signature of Business  
Sean C. Walsh  
Typed or Printed Name of Signee  
President

W2 Design, Inc.  
Name of DBE Business  
  
Authorized Signature of DBE Business  
Patrick D. Wong  
Typed or Printed Name of Signee  
President



Title of Signee

(925) 627-1700

Telephone

rsimms@walshgroup.com

Email

1/20/15

Date

Title of Signee

(626) 396-9855

Telephone

pdwong@w2designinc.com

Email

November 1, 2014

Date



# FORM 1—PROPOSED LIST OF SUBCONTRACTORS AND SUPPLIERS—CONSTRUCTION

TO BE COMPLETED BY OFFEROR

Offers are required to list ALL (DBE and Non-DBE) first-tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women. Completion of this form AND Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Offeror's Name: Walsh Construction Company II, LLC      2. Project Name: Division 16: Southwestern Yard
3. Total Bid Price: \$180,420,699      4. Bid Due Date: January 22, 2015

	A	B	C	D	E	F	G
	NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 <sup>st</sup> Tier)	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Offeror	Walsh Construction Company II, LLC	General Contractor	Prime's \$ Amount with its own workforce →	\$91,622,889		\$	\$
1.	Genard, Inc dba Lennova	Flooring	C	\$176,000	\$176,000		\$176,000
2.	Excelsior Elevator	Elevators	C	\$932,625	\$932,625		\$932,625
3.	Neal Electric Corp.	Electrical	C	\$15,123,869			
4.	L.K. Comstock National Transit, Inc.	F&I TPSS, OCS, ATC & Comm Systems	C	\$38,906,729	\$6,228,000		\$6,228,000
5.	Alameda Construction Services, Inc.	Site Concrete	C	\$798,000	\$798,000		\$798,000
6.	fS3 Hodges	Proj. Quality Management	C	\$640,000	\$640,000		\$640,000
7.	MTGL, Inc.	QA/QC	C	\$888,000	\$888,000		\$888,000
8.	Casamar Group LLC	Jobs Coordinator	C	\$298,000	\$298,000		\$298,000

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A	B	C	D	E	F	G
NAME OF OFFEROR AND ALL SUBCONTRACTORS (1 <sup>st</sup> Tier)	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M=Manufacturer B = Broker T = Trucker	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR OTHER DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
9. RaiiWorks Track Services, Inc.	Track Work	C	\$12,127,900			
10. TBD In conformance with the DBE Contracting Plan	TBD	TBD	\$18,906,687	\$18,906,687		
<b>26. TOTAL BID PRICE (Should equal Line #3 above)</b>			<b>\$180,420,699</b> →	<b>\$28,867,312</b>	<b>\$</b>	<b>\$28,867,312</b>

<sup>1</sup> If a RC DBE or RN DBE firm listed in column D and E are regular dealers enter 60% of the bid price in column G. If a RC DBE or RN DBE firm listed in column D and E and F are brokers, enter the total amount of the fees and or commissions charges in column G. If a DBE business is listed to provide materials/suppliers, only sixty percent (60%) of the cost for materials/supplies will be counted toward the DBE.

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**FORM 2 – DBE AFFIDAVIT – CONSTRUCTION**  
TO BE COMPLETED BY OFFEROR ONLY

**Part A: DBE GOAL DECLARATION**

**RC DBE GOAL ACHIEVED**

The Offeror shall demonstrate compliance with the DBE goal by achieving a level of DBE participation greater than or equal to the goal established for RC DBE participation.

The level achieved is sixteen percent (16 %)

NOTE: Offeror shall identify additional subcontractors (DBE and non-DBE) on Form 1-PROPOSED SUBCONTRACTORS AND SUPPLIERS – CONSTRUCTION (Pro Form 070), no later than ninety (90) days after design packages are approved by Metro's Contracting Officer.

**RC DBE GOAL NOT ACHIEVED**

The Offeror declares to the best of its knowledge, information and belief that while it made efforts to achieve the RC DBE participation goal, it DID NOT ACHIEVE a level of RC DBE participation greater than or equal to the goal established for RC DBE participation.

The level achieved is \_\_\_\_\_ percent (\_\_\_\_\_ %)

While the Offeror did exert efforts to achieve the goal, it was not successful. The Offeror certifies that, if requested, evidence of good faith efforts (GFE) will be submitted within forty-eight (48) hours of Metro's written request.

**DO NOT INCLUDE EVIDENCE OF GOOD FAITH EFFORTS WITH BIDS/PROPOSALS**

**Part B: SIGNATURE**

Executed on: 1-21, 2015, at CHICAGO, ILLINOIS  
Date City State

Business Name: Walsh Construction Company II, LLC

Authorized Signature: 

Printed Name: Sean C. Walsh

Title: President

E-mail: rsimms@walshgroup.com

Phone: (925) 627-1700



**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION**

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: Division 16: Southwestern Yard

IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

(Sub.):

Alameda Construction Services Inc 2 Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price:

\$ 798,000

	A NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	B DESCRIPTION OF WORK	C SUBCONTRACTORS  C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Trucker  Your business' \$ Amount	D BID PRICE	E BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	F BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	G TOTAL DBE BID PRICE (COL # E + F)
Subs	<u>Alameda Construction Services</u>	<u>Site Concrete</u>		<u>\$ 798,000</u>	<u>\$ 798,000</u>	<u>\$</u>	<u>\$ 798,000</u>
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
<b>9. TOTAL BID PRICE</b>				<u>\$ 798,000</u>	<u>\$ 798,000</u>	<u>\$</u>	<u>\$ 798,000</u>
<b>(Should equal Line #3 above)</b>							

Lower Tier Subcontractors

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**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Walsh Construction Company II, LLC
  2. Business Address: 1777 Oakland Blvd., Ste 300 Walnut Creek CA 94596  
Street City State Zip
  3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
  4. County (and State) Business is located in: Contra Costa County ( CA )  
State
  5. Name of Owner: Sean C Walsh President  
Name Title
  6. Owner'(s) Ethnicity: Caucasian
  7. Phone: ( 925 ) 627 - 1700 9. Email Address: rsimms@walshgroup.com
  8. Fax: ( 925 ) 944 - 9860 10. Age of Business: 5 Years 1 Months
  11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:
- |  |  |
|--|--|
| a. License Type <u>Contractors License A &amp; B</u><br>b. License # <u>982816</u><br>c. Expires on <u>4/30/2015</u> | a. <input type="checkbox"/> Less than \$500,000<br>b. <input type="checkbox"/> \$500,000 to \$1,000,000<br>c. <input type="checkbox"/> \$1,000,000 to \$2,000,000<br>d. <input type="checkbox"/> \$2,000,000 to \$5,000,000<br>e. <input checked="" type="checkbox"/> Over \$5,000,000 |
|--|--|

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____	<b>Yes</b>	<b>No</b>
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

*Page 73-A*



**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP

#: IFB C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

Design Build General Contractor

NAICS:

27310

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Walsh Construction Company II, LLC

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Sean C. Walsh

Title: President

Date: 1.16.15





# FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

## Part A: Business Data

1. Business Name: Alameda Construction Services, Inc

2. Business Address: 2528 E 125th Street Compton CA 90222

3. Mailing Address: Same as above Street City State Zip  
 (If different from above) PO. Box or Street Address City State Zip

4. County (and State) Business is located in: Los Angeles (CA)

5. Name of Owner: Kevin Ramsey Name Title

6. Owner(s) Ethnicity: African American

7. Phone: ( 310 ) 635 - 3277

8. Fax: ( 310 ) 635 - 0562

9. Email Address: kramsey@alamedaconstruction.com

10. Age of Business: 17 Years 7 Months

11. If your business requires a license, complete below:  
 a. License Type Contractor  
 b. License # 740423  
 c. Expires on 9/30/2015

12. Business Annual Gross Receipts:  
 a.  Less than \$500,000  
 b.  \$500,000 to \$1,000,000  
 c.  \$1,000,000 to \$2,000,000  
 d.  \$2,000,000 to \$5,000,000  
 e.  Over \$5,000,000

## Part B: DBE CERTIFICATION STATUS

13. Is your business currently a DBE? Yes  No

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		

14. Is your business currently participating in a Joint Venture? Yes  No

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.

15. Name of Joint Venture and Partners. Is this business currently a certified DBE?

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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# CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



January 8, 2008

Mr. Kevin Ramsey  
Alameda Construction Services, Inc.  
2528 East 125th Street  
Compton, CA 90222

**DISADVANTAGED/MINORITY BUSINESS ENTERPRISE**  
**(DBE/MBE) RECERTIFICATION APPROVAL** CCA - 8516

Dear Mr. Ramsey:

We are pleased to advise you that after careful review of your application and supporting documentation, the City of Los Angeles has determined that your firm meets the eligibility standards to be recertified as a **Disadvantaged/Minority Business Enterprise (DBE/MBE)** as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended.

Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs and the City of Los Angeles DBE/MBE/WBE directory under the following specific areas of expertise that you have identified on the business service form for contracting opportunities:

<u>NAICS Codes</u>	<u>Description</u>
236115	New Single-Family Housing Construction (Except Operative Builders)
236116	New Multifamily Housing Construction
236210	Industrial Building Construction
238110	Poured Concrete Foundation and Structure Contractors
238220	Plumbing, Heating, and Air-Conditioning Contractors
238140	Masonry Contractors

Your DBE certification is good for five years from the date of this letter and applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the California Department of Transportation's website at [www.dot.ca.gov/hq/bep/](http://www.dot.ca.gov/hq/bep/) and the City of Los Angeles DBE/MBE/WBE database at <http://bca.lacity.org>. Any additions and revisions must be submitted to the City of Los Angeles for review and approval.

After the five-year certification period, your entire file will be reviewed in order to ascertain continued DBE certification status. You will be notified of the pending DBE status review and any documentation update necessary prior to the expiration date.



BOARD OF PUBLIC WORKS  
MEMBERS

VACANT  
PRESIDENT

VALERIE LYNNE SHAW  
VICE PRESIDENT

STEVEN T. NUTTER  
PRESIDENT PRO TEMPORE

WARREN T. FURUTANI  
COMMISSIONER

JERILYN LÓPEZ-MENDOZA  
COMMISSIONER

ARLEEN P. TAYLOR  
EXECUTIVE OFFICER

CITY OF LOS ANGELES  
CALIFORNIA



ERIC GARCETTI  
MAYOR

JOHN L. REAMER, JR.  
Inspector of Public Works  
and  
Director

BUREAU OF  
CONTRACT ADMINISTRATION  
Office of Contract Compliance  
1149 S. BROADWAY, SUITE 300  
LOS ANGELES, CA 90015  
(213) 847-1922

<http://bcacity.org>

July 10, 2013

Mr. Kevin Ramsey  
Alameda Construction Services, Inc.  
2528 East 125th Street  
Compton, CA 90222

**RE: ANNUAL UPDATE OF DISADVANTAGED/MINORITY BUSINESS ENTERPRISE  
(DBE/MBE) CERTIFICATION** File No. - 8516

Dear Mr. Ramsey:

Your **Disadvantaged/Minority Business Enterprise (DBE/MBE)** Annual Update has been received by the Bureau of Contract Administration, Office of Contract Compliance (OCC). You will be notified when your file is assigned to an analyst for review.

During this waiting period your firm is **still certified** as a **Disadvantaged/Minority/Women Business Enterprise (DBE/MBE/WBE)**. Section 26.83(h) of Title 49, Part 26 of the Code of Federal Regulations states that a certified firm shall remain certified unless and until its certification is removed for cause.

If you have any questions regarding this matter, please contact the Centralized Certification Administration at (213) 847-2684.

RECEIVED JUL 15 2013

AN EQUAL OPPORTUNITY- AFFIRMATIVE ACTION EMPLOYER

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# CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



July 28, 2014

Mr. Kevin Ramsey  
Alameda Construction Services, Inc.  
2528 East 125th Street  
Compton, CA 90222

**RE: REQUEST FOR ANNUAL UPDATE OF DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
CERTIFICATION**      CUCP File No. - 34242

Dear Mr. Ramsey:

Thank you for your participation as a certified Disadvantaged Business Enterprise (DBE). Our records indicate that your firm is due for an annual update in order to continue your status as a DBE with the California Unified Certification Program (CUCP).

The enclosed *No Change Declaration* has been prepared to comply with the U.S. Department of Transportation's (U.S. DOT) Final Rule for Participation by Disadvantaged Business Enterprises in U.S. DOT Programs, 49 CFR Part 26.

**Please complete the No Change Declaration and return it with copies of the following documents by 8/28/2014:**

- Most recent U.S. Federal Income Tax Return (Form 1040, 1120, 1120S, or 1065) including all schedules for your business and all affiliates.

If there are changes in the firm's disadvantaged status, ownership, control and/or management or any material changes in the information provided previously on the application form, submit a letter and describe any changes in disadvantaged status, ownership, control and/or management of the firm, along with supporting documentation.

Please be thorough with your submission to facilitate the annual update process for your firm in the shortest possible time. Upon receipt and approval, your firm will remain certified as a DBE until you are notified otherwise. Your firm will continue to be listed in the CUCP DBE Database. The Final Rule requires that only firms currently certified as eligible DBE's may participate in the DBE Programs of the CUCP participating agencies.

If you have any questions, please contact Rolando Tuason at (213) 847-2642 or e-mail at [rolando.tuason@lacity.org](mailto:rolando.tuason@lacity.org).

MAIL TO:      City of Los Angeles  
                 Department of Public Works  
                 Bureau of Contract Administration  
                 Office of Contract Compliance - Centralized Certification Administration  
                 1149 S. Broadway, Suite 300  
                 Los Angeles, CA 90015





# CALIFORNIA UNIFIED CERTIFICATION PROGRAM (CUCP)



## NO CHANGE DECLARATION

I/we, Kevin Ramsey, declare that there have been no changes\* in Alameda Construction Services, Inc. circumstances affecting its ability to meet the size, disadvantaged status, ownership, or control requirements of 49 CFR Part 26 and 13 CFR Part 121. I/we further declare there have been no material changes in the information provided with Alameda Construction Services, Inc. application for certification, except for any changes about which I/we have provided written notice to the City of Los Angeles pursuant to 49 CFR § 26.83(i).

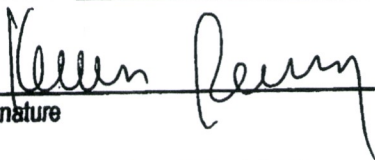
I/we declare that I am (or we are) socially disadvantaged because I/we have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of my/our identity as member(s) of one or more of the groups identified in 49 CFR § 26.5, without regard to my/our individual qualities. I/we further declare that my/our personal net worth does not exceed \$1,320,000.00, and that I am (or we are) economically disadvantaged because my/our ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged.

In addition, I/we specifically declare that Alameda Construction Services, Inc. continues to meet the Small Business Administration (SBA) business size criteria and the overall gross receipts cap of 49 CFR Part 26. I/we specifically declare that Alameda Construction Services, Inc.'s average annual gross receipts (as defined by SBA rules) over the previous three fiscal years do not exceed the SBA size standard pursuant to 49 CFR § 26.65(b). I/we provide the attached size and gross receipts documentation to support this declaration.

I/we declare under penalty of perjury that the foregoing is true and correct.<sup>1</sup>

All owners claiming social and economic disadvantaged status must sign this declaration, attach an additional page if necessary

Executed on August 1, 2014

  
Signature

Kevin Ramsey, President  
Printed Name & Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name & Title

**If there are changes in the firm's disadvantaged status, ownership, control and/or management or any material changes in the information provided previously in the application form, please submit a letter on the firm's letterhead and describe any changes in disadvantaged status, ownership, control and/or management of the firm, along with supporting documents related to the change.**

Please assist us in updating our files by verifying the following information:

Name of Firm: Alameda Construction Services, Inc. File#: CCA - 8516 CUCP - 34242  
Firm Address: 2528 East 125th Street Compton, CA 90222  
Mailing Address: 2528 East 125th Street Compton, CA 90222  
Telephone# (310) 635-3277 Fax# (310) 635-0562 E-mail Address: kramsey@alamedaconstruction.com

<sup>1</sup> Knowingly and willfully providing false information to the Federal government is a violation of 18 U.S.C. Section 1001 (False Statements) and could subject you to fines, imprisonment or both.



Part C: Work Descriptions

16. RFQ, IFB, or RFP # C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B

17. Provide complete description of scope of work, services, and materials to be performed or furnished:

Site Concrete

Three horizontal lines for providing a complete description of scope of work, services, and materials.

NAICS: 238110, 238990, 236220, 237310

18. Will your business provide trucking company services on this project? Please mark one: Yes [ ] No [x]

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? Not Applicable
b. How many trucks does your company lease? Not Applicable
c. How many trucks are registered to your company? Not Applicable

Part C: Signature

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Alameda Construction Service, Inc
Authorized Signature: [Handwritten Signature]
Printed Name: Kevin Ramsey
Title: President
Date: 12/31/2014



**FORM 5 - DBE AFFIRMATION – CONSTRUCTION**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

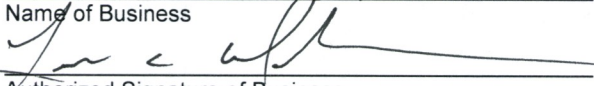
- 1. RFP/IFB Number: C0991
- 2. Project Name Division 16: Southwestern Yard
- 3. Name of the Prime: Walsh Construction Company II, LLC
- 4. Business Address: 1777 Oakland Blvd., Ste 300, Walnut Creek CA 94596  
Street City State Zip
- 5. Name of Proposed DBE Business: Alameda Construction Services Inc
- 6. Business Address: 2528 E. 125th St Compton CA 90222  
Street City State Zip
- 7. Total DBE Dollars Committed: \$ 798,000  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
- 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
site concrete

NAICS: 238110, 238990, 236220, 237310

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business

  
Authorized Signature of Business

Sean C. Walsh  
Typed or Printed Name of Signee

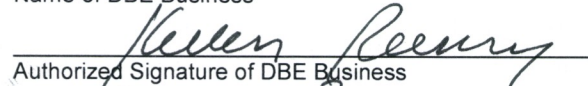
President  
Title of Signee

(925) 627-1700  
Telephone

rsimms@walshgroup.com  
Email

1/21/15  
Date

Alameda Construction Services Inc  
Name of DBE Business

  
Authorized Signature of DBE Business

Kevin Ramsey  
Typed or Printed Name of Signee

President  
Title of Signee

310 655 3277  
Telephone

Kramsey@Alamedaconstruction.com  
Email

1/20/15  
Date



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: C0991 Division 16: Southwestern Yard

IFB/RFP #: C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Prime's Name: Walsh Construction Company II, LLC

- 1. Subcontractor (Sub): Casama Group, LLC
- 3. Total Bid Price: \$ 298,000

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Casama Group, LLC</u>	<u>DBE Liaison</u> <u>Jobs Coordinator</u>	<u>→</u>	<u>\$ 298,000</u>	<u>\$ 298,000</u>	<u>\$</u>	<u>\$ 298,000</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<b><u>\$ 298,000</u></b>	<b><u>\$ 298,000</u></b>	<b><u>\$</u></b>	<b><u>\$ 298,000</u></b>





**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: CASAMAR GROUP, LLC
2. Business Address:
 

	<u>23335 ALAMOS LN.</u>	<u>NEWHALL</u>	<u>CA</u>	<u>91321</u>
	<small>Street</small>	<small>City</small>	<small>State</small>	<small>Zip</small>
3. Mailing Address: (If different from above)
 

	<small>PO. Box or Street Address</small>	<small>City</small>	<small>State</small>	<small>Zip</small>
4. County (and State) Business is located in: LOS ANGELES ( CA )  
State
5. Name of Owner: JOE GARCIA, PRINCIPAL  
Name Title
6. Owner(s) Ethnicity: HISPANIC
7. Phone: (     ) 626-255-2957 - \_\_\_\_\_
8. Fax: (     ) 661-253-0549 - \_\_\_\_\_
9. Email Address: JGARCIA@CASAMARGROUP.COM
10. Age of Business: 7 Years 5 Months
11. If your business requires a license, complete below:
 

a. License Type <u>N/A</u>	a. <input checked="" type="checkbox"/> Less than \$500,000
b. License # <u>N/A</u>	b. <input type="checkbox"/> \$500,000 to \$1,000,000
c. Expires on <u>N/A</u>	c. <input type="checkbox"/> \$1,000,000 to \$2,000,000
	d. <input type="checkbox"/> \$2,000,000 to \$5,000,000
	e. <input type="checkbox"/> Over \$5,000,000
12. Business Annual Gross Receipts:

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: <u>CALTRANS</u>		
14. Is your business currently participating in a Joint Venture? Yes  No   
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		



# CALIFORNIA UNIFIED CERTIFICATION PROGRAM DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE

## CASAMAR GROUP, LLC

23445 GLENRIDGE DRIVE  
NEWHALL, CA 91321

Owner: JOSEPH J. GARCIA

Business Structure: LIMITED LIABILITY COMPANY

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

### NAICS Code(s) \* Indicates primary NAICS code

- \* 541330 Engineering Services
- 541910 Marketing Research and Public Opinion Polling
- 541620 Environmental Consulting Services
- 541690 Other Scientific and Technical Consulting Services
- 541613 Marketing Consulting Services
- 541611 Administrative Management and General Management Consulting Ser
- 541618 Other Management Consulting Services

### Work Category Code(s)

- C8700 CONSULTANT
- C8710 ENGINEERING
- C8701 BUSINESS ADMINISTRATION

### Licenses

- EC Civil Engineer

### CERTIFYING AGENCY:

DEPARTMENT OF TRANSPORTATION  
1823 14TH STREET, MS 79  
SACRAMENTO, CA 95811 0000  
(916) 324-1700

UCP Firm Number : 38725

*Joseph Garcia*  
CUCP OFFICER

May 28, 2010

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.

Part C: Work Descriptions

16. RFIQ, IFB, or RFP #: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

Jobs Coordinator

NAICS: 541611, 541618, 541910

18. Will your business provide trucking company services on this project? Please mark one: Yes [ ] No [x]

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
b. How many trucks does your company lease?
c. How many trucks are registered to your company?

Part C: Signature

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: CASAMAR GROUP, LLC
Authorized Signature: [Signature]
Printed Name: Joe Garcia
Title: Principal
Date: 11/20/14

**FORM 5 - DBE AFFIRMATION - CONSTRUCTION**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1. RFP/IFB Number: C0991
  2. Project Name Division 16: Southwestern Yard
  3. Name of the Prime: Walsh Construction Company II, LLC
  4. Business Address: 1777 Oakland Blvd., Ste 300, Walnut Creek CA 94596  
Street City State Zip
  5. Name of Proposed DBE Business: Casamar Group, LLC
  6. Business Address: 23335 Alamos Ln. Newhall CA 91321  
Street City State Zip
  7. Total DBE Dollars Committed: \$ ~~331,430.00~~ \$298,000 *IG*  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
  8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Jobs Coordinator
- NAICS: 541611, 541618, 541910

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC

Name of Business

*Sean C. Walsh*

Authorized Signature of Business

Sean C. Walsh

Typed or Printed Name of Signee

President

Title of Signee

(925) 627-1700

Telephone

rsimms@walshgroup.com

Email

1/21/15

Date

Casamar Group, LLC

Name of DBE Business

*Joe Garcia*

Authorized Signature of DBE Business

Joe Garcia

Typed or Printed Name of Signee

Principal

Title of Signee

626-255-2957

Telephone

jgarcia@casamargroup.com

Email

11/20/14

Date



**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & S.U. PLIERS - CONSTRUCTION**  
 TO BE COMPLETED BY ALL SUBCONTRACTORS

Project Name: Division 16: Southwest Yard Design-Build (METRO) IFB/RFP #: No. C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

- Subcontractor (Sub.): L. K. Comstock National Transit, Inc. 2. Prime's Name: Walsh Construction Company II, LLC
- Total Bid Price: \$38,906,729.00

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Trucker Your business' \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs L. K. Comstock National Transit, Inc.	F&I TPSS, OCS, ATC & Comms. Systems		\$ 32,678,729.00	\$	\$	\$ 32,678,729.00
1. TBD	F&I TPSS	C	\$3,870,000.00	TBD 3,870,000	TBD	\$3,870,000.00
2. TBD	F&I OCS Poles	C	\$ 962,000.00	TBD 962,000 PD	TBD	\$ 962,000.00
3. TBD	F&I Pos. & Neg. Cabling	C	\$ 996,000.00	TBD 996,000	TBD	\$ 996,000.00
4. TBD	F&I OCS PRE-FAB	C	\$ 400,000.00	TBD 400,000	TBD	\$ 400,000.00
5.	TOTAL COMMITMENT TO BE DBE PARTICIPATION	\$	6,228,000.00			
6.						
7.						
8.						
9. TOTAL BID PRICE (Should equal Line #3 above)			\$ 38,906,729.00	\$ 6,228,000 PD	\$	\$ 38,906,729.00 6,228,000 PD

<sup>1</sup>If a RC DBE or RN DBE firm listed in column D and E are regular dealers enter 60% of the bid price in column G. If a RC DBE or RN DBE firm listed in column D and E are brokers, enter the total amount of the fees and or commissions charges in column G. If a DBE business is listed to provide materials/supplies, only sixty-percent (60%) of the cost for materials/supplies will be counted toward the DBE.

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**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: L. K. Comstock National Transit, Inc.
  2. Business Address: 8200 Roberts Drive, Suite 425 Atlanta Georgia 30350  
Street City State Zip
  3. Mailing Address: 8200 Roberts Drive, Suite 425 Atlanta Georgia 30350  
(If different from above) PO. Box or Street Address City State Zip
  4. County (and State) Business is located in: Fulton County ( GA )  
State
  5. Name of Owner: Mark Patterson President  
Name Title
  6. Owner(s) Ethnicity: Not Applicable
  7. Phone: ( 678 ) 990 - 7579 9. Email Address: mpatterson@railworks.com
  8. Fax: ( 678 ) 990 - 7578 10. Age of Business: 4 Years 7 Months
  11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:
- |  |  |
|--|--|
| a. License Type <u>Class A &amp; C10</u><br>b. License # <u>951997</u><br>c. Expires on <u>9-30-2016</u> | a. <input type="checkbox"/> Less than \$500,000<br>b. <input type="checkbox"/> \$500,000 to \$1,000,000<br>c. <input type="checkbox"/> \$1,000,000 to \$2,000,000<br>d. <input type="checkbox"/> \$2,000,000 to \$5,000,000<br>e. <input checked="" type="checkbox"/> Over \$5,000,000 |
|--|--|

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991 - Division 16: Southwest Yard Design-Build (METRO)

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:  
Design, procure, install, test & commission HV AC / Traction Power Substation, DC Switchgear, OCS,  
Automatic Train Control & Communications Systems.

NAICS:  
238210

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? Not Applicable
- b. How many trucks does your company lease? Not Applicable
- c. How many trucks are registered to your company? Not Applicable

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: L. K. Comstock National Transit, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Mark Patterson

Title: President

Date: January 8, ~~2014~~ 2015  
MP



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): Excelsior Elevators Prime's Name: Walsh Construction Company II, LLC

3. Total Bid Price: \$932,625

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Excelsior</u>	<u>Elevators</u>	<u>→</u>	<u>932,625</u>	<u>932,625</u>	<u>\$</u>	<u>\$ 932,625</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>932,625</u>	<u>\$ 932,625</u>	<u>\$</u>	<u>\$ 932,625</u>

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**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: EXCESSOR ELEVATOR
2. Business Address: 1961 BLAIR AVE SANTA ANA CA 92705  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: ORANGE (CA)  
State
5. Name of Owner: LINA ROUGH PRESIDENT  
Name Title
6. Owner(s) Ethnicity: ASIAN
7. Phone: (949) 757 - 1688 9. Email Address: LINA@EXCESSORELEVATOR.COM
8. Fax: (949) 757 - 1689 10. Age of Business: 19 Years \_\_\_\_\_ Months
11. If your business requires a license, complete below:
  - a. License Type C-11
  - b. License # 733576
  - c. Expires on MARCH 31, 2016
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	DBE	Non-DBE
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: <u>LACMTA</u>	Yes	No
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	DBE	Non-DBE
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		







**Metro**

**CALIFORNIA UNIFIED CERTIFICATION  
PROGRAM**



October 24, 2014

**CUCP #33783  
Metro File # 3042**

Lina Rough  
**Excelsior Elevator Corp.**  
1961 Blair Ave.  
Santa Ana, CA 92705

**RE: Disadvantaged Business Enterprise Certification**

Dear Mrs. Rough:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	Description
238290	Other Building Equipment Contractors
811310	Commercial and Industrial Machinery and Equipment Repair and Maintenance

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP's website at [www.californiaucp.org](http://www.californiaucp.org). Any additions and revisions must be submitted to Metro for review and approval.

In order to ensure your continued DBE status, you are required to submit an annual No Change Declaration Form (which will be sent to you) along with supporting documentation. If no changes are noted, then your DBE status remains current. If there are changes, Metro will review to determine continued DBE eligibility. Please note, your DBE status remains in effect unless Metro notifies you otherwise.

Should any changes occur that could affect your certification status prior to receipt of the No Change Declaration Form, such as changes in your firm's name, business/ mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Failure to submit forms and/or change of information will be deemed a failure to cooperate under Section 26.109 of the Regulations.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. Should you have any questions, please contact us at [213-922-2600](tel:213-922-2600). For information on Metro contracting opportunities, please visit our website at [www.metro.net](http://www.metro.net).

Sincerely,

Tina Giles-Potter  
Certification Consultant – SBEUS  
Diversity & Economic Opportunity Department

K:\Approval Letters\DBE Approval Aug 2014\Excelsior Elevator Corp.docx



Part C: Work Descriptions

16. RFIQ, IFB, or RFP #: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

ELEVATOR INSTALLATION

NAICS: 238290

18. Will your business provide trucking company services on this project? Please mark one: Yes  No


If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

Part C: Signature

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: EXCELSIOR ELEVATOR

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: LINA ROUGH

Title: PRESIDENT

Date: 1/15/15



**FORM 5 - DBE AFFIRMATION - CONSTRUCTION**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

- 1. RFP/IFB Number: C0991
  - 2. Project Name SOUTH WESTERN YARD
  - 3. Name of the Prime: Walsh Construction Company II, LLC
  - 4. Business Address: 1777 Oakland Blvd, Ste 300 Walnut Creek, CA 94596  
Street City State Zip
  - 5. Name of Proposed DBE Business: EXCELSIOR ELEVATOR
  - 6. Business Address: 1961 BLUE AVE SANTA ANA CA 92705  
Street City State Zip
  - 7. Total DBE Dollars Committed: \$ 932,625  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
  - 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
ELEVATOR INSTALLATION
- NAICS: 238290

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business

[Signature]  
Authorized Signature of Business

Sean C. Walsh  
Typed or Printed Name of Signee

President  
Title of Signee

(925) 627-1700  
Telephone

rsimms@walshgroup.com  
Email

1/21/15  
Date

EXCELSIOR ELEVATOR  
Name of DBE Business

[Signature]  
Authorized Signature of DBE Business

LINA ROUGH  
Typed or Printed Name of Signee

PRESIDENT  
Title of Signee

949-757-1688  
Telephone

LINA@EXCELSIOR-ELEVATOR.COM  
Email

1/15/15  
Date



# FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

Division 16 SW Yard Operations and Maintenance

IFB/RFP #: C0991

Project Name:

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub.): fs3|Hodges Walsh Construction Company II, LLC
2. Prime's Name:
3. Total Bid Price: \$640,000

	A NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	B DESCRIPTION OF WORK	C SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Trucker Your business' \$ Amount	D BID PRICE	E BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	F BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	G TOTAL DBE BID PRICE (COL # E + F)
Subs	fs3/Hodges	Proj. Quality Mgmt		\$ 640,000	\$ 640,000	\$	\$ 140,000
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
9. TOTAL BID PRICE (Should equal Line #3 above)				\$ 640,000	\$ 640,000	\$	\$ 640,000





**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: fs3|Hodges
2. Business Address: 50 Calle de la Luna San Clemente CA 92673  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Orange ( CA )  
State
5. Name of Owner: Fred Saldana, President  
Name Title
6. Owner(s) Ethnicity: Hispanic
7. Phone: (714 ) 864 - 8186 9. Email Address: garrett@fs3h.com
8. Fax: (949 ) 218 - 7363 10. Age of Business: 3 Years 3 Months
11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:
 

a. License Type _____	a. <input type="checkbox"/> Less than \$500,000
b. License # _____	b. <input type="checkbox"/> \$500,000 to \$1,000,000
c. Expires on _____	c. <input type="checkbox"/> \$1,000,000 to \$2,000,000
	d. <input checked="" type="checkbox"/> \$2,000,000 to \$5,000,000
	e. <input type="checkbox"/> Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____	<b>Yes</b>	<b>No</b>
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

*Page 96*



# CALIFORNIA UNIFIED CERTIFICATION PROGRAM DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATE

**FS3, INC.**

50 CALLE DE LA LUNA  
SAN CLEMENTE, CA 92673

**Owner: FRED SALDANA**

**Business Structure: CORPORATION**

This certificate acknowledges that said firm is approved by the California Unified Certification Program (CUCP) as a Disadvantaged Business Enterprise (DBE) as defined by the U.S. Department of Transportation (DOT) CFR 49 Part 26, as may be amended, for the following NAICS codes:

NAICS Code(s) \* Indicates primary NAICS code

\* 236220 Commercial and Institutional Building Construction

541611 Administrative Management and General Management Consulting Ser

Work Category Code(s)

C8714 CONSULTANT, PROJECT MANAGEMENT/BI

C8771 Construction Management - Commercial and Insti

Licenses

B General Building Contractor

**CERTIFYING AGENCY:**

LOS ANGELES COUNTY METRO TRANSPORTATION AUTHORITY (MTA)  
ONE GATEWAY PLAZA  
LOS ANGELES, CA 90012 0000  
(213) 922-2600

UCP Firm Number : 40410

August 30, 2012

\_\_\_\_\_  
CUCP OFFICER

It is CUCP's policy and objective to promote and maintain a level playing field for DBEs in California on Federal-aid contracts. We ensure nondiscrimination in the award and administration of U.S. DOT assisted contracts based on the requirements of 49 CFR Parts 21 and 26.



**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP  
#: C0991 Division 16: Southwestern Yard Operations & Maintenance Facility D/B

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:  
CPM Scheduling, QA/QC, and other project management support services

NAICS: 236220

18. Will your business provide trucking company services on this project? Please mark one: Yes  No


If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease?
- c. How many trucks are registered to your company?

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: fs3|Hodges

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Garrett Terlaak

Title: Principal

Date: 20JAN15



**FORM 5 - DBE AFFIRMATION – CONSTRUCTION**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

- 1. RFP/IFB Number: C0991
  - 2. Project Name Division 16 SW Yard Operations and Maintenance
  - 3. Name of the Prime: Walsh Construction Company II, LLC
  - 4. Business Address: 1777 Oakland Blvd, Suite 300, Walnut Creek, CA 94596  
Street City State Zip
  - 5. Name of Proposed DBE Business: fs3|Hodges
  - 6. Business Address: 50 Calle de la Luna San Clemente CA 92673  
Street City State Zip
  - 7. Total DBE Dollars Committed: \$ 640,000  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
  - 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
QA/QC, CPM Scheduling, other Project Management Support
- NAICS: 236220

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business

[Signature]  
Authorized Signature of Business

Sean C. Walsh  
Typed or Printed Name of Signee

President  
Title of Signee

(925) 627-1700  
Telephone

rsimms@walshgroup.com  
Email

1/21/15  
Date

fs3|Hodges  
Name of DBE Business

[Signature]  
Authorized Signature of DBE Business

Garrett Terlaak  
Typed or Printed Name of Signee

Principal  
Title of Signee

714.864.8186  
Telephone

garrett@fs3h.com  
Email

20JAN15  
Date





**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION**

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** Division 16: Southwestern Yard

**IFB/RFPP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor

Genard Inc, dba Lennova

Walsh Construction Company II, LLC

(Sub.):

2. Prime's Name:

3. Total Bid Price:

\$176,000

	A NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	B DESCRIPTION OF WORK	C SUBCONTRACTORS  C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Trucker  Your business' \$ Amount	D BID PRICE	E BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	F BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	G TOTAL DBE BID PRICE (COL # E + F)
Subs	<u>Genard dba Lennova</u>	<u>Flooring</u>		<u>\$ 176,000</u>	<u>\$ 176,000</u>	<u>\$</u>	<u>\$ 176,000</u>
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							
<b>9. TOTAL BID PRICE</b>				<u>\$ 176,000</u>	<u>\$ 176,000</u>	<u>\$</u>	<u>\$ 176,000</u>
<b>(Should equal Line #3 above)</b>							

Lower Tier Subcontractors



**FORM 4 - BUSINESS DATA SHEET - CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Genard, Inc. dba Lennova

2. Business Address: 10612 Midway Ave. Culver City, CA 90703  
Street City State Zip

3. Mailing Address: SAME AS ABOVE  
(If different from above) PO. Box or Street Address City State Zip

4. County (and State) Business is located in: Los Angeles (CA)  
State

5. Name of Owner: Leonard Ortiz Pres. / CEO  
Name Title

6. Owner(s) Ethnicity: HISPANIC

7. Phone: (562) 860 - 3213 9. Email Address: Leonard@Lennova.net

8. Fax: (562) 860 - 6913 10. Age of Business: 15 Years 1 Months

11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:

a. License Type B, C15, D06 a.  Less than \$500,000

b. License # 788437 b.  \$500,000 to \$1,000,000

c. Expires on 2/28/15 c.  \$1,000,000 to \$2,000,000

d.  \$2,000,000 to \$5,000,000

e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		

14. Is your business currently participating in a Joint Venture? Yes  No

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.

15. Name of Joint Venture and Partners. Is this business currently a certified DBE?

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		





Los Angeles County  
Metropolitan Transportation Authority

One Gateway Plaza  
Los Angeles, CA 90012-2952

213.922.2000 Tel  
metro.net

# Metro CALIFORNIA UNIFIED CERTIFICATION PROGRAM



July 9, 2014

**CUCP #37702**  
**Metro File # 4876**

Leonard Ortiz  
**Genard Inc., dba Lennova**  
10612 Midway Ave.  
Cerritos, CA 90703

**RE: Disadvantaged Business Enterprise Certification**

Dear Mr. Ortiz:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

NAICS (2007)	Description
238330	Flooring Contractors
23840	Tile and Terrazzo Contractors
237310	Highway, Street and Bridge Construction
236220	Commercial and Institutional Building Construction
238390	Other Building Finishing Contractors

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP's website at [www.californiaucp.org](http://www.californiaucp.org). Any additions and revisions must be submitted to Metro for review and approval.

In order to ensure your continued DBE status, you are required to submit an annual No Change Declaration Form (which will be sent to you) along with supporting documentation. If no changes are noted, then your DBE status remains current. If there are changes, Metro will review to determine continued DBE eligibility. Please note, your DBE status remains in effect unless Metro notifies you otherwise.

Should any changes occur that could affect your certification status prior to receipt of the No Change Declaration Form, such as changes in your firm's name, business/ mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately. Failure to submit forms and/or change of information will be deemed a failure to cooperate under Section 26.109 of the Regulations.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

C:\Users\wongr\AppData\Local\Microsoft\Windows\Temporary Internet Files\Content.Outlook\02P1O0CC\Genard dba Lennova.doc

Congratulations, and thank you for your interest in the DBE program. Should you have any questions, please contact us at [213-922-2600](tel:213-922-2600). For information on Metro contracting opportunities, please visit our website at [www.metro.net](http://www.metro.net).

Sincerely,



Tina Giles-Potter  
Certification Consultant – SBEUS  
Diversity & Economic Opportunity Department

Part C: Work Descriptions

16. RFIQ, IFB, or RFP #: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

FLOORING

NAICS: 238330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

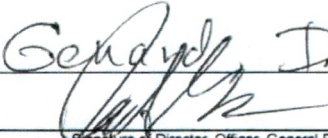
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? NA
- b. How many trucks does your company lease?
- c. How many trucks are registered to your company?

Part C: Signature

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Genova, Inc. dba Lenova

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: TONY GENOVA

Title: VP Sales & Marketing

Date: 1/9/15

**FORM 5 - DBE AFFIRMATION - CONSTRUCTION**

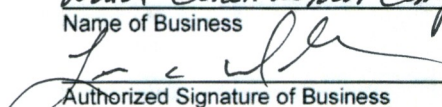
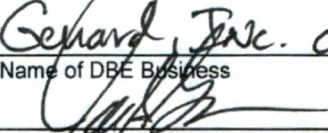
TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

- 1. RFP/IFB Number: C0991
  - 2. Project Name: Div. 16: Southwestern Yard
  - 3. Name of the Prime: Walsh Construction Company II, LLC
  - 4. Business Address: 1777 Oakland Blvd., Ste 300, Walnut Creek CA 94596  
Street City State Zip
  - 5. Name of Proposed DBE Business: Genard, Inc. dba Lennova
  - 6. Business Address: 10612 Midway Ave, Cerritos, CA 90703  
Street City State Zip
  - 7. Total DBE Dollars Committed: \$176,000  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)
  - 8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Flooring
- NAICS: 238330

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

<u>Walsh Construction Company II, LLC</u>	<u>Genard, Inc. dba Lennova</u>
Name of Business	Name of DBE Business
	
Authorized Signature of Business	Authorized Signature of DBE Business
<u>Sean C. Walsh</u>	<u>Tony Genova</u>
Typed or Printed Name of Signee	Typed or Printed Name of Signee
<u>President</u>	<u>VP Sales &amp; Marketing</u>
Title of Signee	Title of Signee
<u>(925) 627-1700</u>	<u>562-860-3213</u>
Telephone	Telephone
<u>rsimms@walshgroup.com</u>	<u>tony@lennova.net</u>
Email	Email
<u>1/21/15</u>	<u>1/20/15</u>
Date	Date



# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

Prime's Name: Walsh Construction Company II, LLC

1. Subcontractor (Sub): MTGL, Inc.

3. Total Bid Price: \$ 888,000

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>MTGL, Inc.</u>	<u>QA/QC</u>	<u>→</u>	<u>\$ 888,000</u>	<u>\$ 888,000</u>	<u>\$</u>	<u>\$ 888,000</u>
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			<u>\$ 888,000</u>	<u>\$ 888,000</u>	<u>\$</u>	<u>\$ 888,000</u>

**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: MTGL, Inc.
2. Business Address: 2992 E. La Palma Ave., Suite A Anaheim CA 92806  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Orange County (CA)  
State
5. Name of Owner: Marianne Sierra Owner  
Name Title
6. Owner(s) Ethnicity: Hispanic
7. Phone: ( 714 ) 632 - 2999
9. Email Address: mlandon@mtglinc.com
8. Fax: ( 714 ) 632 - 2974
10. Age of Business: 21 Years 2 Months
11. If your business requires a license, complete below:
  - a. License Type N/A
  - b. License # \_\_\_\_\_
  - c. Expires on \_\_\_\_\_
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No   
 If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c. Name of Certifying Agency: <u>N/A - only Service CA</u>		
14. Is your business currently participating in a Joint Venture?  Yes  No  
 If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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**Metro**

Los Angeles County  
Metropolitan Transportation Authority

One Gateway Plaza  
Los Angeles, CA 90012-2952

213.922.2000 Tel  
metro.net

**CALIFORNIA UNIFIED CERTIFICATION PROGRAM**



October 16, 2012

**CUCP #33843**  
**Metro File # 1199**

Marianne Sierra  
**MTGL Inc.**  
2992 E. La Palma Ave. Suite A  
Anaheim, CA 92806

**RE: Disadvantaged Business Enterprise Certification**

Dear Ms. Sierra:

We are pleased to advise you that after careful review of your application and supporting documentation, the Los Angeles County Metropolitan Transportation Authority (Metro) has determined that your firm meets the eligibility standards to be certified as a Disadvantaged Business Enterprise (DBE) as required under the U.S. Department of Transportation (U.S. DOT) Regulation 49 CFR Part 26, as amended. This certification will be recognized by all of the U.S. DOT recipients in California. Your firm will be listed in the California Unified Certification Program (CUCP) database of certified DBEs under the following specific areas of expertise that you have identified on the NAICS codes form of the application package:

<u>NAICS (2007)</u>	<u>Description</u>	<u>Size Standard</u>
541380	Testing Laboratories	\$14 million
541620	Environmental Consulting Services	\$14 million

Your DBE certification applies only for the above codes. You may review your firm's information in the CUCP DBE database which can be accessed at the CUCP website at [www.californiaucp.org](http://www.californiaucp.org). Any additions and revisions must be submitted to Metro for review and approval.

In order to assure continuing DBE status, you must submit annually a No Change Declaration form (which will be sent to you) with supporting documentation. Based on your annual submission that no change in ownership and control has occurred, or if changes have occurred, they do not affect your firm's DBE standing, the DBE certification of your firm will continue until or unless it is removed by our agency.

Also, should any changes occur that could affect your certification status prior to receipt of the DBE Declaration, such as changes in your firm's name, business/ mailing address, ownership, management or control, or failure to meet the applicable business size standards or personal net worth standard, please notify Metro immediately.

Metro reserves the right to withdraw this certification if at any time it is determined that it was knowingly obtained by false, misleading, or incorrect information. Your DBE certification is subject to review at any time. The firm thereby consents to the examination of its books, records and documents by Metro.

Congratulations, and thank you for your interest in the DBE program. I wish you every business success and should you have any questions, please contact us at 213-922-2600. For information on Metro contracting opportunities, please visit our website at [www.metro.net](http://www.metro.net).

Sincerely,

Shirley Wong  
Certification Representative  
Diversity & Economic Opportunity Department

**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP # C0991 - Division 16: Southwestern Yard

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

Quality Control / Quality Assurance. Materials Testing and Special Inspection Services

NAICS: 541350, 541380, 541330

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

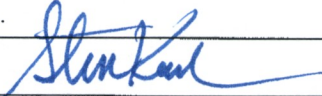
If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? N/A
- c. How many trucks are registered to your company? N/A

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: MTGL, Inc.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Steven Koch

Title: Senior Vice President

Date: 11/12/14

**FORM 5 - DBE AFFIRMATION – CONSTRUCTION**

TO BE COMPLETED BY OFFEROR AND AFFIRMED BY DBE SUBCONTRACTORS

Offeror and DBE subcontractors, at any tier level, are required to complete this form and affirm that DBEs subcontractors will be utilized consistent with the level of participation referenced on the Form 1 (Proposed List of Subcontractors & Suppliers) and Form 3 (DBE Proposed Lower Tier Subcontractors & Suppliers). Offeror and DBE subcontractors must sign this form attesting to the accuracy of the information provided.

1. RFP/IFB Number: C0991

2. Project Name: Division 16: Southwestern Yard

3. Name of the Prime: Walsh Construction Company II, LLC

4. Business Address: 1777 Oakland Blvd., Suite 300, Walnut Creek CA 94596  
Street City State Zip

5. Name of Proposed DBE Business: MTGL, Inc.

6. Business Address: 2992 E. La Palma Ave., Suite A Anaheim CA 92806  
Street City State Zip

7. Total DBE Dollars Committed: \$ 888,000  
(Amount should match \$ Amount listed for this business on Form 1 or Form 3)

8. Identify the scope of work to be performed by DBE subcontractor and provide applicable Northern America Industry Classification System (NAICS) code(s): <http://www.census.gov/eos/www/naics/>  
Quality Control / Quality Assurance, Materials Testing and Special Inspection Services

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NAICS: 541350, 541380, 541350

**Affirmation:**

Signatures of the authorized representatives of the Offeror and the DBE business below, represents the commitment by both parties. A formal subcontract agreement between the Offeror and the DBE subcontractor shall include the scope(s) of work and monetary commitment referenced above. DBE commitments in this document shall be a condition of contract award.

Walsh Construction Company II, LLC  
Name of Business

[Signature]  
Authorized Signature of Business

Sean C. Walsh  
Typed or Printed Name of Signee

President  
Title of Signee

(925) 627-1700  
Telephone

rsimms@walshgroup.com  
Email

1/21/15  
Date

MTGL, Inc.  
Name of DBE Business

[Signature]  
Authorized Signature of DBE Business

Steven Koch  
Typed or Printed Name of Signee

Senior Vice President  
Title of Signee

(714) 632-2999  
Telephone

skoch@mtglinc.com  
Email

11/12/14  
Date

# FORM 3—PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS – CONSTRUCTION

TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** C0991 Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offers are required to utilize Race conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub): Neal Electric Corp. Prime's Name: Walsh Construction Company II, LLC
3. Total Bid Price: \$15,123,869

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant or contractor S = Supplier <sup>1</sup> M = Manufacturer B = Broker T = Trucker Your business's \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (Col # E + F)
Subs <u>Neal Electric Corp.</u>	<u>Electrical</u>	➔	<u>\$15,123,869</u>		\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
<b>9. TOTAL BID PRICE (Should equal Line #3 above)</b>			➔	\$	\$	\$

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**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: Neal Electric Corp.
  2. Business Address: 13250 Kirkham Way Poway California 92064  
Street City State Zip
  3. Mailing Address: Same  
(If different from above) PO. Box or Street Address City State Zip
  4. County (and State) Business is located in: San Diego County ( CA )  
State
  5. Name of Owner: Alex Meruelo, CFO Meruelo Enterprises, Inc.  
Name Title
  6. Owner(s) Ethnicity: Hispanic
  7. Phone: ( 858 ) 513-2525 9. Email Address: alex@meruelogroup.com
  8. Fax: ( 858 ) 513-9488 10. Age of Business: 30 Years 5 Months
  11. If your business requires a license, complete below: 12. Business Annual Gross Receipts:
- |   |  |
|---|--|
| a. License Type <u>A,B, C-10, C-7</u><br>b. License # <u>802588</u><br>c. Expires on <u>December 31, 2015</u> | a. <input type="checkbox"/> Less than \$500,000<br>b. <input type="checkbox"/> \$500,000 to \$1,000,000<br>c. <input type="checkbox"/> \$1,000,000 to \$2,000,000<br>d. <input type="checkbox"/> \$2,000,000 to \$5,000,000<br>e. <input checked="" type="checkbox"/> Over \$5,000,000 |
|---|--|

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	<b>DBE</b>	<b>Non-DBE</b>
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?

	<b>DBE</b>	<b>Non-DBE</b>
a. Business Name <u>Not Applicable</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Name of Certifying Agency _____		

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**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP #: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished:  
Installation of electrical distribution equipment, electrical lighting, electrical conduit, wire and electrical conduit ductbanks.

NAICS: 2012 NAICS: 238210 - Electrical contractors and other wiring installation contractors

18. Will your business provide trucking company services on this project? Please mark one: Yes  No


If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."

- a. How many trucks does your company own? N/A
- b. How many trucks does your company lease? \_\_\_\_\_
- c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.

Business Name: Neal Electric Corp.

Authorized Signature:   
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Daniel Zupp,

Title: President/COO

Date: 01/19/2015



**FORM 3 - PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS - CONSTRUCTION**  
 TO BE COMPLETED BY ALL SUBCONTRACTORS

**Project Name:** Division 16: Southwestern Yard

**IFB/RFP #:** C0991

All subcontractors listed on Form 1 that are subcontracting work are requested to list ALL (DBE and Non-DBE) lower tier subcontractors. Offerors are required to utilize Race Conscious (RC) DBE firms in the performance of this project. RC DBEs are ethnic groups that have been identified as statistically and significantly underutilized. These groups include: African Americans, Asian Pacific Americans, Native Americans, Hispanic Americans, and Subcontinent Asian Americans. ONLY RC DBEs listed in the Offeror's bid or proposal will be counted towards the contract goal. Metro will achieve a percentage of its overall goal utilizing race neutral measures. Race Neutral (RN) DBEs include non-minority women.

Completion of this form and Form 4 (Business Data Sheet) fulfills the requirements of the California Subletting and Subcontracting Fair Practices Act.

1. Subcontractor (Sub.): RailWorks Track Services, Inc.
2. Prime's Name: Walsh Construction Company II, LLC
3. Total Bid Price: \$ 12,127,900

A	B	C	D	E	F	G
NAME OF SUBCONTRACTOR AND ALL LOWER TIER SUBCONTRACTORS	DESCRIPTION OF WORK	SUBCONTRACTORS C = Consultant Or Contractor S = Supplier M = Manufacturer B = Broker T = Trucker Your business' \$ Amount	BID PRICE	BID PRICE FOR RC DBE FIRMS (Race Conscious participation)	BID PRICE FOR RN DBE FIRMS (Race Neutral Participation)	TOTAL DBE BID PRICE (COL # E + F)
Subs RailWorks Track Services	Track Work		\$12,127,900	\$	\$	\$
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9. TOTAL BID PRICE (Should equal Line #3 above)			\$12,127,900	\$	\$	\$

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Lower Tier Subcontractors

**FORM 4 - BUSINESS DATA SHEET – CONSTRUCTION**

TO BE COMPLETED BY OFFERORS AND ALL SUBCONTRACTORS LISTED ON FORM 1 AND FORM 3

Completion of this form, Form 1, and Form 3 fulfills the requirements of the California Subletting & Subcontracting Fair Practices Act.

**Part A: Business Data**

1. Business Name: RailWorks Track Services, Inc.
2. Business Address: 12740-B Lakeland Rd. Santa Fe Springs CA 90670  
Street City State Zip
3. Mailing Address: \_\_\_\_\_  
(If different from above) PO. Box or Street Address City State Zip
4. County (and State) Business is located in: Los Angeles ( CA )  
State
5. Name of Owner: RailWorks Corporation Corporation  
Name Title
6. Owner(s) Ethnicity: NA
7. Phone: ( 562 ) 356 - 3350 9. Email Address: jryhal@railworks.com
8. Fax: ( 562 ) 698 - 0066 10. Age of Business: 52 Years 7 Months
11. If your business requires a license, complete below:
  - a. License Type Class A
  - b. License # 987265
  - c. Expires on 09/30/2015
12. Business Annual Gross Receipts:
  - a.  Less than \$500,000
  - b.  \$500,000 to \$1,000,000
  - c.  \$1,000,000 to \$2,000,000
  - d.  \$2,000,000 to \$5,000,000
  - e.  Over \$5,000,000

**Part B: DBE CERTIFICATION STATUS**

13. Is your business currently a DBE? Yes  No 

If "YES," attach a copy of your DBE Certification Letter and check all appropriate boxes below:

	DBE	Non-DBE
a. Certified by the California Unified Certification Program (CUCP)?	<input type="checkbox"/>	<input type="checkbox"/>
b. Certified by an organization outside of California?	<input type="checkbox"/>	<input type="checkbox"/>
c. Name of Certifying Agency: _____		
14. Is your business currently participating in a Joint Venture? Yes  No 

If "YES," a copy of the Joint Venture Agreement must be attached to this Form.
15. Name of Joint Venture and Partners. Is this business currently a certified DBE?
 

	DBE	Non-DBE
a. Business Name <u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
b. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		
c. Business Name _____	<input type="checkbox"/>	<input type="checkbox"/>
Name of Certifying Agency _____		

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**Part C: Work Descriptions**

16. RFIQ, IFB, or RFP

#: C0991

17. Provide complete description of scope of work, services, and materials to be performed or furnished<sup>2</sup>:

RailWorks will be responsible for the furnishing and installation of all track work which  
will include all track and special track work.

NAICS: 237990

18. Will your business provide trucking company services on this project? Please mark one: Yes  No

**If marked YES, please complete items a. to c. below. If answered NO, answer "Not Applicable."**

a. How many trucks does your company own? N/A

b. How many trucks does your company lease? \_\_\_\_\_

c. How many trucks are registered to your company? \_\_\_\_\_

**Part C: Signature**

**The authorized signer declares that the information on this form and any attachments, are current, complete and accurate.**

Business Name: RailWorks Track Services, Inc.

Authorized Signature: *Edward R. Kennedy*  
Signature of Director, Officer, General Partner or similarly situated Principal of the Business

Printed Name: Edward R. Kennedy

Title: President

Date: January 19, 2015



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# DBE Contracting Plan

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## Introduction and Purpose of DBE Contracting Plan

Because the project has received federal assistance funding from the U.S. Department of Transportation (DOT) it must comply with 49 CFR Part 26, "Participation by Disadvantaged Business Enterprise in DOT Programs" and DOT Part 23, which provides assistance to DBE firms in overcoming bonding, financing, technical assistance and administrative services. The Los Angeles County Metropolitan Transportation Authority (Metro) has established DBE contract goals of 20% of the design allowance and 16% of the construction allowance for the Division 16: Southwestern Yard Project (Project).

The Walsh Construction Company II, LLC (Walsh) DBE Contracting Plan for using DBE subcontractors on the Project, which will be subject to review and approval by Metro, is provided below.

## Walsh DBE Goal Commitment

Walsh is dedicated to enhancing the growth and development of local DBE firms by providing contracting opportunities on projects. This dedication is demonstrated by the committed 20% DBE participation we have already achieved for design. The following DBE contracting plan describes the planned efforts for meeting the 16% construction DBE goal and includes a description of good faith efforts Walsh intends to undertake to achieve the goals.

The objectives of the Contracting Plan include demonstrating good faith efforts by:

- ▶ Ensuring non-discrimination in the award and administration of DOT-funded contracts
- ▶ Creating a level playing field in which DBEs can compete fairly for DOT-funded contracts
- ▶ Tailoring the DBE Program in accordance with applicable law
- ▶ Verifying that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs
- ▶ Assisting with removing DBE participation barriers on DOT-funded contracts
- ▶ Assisting with the development of firms that can compete successfully in the market outside the DBE Program

Specifically, this DBE Contracting Plan includes the following elements as required by the IFB:

- ▶ Identification of the DBE Liaison Officer
- ▶ Estimated monetary design and construction commitments and availability
- ▶ Monthly Work Breakdown Structure (WBS) and Summary of Required Monthly Status Reports
- ▶ Race neutral outreach measures
- ▶ Subcontractor delivery method for each element or package of work

## DBE Liaison Officer

Walsh has designated Joe Garcia of the Casamar Group as the DBE Liaison Officer. In this capacity, Joe will be responsible for implementing and monitoring the requirements of Walsh's DBE Program and interfacing with Metro on DBE issues. Specific responsibilities will include:

- ▶ Keeping the DBE Program up-to-date with the current business environment and latest revisions to applicable federal regulations, and ensuring the DBE program is responsive to and complies with regulations, including 49 CFR Part 26
  - ▶ Gathering and reporting statistical data and other information as required
  - ▶ Working with design professional services and construction team members to determine projected annual anticipated DBE participation level
  - ▶ Maintaining a directory that identifies firms eligible to participate as DBEs, and helping firms applying for DBE certification
  - ▶ Ensuring that bid notices and requests for proposals are available to DBEs in a timely manner, and monitoring results of solicitations
  - ▶ Identifying contracts /procurements and assessing viability for race-neutral or race-conscious contract-specific goal application
  - ▶ Participating in pre-bid meetings
  - ▶ Advising Metro on DBE matters and achievement
  - ▶ Providing DBEs with information and assistance in preparing bids, obtaining bonding and insurance.
  - ▶ Organizing and facilitating various workshops for DBEs to ensure they receive appropriate guidance to increase their firm capacity
  - ▶ Providing outreach to DBEs and community organizations to advise/inform firms of potential opportunities
- Walsh, in collaboration with its DBE Liaison Officer, will employ aggressive good faith efforts from the pre-award phase through construction completion to meet established DBE goals. This includes the following activities:
1. Coordinating pre-bid meetings to inform DBEs of contracting and subcontracting opportunities
  2. Holding meetings for DBE contracting community to discuss specific upcoming work packages and opportunities
  3. Advertising major subcontracting opportunities in general circulation, trade association and minority-focused media
  4. Distributing timely written and electronic solicitations to bid to all certified DBE firms with capabilities pertinent to the scope of work. These written solicitations will specify the sub bids required.
  5. Conducting workshops on estimating to familiarize interested contractors with bid requirements
  6. Implementing workshops for awarded DBE firms in the areas of PLA, labor compliance and project administrative form completion.
  7. Assigning onsite project management staff to provided technical and operational assistance to DBE subcontractors
  8. Ensuring at least one multi-lingual staff member is on the jobsite to help subcontractors and employees participate in the project
  9. Selecting portions of the work that DBE firms could perform to increase the likelihood that the DBE goals would be achieved. Where appropriate, this includes breaking out contract work items into economically feasible units to facilitate DBE participation.
  10. Making select portions of work normally self-performed by Walsh available to qualified DBE firms when possible
  11. Providing adequate information about the plans, specifications and contract requirements to interested DBE firms.
  12. Negotiating in good faith with interested DBE firms. Evidence will include the names, addresses and phone numbers of DBE firms that were considered, a description of the information provided regarding the plans and specifications for the work selected for subcontracting, and a statement as to why additional agreements could not be reached to secure performance of the work by DBE firms.
  13. Ensuring DBE firms are not deemed unqualified without sound reasons based on a thorough investigation of their capabilities
  14. Supplying the names of subcontractors that were selected over rejected DBE firms listed on bid documents and the reasons for that choice
  15. Making efforts to help interested DBE firms obtain bonds, lines of credits and/or insurance to comply with Metro's or Walsh's requirements.
  16. Making efforts to help interested DBE firms obtain necessary equipment, supplies, materials or related assistance or services
  17. Remaining sensitive to the prompt payment of DBE subcontractors by including prompt payment provisions in all-tiered contract agreements
  18. Using the services of available community organizations; DBE contractors' groups; local, state and federal DBE business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in recruiting and placing DBE firms.
  19. Documenting Walsh efforts to receive a response from the DBE to whom invitations to bid were extended and, as requested, supplying the names and dates of all certified DBEs solicited and the dates and methods used for following up on the initial solicitation to determine with certainty whether the DBE firms were interested. Copies of letters and supporting documentation would be included.

20. Supplying appropriate documentation, such as copies of newspaper ads, bid solicitation letters and telephone logs
21. Making good faith efforts to replace a DBE firm that is terminated or has failed to complete its work on a contract

The DBE Liaison Officer will also be responsible for monitoring the DBE Performance Plan and achieving the DBE goals by ensuring the following activities are completed:

- ▶ Submit monthly DBE utilization reports and a final DBE utilization report once the project is complete.
- ▶ Provide DBE progress reports Metro
- ▶ Conduct DBE workshops as required
- ▶ Provide construction contract monitoring
- ▶ Provide monthly DBE Utilization Report
- ▶ Ensure prompt progress payment of DBE Firms
- ▶ Provide final DBE Utilization Report

## Subcontractor Estimated Commitments: Design and Construction

Walsh is actively committed to promoting the inclusion of DBE firms in the Project's design and construction and in meeting the Project's established goals as evidenced in the already committed 20% design participation.

Commitments made to date are shown in the tables below and on Pro Form 068 Form 1, 3 and 5—design and construction.

**TABLE 1 DBE - Design Estimate Subcontractor Commitments**

Scope	Committed Subcontractor	DBE \$ Design
Electrical Engineering	C & J Technical	\$260,210
Structural Engineer	Pac Rim	\$690,250
Civil & Utility Engineer	W2 Design	\$770,380
Geotechnical Engineering	Diaz Yourman	\$275,000
	<b>20% DBE Design Commitment</b>	<b>\$1,995,840</b>

**TABLE 2 DBE - Construction Estimate Subcontractor Commitments**

Scope	Committed Subcontractor	DBE \$ Construction
Flooring	Genard, Inc dba Lenova	\$176,000
Elevators	Excelsior Elevator	\$932,625
F&I TPSS, OCS, ATC & Comm Systems	L.K. Comstock National Transit, Inc.	\$6,228,000
Site Concrete	Alameda Construction Services, Inc.	\$798,000
Proj. Quality Management	fS3 Hodges	\$640,000
QA/QC	MTGL, Inc.	\$888,000
DBE Liaison Officer	Casamar Group LLC	\$298,000
TBD*	TBD*	\$18,906,687
	<b>16% DBE Construction Commitment</b>	<b>\$28,867,312</b>

*\*DBE commitment will be achieved through the scopes identified in Table 3. In addition, the commitment will be made within 90 days of approved final design per the requirements on Form 2.*



## Construction: Anticipated/Available Opportunities

Walsh is committed to seeking additional DBE opportunities post Notice of Award. During the IFB phase, we reached out to the DBE community through outreach events, advertised in local papers, emailed the Metro DBE certified list of DBE entities and met one-on-one with interested subcontractors to discuss project details, scope, pricing and opportunities on our team. These efforts helped determine how we will attain the DBE goals for this project. The table below provides information on scope of work and anticipated DBE contract opportunities.

**TABLE 3 Subcontractor Construction Commitments & DBE Anticipated**

Type of Work	Self- Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Competitive Process	DBE Anticipated
CONCRETE REINFORCEMENT			X	X
CAST-IN-PLACE CONCRETE	X(PARTIAL)		X	X (PARTIAL)
CONCRETE UNIT MASONRY			X	X (PARTIAL)
STRUCTURAL STEEL			X	X (PARTIAL)
ROUGH CARPENTRY			X	
FINISH CARPENTRY			X	
PULTRUDED FIBERGLASS GRATING			X	
DAMP PROOFING			X	
METAL WALL PANELS			X	
ELASTOMERIC MEMBRANE ROOFING			X	X
JOINT SEALANTS			X	
HOLLOW METAL DOORS AND FRAMES			X	
OVERHEAD COILING DOORS			X	
ALUMINUM WINDOWS AND FRAMES			X	X (PARTIAL)
GYPSUM BOARD AND BACKER UNITS			X	
FLOOR AND WALL TILE		X		X
RESILIENT FLOORING		X		X
ACCESS FLOORING			X	
PAINTING			X	
SIGNS			X	
PLASTIC TOILET COMPARTMENTS			X	
WIRE MESH PARTITIONS			X	
WALL PROTECTION (EXPO)			X	
TOILET ACCESSORIES			X	
FIRE EXTINGUISHERS AND CABINETS			X	
METAL LOCKERS			X	
STORAGE EQUIPMENT			X	

Type of Work	Self- Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Competitive Process	DBE Anticipated
HAZMAT STORAGE BUILDINGS			X	
FLAGPOLES (NO SPEC)			X	
BIRD DETERRENT DEVICES			X	
FABRICATED EQUIPMENT			X	
LOADING DOCK EQUIPMENT			X	
RESIDENTIAL APPLIANCES (NO SPEC, APPENDIX D)			X	
FALL PROTECTION EQUIPMENT			X	
ROLLER WINDOW SHADES (EXPO)			X	
ENTRANCE FLOOR MATS AND FRAMES (EXPO)			X	
SITE FURNISHING			X	
BICYCLE PARKING			X	
HYDRAULIC PASSENGER ELEVATORS		X		X
BASIC FIRE SUPPRESSION SYSTEM REQUIREMENTS			X	
BASIC PLUMBING REQUIREMENTS			X	X (PARTIAL)
BASIC MECHANICAL REQUIREMENTS - HVAC SYSTEMS			X	X (PARTIAL)
BASIC ELECTRICAL MATERIALS AND METHODS - ELECTRICAL		X		X (PARTIAL)
UTILITIES	X (PARTIAL)			X(PARTIAL)
MONITORING - WELLS			X	X
SECURITY			X	X
LANDSCAPING			X	X
FENCING			X	X
SURVEY			X	X
FLATWORK		X		X
QCM		X		X
QC TESTING		X		X
DBE LIAISON		X		X
TRUCKING			X	X (PARTIAL)
FURNISH AGG/BALLAST			X	X (PARTIAL)
CONC PUMPING			X	X (PARTIAL)
SWPP			X	X
WATER TRUCK			X	X
PORTABLE TOILETS			X	X
COPY SUPPLIES			X	X
REPROGRAPHICS			X	X



Type of Work	Self- Perform	Subcontractor/ Supplier Identified	Subcontractor / Supplier Competitive Process	DBE Anticipated
PROJECT STAFF	X (PARTIAL)			X (PARTIAL)
CLEANING			X	X (PARTIAL)
SITE FENCE			X	X
SCHEDULING CONSULANT			X	X
TRACKWORK		X		
EARTHWORK	X			
CLEARING AND GRUBBING	X			
DRAINAGE/UNDERDRAIN	X (PARTIAL)			X (PARTIAL)
INSTALL AGG/BALLAST	X			
DUCT BANK	X (PARTIAL)			X (PARTIAL)
TRAFFIC CONTROL	X			
TRACTION POWER & COMM		X		X (PARTIAL)
HOISTS AND CRANES			X	X

## Monthly Work Breakdown Structure (WBS) and Provisional Work Status Reports

Walsh is committed to providing METRO with transparent and ongoing updates to our subcontracting plan. As such, we have provided our preliminary WBS that reflects the correlation between Walsh and all of our subcontractors at all tiers. This WBS will serve as the basis of our monthly report submitted to Metro to track work (see Table 4).

Our DBE Liaison will work within our Project Controls department and directly with our Business and Contracts Manager to advance the monthly WBS after Notice of Award; we will begin submitting after Notice to Proceed.

Walsh will provide a monthly provisional sum work status report that reflects DBE subcontract and performance, which will include provisional sum items per Schedule of Quantities and Prices Form Schedule C - Provisional Sums, amounts requested and amounts approved.

**TABLE 4** WBS Preliminary

	Prime	DBE or Non	1st Tier Subcontractors	DBE or Non	2nd Tier Subcontractors	DBE or Non
1.0	Walsh	Non DBE				
1.1			MDG	Non DBE		
1.1.1					Ambient Energy	Non DBE
1.1.2					AVS Engineers	Non DBE
1.1.3					Burns	Non DBE
1.1.4					C&J Technical	DBE
1.1.5					HDR	Non DBE
1.1.6					Nabih Youssef	Non DBE
1.1.7					Pac Rim	DBE
1.1.8					RNL	Non DBE
1.1.9					W2 Design	DBE
1.2			Diaz Yourman	DBE		
1.3			Genard, Inc dba Lenova	DBE		
1.4			Excelsior Elevator	DBE		
1.5			L.K. Comstock National Transit, Inc.	Non DBE		
1.5.1					TBD - DBE	DBE
1.5.2					TBD - DBE	DBE
1.6			Alameda Construction Services, Inc.	DBE		
1.7			f33 Hodges	DBE		
1.8			MTGL, Inc.	DBE		
1.9			Casamar Group LLC	DBE		

## Race Neutral Outreach Measures

1. Provide technical assistance and training on construction-related topics to assist all small businesses, including DBEs
2. Conduct business communication and outreach activities to increase small business participation
3. Make copies of the CUCP, DGS and Metro Directory available to mini-primers
4. Ensure compliance to prompt payment specifications
5. Track DBE participation on federally assisted contracts, including monitoring the Commercially Useful Function of DBEs
6. Host construction pre-bid and outreach meetings; encourage all businesses to attend and facilitate networking among potential bidders
7. Provide information on where to obtain assistance with surety bonding and liability insurance
8. Implement a Mentor Protégé Program between mini-primers and small businesses
9. Maintain a directory that identifies firms eligible to participate as DBEs; help firms apply for DBE certification
10. Ensure that bid notices and requests for proposals are available to DBEs in a timely manner
11. Identify contracts /procurements and assess viability for race-neutral or race-conscious contract-specific goal application

## Subcontractor Delivery Method (Best Value)

In making decisions on which construction packages Walsh will self-perform, we will evaluate the risks inherent in the body of work, effective management of material, and equipment resources. Our decision to self-perform is then balanced with our subcontracting philosophy to provide as many opportunities as possible for small local DBEs that help ensure a cohesive project.

To get the best value for the best price, Walsh will tailor subcontractor packages that are conducive to the size and strengths of qualified subcontractors. We will make our selections based on a number of factors, including:

- ▶ Quantity of work subcontractor will self perform
- ▶ Emerging business status
- ▶ Past performance
- ▶ Past experience with Metro
- ▶ Experience with similar work
- ▶ Number of bid packages Sub is pursuing
- ▶ SB/DBE certification
- ▶ Bonding capacity
- ▶ Bid price

Another key factor in determining what work we will self-perform relates to scopes that will assist us in the direct control of resource allocation and enable us to manage construction activities on the critical path of the schedule. In the packaging phase after contract award, we will determine the division of work between Walsh and potential subcontractors.

Subcontractor packages will be assigned to take advantage of each firms' unique capabilities. Our packaging plans will facilitate competitive bids from highly qualified subcontractors.

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# Price Bid

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SECTION 2.

# 4



Bidder's Schedule of Quantities and Prices







**CONTRACT NO. IFB NO. C0991  
DIVISION 16: SOUTHWESTERN YARD  
SCHEDULE OF QUANTITIES AND PRICES FORM**

<b>SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'A' BASE WORK</b>						
ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
<b>SCHEDULE 'A' BASE WORK</b>						
<b>Support Facilities: Yards, Shops, Administration Buildings (SCC 30)</b>						
1	30.03	Supporting Shops/Offices/Employee Facilities (1 <sup>st</sup> & 2 <sup>nd</sup> Flr.) Bldg. (B-01) and Equipment	1	LS	N/A	\$17,199,464.00
2	30.03	Truck/Car Repair/S&I/Blow-down/Wheel True Pit (1 <sup>st</sup> Flr.) Bldg. (B-02) and Equipment	1	LS	N/A	\$22,039,446.44
3	30.03	Control Tower (3 <sup>rd</sup> Flr.) Bldg. (B-03) Including Console	1	LS	N/A	\$1,616,010.00
4	30.04	Carwash Bldg. (B-04) Including Equipment	1	LS	N/A	\$4,288,190.00
5	30.04	Material Storage Bldg. (B-06) Including Equipment	1	LS	N/A	\$2,051,360.00
6	30.04	Cleaning Platform Area (B-07) Including Equipment	1	LS	N/A	\$1,657,410.00
7	30.04	Paint and Body Shop Bldg. (B-08) and (B-09) Including Equipment	1	LS	N/A	\$4,981,437.00
8	30.05	Yard and Yard Track	1	LS	N/A	\$15,836,764.00



<b>Sitework &amp; Special Conditions (SCC 40):</b>							
9	40.01	Demolition, Clearing and Earthwork		1	LS	N/A	\$4,434,240.00
10	40.02	Site Utilities, Utility Relocation		1	LS	N/A	\$3,400,000.00
11	40.05	Guard House & Main Entrance Gate (B-11)		1	LS	N/A	\$416,731.00
12	40.06	Landscape / Hardscape		1	LS	N/A	\$2,490,459.00
13	40.08	Mobilization — Construction		1	LS	N/A	\$14,205,194.00
14	40.08	General Requirements		1	LS	N/A	\$16,836,583.00
15	40.08	120-day Schedule, Baseline Schedule and Current Schedule Update		1	LS	N/A	\$584,460.00
16	40.08	Quality Insurance and Quality Control		1	LS	N/A	\$2,924,740.00
17	40.08	Approval of As-built Drawings		1	LS	N/A	\$800,000.00
18	40.08	Insurance Liability		1	LS	N/A	\$6,215,028.00
<b>Systems (SCC 50)</b>							
19	50.01	Train Control System & Signals. Bldgs. (B-13) and (B-14)		1	LS	N/A	\$16,975,953.00
20	50.03	Traction Power System Substation (TPSS). Bldg. (B-05)		1	LS	N/A	\$13,022,505.00
21	50.04	Traction Power Distribution (TPD) Catenary—Emergency Generator and Pad—(B-10)		1	LS	N/A	\$1,147,283.00
22	50.04	Traction Power Distribution (TPD) Catenary—DWP Service Site with Metering—(B-12) and (East Service)		1	LS	N/A	\$997,697.00
23	50.05	Communications		1	LS	N/A	\$9,803,964.00



Professional Service Systems (SCC 80)							
24	80.02	Mobilization—Design		1	LS	N/A	\$11,285,781.00
25	80.02	Final Design		1	LS	N/A	\$10,000,000.00
<b>SCHEDULE 'A' - SUBTOTAL</b>							<b>\$185,210,699.44</b>
The items in Schedule 'A' are included by LACMTA as part of the Total Contract Price and contract award to cover specified Work.							

**Legend:** CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed



**SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'B' OPTIONS**

ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
<b>SCHEDULE 'B' OPTIONS</b>						
3.3.1	10.12	Optional Test Track	1	LS	N/A	\$1,739,332.00
3.3.2	30.03	Optional Wheel Truing Machine	1	LS	N/A	\$2,860,154.00
3.3.3	30.04	Optional Cleaning Platform Canopy				
		A) — Base Platform Canopy – 200 Foot Length	1	LS	N/A	\$313,928.00
		B) — Full Platform Canopy – Additional 100 Foot Length	1	LS	N/A	\$162,624.00
3.3.4	30.04	Optional Cleaning Platform—Full Length (Additional 100 Feet)	1	LS	N/A	\$348,525.00
3.3.5	30.04	Optional Full Build-Out of Storage Tracks	1	LS	N/A	\$1,729,899.00
3.3.6	30.04	Deductive Option, Paint and Body Shop	1	LS	N/A	-\$4,777,163.00
		<b>SCHEDULE 'B' TOTAL</b>				<b>\$2,377,299.00</b>

The items in Schedule 'B' are included by LACMTA and will be evaluated with the total price, but will not be part of the contract award unless the option(s) are exercised. LACMTA will determine if any or all of the options will be exercised at award of this contract.

**Legend:** CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed

**SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'C' PROVISIONAL SUMS**

ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
<b>SCHEDULE 'C' PROVISIONAL SUMS</b>						
1	40.03	Hazardous Material / Contaminated Soil Removal	1	PS	LS	\$2,000,000.00
2	40.08	Safety's First Incentive Program SP-24	1	PS	LS	\$180,000.00
3	40.08	Partnering SP-30	1	PS	LS	\$240,000.00
4	40.08	Disputes Review Board SP-58	1	PS	LS	\$160,000.00
5	40.08	Support of Special Events	1	PS	LS	\$80,000.00
6	40.08	Requests from Third Parties	1	PS	LS	\$975,000.00
7	40.08	Incremental Community Improvement	1	PS	LS	\$260,000.00
8	60.01	Approved Removal of Unknown Right-of-Way Encroachments Determined by Design-Build Survey	2	PS	\$40,000	\$80,000.00
9	50.05	Repair or Replacement of Metro-furnished Goods Damaged on or Before Furnishing to the Design-Builder	1	PS	LS	\$50,000.00
10	50.05	Allowance for Spare Parts, Special Tools and Materials	1	PS	LS	\$1,000,000.00



11	50.05	Miscellaneous Materials and Equipment for Systems Integration and Testing as Directed by LACMTA	1	PS	LS	\$75,000.00
12	40.08	Document 2-1 Supplemental Work	1	PS	LS	\$50,000.00
13	40.08	Supply of AF track circuits, cab loops and software platform protocol specific to the southbound, northbound leads and optional test track. Refer to Document 2-1, 5.13.4.1.E	1	PS	LS	\$60,000.00
<b>SCHEDULE 'C' SUBTOTAL</b>						<b>\$5,210,000.00</b>

The Provisional Sums in Schedule 'C' are amounts included by LACMTA as part of the Total Contract Price and contract award to compensate contractor for such work that may be necessary during performance of the Work. In the event that the programs or Work contemplated by the line items in Schedule 'C' are not fully implemented, the Contract Price will be reduced by the unused amount in each line item. Contractor shall not be paid any of the Schedule 'C' amounts, except for amounts authorized and released by LACMTA in writing, in accordance with the Special Provisions Article entitled Provisional Sums.

**Legend:** CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed



**SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'D' OVERHEAD COMPENSATION**

ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
<b>SCHEDULE 'D' OVERHEAD COMPENSATION</b>						
1	40.08	Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between Notice to Proceed and the first 420 calendar days	60	CD	\$0.00	\$0.00
2	40.08	Daily rate for a delay that is both Excusable and Compensable, as defined by the General Conditions Article EXTENSION OF TIME, that occurs between calendar day 421 and calendar day 1,311.	90	CD	\$0.00	\$0.00
					<b>SCHEDULE 'D' SUBTOTAL</b>	<b>\$0.00</b>

The items in Schedule 'D' will be evaluated with the total price, but will not be part of the contract award. Contractor shall not be paid any or all of the Schedule 'D' amount, except for amounts released by LACMTA through Contract Modifications for Excusable and Compensable Delays.

**Legend:** CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed



**SCHEDULE OF QUANTITIES AND PRICES — SCHEDULE 'E' UNIT PRICES**

ITEM NO.	STANDARD COST CATEGORY	DESCRIPTION	EST. QTY.	UNIT	UNIT PRICE	TOTAL PRICE
<b>SCHEDULE 'E' UNIT PRICES</b>						
1	40.08	Daily Standby	20	Day	\$1,200.00	\$24,000.00
2	40.03	Incremental Costs for Segregation of Potentially Contaminated Soils	1,000	TONS	\$12.79	\$12,790.00
3	40.03	Incremental Costs for Hauling and Disposing RCRA Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$189.33	\$189,330.00
4	40.03	Incremental Costs for Hauling and Disposing RCRA Hazardous Waste Soils (1,001 to 10,000 tons)	9,000	TONS	\$189.33	\$1,703,970.00
5	40.03	Incremental Costs for Hauling and Disposing Non-RCRA, California Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$84.33	\$84,330.00
6	40.03	Incremental Costs for Hauling and Disposing Non-RCRA, California Hazardous Soils (1,001 to 10,000 tons)	9,000	TONS	\$84.33	\$758,970.00
7	40.03	Incremental Costs for Hauling and Disposing Non-Hazardous Waste Soils (1 to 1,000 tons)	1,000	TONS	\$84.33	\$84,330.00
8	40.03	Incremental Costs for Hauling and Disposing Non-Hazardous Waste Soils (1,001 to 10,000 tons)	9,000	TONS	\$84.33	\$758,970.00
9	40.03	Cost for Investigation, Permitting, Cleaning, Removal, Transportation and Disposal of Underground Storage Tanks, Including Cost for Collection and Analysis Required Soil Sampling and Closure Reports	3	EA	\$25,000.00	\$75,000.00



10	40.03	Abandon Existing Groundwater/Soil Evaporation Monitoring Wells	8	EA	\$8,757.73	\$70,061.84
11	40.03	Relocate Existing Groundwater/Soil Evaporation Monitoring Wells	8	EA	\$13,405.59	\$107,244.72
12	40.03	Modify Existing Groundwater/Soil Evaporation Monitoring Wells	6	EA	\$6,000.00	\$36,000.00
13	40.03	Install New Groundwater/Soil Evaporation Monitoring Wells	4	EA	\$9,085.00	\$36,340.00
14	40.02	Utility Investigation Potholes	60	EA	\$470.36	\$28,221.60
15	40.03	Combined Utility/Environmental Investigation Potholes	20	EA	\$1,000.00	\$20,000.00
16	40.07	Additional Concrete Curb, Type A	300	LF	\$26.45	\$7,935.00
17	40.07	Additional Concrete Integral Curb and Gutter, Type C	300	LF	\$36.71	\$11,013.00
18	40.07	Additional Concrete Sidewalk (Three Inch Thickness)	300	SY	\$35.16	\$10,548.00
19	40.07	Additional Concrete Driveway (Six Inch Thickness)	400	SY	\$60.49	\$24,196.00
20	40.07	Grind and overlay to City Standards (Normal work hours between Monday – Friday)	1,400	TONS	\$122.04	\$170,856.00
21	40.07	Grind and overlay to City Standards (After normal work hours and/or weekends)	1,500	TONS	\$126.29	\$189,435.00
22	40.08	Special Events—Incidental Traffic Control or Site Modifications	20	SHIFT	\$1,406.02	\$28,120.40
23	40.08	Special Events—Additional Security Guard Services	1,000	HR	\$20.00	\$20,000.00
24	40.08	Street Vacuum Sweeper with Operator	100	HR	\$81.52	\$8,152.00





25	40.08	Special Events—Portable Toilets (3)	6	MO	\$450.00	\$2,700.00
26	50.05	Traction Power Technician	800 (NTE)	HR	\$241.00	\$192,800.00
27	50.05	Radio Technician	800 (NTE)	HR	\$173.00	\$138,400.00
28	50.05	Electrician—Eight-hour shift 6:00 AM to 6:00 PM	800 (NTE)	HR	\$78.54	\$62,832.00
29	50.05	Electrician—Eight-hour shift 6:00 PM to 6:00 AM	800 (NTE)	HR	\$91.89	\$73,512.00
30	50.05	Electrician—Two-hour overtime 6:00 AM to 6:00 PM	800 (NTE)	HR	\$117.81	\$94,248.00
31	50.05	Electrician—Two-hour overtime 6:00 PM to 6:00 AM	800 (NE)	HR	\$117.81	\$94,248.00
32	50.05	Electrician—Eight-hour shift on Saturday above normal work week 6:00 AM to 6:00 PM	800 (NTE)	HR	\$117.81	\$94,248.00
33	50.05	Communications Technician—Eight-hour 6:00 AM to 6:00 PM	800 (NTE)	HR	\$139.00	\$111,200.00
34	50.05	Communications Technician—Eight-hour 6:00 PM to 6:00 AM	800 (NTE)	HR	\$156.00	\$124,800.00
35	50.05	Communication Technician—Two-hour overtime 6:00 AM to 6:00 PM	800 (NTE)	HR	\$147.00	\$117,600.00
36	50.05	Communication Technician—Two-hour overtime 6:00 PM to 6:00 AM	800 (NTE)	HR	\$162.00	\$129,600.00
37	50.05	Communications Technician—Eight-hour shift on Saturday above normal work week 6:00 AM to 6:00 PM	800 (NTE)	HR	\$179.00	\$143,200.00
38	50.05	Technical Support—Provide Assistance to LACMTA in integration testing from LACMTA's Rail Operations Center	800	HR	\$156.00	\$124,800.00



39	50.05	Technical Support—Rail Activation	1,000	HR	\$167.00	\$167,000.00
<b>SCHEDULE 'E' SUBTOTAL</b>						<b>\$6,131,001.56</b>

The total price for the line items in Schedule 'E' are not part of the Total Contract Price award. The contractor shall not be paid any of the amounts in Schedule 'E'. The unit prices are fixed for the duration of the contract and will be used to price Changes and Provisional Sum Authorizations under the contract. The unit prices are complete and fully burdened and are not subject to any mark up when pricing changes for Provisional Sum authorizations. The Total Prices for the line items in Schedule E shall be included in contractor's total price in accordance with the IFB.

**Legend:** CY=Cubic Yard, CD=Calendar Day, EA=Each, LF=Linear Foot, HR=Hours, LS=Lump Sum, SY=Square Yard, TF=Track Foot, PS=Provisional Sum, SF=Square Foot, TONS=Tons, SHIFT=Shifts, MO=Month, NTE=Not to Exceed



**SCHEDULE OF QUANTITIES AND PRICES—SCHEDULES 'A', 'B', 'C', 'D' and 'E' TOTALS**

TOTAL SCHEDULE 'A' BASE WORK =	\$185,210,699.44
TOTAL SCHEDULE 'B' OPTIONS =	\$2,377,299.00
TOTAL SCHEDULE 'C' PROVISIONAL SUMS =	\$5,210,000.00
TOTAL SCHEDULE 'D' OVERHEAD COMPENSATION =	\$0.00
TOTAL SCHEDULE 'E' UNIT PRICES	\$6,131,001.56
<b>TOTAL SCHEDULES A, B, C, D AND E =</b>	<b>\$198,929,000.00</b>

**IN WORDS—TOTAL BID**

**One Hundred Ninety Eight Million Nine Hundred Twenty Nine Thousand**

**US DOLLARS**

**END OF SCHEDULE OF QUANTITIES AND PRICES**

