

# A Framework for Considering Climate Change in Transportation and Land Use Scenario Planning: *Final Report*

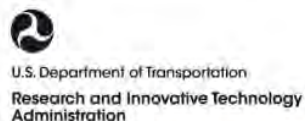
July 2011

Lessons Learned from an  
*Interagency Pilot Project on  
Cape Cod*

Federal Highway Administration  
National Park Service  
U.S. Fish and Wildlife Service



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The Interagency Transportation, Land Use, and Climate Change Pilot Project utilized a scenario planning process to develop a multi-agency transportation- and land use-focused development strategy for Cape Cod, Massachusetts, with the intention of achieving a reduction in future greenhouse gas emissions and considering the potential impacts of sea-level rise on the region. The outcomes of this scenario planning process will inform and support the region's long-range transportation planning and other related efforts, as well as the planning efforts of local, state, and federal agencies.

Project members collaborated to document the project's steps and to offer significant observations and recommendations that can inform future applications. This information is presented in this report. The appendices and a separate document, the *Technical Scenario Report*, developed by the scenario planning consultants, provide supporting documentation.

# Report Notes and Acknowledgements

This report was prepared by the U.S. Department of Transportation John A. Volpe National Transportation Systems Center in Cambridge, MA, for the Federal Highway Administration, National Park Service, and Fish and Wildlife Service. The project team was led by Benjamin Rasmussen of the Transportation Planning Division and included Lindsey Morse, also of the Transportation Planning Division, and Gina Filosa, David Perlman, and Carson Poe of the Program and Organizational Performance Division. The project team would like to thank the sponsors, Planning Group, Technical Committee, and local and regional stakeholders – in particular the Cape Cod Commission, Cape Cod National Seashore, Cape Cod Regional Transit Authority, and towns – for their participation and contributions.

# Overview

## Project

The Interagency Transportation, Land Use, and Climate Change Cape Cod Pilot Project (Pilot Project) is a federally-sponsored project that took place between early 2010 and mid 2011. Initiated by a federal interagency working group, the Pilot Project resulted in a multi-agency transportation and land use development scenario for Cape Cod, Massachusetts, focused on reducing future greenhouse gas (GHG) emissions and considering the potential impacts of sea-level rise (SLR) on the region. This scenario was developed through a process of data collection, scenario development by a consultant and by regional and local government during a workshop, and scenario assessment. The outcomes of this scenario planning process will inform and support the region's long-range transportation planning and other related efforts, as well as the planning efforts of local, state, and federal agencies. This project represents one approach to climate change and scenario planning; other methods exist and can be pursued separately or in concert with this approach. Project members collaborated to document the project's steps and to offer significant observations and recommendations that can inform future applications. This information is presented in this report.

## Report Purpose and Audience

The purpose of this report is to document the process followed by, successes of, and lessons learned during the Pilot Project in order to provide other communities with recommendations on how to replicate or build upon this process in the future.

This report provides a framework for federal, state, regional, and local agencies to use to work collaboratively to reduce GHG emissions and to assess, mitigate, and adapt to SLR and other potential climate change effects and impacts in transportation and land use planning using scenario planning. General observations and recommendations are applicable to other areas throughout the U.S. The report describes potential inputs to and outputs of the process and provides examples and additional details in appendices and companion reports. The recommendations are not meant to be prescriptive in nature. Rather, they represent the views of the Pilot Project team on the successes as well as opportunities for improving the Pilot Project's method.

This report is intended to serve as a resource for staff within organizations that may be interested in, or stand to benefit from, incorporating consideration of climate change into transportation and land use planning, including metropolitan planning organizations (MPOs), regional planning organizations (RPOs), state departments of transportation (DOTs) or other state agencies, counties, and cities. Federal land management, transportation, natural resource, and emergency management staff, as well as any federal land-owning agency, may be similarly interested in understanding the value of the described process and how it can be incorporated into and used in support of local transportation, land use, and climate change mitigation and adaptation initiatives.

## Structure

The report outlines the steps in the transportation, land use, and climate change scenario planning process followed by the Pilot Project, which closely match the phases outlined in the *FHWA Scenario Planning Guidebook*. However, it is important to note that this report differs from the *FHWA Guidebook* in its nature and scope. The *FHWA Guidebook* discusses common steps for typical scenario planning exercises, while this report focuses on the application of those steps to achieve outcomes that address climate change problems. The Pilot Project process focused on the incorporation of climate change mitigation and adaptation considerations and goals into a regional-level scenario planning exercise, whereas the *FHWA Scenario Planning Guidebook* discusses more general applications of scenario planning that are applicable across a variety of topic areas.

For purposes of this report, the scenario planning process was organized into the following chapters, which are described in brief below.

1. *Project Definition*
2. *Data Requirements*
3. *Scenario Development*
4. *Scenario Assessment*

The chapters provide information on the actual process followed, observations made, and the resulting recommendations for future implementation based on the experience of the Pilot Project. Observations and recommendations from the project's approach are presented at the end of each of the first three chapters. The fourth chapter, Scenario Assessment, provides observations about how the scenarios performed. Relevant observations and recommendations for the assessment methodology are captured in *Data Requirements* and *Scenario Development*.

The conclusion of the report reviews the goals of the project, outlines recommended steps and considerations for future applications of the process, and reviews the role that various participants – federal, state, regional, and local – can play in the process.

### ***Project Definition***

Project definition consists of selection of the study area, identification of roles and responsibilities, coordination with existing plans and research, and determination of outputs and outcomes. Stakeholder coordination and communication were very important due to the involvement of many federal, state, regional, and local agencies. A review of the existing literature and efforts around related topics created a foundation of work on which the Pilot Project could build, not replicate, and use as appropriate. Finally, the involved entities worked together throughout the Pilot Project to define desired and expected outcomes and outputs and to determine how best to incorporate them into federal, state, regional, and local agencies' project proposals and short- and long-range plans.

## **Data Requirements**

Scenario planning is a data-intensive process and, accordingly, the Pilot Project depended on robust data for each of its major elements: creating the baseline of existing conditions, developing the performance indicators for evaluation, and projecting future conditions. Data on transportation infrastructure and services, land use, population, resource protection and preservation, and SLR were integral to the development of the land use and transportation scenarios and evaluation of the scenarios based on identified performance indicators.

## **Scenario Development**

The Pilot Project developed a total of 10 transportation and land use scenarios with the assistance of a scenario planning consultant and software tool that were selected through a request for proposals. Five of these scenarios, including the final Refined scenario, were developed by stakeholders during a series of workshops and meetings. These scenarios consisted of the following:

- 1-5. Five preliminary scenarios developed by scenario planning consultant for demonstrative purposes, consisting of:
  1. Trend
  2. Dispersed – Standard Transportation
  3. Dispersed – Enhanced Transportation
  4. Targeted – Standard Transportation
  5. Targeted – Enhanced Transportation
  
- 6-9. Four scenarios developed by stakeholder participants at a November 2010 workshop
  
10. One refined scenario developed by stakeholders after the workshop

All the scenarios involved the placement of population and employment based on growth assumptions for 2030 and the identification of transit improvements.

## **Scenario Assessment**

The 10 scenarios were assessed using a set of performance indicators that covered GHG mitigation, adaptation to SLR, transit access, and protection of natural ecosystems and other areas of significance. Performance indicators, or measures of performance, allow participants to compare the effects or consequences of different land use and transportation decisions.

# I. Introduction

## Background

In 2008, the Federal Highway Administration (FHWA) assembled 13 federal agencies<sup>1</sup> to form the Interagency Working Group on Transportation, Land Use, and Climate Change. The Working Group's goal was to identify opportunities to align federal programs and resources to support regional communities in achieving GHG emission reductions and preparing for potential climate change impacts through transportation and land use planning decisions. The Working Group identified two focus areas where federal agencies could begin to align efforts to address climate change:

- ♦ *Integrated Regional Planning and Development:* This focus area recognizes the continuing need to link short and long-range transportation planning and corridor-level planning studies performed by state and local governments to the planning processes of local land use (primarily housing and economic development) and environmental agencies.
- ♦ *Intermodal Gateway Mobility Planning:* This focus area seeks to provide multi-modal transportation options to move both people and goods to, from, and through gateway communities, defined as areas traveled through to get to a destination such as a national park, national forest, national wildlife refuge, airport, beach, or port. Federal involvement supporting more comprehensive planning for gateway community mobility, in both metropolitan and rural areas, can lead to better decisions that benefit communities and can lead to reduced growth of vehicle miles traveled (VMT) and consequently GHG emission reductions.

## Formation of Pilot Project

In 2009, the Working Group selected Cape Cod, Massachusetts as a pilot area to facilitate and enhance integrated regional and intermodal gateway mobility planning at the state, regional, and local levels. The U.S. Department of Transportation (USDOT) Volpe National Transportation Systems Center (Volpe Center) began the resulting Interagency Transportation, Land Use, and Climate Change Pilot Project (Pilot Project) in early 2010 along with FHWA, the National Park Service (NPS), and the U.S. Fish and Wildlife Service (FWS). These agencies viewed the Pilot Project as an opportunity to address GHG reduction and transportation-based adaptation to climate change and to pilot and evaluate scenario planning as a method for doing so.

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<sup>1</sup> Department of Energy, Department of Housing and Urban Development, Environmental Protection Agency, Federal Aviation Administration, Federal Motor Carrier Safety Administration, Federal Transit Administration, National Oceanic and Atmospheric Administration, Office of the Secretary of Transportation, Army Corps of Engineers, Department of Agriculture (USDA), USDA Forest Service, Department of the Interior, and Bureau of Land Management .



## Goals

The Pilot Project intended to address the following goals:

- ♦ *Climate Change.* Incorporate climate change considerations – namely, reduction of GHG emissions and the impacts of climate change effects on the transportation system – into transportation and land use planning.
- ♦ *Scenario Planning.* Use scenario planning as a method/tool for considering climate change in transportation and land use planning and developing a future transportation and land use strategy.
- ♦ *Interagency Coordination.* Share and coordinate resources and expertise between multiple federal, regional, and local stakeholders and better integrate agencies' planning processes.
- ♦ *Replicability.* Establish an overall process that can be replicated elsewhere.

## Climate Change Mitigation and Adaptation

The nation's transportation system contributes significantly to overall U.S. GHG emissions and, as a result, to climate change, which is causing effects, such as SLR, that will negatively impact the transportation system. Therefore, attempts within the transportation field to address climate change entail two components: mitigation and adaptation. Mitigation encompasses activities aimed at reducing GHG emissions from transportation infrastructure and activities, and adaptation refers to activities aimed at increasing the resiliency of the transportation network (or specific infrastructure assets) when confronted with expected, or actual, climate change impacts. Federal, state, regional, and local government agencies and partners who are involved in transportation, land use, emergency management, and related areas share the responsibility for mitigation and adaptation within the transportation context.

### **GHG Emissions Mitigation**

The Environmental Protection Agency (EPA) defines a GHG as any gas that traps heat in the atmosphere. Certain GHGs, like carbon dioxide, nitrous oxide, and methane, occur naturally in the atmosphere but are also produced through the combustion of fossil fuels and other industrial processes. These additional sources of GHG emissions trap extra heat in the atmosphere, causing shifts in the planet's climate. Transportation represents a significant source of GHG emissions, both in the U.S. and worldwide. The EPA estimates that U.S. tailpipe emissions<sup>2</sup> represent 27 percent of the country's total GHG

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<sup>2</sup> Tailpipe emissions are those produced directly by burning fuel to power vehicles and do not include emissions associated with constructing or maintaining transportation infrastructure, extracting or refining fuels, or producing vehicles.

emissions and five percent of global GHG emissions.<sup>3</sup> Reducing transportation's contribution to overall GHG emissions and the resulting changes in climate will require mitigation strategies that reduce fossil fuel consumption and the carbon content of fuels. These include, but are not limited to, improving system and operational efficiencies, reducing growth of VMT, transitioning to lower GHG fuels, and improving vehicle technologies. A more thorough discussion of GHG emission reduction strategies for Cape Cod is presented in Section III, and a list of strategies is in Appendix F: Potential GHG Reduction Strategies.

### ***Climate Change Adaptation***

While lowering transportation GHG emissions is an important strategy for reducing the long-term effects of climate change, mitigation will likely do little in the short-term to alter climate change processes already underway. Adaptation to the anticipated effects of climate change is a climate change strategy equally important to mitigation. Adaptation consists of five primary actions: repair and maintenance, reconstruction/strengthening, relocation, abandonment, and redundancy.

Climate change stands to have effects on transportation infrastructure in a variety of ways.<sup>4</sup> The expected effects differ by region of the U.S. based on geographical, meteorological, and other features, but common effects include rising sea levels, increasingly frequent and intense storms, higher average temperatures, greater levels of precipitation, and drought.<sup>5,6</sup> An increasingly volatile and severe climate will necessitate transportation infrastructure that is more resilient, but the uncertainty of climate change will also demand planning practices that anticipate the range of potential changes that may occur over the lifespan of new and existing infrastructure. In certain cases, fortifying infrastructure to withstand wider temperature extremes and more severe storm activity will suffice but adaptation to climate change, particularly in low-lying coastal areas, may require difficult decisions about relocating or abandoning at-risk facilities.

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<sup>3</sup> U.S. EPA (2011). *Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990 to 2009*. ([http://ntl.bts.gov/lib/32000/32700/32779/DOT\\_Climate\\_Change\\_Report\\_-\\_April\\_2010\\_-\\_Volume\\_1\\_and\\_2.pdf](http://ntl.bts.gov/lib/32000/32700/32779/DOT_Climate_Change_Report_-_April_2010_-_Volume_1_and_2.pdf)).

<sup>4</sup> ICF International (2010). *Regional Climate Change Effects: Useful Information for Transportation Agencies*. Prepared for the Federal Highway Administration. ([http://www.fhwa.dot.gov/hep/climate/climate\\_effects/effects03.cfm](http://www.fhwa.dot.gov/hep/climate/climate_effects/effects03.cfm))

<sup>5</sup> Intergovernmental Panel on Climate Change (2007). *IPCC Fourth Assessment Report: Climate Change 2007 – Synthesis Report*. ([http://www.ipcc.ch/publications\\_and\\_data/ar4/syr/en/mains1.html#1-1](http://www.ipcc.ch/publications_and_data/ar4/syr/en/mains1.html#1-1))

<sup>6</sup> U.S. Global Change Research Program (2009). *Global Climate Change Impacts in the United States: A State of Knowledge Report from the U.S. Global Change Research Program*. (<http://downloads.globalchange.gov/usimpacts/pdfs/climate-impacts-report.pdf>)

## Scenario Planning

Scenario planning is a technique that allows organizations to prepare for potential future conditions. Instead of planning for a single predicted future and risking significant loss should reality diverge from prediction, military strategists, and later corporate strategic planners, began developing ranges of possibilities for the future that allowed them to identify common strategies to pursue in preparation for all of the possibilities. This risk-mitigation scenario planning has been used to address climate change adaptation, as it accommodates preparing for multiple possible levels of impact, but it has not yet been applied widely by the transportation community for climate change adaptation or mitigation. The diagram in Figure 1 illustrates this approach.

NPS has applied this type of scenario planning to climate change adaptation, with some implications for transportation infrastructure and access. The NPS approach allows park managers to develop possible future climate change scenarios, in terms of SLR, precipitation, and other effects, that could affect parks and evaluate responses to each that protect natural, cultural, and physical resources, including buildings, roads, bridges, and other facilities. Given the uncertainty of climate change, this approach allows park managers to assess which responses are most likely to be beneficial across all scenarios or at least those scenarios determined to be most likely.<sup>7</sup>

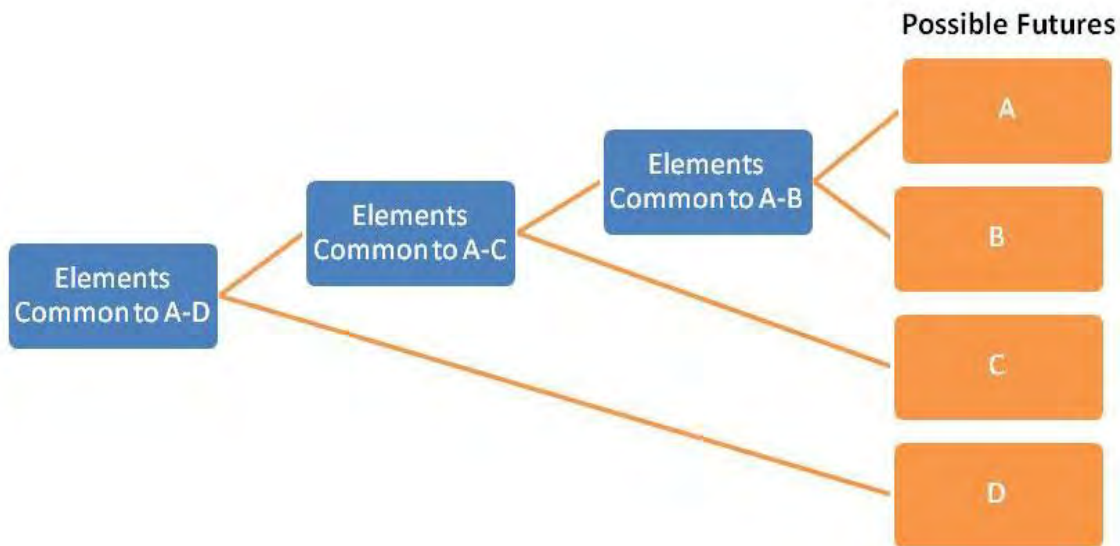
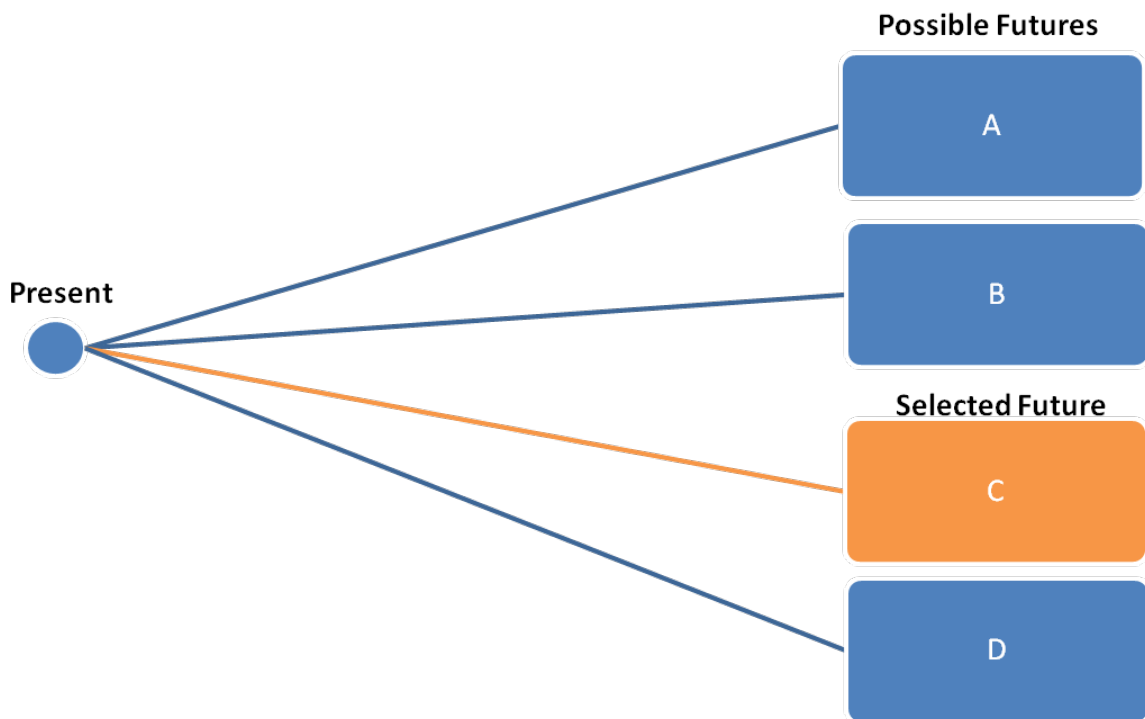


Figure 1: Risk-mitigation scenario planning allows organizations to develop strategies that will prepare them for a range of possible futures.

<sup>7</sup> National Park Service Climate Change Response Program. "Adaptation and Scenario Planning." (<http://www.nps.gov/climatechange/adaptationplanning.cfm>)

According to FHWA, scenario planning is being used by MPOs nation-wide is “an analytical tool that can help transportation professionals prepare for what lies ahead. Scenario planning provides a framework for developing a shared vision for the future by analyzing various forces that affect growth.”<sup>8</sup> The use of scenario planning by MPOs for evaluating transportation and land use issues and decisions follows an approach similar to that of alternatives analysis, wherein several alternatives are developed and evaluated and one outcome is selected. However, in using scenario planning, MPOs are able to consider the interactions between many factors and use extensive public engagement to develop and assess the future scenarios. Thus, instead of establishing a range of possible future conditions and shaping decisions to address as many of those conditions as possible, this land use and transportation-focused application of scenario planning allows stakeholders to evaluate the consequences of several courses of action and select the outcome and course of action that best meets the goals of the community.<sup>9</sup> The diagram in Figure 1 illustrates this approach.



**Figure 2: The use of scenario planning by MPOs enables organizations to select a shared vision for the future from a range of possibilities and to develop corresponding strategies designed to work towards that future. Source: NPS Climate Change Response Program.**

<sup>8</sup> Federal Highway Administration. *What is Scenario Planning?* (<http://www.fhwa.dot.gov/planning/scenplan/>)

<sup>9</sup>Bartholomew, Keith. *Land use-transportation scenario planning: promise and reality.* Transportation 34 (2006). (<http://faculty.arch.utah.edu/bartholomew/fulltext.pdf>)

The *FHWA Scenario Planning Guidebook* documents the application of scenario planning to transportation and land use planning. However, FHWA acknowledges that “next generation” scenario planning for transportation can also take into account a range of factors that have not traditionally been considered in the transportation system, including climate change.<sup>10</sup>

### ***Application of Scenario Planning to the Pilot Project***

As a next generation scenario planning effort, the Pilot Project was issue-focused on climate change and multiple agency involvement. Rather than developing broad transportation and land use goals or determining strategies for goals that had already been established through a planning process, the Pilot Project took as a starting point the goals of reducing GHG emissions and preparing for climate change impacts through agencies’ and other stakeholders’ transportation and land use decisions. In addition, the Pilot Project determined specific growth projections in population and employment for 2030 that were used as targets during the scenario planning process. The Pilot Project intended to use scenario planning as an educational tool to engage and inform a broad group of stakeholders around climate change issues through an integrated planning approach.

This project did not result in the development of a regional transportation plan (RTP), nor did it lead to decisions about development patterns at the neighborhood or parcel level or prescribe zoning or development types. Instead, participants worked at a regional scale to indicate the desired locations for preservation, development, and improvements to transportation services based on GHG emissions and climate-change impact considerations. The impact of these regional determinations was then evaluated by important indicators, or measures of performance, which were selected based on the goals of the exercise, the data available, and the scenario planning tool being employed.

This process allowed for the testing of the relationships among transportation, development, GHG emissions, and climate change impacts, and raised awareness about the implications of transportation and land use decisions on climate change issues. The outcomes of the scenario planning process are anticipated to help inform future versions of the RTP as well as other state, local, and federal agency transportation and development plans for the region.

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<sup>10</sup> For more information on the FHWA scenario planning program, see the *FHWA Scenario Planning Guidebook* and website. ([www.fhwa.dot.gov/Planning/scenplan/](http://www.fhwa.dot.gov/Planning/scenplan/))

## II. Project Definition

### Identification of Study Area

The Interagency Transportation, Land Use, and Climate Change Working Group selected Cape Cod, Massachusetts as the location for the Pilot Project based on a number of factors, described below, that fit with the Working Group's goal and focus areas. As shown in Figure 3, Cape Cod is located in Barnstable County in southeastern Massachusetts and encompasses 15 towns as well as several other landowners, including the NPS Cape Cod National Seashore, the FWS Monomoy National Wildlife Refuge, and the Massachusetts Military Reservation.

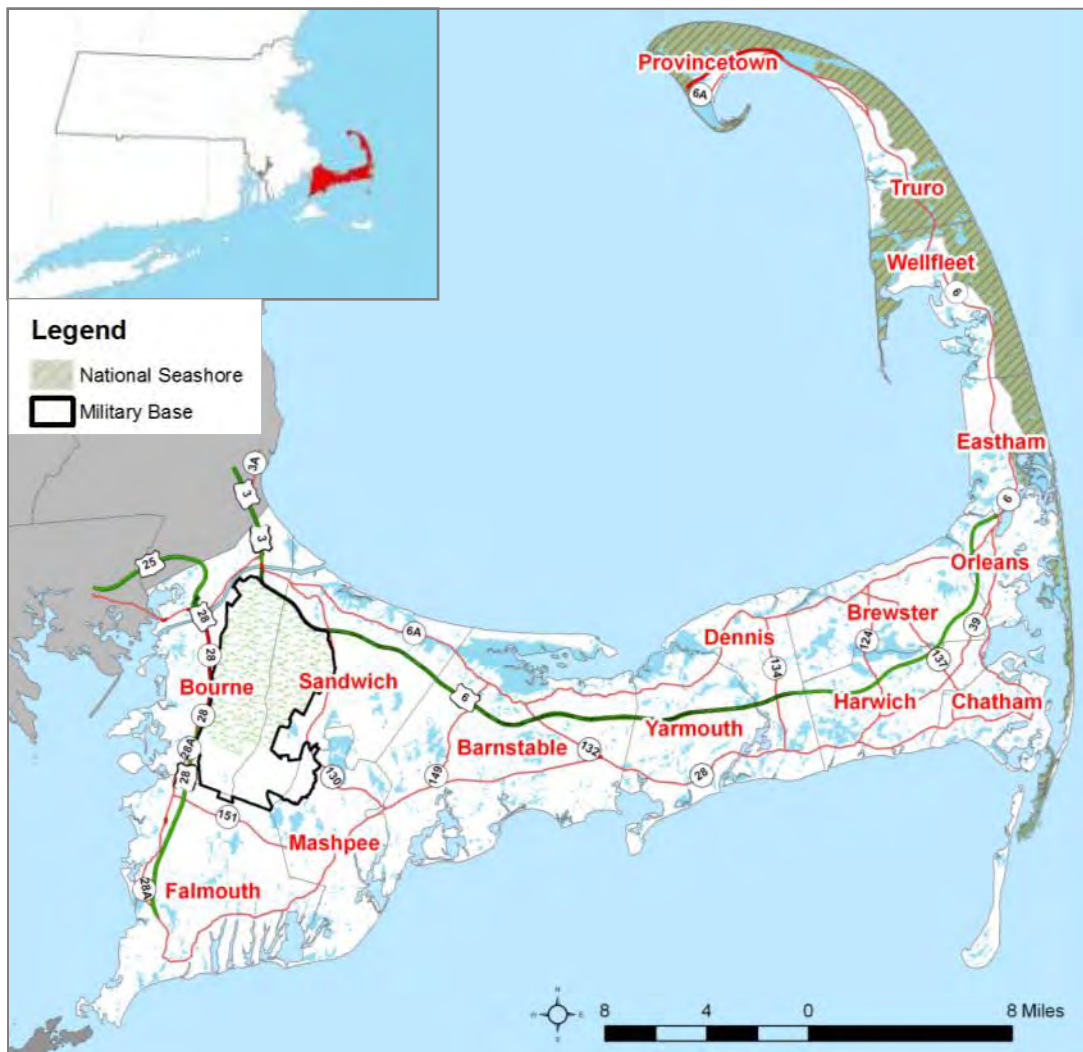


Figure 3: Location of Cape Cod within the Commonwealth of Massachusetts and map of the roadway network and government entities within Cape Cod. *Source: MassGIS, PlaceMatters, and Placeways.*

The Working Group selected Cape Cod for the Pilot Project due to the following factors:

- ♦ *Gateway communities.* The 15 towns serve as gateways to a variety of recreational travel destinations and transportation hubs. The Cape Cod National Seashore experiences over four million visits annually<sup>11</sup> while ferries servicing Nantucket and Martha's Vineyard from points on Cape Cod carry two and half to three million passengers each year.<sup>12</sup> Other recreational destinations on Cape Cod include the Monomoy National Wildlife Refuge and numerous beaches, resorts, campgrounds, and bike trails.
- ♦ *Presence of and special area of concern for several federal agencies.* Many federal agencies are responsible for land and facilities on Cape Cod, including NPS, FWS, the U.S. Geological Survey, the U.S. Army Corps of Engineers, the U.S. Coast Guard, and the U.S. Air Force.
- ♦ *Existing partnerships.* Cape Cod has a strong county government and has a history of regional initiatives and partnerships. For example, Cape Cod's regional planning agency, the Cape Cod Commission, has a long-standing relationship with the Cape Cod National Seashore as well as the Cape Cod Regional Transit Authority and has collaborated with them on transportation planning efforts and projects. All three of these agencies are represented in the membership of the Cape Cod MPO.
- ♦ *Geographically-bounded transportation, land use, and economic development issues.* Cape Cod is separated from mainland Massachusetts by a canal constructed in 1914.<sup>13</sup> Two bridges, the Sagamore and the Bourne, connect vehicle traffic to Cape Cod, while rail, ferry, and air service also transport people and freight. Cape Cod and its transportation, land use, and economic development issues are, therefore, relatively self-contained. Common issues include drastic seasonal variation in population, congestion, and strain on water resources.
- ♦ *Coastal location and vulnerability to certain projected climate change effects, such as SLR.* As a low-lying coastal peninsula with significant shoreline development and strictly limited access to the mainland, the threats of SLR and storms that are more frequent and severe carry significant potential to impact the human and natural environments of Cape Cod.

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<sup>11</sup> National Park Service Public Use Statistics Office. *NPS Stats*. (<http://www.nature.nps.gov/stats/park.cfm>)

<sup>12</sup> Cape Cod Commission (2011). *Cape Cod 2011 Regional Transportation Plan (March 2011 Draft)*. Prepared for the Cape Cod Metropolitan Planning Organization. ([http://www.gocapecod.org/rtp/RTP2011docs/Ch2-5Water-MARCH\\_2011.pdf](http://www.gocapecod.org/rtp/RTP2011docs/Ch2-5Water-MARCH_2011.pdf))

<sup>13</sup> The Cape Cod Canal bisects the Towns of Bourne and Sandwich. Even though, as a result, parts of Bourne and Sandwich are located on the mainland, both are considered to be part of Cape Cod.

- ♦ *Interest in sustainability.* Cape Cod faces severe congestion issues due to its limited access, high percentage of population that commutes off-Cape for work, and high seasonal visitation. In response, Cape Cod partners have invested in efforts to create alternatives to personal vehicle access and traditional fuel dependency for both tourists and residents. In addition, Cape Cod National Seashore staff, working with partners, have demonstrated an interest in planning for long-term impacts of SLR on parking and other beach facilities.

### Identification of Partners, Roles, Responsibilities, and Resources

The primary participants in the Pilot Project consisted of the project team, Planning Group, Technical Committee, and scenario planning consultant.

#### **Project Team**

The Volpe Center served as the coordinator, facilitator, and manager of the tasks and partnerships that comprised the Pilot Project. The Volpe Center is a fee-for-service research agency under the U.S. Department of Transportation's Research and Innovative Technology Administration. This role could be undertaken by other federal agencies or state or regional entities.

#### **Sponsor Agencies**

FHWA, NPS, and FWS contributed funding and staffing support to the Pilot Project in order to advance each agency's climate change adaptation and mitigation interests and activities and integrate their planning processes with those of other stakeholders. Outcomes of interest included the development of a shared transportation and land use scenario that would affect agency assets in the region, and the establishment of a successful and replicable process that could be distributed within these agencies and to their partners. Representatives from the sponsor agencies participated in the Pilot Project as members of the Planning Group but also guided its intended outputs and outcomes by recommending and requesting specific deliverables.

#### **Regional Agencies**

The Pilot Project's primary regional stakeholder agencies were the Cape Cod Regional Transit Authority, Cape Cod National Seashore, and the Cape Cod Commission, the region's land use planning and regulatory agency, which coordinates transportation planning activities under the guidance of the MPO. These agencies were responsible for providing certain necessary data, identifying and coordinating outreach to town representatives, and refining the selected scenario. These agencies will also lead efforts to integrate and implement elements of the refined scenario on Cape Cod.



### ***Planning Group***

The purpose of the Planning Group was to guide the development of the Pilot Project. The Planning Group comprised representatives from the sponsor agencies, regional agencies, and other federal agencies, including several from the Interagency Working Group as well as the Federal Emergency Management Agency (FEMA) and Department of Defense.

The primary functions of the Planning Group, which were outlined in a charter, were:

- ◆ Provide general guidance and oversight of the pilot project.
- ◆ Incorporate Technical Committee input into decision-making.
- ◆ Guide development of interim products and results.
- ◆ Guide the development of and review interim products and deliverables.
- ◆ Provide input into the crafting of development scenarios for Cape Cod.
- ◆ Communicate and integrate results and findings into stakeholder plans.
- ◆ Provide feedback on pilot project process and areas for improvement.

The Planning Committee convened for monthly conference calls. The roster and charter for the Planning Group is included in Appendix A: Planning Group and Technical Committee Charters and Rosters.

### ***Technical Committee***

Based on Planning Group recommendations, individuals representing expertise in transportation, resource management, and coastal geology were asked to join a Technical Committee, which provided input and direction to the technical aspects of the project, including climate science and GHG reduction strategies. Although certain members of the Planning Group were also invited to join the Technical Committee, most Technical Committee members represented staff from state transportation, environment, and coastal management agencies, federal resource agencies, and scientific research institutions.

The primary functions of the Technical Committee, which were outlined in a charter, were:

- ◆ Provide guidance to the Planning Group regarding all technical issues.
- ◆ Identify resources appropriate for literature review.
- ◆ Assist in data collection and provide information on ways to integrate GHG emission mitigation measures and climate change effects and impacts into scenarios.
- ◆ Provide input into the desired targets for scenarios with regard to climate change.
- ◆ Provide input into the crafting of development scenarios.
- ◆ Communicate and integrate results and findings into stakeholder plans.

Technical Committee members were convened on an as-needed basis. The roster and charter for the Technical Committee is included in Appendix A: Planning Group and Technical Committee Charters and Rosters.

During the Pilot Project, the Technical Committee was charged with two specific tasks:

1. The development of localized estimates for SLR and storm surge impacts.
2. The identification of transportation mitigation measures that could be applied to Cape Cod and quantification/estimation of the potential reduction in GHG emissions from the application of these measures to Cape Cod.

Section III presents a more in-depth discussion of these tasks.

### ***Scenario Planning Consultant***

To obtain the necessary expertise and software tools for use in the scenario planning process, the Planning Group developed a Request for Proposals (RFP), which the Volpe Center used to procure a scenario planning consultant. The final RFP is available in Appendix C: Request for Proposals. The RFP defined several roles for the consultant, including:

- ◆ Introducing the Planning Group and Technical Committee to the scenario planning tool.
- ◆ Developing a list of performance indicators for the scenario planning exercise.
- ◆ Developing baseline and preliminary scenarios.
- ◆ Preparing and facilitating a scenario planning stakeholder workshop.
- ◆ Finalizing and documenting the selected scenario.

After reviewing a number of proposals, the Volpe Center selected a consultant team composed of representatives from PlaceMatters, Inc., Placeways, LLC, the University of Colorado Denver Center for Sustainable Infrastructure Systems, and the Transportation Research Center. Through its selection of this consultant team, the Pilot Project elected to use the software tool CommunityViz for scenario development. The tool had technical and interactive functionality that met the objectives of the Pilot Project. CommunityViz is a decision-support extension for ArcGIS, a group of geographic information system (GIS) software products, that builds upon the information organization and presentation capabilities of GIS. CommunityViz enables users of a GIS to establish alternative futures, analyze their effects, and communicate results to stakeholders. The consultant team used CommunityViz in developing the preliminary scenarios, conducting the workshop, developing the refined scenario, and conducting an evaluation of the scenarios using performance indicators that linked transportation, land use, and population density data with VMT and associated GHG emissions.

## Coordination with Existing Studies, Plans, and Processes

Various federal, state, regional, and local agencies and organizations have conducted studies related specifically to planning and climate change on Cape Cod specifically over the past decade. During the preliminary phases of the Pilot Project, the project team reviewed existing plans and studies that were identified through a scan of literature and based on recommendations from the Planning Group and Technical Committee. The project team reviewed relevant studies pertaining to climate change impacts nationally, regionally, and on Cape Cod. Local available plans included a Regional Policy Plan, Regional Transportation Plan, and Hazard Mitigation Plan. The literature review directly informed the expert elicitation on sea-level rise and climate change impacts and the development of GHG reduction strategies. The results of the team's literature review are available in Appendix B: Literature Review.

## Identification of Outcomes

As a pilot project, the anticipated outcomes and outputs evolved over the course of the project as new challenges and opportunities were identified. This report and its accompanying materials, including the *Technical Scenario Report*, contain descriptions of the process and the main outputs, including a literature review, results of an expert elicitation, GHG emission reduction strategies, and the scenario planning model and database.

The project team worked with the FHWA, NPS, and FWS to help them consider how they could best integrate the approach of the Pilot Project into planning efforts nationally and in other regions. At the local and regional level, the project team worked with the Cape Cod National Seashore and Cape Cod Commission to incorporate the Pilot Project's specific outcomes into action plans to inform future planning efforts and project proposals.

An action plan identifies steps that can be taken to incorporate project outcomes or recommendations into existing and future plans and activities of an agency to ensure that those outcomes or recommendations are implemented. For example, the project team worked with the Cape Cod Commission to document the data, assumptions, and processes used to develop the Refined scenario and to detail opportunities to implement and build upon it at the regional and local levels. The action plan will ensure that the Refined scenario remains a viable long-term vision for the region by incorporating it into future land use, transportation, sustainability, and climate change plans. The Volpe Center also provided input for the Cape Cod National Seashore's Climate Friendly Parks Action Plan, which was developed concurrently with the Pilot Project and drew upon the GHG emission reduction strategies identified for the Pilot Project.

## Observations and Recommendations

### ***Initiating the Project***

***Observation 1:*** The Working Group selected Cape Cod to be the focus region for the Pilot Project. Therefore, the process was proposed to the region's regional planning agency, transit agency, and towns; those groups did not initiate the process. Although the federal role was vital in piloting the process followed on Cape Cod, federal involvement influenced the direction of the Pilot Project such that it was not solely based on regional needs and goals. For instance, local and regional stakeholders identified freshwater supply and wastewater treatment as more urgent constraints to growth than climate change adaptation and mitigation, though the latter were priorities of the project's federal sponsor agencies.

- ◆ ***Recommendation:*** Ensure that the process originates with a local or regional agency with jurisdiction and interest in land and transportation, such as an MPO, RPO, city, or federal land management agency, such as a national park. Within those agencies, a variety of staff should be involved – transportation planners and engineers, land use planners, environmental and natural resource specialists, and GIS analysts – so as to provide a comprehensive perspective on the relevant issues. The origination of the project at the local or regional level should not preclude other federal agencies from participating, but organizations at the regional or local levels can directly assess how best to pursue a climate change-focused scenario planning effort, by engaging in a number of preparatory activities, including:
  - ◆ Organizing and establishing buy-in from local stakeholders.
  - ◆ Evaluating data availability and quality (discussed in Section III).
  - ◆ Determining the appropriate scope and outputs based on the status of existing planning efforts.

***Observation 2:*** Cape Cod is subject to legislation that facilitates effective regional planning. In planning, there are states with legislation that requires comprehensive plans that are consistent horizontally and vertically and there are those without such legislation. Horizontal consistency requires that components within a single comprehensive plan be consistent (e.g., the land use plan provides zoning to accommodate housing types needed to meet the affordable housing plan's goals). Vertical consistency requires that regional and local plans be consistent with state plans, zoning regulations, and permitting. Massachusetts does not have state legislation that requires vertical consistency but there are regional exceptions, such as the towns within Barnstable County, which are required to have plans consistent with the Cape Cod Commission's regional comprehensive plan.<sup>14</sup> The Pilot Project benefited from working in

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<sup>14</sup> Cape Cod Commission Act, Section 9. (<http://www.capecodcommission.org/CCAct.htm>)

a region that required local plans to be consistent with regional plans and transportation plans to be consistent with land use plans.

- ♦ **Recommendation:** Understand the legislation governing planning for a region and its implications for enforcement of any regional planning decisions. Being in a “non-plan” state does not mean that this approach will be unsuccessful but it may require additional coordination and agreements among entities within the region to enforce regional decisions.

### ***Establishing Project Goals***

**Observation 3:** Since a transportation-focused work group initiated the Pilot Project and had pre-defined goals, other locally-important issues were not intended to be a primary focus. However, over the course of the project, stakeholders identified additional topics, such as water resources, as priority focus areas. Although water resources were considered indirectly as performance indicators in the scenario development process, they could have been used to constrain development and to better inform the process.

- ♦ **Recommendation:** Consider how stakeholders will be involved in defining the project goals, identify issues beyond transportation and land use at the initiation of the project, and determine if or how such issues can be addressed within the scope of the scenario planning process. While it may be difficult to treat certain issues as secondary to the core goals of an effort, especially those with significant impacts on the placement of development, a determination at the beginning of the process as to how to treat these related issues will help the project maintain its focus later on. If included in the effort, such factors can be assigned a performance indicator – as in the case of the Pilot Project – and be used as an additional evaluation criterion.

### ***Defining Roles and Responsibilities***

**Observation 4:** One of the most important aspects of the Pilot Project was the interagency nature of the project. Partners at all levels of government, including federal, state, regional, and local agencies, were intrinsically involved throughout the course of the project. The partners played a key role in developing the final output, and will ultimately be responsible for implementing actions identified. Keeping the various partners engaged was important, as each contributed essential data, tools, and resources.

- ♦ **Recommendation:** Develop a diverse stakeholder group that can enhance the scenario planning activities. Implementation of a scenario, particularly one based on transportation, land use, and climate change, will ultimately fall to a variety of stakeholders so their participation in guiding the overall effort and scenario development can help ensure their commitment to the final scenario. In the case of the Pilot Project, the presence of several large federal and state land holdings

on Cape Cod necessitated the involvement of their respective management agencies. Just as a climate change-focused scenario planning effort should originate with an MPO, RPO, city, county, or federal land unit, an agency at that level is also in an ideal position to serve as the central coordinating partner. It may be appropriate for a third party to maintain responsibility for managing the day-to-day aspects of the project, but the planning agency for the focus region will be in the best position to effectively conduct outreach and coordination and ensure that the myriad of partners stay engaged throughout the process.

### ***Coordination with Existing Planning Processes***

***Observation 5:*** Regional, local, and agency-specific policy, transportation, and hazard mitigation plans are updated on a regular cycle, typically every five years. Plans for Cape Cod that had been recently updated were able to inform the Pilot Project, but the Pilot Project's results will not directly be incorporated into these plans until their next update.

- ♦ ***Recommendation:*** Consider the update cycle of relevant plans in determining how best to integrate the scenario planning effort.

### ***Defining Desired Outputs of the Scenario Planning Process***

***Observation 6:*** Scenario planning can lead to a range of outputs, including the development of goals, the design of one preferred scenario, the provision of insights that inform the planning process, or the development of strategies, policies, and action items in pursuit of existing goals. In the case of the Pilot Project, building awareness around pressing climate change and transportation issues and identifying potential actions for investigating these issues in greater detail were just as important outputs as the final scenario. In fact, the final scenario will serve as a tool and resource for subsequent studies by stakeholder agencies on Cape Cod.

- ♦ ***Recommendation:*** Assess what the desired outputs are at the beginning of the scenario planning initiative. If conducting scenario planning specifically for climate change mitigation and adaptation, the output may not be a comprehensive plan, but rather a set of inputs, strategies, and goals to incorporate into a long range transportation plan (LRTP), comprehensive plan, or regional policy plan (RPP). Scenario planning may also provide an opportunity to test and understand the implications of new ideas to incorporate into an LRTP or RPP update.

### III. Data Requirements

Scenario planning is a data-intensive process and, accordingly, the Pilot Project depended on robust data for each of its major elements: creating the baseline of existing conditions, developing the performance indicators for evaluation and projecting future conditions. Data were collected from state and other GIS databases, federal resources, the Cape Cod Commission, and towns. As the project progressed, some critical data sets that didn't currently exist had to be created from scratch.

This section provides a summary of the data needed to develop performance indicators specifically, measures of mitigation and adaptation as well as baseline land use and transportation data required for the development of the scenarios. Additional details on data collection, limitations, and development can be found in the various appendices referenced and the *Technical Scenario Report*.

#### Selection of Performance Indicators

Performance indicators, or measures of performance, allow participants to compare the effects or consequences of different land use and transportation decisions. Selection of the performance indicators was an important early consideration for the Pilot Project. The Pilot Project began with identifying performance indicators that matched the goals of the project and incorporating these into the RFP for the scenario planning consultant. These five key performance indicators were:

- ◆ Greenhouse gas (GHG) emissions.
- ◆ Transport energy use.
- ◆ Congestion and vehicle miles traveled.
- ◆ Cost to implement the scenario.
- ◆ Preservation of natural/existing ecosystems.

Other indicators of interest expressed early in the process included impacts on habitat; energy, air pollution, water, and waste reduction targets; and sustainability, livability, physical activity, and economic development measures. Due to the Pilot Project's focus on climate change adaptation, percentage of development vulnerable to climate change effects also became an important indicator once the expert elicitation process, described below, resulted in a data layer that could support this measure.

Although cost to implement the scenario was proposed as a potential indicator, it was ultimately not included in the analysis due to the lack of sufficient data and the inability to model specific GHG emission strategies. Additionally, because the Pilot Project focused on the process by which development and transportation investment decisions are made at the regional level, the scale was not conducive to developing cost estimates for specific capital or operational projects.

As part of its response to the Volpe Center's RFP, the consultant team provided the Planning Group with a list of over 60 possible indicators (see Appendix D: List of Potential Performance Indicators) for use in the project's scenario planning model, including indicators that matched the RFP request. Based on data availability and input on importance, or priority ranking, from the Planning and Technical Committees, the initial list was edited down to the following eleven performance measures:

- ◆ Vehicle miles traveled (VMT):
  - ◆ Regional percentage change in peak VMT.
- ◆ GHG emissions:
  - ◆ Percentage change in GHG emissions.
- ◆ Impact of sea-level rise (SLR):
  - ◆ Percentage of new population in vulnerable areas, which include all areas within the Federal Emergency Management Administration (FEMA) Flood Insurance Rate Map risk areas as well as areas identified by the expert elicitation.
- ◆ Preservation of natural/existing ecosystems:
  - ◆ Percentage of new population in critical habitat areas.
  - ◆ Percentage land area developed (from previously undeveloped or rural)<sup>15</sup>.
  - ◆ Percentage of new population in undeveloped or rural lands.
  - ◆ Percentage of new population in other high priority conservation areas<sup>16</sup>.
- ◆ Impact on other areas:
  - ◆ Percentage of new population in historic preservation areas.
  - ◆ Percentage of new population in water resource and wellhead protection areas and percentage of new population in such areas with less than three dwelling units per acre.
- ◆ Accessibility indicators:
  - ◆ Percentage of new population served by transit.
  - ◆ Percentage of new employees served by transit.

Details on the methodology for the GHG mitigation and SLR indicators are included below as they are the focus of the Pilot Project. The methodology for how the additional indicators were developed, measured, and impacted by the scenario development

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<sup>15</sup> Developed land is defined as density exceeding one dwelling unit per 10 acres; undeveloped is defined as density equal or less than one dwelling unit per 10 acres.

<sup>16</sup> Areas include those designated for open space or conservation by the state, town, or Cape Cod Commission.



process is included in the *Technical Scenario Report*. Section V discusses the performance of the resulting scenarios based on these indicators.

### Sea-Level Rise and Other Climate Change Impacts

The project team determined that no regional SLR impact estimates existed for Cape Cod. Several computer-based models exist to conduct regional-level estimates but lack the specificity at the local level that was desired and require investment of significant time and resources. Thus, the Pilot Project team decided to organize and facilitate a consensus-/group-based expert elicitation (EE) with local and regional coastal experts at Woods Hole, Massachusetts in July 2010. The coastal experts included staff from the following agencies:

- ◆ National Park Service
- ◆ Massachusetts Department of Transportation (MassDOT)
- ◆ Woods Hole Oceanographic Institution
- ◆ Provincetown Center for Coastal Studies
- ◆ Waquoit Bay National Estuarine Research Reserve
- ◆ US Geological Service (USGS)
- ◆ US Army Corps of Engineers

The project team coordinated with the USGS to identify several GIS layers in advance for reference during the EE, including orthophotography, landform and geologic maps, elevation data, and FEMA flood areas. The EE also referenced the literature review that had been conducted (see Appendix B: Literature Review), in particular the USGS coastal vulnerability assessment of Cape Cod.

The initial goal for the EE was to develop SLR impact projections for specific areas of Cape Cod for three time horizons (20, 50, and 100 years), and, if feasible, for three scenarios (low, medium, and high SLR estimates). However, during the EE, the experts acknowledged that this level of detail, and focusing on inundation only, was not possible. The limiting factors included the dynamic conditions influencing SLR at local levels, a lack of robust data sources, and the feasibility of the requisite analysis and modeling within the scope and scale of the Pilot Project.

Due to these limitations, the EE workshop focused on identifying vulnerable areas, or areas of concern, for SLR and other climate-related impacts on Cape Cod. Experts identified specific areas that they considered vulnerable based on the following criteria:

- ◆ Elevation
- ◆ Exposure to storm surge
- ◆ Erosion
- ◆ SLR impacts

The EE workshop resulted in an indexed map of the vulnerable areas with a key to specific explanations of why certain areas were marked as potentially vulnerable. The map and key are provided in Appendix E: Vulnerable Area Map and Key.

### Transportation Greenhouse Gas Mitigation Strategies

The Technical Committee developed a preliminary list of mitigation strategies based on a literature review, in particular the report *Moving Cooler: An Analysis of Transportation Strategies for Reducing Greenhouse Gas Emissions*.<sup>17</sup> That study, commissioned by a group of federal agencies and a diverse set of interest groups, assesses the potential effectiveness of transportation strategies to reduce GHG emissions through reduced travel activity or improved vehicle and system operations.

Drawing upon *Moving Cooler*, the Technical Committee created an initial list of strategies and then tailored specific strategies to address the regional context. Through a series of conference calls, the group revised the initial list of strategies, adding ones that might be pursued and deleting those that were deemed infeasible for Cape Cod. For example, while increasing the gas tax may be an effective mitigation strategy, regional and local agencies in Massachusetts do not have the authority to amend the gas tax rate. The group focused only on strategies that could be implemented at the local level; policies that require federal or state action were not included.

The final list of potential GHG emission reduction strategies, which can be found in Appendix F: Potential GHG Reduction Strategies, is organized into seven categories:

1. Pricing strategies. These strategies raise the costs associated with the use of some components of the transportation system relative to others.
2. Land use and smart growth strategies. These strategies create more transportation-efficient land use patterns (i.e., fewer and shorter vehicle trips).
3. Non-motorized transportation strategies. These strategies encourage greater levels of walking and bicycling as alternatives to driving.
4. Public transportation strategies. These strategies encourage greater use of, and aim to expand the availability of, public transportation.
5. Regional ride-sharing, car-sharing, and commuting strategies. These strategies expand services and provide incentives to travelers to choose transportation options other than driving alone.
6. Operational and intelligent transportation system (ITS) strategies. These strategies improve the operation of the transportation system to make better use of existing capacity.

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<sup>17</sup> Cambridge Systematics, Inc. (2009) *Moving Cooler: An Analysis of Transportation Strategies for Reducing Greenhouse Gas Emissions*.

7. Vehicle efficiency and alternative fuel strategies. These strategies improve the fuel efficiency of vehicles and increase the use of alternative fuels.

The project team discussed the possibility of developing regionally specific estimates of the GHG reduction potential of the mitigation measures presented in *Moving Cooler*. However, the group eventually elected not to pursue this option due to limitations in the availability of necessary data, time, and resources. Instead, stakeholders discussed and voted on the most important and feasible strategies at the Pilot Project's November 2010 workshop, described in more detail in Section IV. The results of this poll are presented in Appendix G: Priority Transportation Strategies for Cape Cod.

### Baseline Data for Scenario Development

The model used to develop the scenarios required baseline transportation and growth data.

#### **Transportation Data**

Transportation data required for the Pilot Project consisted of the following existing baseline data, which were used to estimate how changes in specific factors would result in decreased VMT:

- ◆ Regional VMT.
- ◆ Mode choice or share, to calculate GHG emissions from VMT.

Typically, approaches to estimating regional VMT are done using four-step regional transportation models that consist of the following steps:

1. Trip generation – estimation of the number of trips that occur daily within the study area.
2. Trip distribution – development of assumptions about trip origins and destinations.
3. Modal choice or split – estimation of the percentage of trips made by different modes (e.g., personal vehicle, mass transit, bicycle, walking).
4. Trip assignment – assignment of the trips calculated in steps 1-3 to specific transportation routes.

Both the Cape Cod Commission and MassDOT have four-step models that cover Cape Cod and that were used to generate baseline data for the Pilot Project.

However, these regional models may not capture local effects of changes in the urban design and planning of neighborhoods that can also decrease travel demand. Consequently, traditional models may be augmented by other approaches, such as the

5D estimation method,<sup>18</sup> which was used for the Pilot Project. This method enables the estimation and comparison of local effects on VMT due to five factors: design, density, diversity, destination accessibility, and distance to transit. These factors can be measured in a number of ways based on available data. In the case of the Pilot Project, design was measured as street network density (road miles per square mile), density as household density (units per acre), diversity as ratio of population to jobs, destination accessibility as distance of neighborhoods to other regional destinations, and distance to transit as number of people served by transit service areas.

The 5D method consisted of the following steps:

1. Measure the factor in the first scenario.
2. Measure the factor in the second scenario.
3. Calculate the percent change.
4. Multiply by a specific variable elasticity to calculate related decreases in VMT.

Generally, elasticity is a ratio used to measure the change of one variable due to another variable. The 5D method measures the responsiveness of changes in VMT to one of the 5D variables. So, for every percent increase in any one D, there is a related decrease in VMT. The elasticity assumptions used were taken from a meta-analysis completed by Reid Ewing and Robert Cervero.<sup>19</sup> Cape Cod-specific assumptions were not available at the time of the study, but these elasticities are easily adjustable within the scenario planning tool (CommunityViz) analysis.

For mode choice, the transportation model available included the following mode categories:

1. Passenger Vehicles
2. Light Duty Vehicles
3. Medium Trucks
4. Heavy Trucks

Bicycle, pedestrian, and transit were not included. Due to the lack of available regional mode share data, no assumptions were made for these missing modes and no change in mode share was assumed for any of the scenarios. However, VMT reductions due to people shifting from driving to biking or walking for short trips were implicitly captured

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<sup>18</sup> Ewing, R., and R. Cervero. 2010. Travel and the built environment. *Journal of the American Planning Association* 76(3): 265-294.

<sup>19</sup> 2010

in the 5D analysis. Assumptions about transit mode shift can be revisited in the future. The *Technical Scenario Report* contains more information on this topic.

### **Growth Assumptions**

Future projected growth values for households and employment were held constant across scenarios to provide a one-to-one comparison of any differences among the scenarios. The growth values were derived from U.S. Census 2000 projections for 2030 new growth in population, employment, and households (see Table 1). These figures were the best available data at the time and were vetted with the Cape Cod Commission, which did not have alternative estimates. However, the projections were based on previous periods of rapid growth and are thus considered overestimated. The 2010 Census, which became available after the project was complete, provides an update to the growth trend and shows a decrease in growth for the study area over the past 10 years.<sup>20</sup> The assumption for growth is variable and can be changed in the analysis for future use.

**Table 1: Population, Employment and Household Estimates Used in Analysis**

<b>Growth Type</b>	<b>Base Year (2008)</b>	<b>Horizon Year (2030)</b>	<b>Delta (2030 - 2008)</b>
<b>Population</b>	224,335	284,335	60,000
<b>Households</b>	95,660	123,660	28,000
<b>Employment</b>	91,238	107,738	16,500

The baseline data and the estimates for future growth did not include the summer and winter fluctuations on the Cape. Neither Census projections nor the supplied transportation modeling results included summer population and employment. The scenario planning consultant did develop some multiplier assumptions by town for summer population increases based on available summer population estimates and vacant home data from the U.S. Census. However, similar data on seasonal employment were not available. Thus, the Pilot Project did not incorporate seasonal and therefore recreational data but did identify ways in which that data could be validated and used in the future.

<sup>20</sup> Massachusetts 2010 Census Official Population Numbers. <http://www.sec.state.ma.us/census/barnstable.htm>

## Observations and Recommendations

### **Assessing Data Requirements**

**Observation 7:** Scenario planning is an inherently data-intensive process and can require data beyond what some regions already have. Introducing a climate change mitigation and adaptation focus to the Pilot Project added a layer of complexity and uncertainty to the data collection. As a pilot project, it was initially unclear what data would be necessary to complete the preliminary scenario development and indicator analysis as well as what data would be available and feasible to access or develop within the time and resource constraints of the project.

- ♦ **Recommendation:** Develop a clear understanding of what minimum baseline data are needed and assess what data are available in advance of selecting a scenario planning consultant or holding a workshop. The assessment should consider any pending data releases or updates, such as Census or GIS. In addition, plan for an adequate amount of time to conduct the data collection (a period of four to six months is recommended). Data collection activities should involve checking with all key stakeholders to collect relevant/important data and identifying alternatives or proxies for data that is not readily available. If certain types of data are lacking and suitable proxies are unavailable, acknowledge this fact early and assess alternative strategies.

### **Assessing Sea-Level Rise Impacts**

**Observation 8:** Models that anticipate the effects of SLR on coastal areas are generally not location-specific and do not take into account coastal dynamics at a highly localized scale. The Pilot Project was able to account for the specific factors affecting Cape Cod by creating a locally-defined vulnerability map through an expert elicitation, but the resulting map did not provide projections on the magnitude of the impact. The Technical Committee and coastal and climate scientists involved in the expert elicitation ultimately determined that producing specific estimates of SLR for sub-regions of Cape Cod was not feasible given currently available data and that collecting the necessary data was beyond the scope and budget of the Pilot Project.

- ♦ **Recommendation:** Evaluate whether existing climate change impact data and models are sufficient to determine how to integrate climate change adaptation into a scenario planning exercise. Numerous models are available and are used nationally for predicting the impact of SLR by simulating the dominant processes and forecasting long-term effects. If existing tools are not sufficient, assess the amount of time and level of resources available to develop local or regional models.<sup>21</sup> Greater levels of time and resources should increase the precision and

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<sup>21</sup> Additional tools and resources for evaluating climate change effects, including the *Coastal Inundation Mapping Guidebook* and *Technical Considerations for Use of Geospatial Data in Sea Level Change Mapping and Assessment*, are available through the NOAA Coastal Services Center *Digital Coast* website (<http://www.csc.noaa.gov/digitalcoast/>).

accuracy of estimates, though the current state of climate science will ultimately limit both.

Climate change adaptation may also be incorporated into a scenario planning exercise using the risk-mitigation scenario planning approach described in Section II. Participants may be presented with several possible SLR and climate change effect scenarios, ranging from mild to severe, and then be asked to identify strategies that enable them to successfully adapt to each.

### ***Developing GHG Mitigation Measures***

***Observation 9:*** Not all GHG mitigation measures will be feasible – politically, financially, or otherwise. Furthermore, local agencies in the project area may not have the authority or ability to implement certain measures. Strategies to reduce GHG emissions can focus on VMT reduction, fuel efficiency, vehicle technology, or operational efficiency. With the exceptions of VMT reduction, technology of local government fleet vehicles, development of alternative fuel stations, and some implementation of ITS, these are primarily the purview of the state and federal governments. VMT reduction is the primary mechanism through which local and regional land use and transportation investment decisions can have an impact. The impact of density, land use mix, and transit access on VMT can be modeled and assessed easily in scenario planning. Actions that aim to change behavior through pricing, incentives and other means are more difficult to model, and need additional time and resources to integrate into the scenario planning process.

- ♦ ***Recommendation:*** Decide whether the scenario planning exercise will explore all potential GHG mitigation measures or only those that are determined to be realistic at a given, selected scale (e.g., the local level). Feasibility may be determined prior to a scenario planning workshop or during it. In the case of the Pilot Project, prior to the workshop, the Technical Committee eliminated measures it believed were infeasible, while discussion and voting during the workshop further narrowed the list. Involvement from state or federal partners in discussions of GHG reduction strategies may be valuable, particularly when considering strategies outside the control of a local or regional agency.

***Observation 10:*** General estimates for the emission reduction potential of mitigation measures exist. The report *Moving Cooler*, for instance, presents national and some regional estimates for a variety of GHG emissions mitigation measures. However, such estimates are not transferable to the unique characteristics of Cape Cod. Development of Cape Cod-specific estimates would have required significant investment of time and resources and the availability of detailed data sets. Therefore, GHG mitigation measures other than VMT reduction were not incorporated into the scenario development process.

- ♦ ***Recommendation:*** Identify feasible options for integrating GHG mitigation into the scenario planning process. If seeking specific estimates of GHG reduction potential, allow sufficient time and resources to develop these. Generally, additional research into applying GHG reduction estimates for specific measures

at a regional or sub-regional level is needed for them to be fully integrated into a scenario planning exercise. If developing specific estimates is infeasible, a facilitated discussion to identify opportunities and barriers to implementing specific measures may suffice.

### ***Developing Baseline Data***

***Observation 11:*** The Pilot Project originally intended to focus on a place characterized by the presence of both gateway communities and seasonal recreational travel. The peak summer population of Cape Cod is believed to be triple the year-round population. Accounting for a more precise interpretation of this trend into the Pilot Project's refined scenario would have had a drastic impact on VMT and GHG emissions. However, seasonal fluctuations in Cape Cod's population and travel patterns were not sufficiently captured in the scenario planning process due to lack of data and the difficulty in quantifying recreational travel demand.

- ♦ ***Recommendation:*** Any tourism destination or gateway community region considering GHG mitigation should assess visitation data and determine what may be possible to model. A location that experiences as significant an increase in population during peak travel times as Cape Cod would benefit greatly from developing and evaluating a scenario that precisely and thoroughly explores the effects of seasonality on indicator performance.

***Observation 12:*** The transportation model used for the Pilot Project did not have data on bicycle, walking, or transit mode share. The 5D analysis used was able to account for some mode shift to bicycle and walking, but proposed changes to transit service frequency did not result in any changes in assumptions about mode split within the scenario planning model.

- ♦ ***Recommendation:*** Make assumptions in the scenario planning model about how increases in transit service frequency would result in mode shift. On Cape Cod, it is likely that the full impact of increased transit access was not captured in the indicator performance of the refined scenario. For regions interested in pursuing increased transit ridership as a means to reduce VMT and associated GHG emissions, capturing the full effects of this shift in a preferred scenario could build support for expanded transit service.

***Observation 13:*** During the scenario planning workshop, participants expressed concern over the accuracy of baseline data, particularly with the amount of growth in jobs and housing that they were being asked to allocate. Participants suggested that the growth figures being used were too high, as the projections were based on previous periods of rapid growth. Additionally, in some instances, participants' questions regarding the presentation of the information on potential areas vulnerable to SLR diverted their concentration from evaluating the tradeoffs between growth placement, climate change adaptation and mitigation, resource protection, and identifying opportunities for the future.



- ♦ **Recommendation:** One value of scenario planning is that it relies on relative comparisons between scenarios. Thus, even if the absolute numbers are not accurate or need to be changed, the relative results can still be used to compare different actions. Furthermore, scenario planning may raise awareness among participants about limitations and gaps in available data.

Another key strength of the scenario planning process is the ability of participants to consider the implications of their actions for a specific set of indicators. When participants in a scenario planning exercise focus too intently on the accuracy of the data – as occurred to some degree in the Pilot Project – it can compromise their decision-making process. Validating projection figures with stakeholders prior to the scenario planning should prevent this from happening and ensure that the exercise is realistic. Addressing these issues will save time, avoid confusion, and make participants more informed and effective in their actions.

## IV. Scenario Development

This section details what land use and transportation scenarios were developed and the process by which they were developed. The Pilot Project resulted in the development of 10 scenarios, consisting of the following:

- 1-5. Five preliminary scenarios developed by scenario planning consultant for demonstrative purposes, consisting of:
  1. Trend
  2. Dispersed – Standard Transportation
  3. Dispersed – Enhanced Transportation
  4. Targeted – Standard Transportation
  5. Targeted – Enhanced Transportation
  
- 6-9. Four scenarios developed by stakeholder participants at the November 2010 workshop
  
10. One refined scenario developed by stakeholders after the workshop

All the scenarios involved the placement of population and employment based on the growth assumptions described in the previous section. Placement involved the use of digital “chips,” or map point features attributed and symbolized with various sizes to represent quantities of households and employment (see Figure 4). In workshop exercises and in developing the refined scenario, participants placed the chips themselves, while in the preliminary scenarios, the scenario planning consultant placed chips in a mostly automated fashion with a subsequent review by the project team. Any reference to chips in this report regards the digital representations of households and employment as shown in Figure 4. For all scenarios, placement was not permitted within the Cape Cod National Seashore boundaries, reflecting the assumption that such land will not be available for development.

In addition to population and employment placement, the workshop and refined scenario involved the placement of new transit stops and the ability to change the frequency, or headways, of the service.

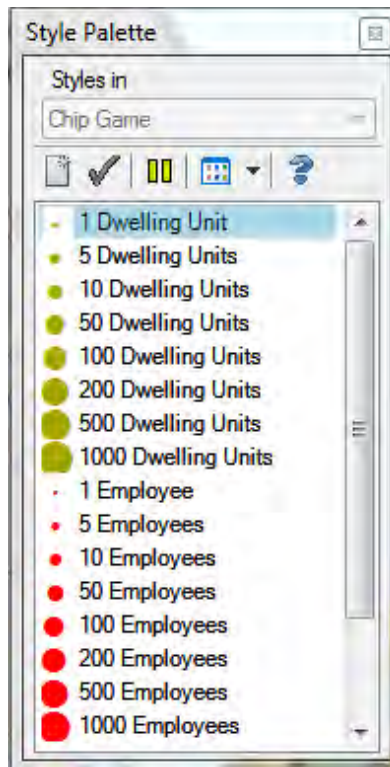


Figure 4: The CommunityViz Style Palette contained different sized “chips” that represented numbers of dwelling units and employees, or new jobs, available for participants to place during the Pilot Project’s scenario planning workshop in November 2010. *Source: PlaceMatters and Placeways.*

### Preliminary Scenarios

The consultant team prepared five preliminary scenarios to demonstrate the possible range of future scenarios. The five scenarios consisted of the Trend scenario, which continued historic growth patterns into the future, and four that were combinations of two levels of development intensity and two levels of transportation investment (see Figure 5). The two levels of development intensity were intended to showcase “extreme” scenarios: dispersed/even growth and intense/focused growth. The Dispersed scenario followed a spread-out distribution of development, using a random allocation. The Targeted scenario allocated new development to existing high density residential areas and commercial and industrial centers, based on town Land Use Vision Maps (LUVMs)<sup>22</sup>

<sup>22</sup> As part of the recent Cape Cod Regional Policy Plan, the Cape Cod Commission has been working with the 15 towns in Barnstable County to develop LUVMs with the following land uses identified: Economic Centers, Villages Industrial and Service Trade Areas, Resource Protection Areas, and Other Areas. At the time of the development of the Targeted scenario, eight of the 15 municipalities had adopted LUVMs.

where they existed and zoning where they did not. The change in housing density from existing (2008) conditions for these three scenarios is shown in Figure 6.

The two levels of transportation, shown in Figure 7, consisted of:

- ◆ Standard – Included existing transit plus the following additional improvements, which are being planned by the Cape Cod Regional Transit Authority:
  - ◇ New Bourne-Sandwich bus route
  - ◇ Commuter train running from Boston, MA region to Harwich, MA
- ◆ Enhanced – Standard plus unplanned stops and routes placed based on where future densely populated areas of Cape Cod without transit were located under each of the development scenarios. Eight additional stops were placed for the Dispersed scenario and six were placed for the Targeted scenario.

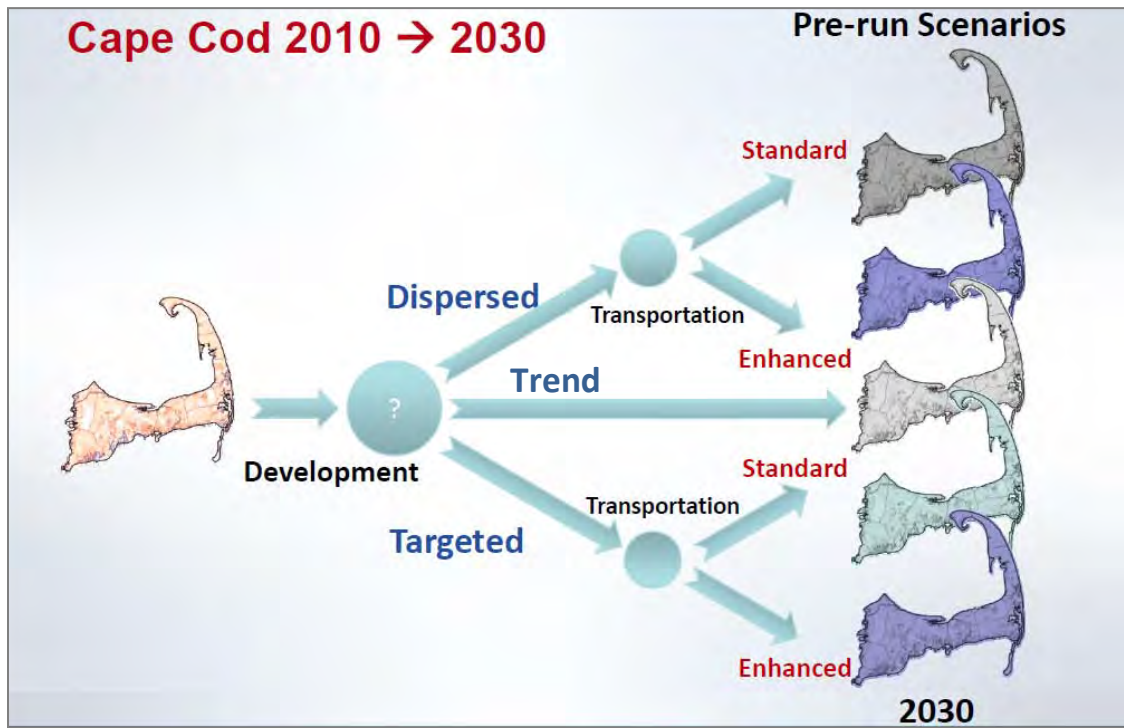


Figure 5: Visual matrix of transportation choices crossed with development intensity. *Source: PlaceMatters and Placeways.*

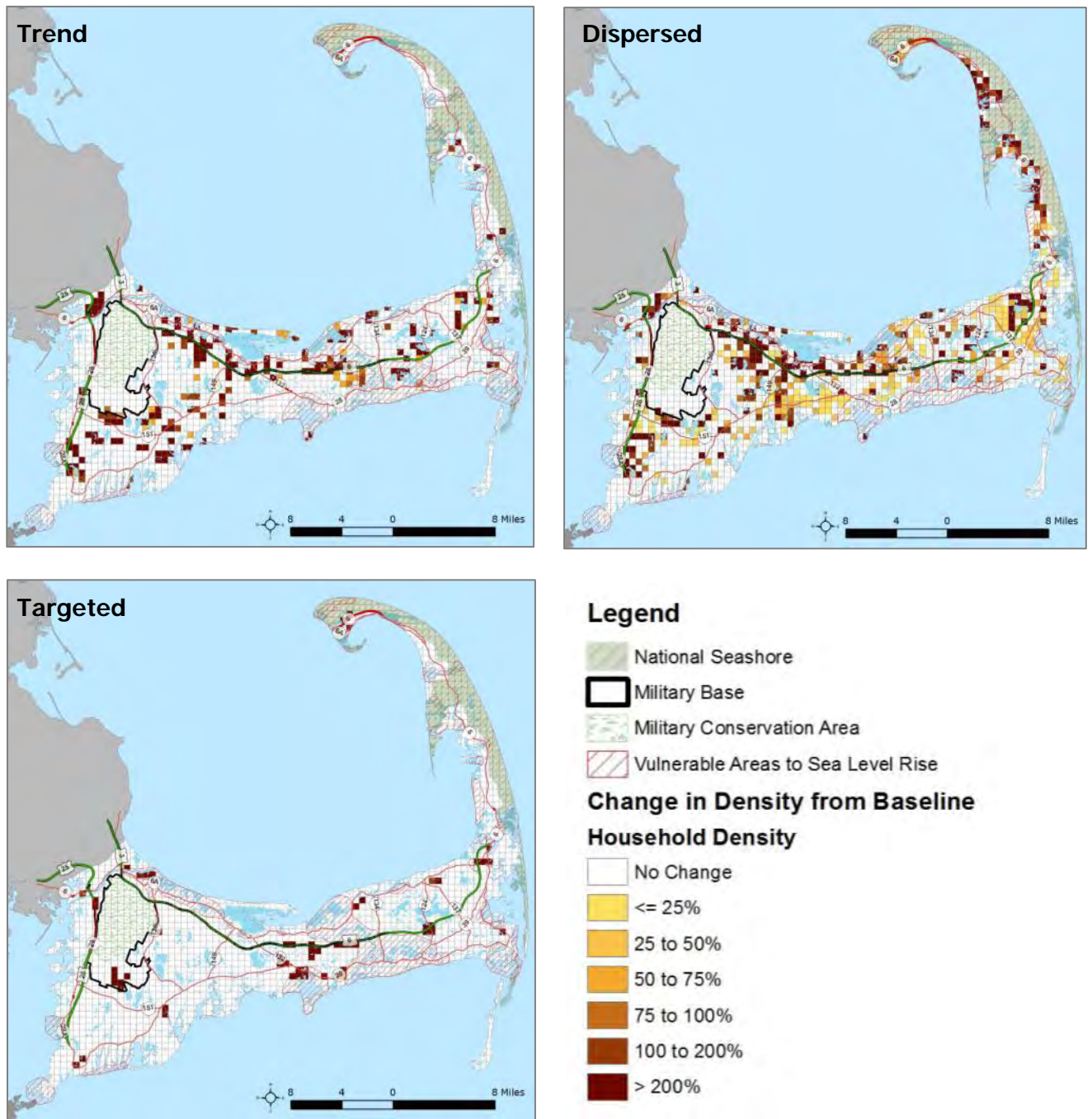


Figure 6: Trend, Dispersed, and Targeted Scenarios – Change in Density from Baseline Household Density. *Source: PlaceMatters and Placeways.*

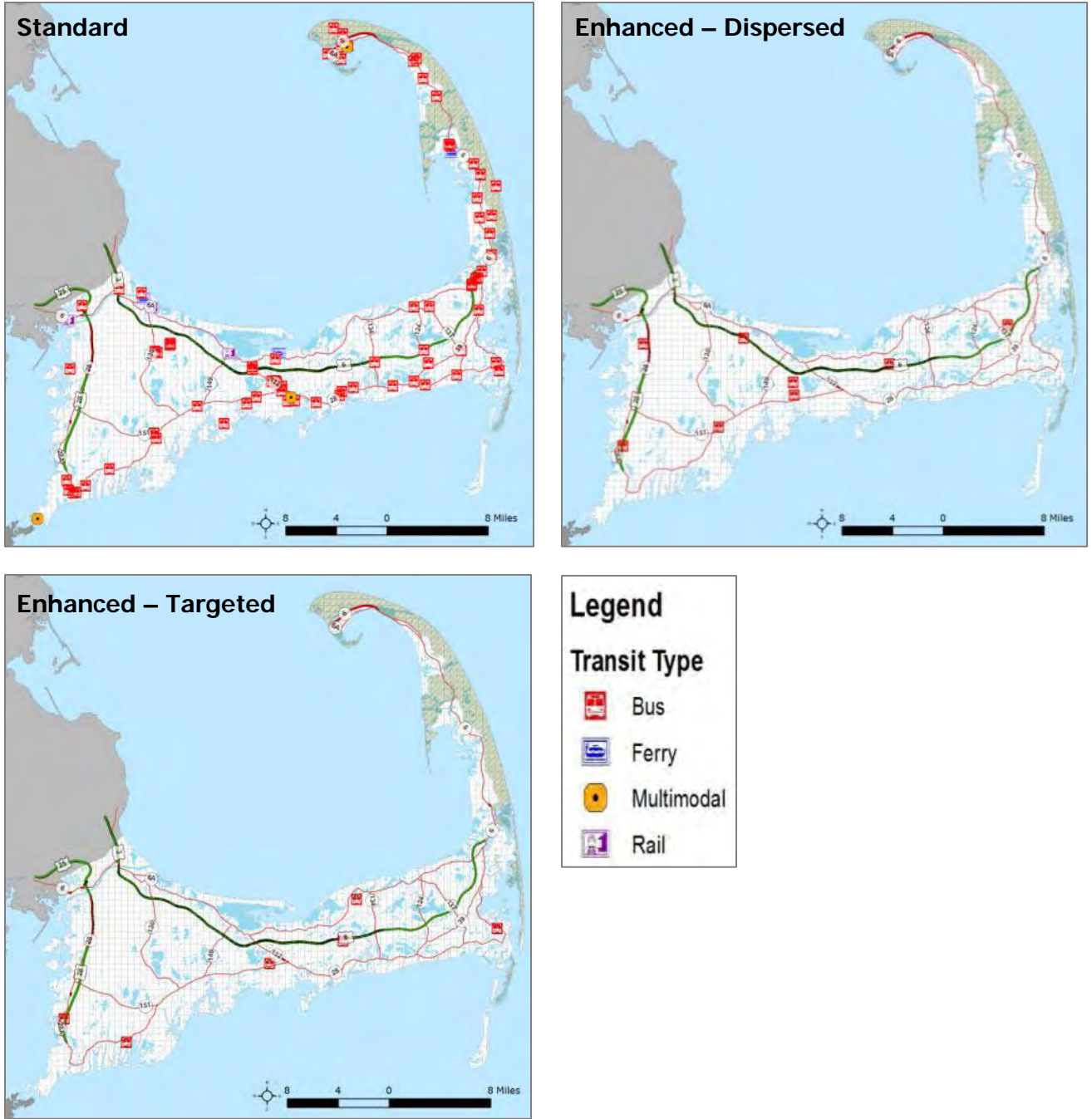


Figure 7: Standard and Enhanced Transit Scenarios. *Source: PlaceMatters and Placeways.*

## Workshop Scenarios

The one-and-a-half day workshop was held on November 15 and 16, 2010. The purposes of the workshop were to facilitate discussion on the Pilot Project's focus among the multiple agencies, introduce the concept of scenario planning and the software tool, and develop future transportation and land use scenarios that took into account change considerations that could then be consolidated into one proposed vision.

### **Representation and Involvement**

The primary audience for the scenario planning workshops consisted of town and regional administrative and planning staff. As these individuals will ultimately be responsible for integrating the outcomes of the scenario planning pilot into long range planning efforts, their involvement in the workshops was vital to the success of the project's process. The project team worked closely with the Cape Cod Commission to identify administrators, assistant administrators, and planners from each of Cape Cod's fifteen towns, and to invite them to participate in the workshops. Other local participants included staff from the MPOs on Martha's Vineyard and Nantucket, and a representative from the Massachusetts Military Reservation, a military base that occupies a large area of land in the Upper Cape sub-region.

Members of both the Planning Group and Technical Committee were also invited to attend the workshop, though only those members whose work related directly to Cape Cod were asked to contribute to scenario development (for instance, Cape Cod National Seashore planning staff). Federal and state agency representatives from both groups were asked to attend as observers and resources in case questions arose related to federal or state support.

Final representation at the workshop was as follows:

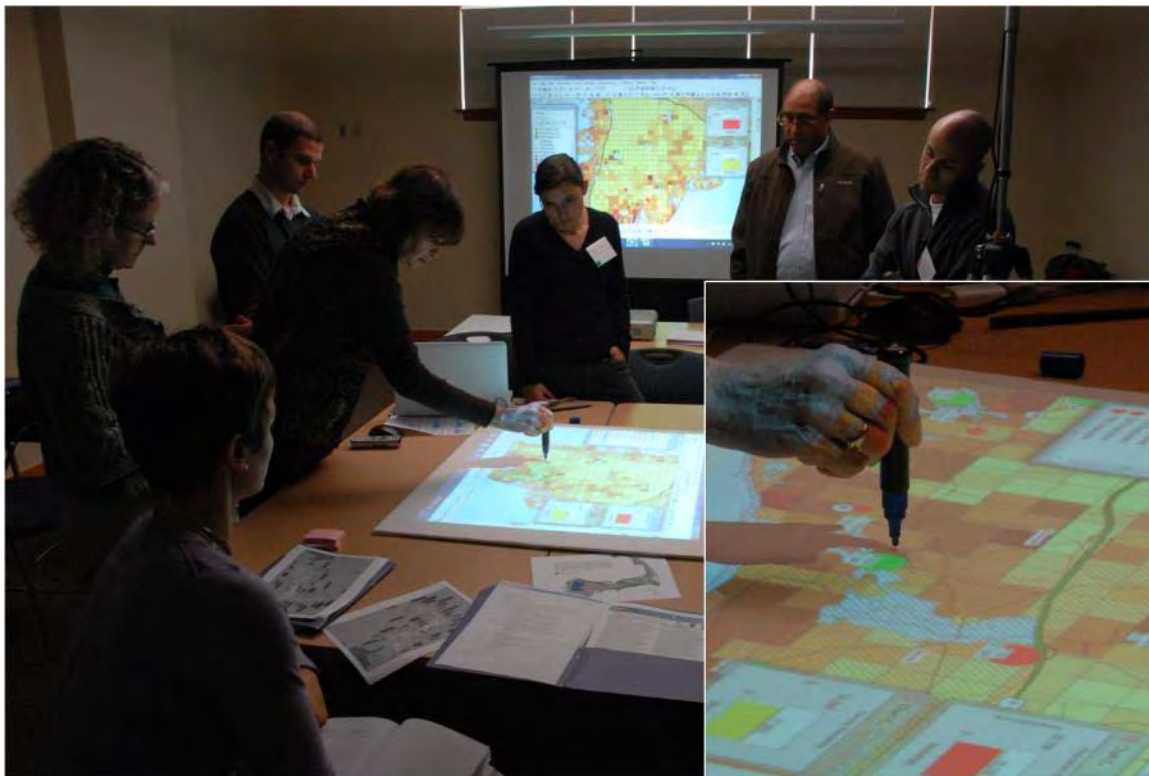
- ◆ *16 Local Government Staff* – Towns of Barnstable, Brewster, Chatham, Falmouth, Harwich, Mashpee, Sandwich, and Truro; Martha's Vineyard Commission and Nantucket Planning Office.
- ◆ *Nine Regional Government Staff* – Cape Cod Commission, Cape Cod Regional Transit Authority.
- ◆ *Two State Government Staff* – Massachusetts National Guard, Massachusetts Office of Energy and Environmental Affairs.
- ◆ *16 Federal Government Staff* – Environmental Protection Agency (Region 1), Federal Emergency Management Agency (Region 1), Federal Highway Administration (Headquarters, Federal Lands Highway, and MA Division Office), Federal Transit Administration (Region 1), Fish and Wildlife Service (Headquarters and Region 5), National Oceanic and Atmospheric Administration (North Atlantic Region), National Park Service (Headquarters, Northeast Region, and Cape Cod National Seashore).

- ♦ *Five Scientific Experts* – Provincetown Center for Coastal Studies, U.S. Geological Survey Woods Hole, Water Energy & Ecology Information Services, Woods Hole Oceanographic Institution, Waquoit Bay National Estuarine Research Reserve.

The Pilot Project elected not to host a public meeting to develop a scenario as, given the size of the region and the scope of the project, targeting town staff was determined to be a more effective approach. However, a public involvement approach may be preferable in a smaller region or as a step in an iterative scenario development process.

### ***Use of Technology***

For the stakeholder workshop, the consultant team provided four laptop computers loaded with CommunityViz and relevant baseline data (population density, land use, transportation system, and conservation, water resource protection, historic preservation, and climate change vulnerable areas). Each laptop was also connected to a novel display and interaction system developed by PlaceMatters' Decision Lab, which allowed participants to view and interact with CommunityViz as though it were a tabletop map (see Figure 8).



**Figure 8: Workshop participants navigated CommunityViz and allocated projected new housing and employment units in CommunityViz using infrared pens, a Nintendo Wii remote, and a vertically-mounted projector. Source: Volpe Center.**



## Workshop Agenda

The workshop occurred over one and a half days. The full agenda can be found in Appendix H: Scenario Planning Workshop Agenda. During the first day, representatives from the project's federal and regional partner agencies expressed their interest in the project and their expectations for its outcomes. Experts from the Technical Committee also made brief presentations on issues central to the project and Cape Cod, including GHG emissions, SLR and climate change impacts, VMT, transit ridership, and, as mentioned previously, water resources, which were not originally a focus area but were included as performance indicators.

The scenario planning consultant then provided an overview of the scenario planning process and presented the preliminary scenarios that it developed prior to the workshop. The consultant also conducted several polling exercises to establish demographic information about attendees and to identify the issues of highest priority on Cape Cod (see Figure 9 and Table 2). These issues matched the performance indicators described in Section III. Regional stakeholders identified preservation of (1) water resources and (2) critical habitat and conservation areas as the top two issues for Cape Cod; the four climate change issues identified by the Pilot Project's initiators ranked third through sixth in the polling exercise. The top two issues have significant and immediate implications for the placement of development and the polling results indicate a need for Cape Cod to work to integrate water resource considerations more thoroughly into future iterations of the scenario planning model and process.

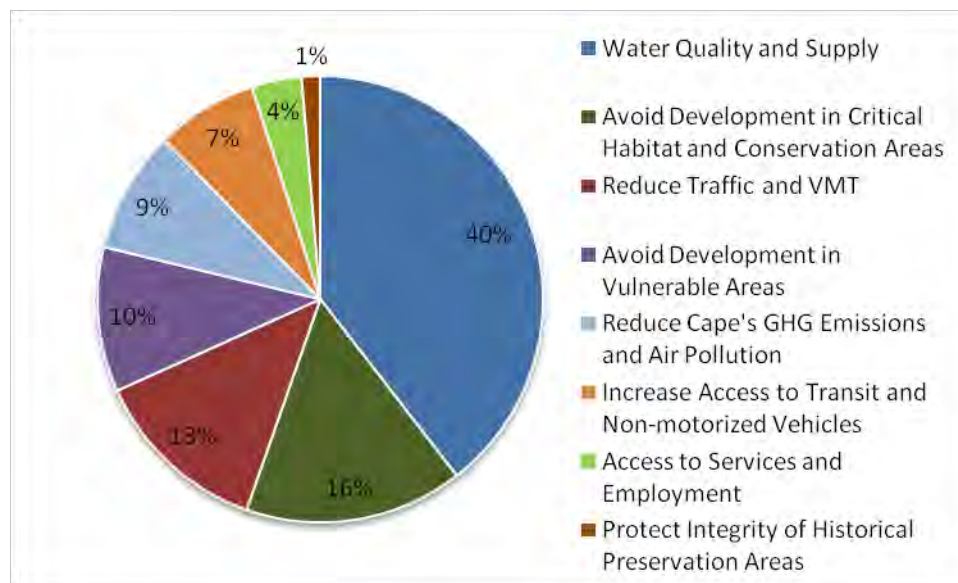


Figure 9: Results of polling exercise to identify the issues of highest priority on Cape Cod; poll administered during November 2010 Scenario Planning Workshop; participants were asked to identify their top two issues. Source: PlaceMatters and Placeways.

**Table 2: Results of polling exercise**

<b>Priority</b>	<b>Percent of participants</b>
Water Quality and Supply	40%
Avoid Development in Critical Habitat and Conservation Areas	16%
Reduce Traffic and VMT	13%
Avoid Development in Vulnerable Areas	10%
Reduce Cape's GHG Emissions and Air Pollution	9%
Increase Access to Transit and Non-motorized Vehicles	7%
Access to Services and Employment	4%
Protect Integrity of Historical Preservation Areas	1%

Following these introductory presentations, local stakeholders divided into four groups based on their region of Cape Cod (see Figure 10) and used the scenario planning software, CommunityViz, to identify areas requiring protection, areas able to accommodate growth in housing and employment, and transportation needs and challenges (see Figure 11). This exercise was conducted as a primer to the second day's activities, and emphasized discussion about important protection, development, and transportation issues over the use of technology-based scenario planning tools.

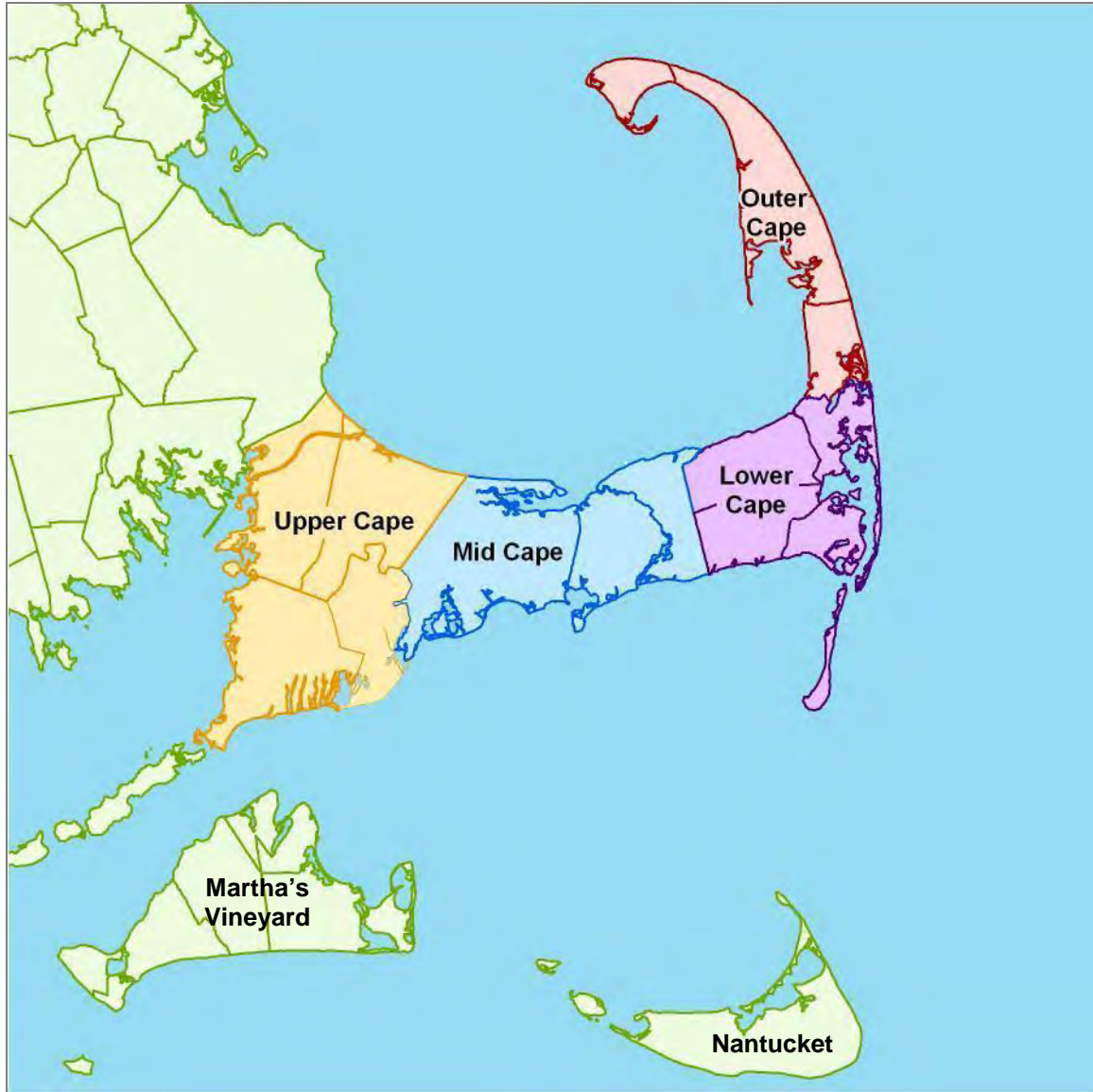


Figure 10: During the first day of the scenario planning workshop, local stakeholders divided into breakout groups based on the four sub-regions of Cape Cod. Representatives from Nantucket and Martha's Vineyard were assigned to the Mid Cape and Upper Cape respectively based on the primary ferry service routes (Woods Hole to Martha's Vineyard and Hyannis to Nantucket). *Source: Volpe Center.*

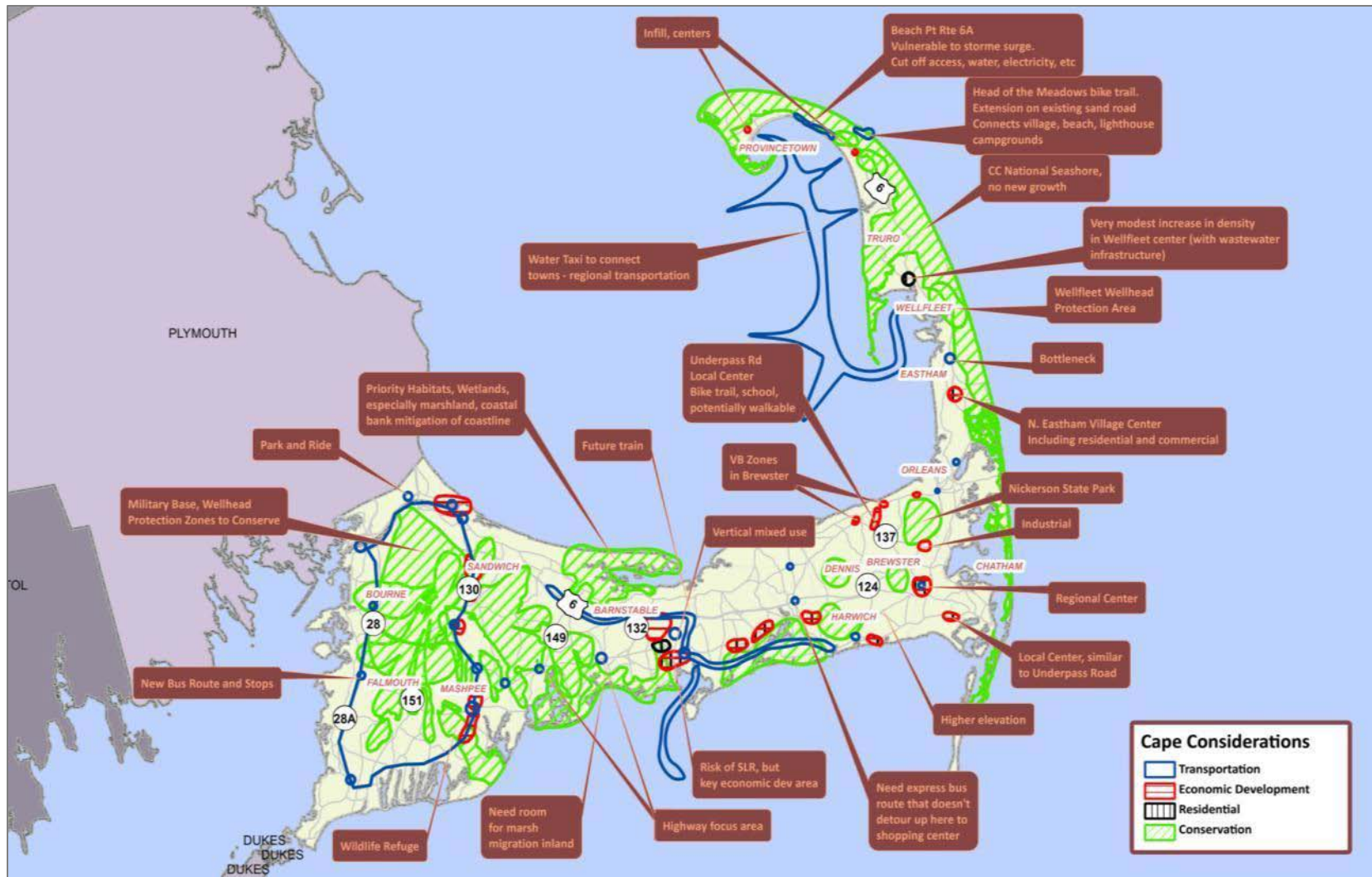


Figure 11: Resulting mark-up of regional map from the first day's breakout groups by subregion of Cape Cod. Green indicates conservation areas, red major areas of development, and blue important transit needs. *Source: PlaceMatters and Placeways.*

On the workshop's second day, stakeholders reconvened and, following a brief recap of the activities of the first day, divided into breakout groups with mixed sub-regional representation in order to develop scenarios for the entire region. A representative from the consultant team joined each breakout group to operate CommunityViz and facilitate the exercise. First, the groups were asked to allocate 28,000 new households and 16,500 jobs, projections developed for 2030 based on the 2000 U.S. Census projection. Participants were able to view various data layers within the GIS interface, including areas vulnerable to SLR and other climate change impacts, water resources areas, historic preservation areas, high priority conservation areas, transit and highway routes, and existing population and employment density. Participants used CommunityViz tools to allocate new household and job chips throughout the region and keep track of chips remaining. Figure 12 and Figure 13 shows the resulting chip distribution for all four of the breakout groups at the Workshop.



Figure 12: Legend for the Workshop Group maps.

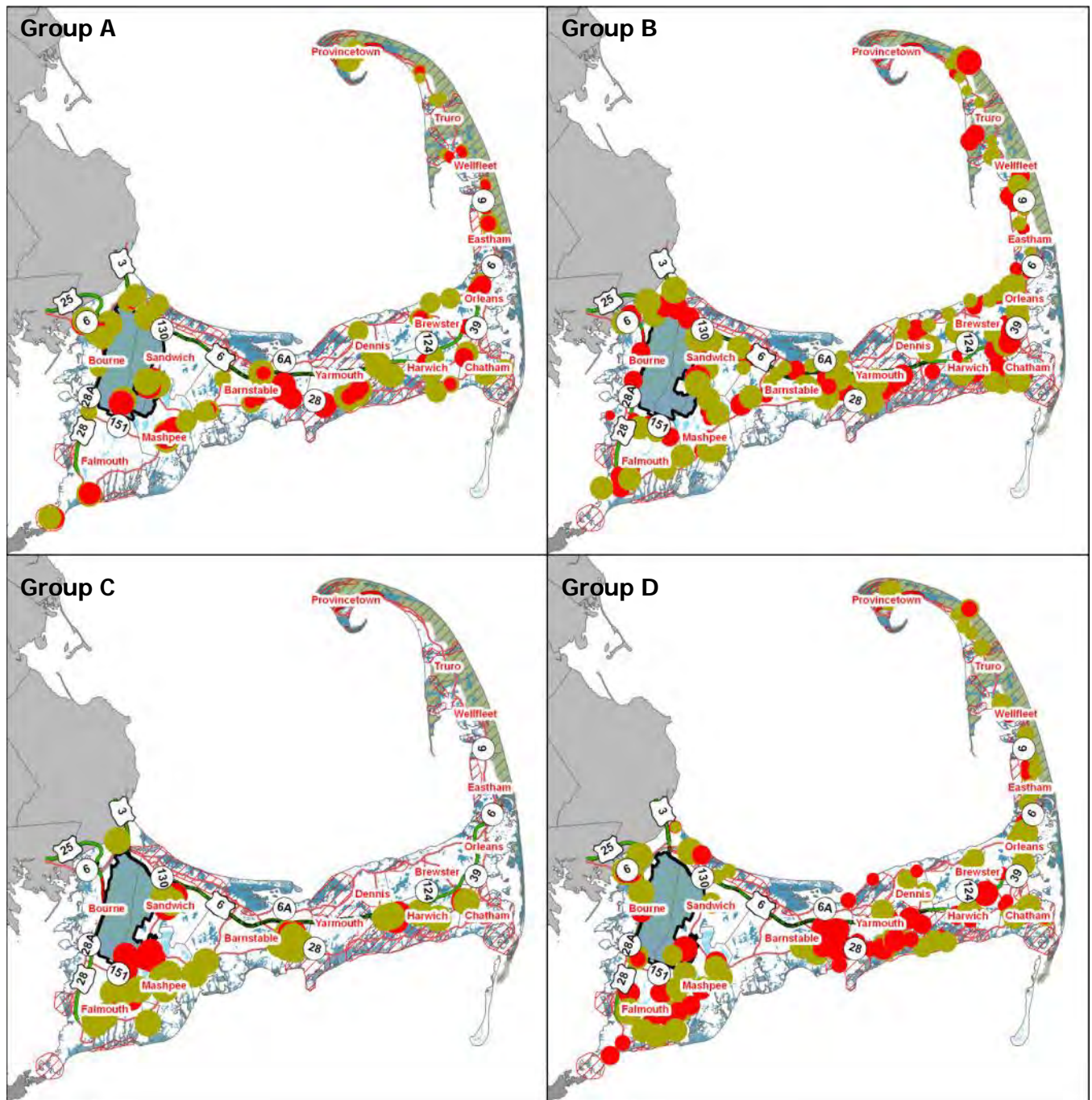


Figure 13 Workshop group maps, displaying the placed housing (green) and employment (red) "chips."

Once each breakout group allocated all of the projected new housing units and jobs, they were asked to modify Cape Cod's existing transit system by adjusting the frequency of existing routes and designating new stops based on allocations of new population and employment.

After each of these exercises, where time permitted, the CommunityViz model was refreshed so that participants could evaluate the indicator performance of the decisions they had made. The resulting maps from each of the breakout groups can be found in the accompanying *Technical Scenario Report*.

Following the development of the breakout group scenarios, one facilitator met with representatives from each of the breakout groups to consolidate the four scenarios into one refined scenario, described in more detail below. During this time, the rest of the workshop participants discussed the list of potential GHG emission measures described in Section III. The results of this discussion are described below. The workshop concluded with a presentation by the refined scenario group and a discussion of anticipated next steps.

### ***Incorporation of Climate Change Mitigation and Adaptation***

The workshop scenario development accounted for climate change mitigation and adaptation in several ways. Participants were able to impact GHG emissions through the scenario planning exercise using CommunityViz by making predictions about the future location of new households, jobs, and transit stops. These predictions resulted in changes to the 5Ds and consequently in changes to VMT and then GHG emissions. For adaptation, participants were able to view the layer identifying areas on Cape Cod vulnerable to the anticipated effects of climate change, as discussed in Section III, as they placed new housing and employment units.

Additionally, workshop participants discussed and voted on the list of potential GHG emission measures described in Section III. The list was used as a starting point to facilitate discussions among town planners and regional stakeholders on what actions to pursue. These measures were not integrated directly into the refined scenario but nonetheless spurred discussion among a diverse group of stakeholders. While representatives from each breakout group met to consolidate their scenarios, the other workshop participants discussed the proposed strategies, noting challenges and opportunities for each. Feedback on specific strategy categories included:

- ◆ Pricing strategies. Participants recognized opportunities to institute congestion or variable pricing strategies, particularly on the Sagamore and Bourne bridges. They noted, however, that such strategies typically lack political and public support. The group discussed various options for how to use the revenue generated from the pricing strategies, including using the revenue raised to address water resource issues. The group also discussed using pricing strategies to incentivize use of alternative fueled vehicles.
- ◆ Non-motorized strategies. Expanding bike trails may not reduce roadway VMT and could actually increase VMT if people are motivated to travel to Cape Cod to use the bike trails. In order for non-motorized strategies to be effective, actions should be geared towards improving on-road bicycling accessibility or expanding the existing recreational bicycle trails so that they connect to population centers.

Participants identified Route 6A as a roadway that needs better bicycle accommodations, such as a wider shoulder.

- ◆ Land use and smart growth strategies. Incorporating low-impact development requirements into local building codes would not only reduce GHG emissions but would also likely benefit water quality on Cape Cod, which is a top concern. Participants also suggested developing a fund for affordable housing near transit and urban centers.
- ◆ Public transportation strategies. Participants noted that residents and seasonal visitors might not be aware of the local public transportation options or how to use the public transportation system. Participants suggested that the Chamber of Commerce promote mass transit by working with lodging providers and other businesses to provide information and incentives to use public transportation. Other suggestions included reintroducing passenger rail service to the region in order to provide visitors with other car-free travel options.<sup>23</sup>
- ◆ Operational and ITS strategies. Cape Cod towns have had recent success with using ITS to manage congestion. Variable message signs and other ITS technologies were a major factor in managing the congestion associated with the Sagamore and Bourne bridge closures in 2010 for repair work. Additional ITS technologies could help reduce congestion further. Participants also suggested increasing the movement of freight and goods by water to help reduce roadway congestion.
- ◆ Alternative fuels strategies. Workshop participants noted that the region's high electricity costs may limit the market for electric vehicles. In order to support an alternatively fueled vehicle market, the region will need to develop the appropriate fueling and/or recharging infrastructure and build the knowledge base to service such vehicles.

Following the general discussion of the proposed strategies, participants voted for the top two strategies that they believed would be the most effective and feasible for Cape Cod. Five different polls were taken: one for the Cape Cod region as a whole, and one each for the Upper-, Mid-, Lower-, and Outer Cape sub-regions.<sup>24</sup> Appendix G: Priority Transportation Strategies for Cape Cod presents the polling results for all areas.

Public transportation strategies ranked highest in each poll, with land use strategies scoring second highest for all regions except the Outer Cape. While ITS strategies did

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<sup>23</sup> Since the date of the workshop, the Cape Cod Regional Transit Authority has issued a Request for Proposals for a study of passenger rail to Cape Cod, to be completed by 2012.

<sup>24</sup> Certain representatives from the towns and the Cape Cod Commission did not participate in this pole, as they were involved in consolidating the breakout group scenarios into a single, refined scenario.



not receive any votes for the region as a whole, it did score high in the Outer and Upper Cape sub-regions. Alternative fuel strategies did not receive votes in any of the polls.

As the group discussion and polling results demonstrate, regional and local governments on Cape Cod will need to pursue a range of GHG reduction strategies, each tailored to the specific context of the local community.

### Refined Scenario

A refined scenario, which drew upon the results of the scenario planning workshop, was produced through a series of meetings and exchanges involving staff from the Cape Cod Commission, Cape Cod National Seashore, and Cape Cod Regional Transit Authority, and town planners, from November 2010 through March 2011. The meetings to develop the refined scenario consisted of:

- ◆ *November 2010.* An initial meeting at the scenario planning workshop to allocate population by proportion for each of the towns. Transit was not discussed due to time constraints and the absence of Cape Cod Regional Transit Authority staff.
- ◆ *December 2010.* An interactive webinar at the Cape Cod Commission that allowed participants to continue the growth distribution exercise remotely, with technical support from the scenario planning consultant. This refined the scenario further, but participants were unable to finish all chip placements as some of the towns were not represented.
- ◆ *February 2011.* A meeting of the Cape Cod Commission, Cape Cod National Seashore, and Cape Cod Regional Transit Authority to discuss future transit possibilities, drawing upon input produced during the workshop and previous and ongoing regional and local planning efforts.
- ◆ *February-March 2011.* Additional outreach by the project team and Cape Cod Commission to obtain town buy-in through in-person meetings and directly solicited feedback.

In recognition that the conditions on and data for Cape Cod are evolving, participants involved in developing the refined scenario agreed that the refined scenario will be used as the foundation to inform further conversations and changes in the future, and should not be considered an unalterable scenario.

Figure 14 shows the change in household density in the Refined scenario from existing (2008) conditions. For transit, the same assumptions as for the Standard transit scenario (see Figure 7) were used, but with a service frequency of 30 minutes, an increase from the existing 60 minutes.

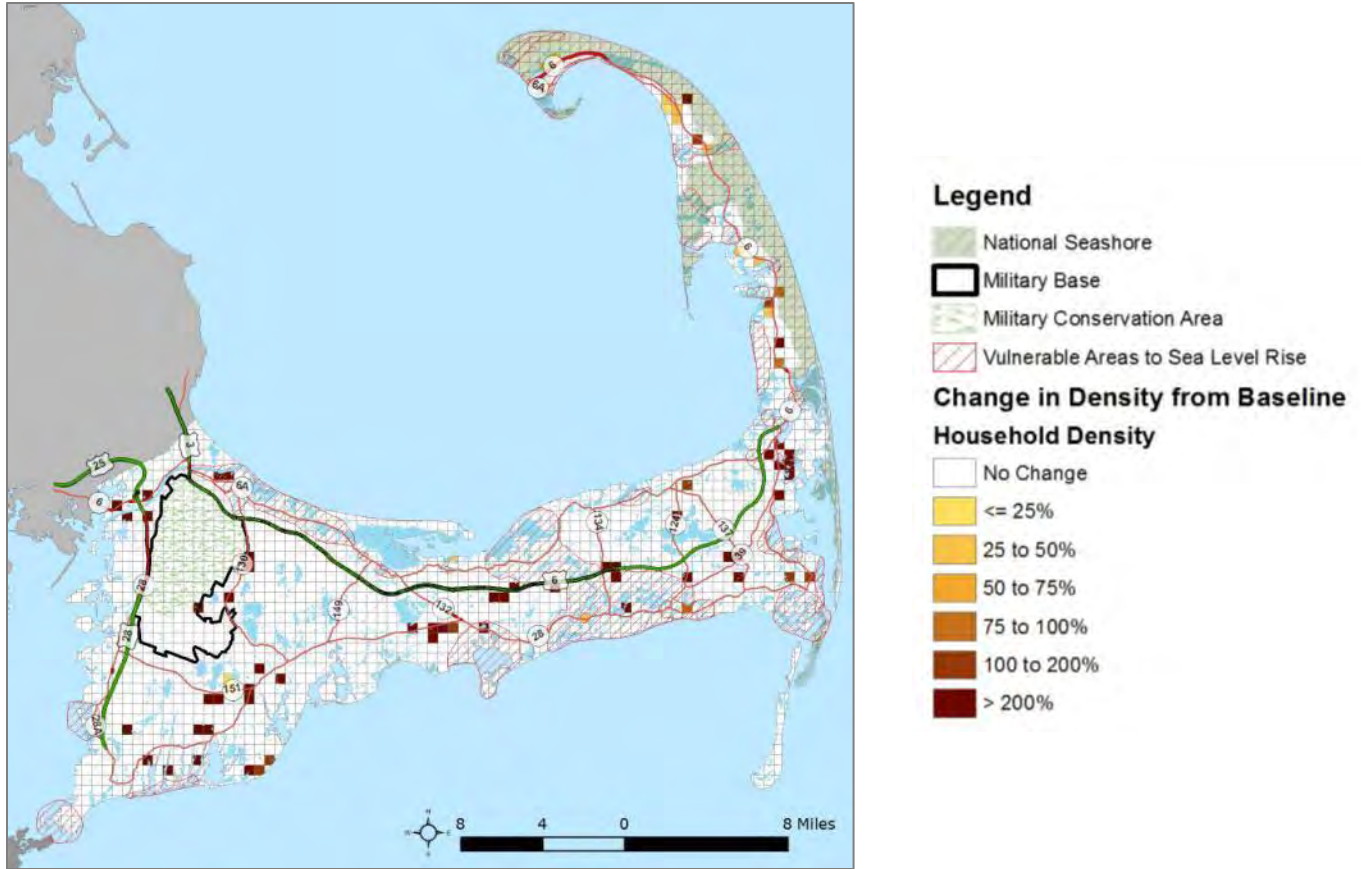


Figure 14: Refined Scenario, Change in Density from Baseline Household Density. *Source: PlaceMatters and Placeways.*

## Observations and Recommendations

### ***Use of Technology***

**Observation 14:** The scenario planning workshop utilized innovative technology designed to allow participants to view data and interact directly with the software planning tool. However, due to the complexity of the technology, it required additional setup time and also required some unconventional facility characteristics, including high ceilings and no halogen light bulbs.<sup>25</sup> More importantly to the scenario planning process, most workshop participants faced a learning curve to operate the software using the infrared pens, and the innovative and novel technology partially overshadowed the process.

<sup>25</sup> PlaceMatters also offers a more self-contained “touch table” whose setup is less complicated and does not demand these facility requirements. The Pilot Project elected not to use this option due to the added cost and difficulty of transporting these setups to Cape Cod.

**Recommendation:** Evaluate whether and how to use advanced technology to allow participants to build a scenario during a workshop. As part of this decision, weigh the implications for time allocation and process against the ability to display volumes of data easily, quickly, and dynamically. Furthermore, computerized tools will likely be necessary for the analysis of any scenario and can provide benefits, but it may be useful to consider a mix of computerized and manual processes.

Regardless of the role that technology will play, introducing the technology, data, and process via webinar prior to a workshop would familiarize participants ahead of time and save time, avoid confusion, and make participants more informed and effective in their actions, as discussed in Observation 13. Other aspects of the scenario planning process are also possible to conduct via webinar, but given the rich conversations that took place during the Pilot Project's stakeholder workshop, at least one in-person meeting is preferable.

### **Workshop Exercises**

Designing the scenario planning workshop is a critical step in the process. It is important to consider the amount of time needed for participants to effectively complete each exercise and whether scheduling additional follow up workshops would be helpful.

**Observation 15:** During the scenario planning exercise, the breakout groups allocated housing and jobs and proposed changes to regional transit facilities. Although the model included a number of data layers that could have informed the allocations, participants did not have time to review or reference them. In addition, each group was only able to evaluate the impact that their choices had on the various performance indicators once during the exercise due to the amount of time needed to refresh the indicators. This made it difficult for participants to understand the relationship between the placement of jobs, housing and transit facilities, and the consequences of those decisions, as captured by the performance indicators.

- ♦ **Recommendation:** Allow enough time during the scenario development exercises for participants to take advantage of all data layers available and to refresh the model several times so that workshop participants can see the impacts of their choices on indicator performance. One of the values of the scenario planning process is in trying one strategy, seeing the results, and then revisiting the decisions and referencing relevant data in order to make better choices. Depending on the software tool being used, enabling specific target indicators to update instantaneously after each chip placement would better allow participants to easily evaluate the tradeoffs associated with specific growth allocations.

**Observation 16:** The architects of the breakout and final scenarios for the Pilot Project were mostly town and regional planners. Some of these participants found it difficult to freely place chips outside the context of existing zoning regulations and planning

proposals. As a result, much of the projected growth was allocated within existing zoning parameters.

- ♦ **Recommendation:** Clear demarcations of areas that cannot accommodate growth, such as those with sensitive natural resources or that federal agencies own, should be made early in the process. However, it is also important to determine whether these restrictions should be considered fixed or variable. That is, consider whether participants should place growth based on current zoning restrictions or whether they should place growth under the assumption that these zoning restrictions could change during the scenario horizon. The designated time horizon, therefore, represents a large component of the consideration as to whether the intent of the process is to allocate growth in a realistic manner according to existing constraints or under the assumption that the constraints may change in the future. A 20-year time horizon may lead stakeholders to the former approach, while a 100-year time horizon to the latter. The timeline also has implications for assumptions about climate change effects, such as SLR.

**Observation 17:** The rules of the scenario planning exercise asked participants to place all 28,000 new housing units and 16,500 new employment units within rigid time bounds. Participants in certain breakout groups were rushed to finish on time. Participants noted that due to the sizes of the chips (with the largest chip size only allocating 1,000 units at a time) it was difficult to fully allocate all the chips in the allotted time.

- ♦ **Recommendation:** Balance the practices of scenario planning against the need for “good planning.” Workshop participants should not be forced to allocate a strict amount of growth under time pressure; considering the tradeoffs between indicators should be a thoughtful exercise. Be sure to allow an appropriate amount of time for each exercise, and consider increasing the “size” of the chips so that participants can allocate growth efficiently.

**Observation 18:** The scenario development was set up so that one subgroup of workshop participants was responsible for developing a final scenario based on the scenarios developed by each of the four breakout groups. However, it was impossible for the subgroup to thoroughly consolidate the allocations of growth assigned in the breakout groups into a final scenario due to time constraints and the way the breakout groups’ information was presented. Instead, the group assigned growth to a new map using the breakout scenarios as references. As a result, the growth allocations the breakout groups made did not directly translate into the final scenario, which required additional input from stakeholders after the workshop.

- ♦ **Recommendation:** Identify a systematic way to develop a final scenario so that it is directly representative of workshop participants’ intentions. This can be accomplished in a number of ways, but the following three are recommended based on the Pilot Project experience: (1) If developing several individual scenarios in breakout groups, identify a geospatial analysis technique that can systematically “average” the placement of growth from each group. (2) Organize

breakout groups by sub-region of the focus area. After assembling the sub-regions into a single map and calculating overall indicator performance, ask the entire group of stakeholders to validate the comprehensive map. 3) Instead of breakout groups, have one group composed of individuals who can represent each sub-region of the focus area and allocate growth for their sub-region. Once the map is evaluated overall, the group can discuss how best to improve the overall region's performance by making sub-region adjustments.

**Observation 19:** Because estimates for the emission reduction potential of mitigation measures at the local level do not exist for Cape Cod, consideration of mitigation was limited to making changes in density, land use placement, and transit access during the scenario planning exercise and a discussion of potential GHG emissions reduction measures.

- ♦ **Recommendation:** Incorporate climate change mitigation into the scenario planning exercise by providing information on mitigation strategies prior to the scenario development and by applying GHG emissions targets or objectives during the exercise. For instance, participants at the Cape Cod workshop could have discussed potential mitigation options prior to developing scenarios so that is could have impacted the decisions of workshop participants. In addition, participants could have been asked to place all projected new housing and employment units *and* achieve a target level of GHG emission reduction.

**Observation 20:** During the scenario development, local participants noted in passing that several existing population and employment centers located within vulnerable areas are dependent on existing state and/or federal transportation infrastructure. Time was not built into the workshop agenda to discuss the implications of this relationship, nor were the appropriate state and/or federal representatives present to discuss the availability of resources or assistance for fortification, rehabilitation, or relocation of these assets.

- ♦ **Recommendation:** Allot time for discussion of adaptation options and involve the relevant decision makers, such as state and federal transportation, emergency management, and hazard mitigation staff, so they can provide input on the availability of resources and/or assistance.

## V. Assessment of Scenarios

The ten scenarios were assessed using the performance indicators described in Section III<sup>26</sup> and that were selected based on the Pilot Project's goals described in Section I. This section summarizes the overall performance of the scenarios and provides details on the comparative results for climate change mitigation and adaptation and transit accessibility, which reflect the primary goals of the Pilot Project. Details and graphs for the indicators representing the impact of development on other areas of interest can be found in the *Technical Scenario Report*.

### Overview of Scenario Performance

Overall, the performance of the various scenarios demonstrated tradeoffs between the mitigation and adaptation indicators, especially in terms of intensifying development in existing commercial and residential areas that are vulnerable to SLR, and between indicators representing other land use interests. In comparing the scenarios, it is important to note the context in which each set – preliminary, workshop, and refined – were developed, including consideration of realistic expectations, feasibility constraints, and vetting by local entities. For the workshop, but even more so for the refined scenario, the goal was to minimize negative impacts and maximize positive impacts, as measured by each of the indicators, of development and transit placement.

- ♦ The *Trend and Dispersed scenarios* resulted in similar results, performing worst in nearly all categories, except for percentage of new population in historic areas. Their performance reflects the fact that development is currently following a dispersed pattern and is not being focused in existing commercial and residential centers.
- ♦ The *Targeted scenario* performed well in nearly all categories; however, it placed the highest percentage of new population in vulnerable areas. Many of Cape Cod's existing high density residential and commercial centers, where development was placed in the Targeted scenario to increase density and promote transit-oriented developed, are located in vulnerable areas. For the same reason, the Targeted scenario also resulted in a relatively high percentage of new population in historic areas.
- ♦ The *Workshop scenarios* varied in their results, reflecting how each breakout group had different participants and approaches to the exercise. These scenarios were developed under different conditions than the others, in that they were not constrained by coordination with pre-existing plans or by feasibility

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<sup>26</sup> Eight of the 10 scenarios were evaluated for all indicators. The two preliminary scenarios with enhanced transit were only evaluated against the VMT/GHG emissions and transit accessibility indicators, since their development distributions were identical to the corresponding standard transit scenarios.

considerations, such as cost and vetting by the responsible local jurisdiction, which were considered in the development of the Refined scenario. As a result, although the performance of the Workshop scenarios generally fell between the Trend/Dispersed scenarios and the Targeted scenario, there were exceptions where some of the breakout groups were able to implement aggressive changes that resulted in strong performance, such as Group C's effective reductions in VMT and GHG emissions.

- ◆ The *Refined scenario* performed well with nearly all the indicators and outperformed the Targeted scenario in most. Although the Refined scenario performed well in terms of the percentage of new population in vulnerable areas, it did not perform well for VMT and GHG emissions, mostly due to the consideration of feasibility constraints, especially for transit, and of tradeoffs made for adaptation. It outperformed the Trend and Dispersed scenarios for all the indicators except percentage of new population in water resource and wellhead protection areas. However, when the indicator was restricted to low density development,<sup>27</sup> the Refined scenario performed significantly better than the Trend and Dispersed scenarios. Indicators for low density development are included for the water resource and wellhead areas because low density corresponds to a greater possibility for septic system waste treatment for homes, which have a greater negative impact on water resource areas and wellhead areas. These issues underscore the discovery during the scenario planning workshop of the importance of considering water in planning for development on Cape Cod and how water management needs to be an important factor in future transportation, land use, and climate change efforts for the region.

## Overview of Priority Indicators

### **GHG Emissions**

The performance of all scenarios in reducing GHG emissions was compared to that of the Trend scenario as a baseline and was solely based on changes in VMT and not on changes in technology, fuel, or transportation mode. Therefore, the percentage change in VMT was the same as the percentage change in GHG emissions for each scenario. These results are shown in Figure 15.

The Dispersed-Standard scenario performed closest to the Trend scenario, improving by less than one percent, while the Dispersed-Enhanced scenario performed slightly better. The other scenarios resulted in a five to eight percent improvement (decrease) in VMT and GHG emissions, with the two Targeted scenarios and two of the Workshop scenarios performing best. The Targeted scenarios were expected to performance well in VMT and

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<sup>27</sup> Developed land is defined as density exceeding one dwelling unit per 10 acres; undeveloped as density equal or below one dwelling unit per 10 acres.

GHG emissions reduction, since they were designed with the purpose of maximizing the performance indicator results without a full account of local considerations. The Workshop scenarios from breakout groups A and C performed well, a result of the proactive, intense development pattern and high number of additional transit stops pursued by those participants.

The Refined scenario did not perform as well on this measure, primarily due to the consideration of feasibility constraints that resulted from consultation with local entities, including the Cape Cod Regional Transit Authority, on realistic and vetted future investments. This differed from the Targeted scenario's limited consideration of adaptation implications and the Workshop scenarios' lack of constraints.

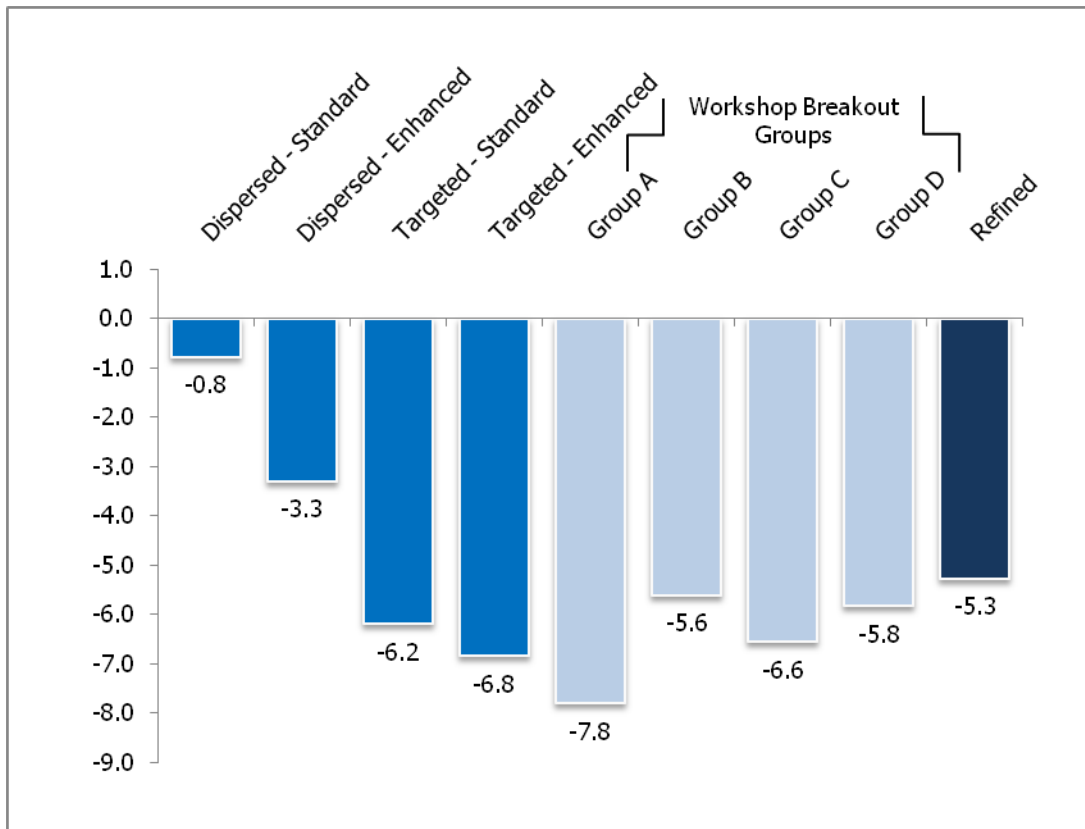


Figure 15: Percentage change from Trend scenario in regional VMT and GHG emissions. Source: PlaceMatters and Placeways.

### Development in Areas Vulnerable to Sea-Level Rise

Figure 16 illustrates how each scenario performed in terms of the percentage of new population placed in areas that are potentially vulnerable to SLR. The Targeted-Standard scenario resulted in the highest percentage of new population in vulnerable areas, reflecting that most of the existing high density residential and commercial centers on Cape Cod are located in vulnerable areas. Most of the Workshop scenarios as well as the Refined scenario placed fewer population in vulnerable areas than the Trend scenario.



Similar to its performance with GHG emissions, the Refined scenario did not perform as well as the Workshop scenarios, reflecting the additional tradeoffs that stakeholders considered in refining the scenario in the months following the workshop.

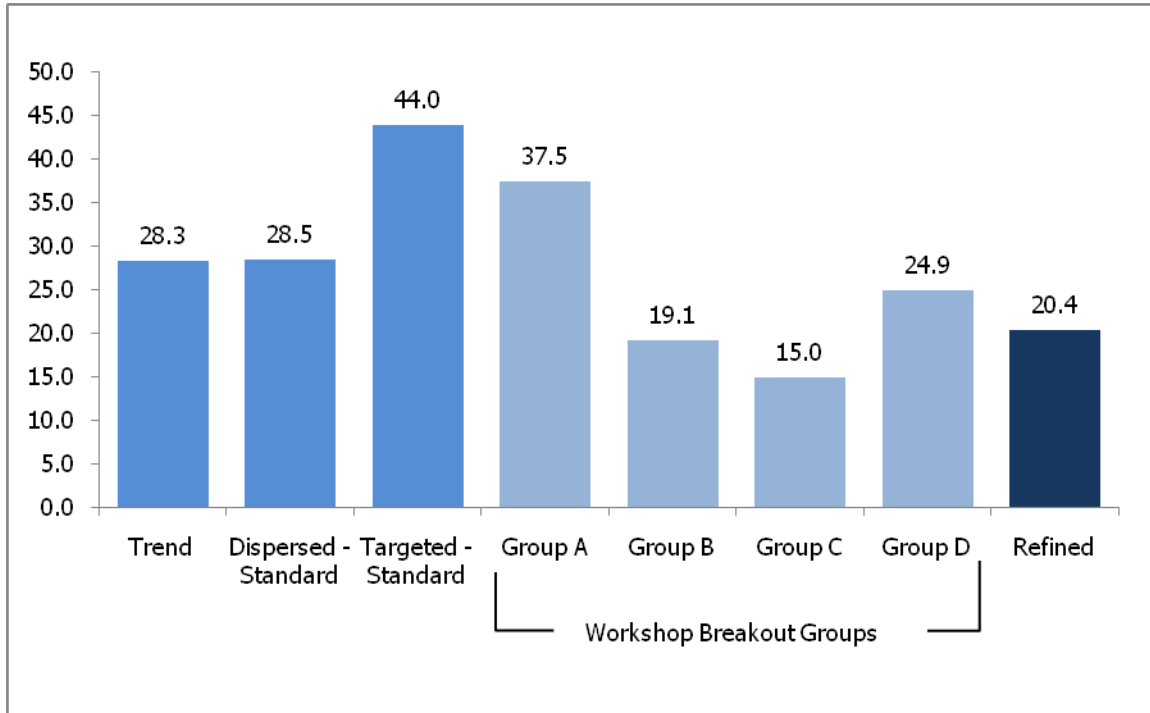


Figure 16: Percentage of New Population in Vulnerable Areas. Source: PlaceMatters and Placeways.

### Growth in Conservation and Resource-Constrained Areas

Table 3 shows the percentage of new growth placed in areas with identified constraints. Overall, the Refined scenario performed best for nearly all categories, with the exception of percentage of land area developed from previously undeveloped or rural and the percentage of new population in water resource and wellhead protection areas. The poor performance of the latter seems to indicate that there may be overlap between sensitive water resource areas and desired development locations identified through this exercise and that, as discussed in Section IV, water constraints should be considered to a greater extent in future planning efforts. However, it should be noted that the Refined scenario performed well in terms of having only a small percentage of new population in water resource and wellhead in low density areas. In contrast to the GHG emissions and vulnerability indicators, the Refined scenario performed better than the Workshop scenarios in most of the resource preservation indicators, reflecting Cape Cod's prioritization of conservation areas over climate change mitigation and adaptation considerations in the short term, as indicated by the poll conducted at the workshop.

**Table 3: Indicator results for preservation of natural/existing ecosystems and impact on other areas. One asterisk (\*) indicates the best performing scenario while two asterisks (\*\*) indicate the lowest performing scenario for each indicator.**

Indicator	Preliminary Scenarios			Workshop Breakout Group Scenarios				Refined Scenario
	Trend	Dispersed	Targeted	A	B	C	D	
Percentage of new population in critical habitat areas	49.6**	49.6**	20.9	25.7	40.6	31.6	20.7	14.2*
Percentage land area developed (from previously undeveloped or rural) <sup>28</sup>	33.3**	29.9	0.0*	1.9	1.7	0.0*	2.8	4.5
Percentage of new population in undeveloped or rural lands	41.1**	36.4	31.1	15.1	35.7	28.6	15.6	12.4*
Percentage of new population in other high priority conservation areas <sup>29</sup>	64.4**	62.1	31.4	31.5	54.0	38.2	29.9	25.2*
Percentage of new population in historic preservation areas	4.8	5.1	6.4	8.0**	0.4	0.2	1.7	0.1*
Percentage of new population in water resource areas	47.9**	41.4	39.9	21.5*	52.4	43.1	32.0	47.8
Percentage of new population in water resource areas in low density areas (less than three dwelling units per acre)	41.1**	36.4	31.1	15.1	35.7	28.6	15.6	12.4*
Percentage of new population in wellhead protection areas	33.4	30.1	36.4	15.5*	32.6	32.9	28.1	42.0**
Percentage of new population in wellhead protection areas in low density areas (less than three dwelling units per acre)	33.3**	29.9	0.0*	1.9	1.7	0.0*	2.8	4.5

<sup>28</sup> Developed land is defined as density exceeding one dwelling unit per 10 acres; undeveloped as density equal or below one dwelling unit per 10 acres.

<sup>29</sup> Areas include those designated for open space or conservation by the state, town, or Cape Cod Commission.

### Transit Accessibility

The indicators for transit accessibility measured the percentage of new jobs and new homes within a mile of proposed passenger rail stops and/or a quarter mile from existing and planned bus stops. As mentioned above, two additional scenarios were included in these results (Dispersed – Enhanced, and Targeted – Enhanced) because their assumptions had impacts on transit access. As shown in Figure 17 and Figure 18, the Enhanced scenarios resulted in higher access than their Standard equivalents for Dispersed and Targeted respectively, as expected. The Refined scenario did not perform as well as either of the Enhanced scenarios or the Targeted-Standard scenario, but did perform better than the Dispersed-Standard and Trend scenarios. It is likely that as additional planned improvements in the transit system are added to the model – and as expected mode shift, ridership, and the impact of service frequency increases are better captured – the Refined scenario will improve in performance.

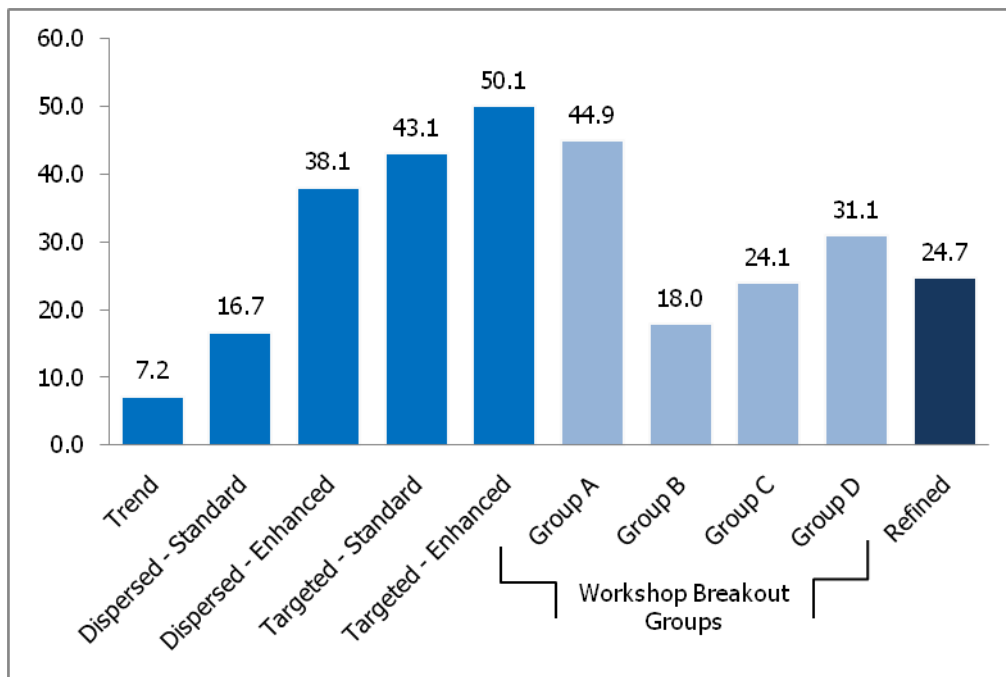


Figure 17: Percentage of new population served by transit

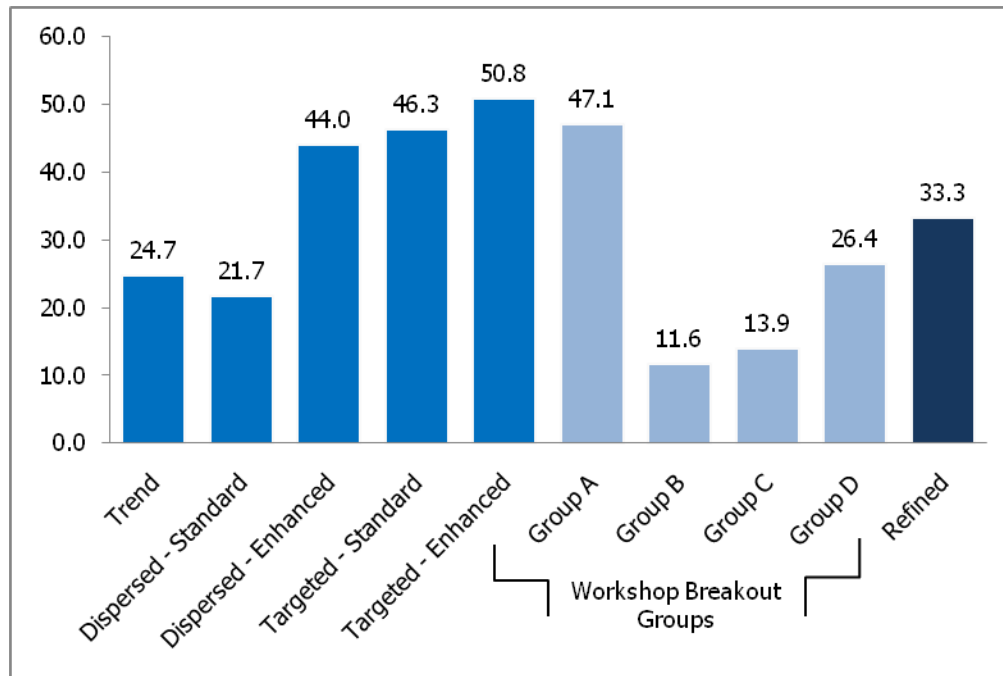


Figure 18: Percentage of new jobs served by transit.

### ***Implications of the Refined Scenario***

The performance of the scenarios underscores the value of the scenario planning process as well as the tradeoffs that the town and regional planners of Cape Cod will need to consider in addressing climate change adaptation and mitigation through land use planning. For instance, the Refined scenario's reduction of VMT and the associated GHG emissions fell short of that achieved by other scenarios due to several likely reasons. First, as discussed earlier, stakeholders avoided further development of certain existing high-density areas that were identified as vulnerable. This decision likely improved the Refined scenario's performance in the vulnerable areas indicator at the expense of a more modest reduction of VMT and GHG emissions. Second, the Refined scenario was constrained by coordination with pre-existing plans, by feasibility considerations such as cost and vetting by the responsible local jurisdiction, and by consultation with local entities, including the Cape Cod Regional Transit Authority, on realistic and vetted future investments. These constraints differed significantly from the unrestrained exercise conducted during the workshop.

As the regional and local partners use this model in the future, they will be able to update plans for transit investments and development and measure the projected impact on VMT and GHG emissions. In the future, water resources can also be considered in more detail, and the importance of natural resource and ecosystem preservation can continue to be preserved, reflecting the top priorities indicated in the poll conducted at the workshop.

## VI. Conclusion

This section discusses how well the Pilot Project met the four goals described in Section I, outlines recommended steps and considerations for future applications of the process, and reviews the role that various participants – federal, state, regional, and local – can play in the process.

### Goals

#### ***Climate Change Mitigation and Adaptation***

Strategies to reduce GHG gas emissions can focus on VMT reduction, fuel efficiency, vehicle technology, or operational efficiency. VMT reduction is the main area for which local and regional land use and transportation investment decisions can have an impact. The impact of density, land use mix, and transit access on VMT can be modeled and assessed easily in scenario planning and this was accomplished with the Pilot Project. However, as shown in Figure 15, the resulting reduction in VMT was relatively small and larger reductions will require other strategies. Actions that aim to change behavior through pricing, incentives, and other means are more difficult to model, so additional time and resources would have been necessary to integrate them into the scenario planning process. Although the Pilot Project was not able to focus on GHG mitigation strategies for seasonal recreational travel due to data limitations, it was able to document those limitations and identify actions that the region could take to begin to model and account for such travel. These actions are documented in a separate action plan developed for the Cape Cod Commission, as mentioned in Section II.

In addressing adaptation, the Pilot Project found that unless participants have access to existing data or the time and resources to conduct location-specific modeling, regional assumptions about climate change impacts will be limited. In addition, the Pilot Project found that there are other constraints on and considerations for land use development in addition to SLR and climate change effects – namely protection of water resources and environmentally sensitive areas. These other considerations should inform and restrict options for land use development and even, in the case of conservation lands, be considered as a way to mitigate SLR and other climate change effects.

Although the goals of mitigation and adaptation were treated separately in terms of modeling baseline assumptions and assessing impacts of development and transportation assumptions, the Pilot Project found that they were and should be discussed together, as there can be tradeoffs. Participants in the Pilot Project found that at times, development decisions that would reduce GHG emissions through changes in density and job-housing balance would also place new population in vulnerable areas because of the location of existing residential and commercial centers.

## ***Scenario Planning***

The Pilot Project employed a land use and transportation-focused framework for scenario planning that reflects how MPOs are using scenario planning and is described in the FHWA *Scenario Planning Guidebook*. Stakeholders evaluate several alternative future scenarios and then select or develop a scenario to serve as a vision. This approach was selected due its use by MPOs and its applicability to climate change mitigation, in terms of facilitating the setting of GHG emissions targets and determining land use and transportation growth patterns that would allow a community to achieve those targets. Another version of scenario planning is risk-mitigation focused and asks stakeholders to develop several possible scenarios and then identify strategies that are common to most or all of them. Risk-mitigation focused scenario planning is also appropriate for addressing climate change, particularly adaptation, given the debate surrounding the nature and extent of potential climate change effects. NPS has successfully applied this approach with several of its land management units to help them plan for projected climate change impacts.

Although the Pilot Project elected to follow the land use and transportation-focused approach to scenario planning, future applications of transportation, land use, and climate change scenario planning may benefit from both approaches. Given that the former lends itself to climate change mitigation and the latter to adaptation, the most appropriate approach may be to employ both. For example, the risk-mitigation approach can be used to develop different scenarios for a range of potential climate change effects. These scenarios can then be used as data layers to both inform the development of land use and transportation scenarios and assess these scenarios alongside indicators for VMT and GHG emissions. How to best employ one or both approaches should be determined based on the expressed goals of the project, the relative importance of adaptation and mitigation to stakeholders, and the resources and expertise available.

Scenario planning provided participants an opportunity to experiment, to explore how different information overlapped, and to discuss tradeoffs. One of the key benefits of scenario planning software is its ability to provide fairly immediate feedback on development and transportation decisions and to provide a tool by which to explore and test the implications of different decisions. To achieve this in an interactive exercise, it is important to have the right people in the room and to provide sufficient time to run updates to the performance indicators.

## ***Interagency Coordination***

Participation by multiple agencies ensures the pooling and sharing of expertise and resources. Given the role of the state in this process, it is important to include state agencies as well as federal agencies, regional land use and transportation entities including federal land management agencies, and local stakeholders. Regional or local entities should be the main initiators of the process as they are in the best position to assess the data needs, status of planning efforts, and planning priorities for the region.

## **Replicability**

The final goal of the Pilot Project was to create a replicable process for other areas to follow in considering climate change in transportation and land use planning in situations requiring interagency coordination. This report attempts to outline that process. The project resulted in information sharing and interest across many federal agencies and in a variety of public forums throughout the U.S. that should continue after the project's completion. The success of this goal will need to be determined in the future.

## **Timeline, Process, and Roles**

The timeline presented in Figure 19 provides an overview of when major milestones for the Pilot Project occurred. The process captured in Figure 20 was developed based on the experience of the Pilot Project and the resulting observations and recommendations presented above. It is also informed by the *FHWA Scenario Planning Guidebook* as well as state and regional planning processes, which it is intended to complement, not replace. The basic steps of issue and goal identification, data collection and analysis, development of solutions, and assessment of those solutions, with public outreach throughout the process, are common to all planning processes and were followed for the Pilot Project, as reflected in Figure 19 and Figure 20.

Table 4 outlines the possible roles and responsibilities of various participants. The Pilot Project involved a number of participants and developed specific roles and responsibilities, as described in Section II, but there are a number of ways in which participants could be organized and take lead and supporting roles and the most appropriate setup will vary by region.

## **Summary**

The Pilot Project succeeded in bringing together multiple stakeholders and agencies and in getting people to seriously consider climate change, especially adaptation. The Pilot Project confirmed that scenario planning is a valuable process for incorporating important considerations such as climate change into transportation and land use planning processes. The Pilot Project required significant upfront planning and data collection, as well as stakeholder outreach, and provided an opportunity to engage a variety of people and entities in an informed discussion of tradeoffs and priorities. As previously stated, this project represents one approach to climate change and scenario planning; other methods exist and can be pursued separately or in concert with this approach. The successes and lessons learned from the Pilot Project are intended to help others pursue similar efforts and to advance consideration of climate change in transportation and land use planning.

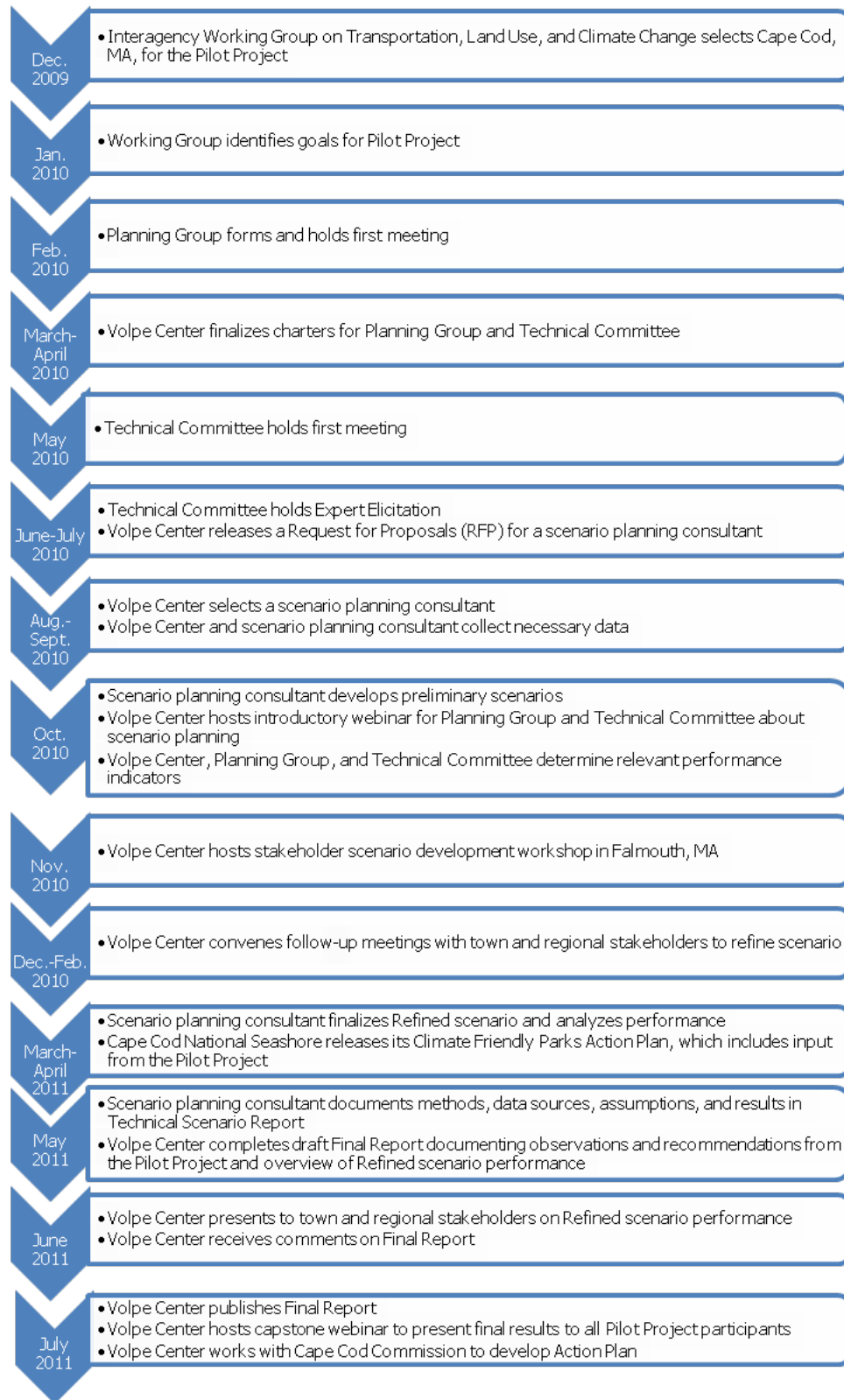


Figure 19: Timeline of major milestones for the Pilot Project.



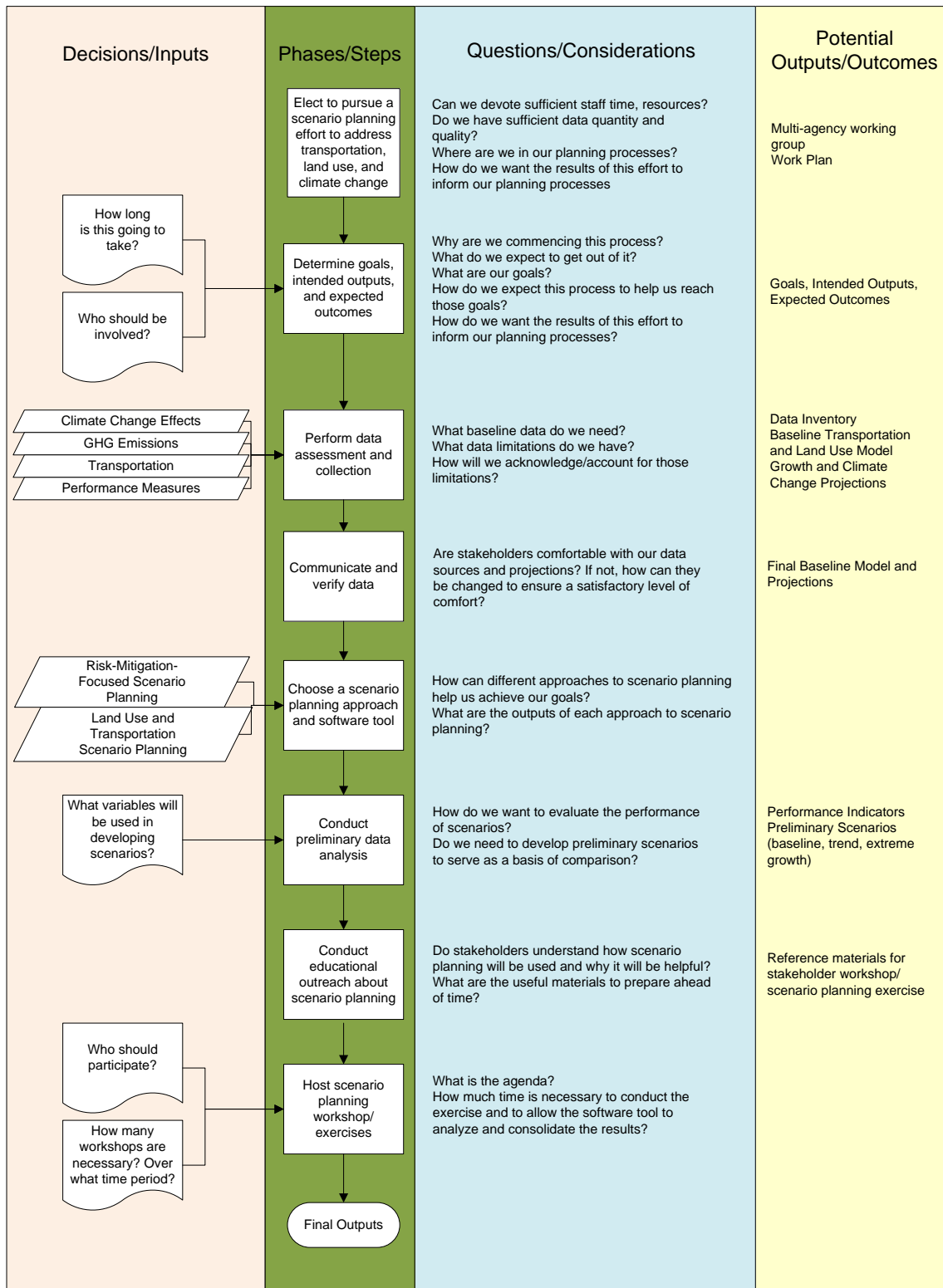


Figure 20: Diagram of the transportation, land use, and climate change scenario planning process.

**Table 4: Recommended roles and responsibilities.**

	Local government	Regional government (MPO/RPO)	Federal land-owning agency	State (DOT, Coastal Zone Management Office, other)	Other federal agency (e.g., EPA, NOAA, FEMA, USGS, USACE)	Technical or other consultant (scenario planning, facilitation, transportation, etc.)
<b>Overall</b>	Primary. In partnership wherever they co-exist, lead the process and provide oversight of and outreach to other participants or delegate to another entity. If not the lead, respond to requests for participation and data.			Provide technical assistance and resources, funding support, and regulatory guidance.		Provide technical services or facilitation and project management, as determined by the initiator of the process.
<b>Initiate process and define Project</b>	Primary. The process should begin with the interest of a local or regional entity with jurisdiction or ownership of land, in partnership with other local and regional entities.			Provide support in terms of information on the Pilot Project, related funding, and other relevant plans or requirements.		Provide support as specified in RFP or other mechanism.
<b>Facilitate process</b>	Any of the agencies could be the facilitator of the process, although it is important for the initiator to closely partner with the facilitator.					
<b>Collect data</b>	All participants should contribute to data collection; local and regional agencies including federal land-owning agencies will have relevant local data but federal and state agencies may have supplemental information as well as methodologies. One entity should be charged with collecting the data; this could either be done by a technical or other consultant or by one of the other entities taking on that role. Ultimately, the data should become an in-house resource for the local and regional entities.					
<b>Develop scenarios</b>	Primary. Provide input into the placement of land use and transportation and into the way in which scenarios are assessed and the final scenario is refined.				Technical support. These tasks require specific software and modeling knowledge that may require a third party unless the initiator or partner has the capacity in-house.	
<b>Assess scenarios</b>						
<b>Implement scenario and strategies</b>	Primary. The initiator agency or agencies should take ownership of next steps.			Support local and regional agencies.		Transfer all data and documentation to initiator. Provide as needed follow-up assistance.

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