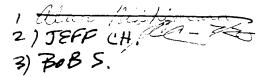


SOUTHERN CALIFORNIA RAPID TRANSIT DISTRICT METRO RAIL PROJECT CA-03-0130-02

Amendment Request

SUBMITTED:	JULY	13,	1982
APPROVED:	JULY	26,	1982





John A. Dyer General Manager

July 13, 1982

Mr. Dee V. Jacobs Regional Director Urban Mass Transportation Administration Two Embarcadero Center, Suite 620 San Francisco, California 94111

Dear Mr. Jacobs:

Amendment Request for Capital Project No. CA-03-0130-02 Metro Rail Preliminary Engineering

The District requests UMTA approval of an amendment for \$9,274,400 Federal for the subject project. This amendment will increase the total estimated net project cost to \$38,843,000 total (\$31,074,400 Federal) and provide the balance of funds required to complete preliminary engineering.

To assist you in processing the current amendment request, the following items are included:

- 1. Updated Form 424
- 2. Summary of Changes
- 3. Approved Project Budget No. 1
- Proposed Project Budget No. 2 4.
- 5. Updated Opinion of Counsel

All certifications and assurances transmitted in the original project application are herein incorporated as part of this amendment request. If you require additional information or have any further questions concerning this amendment request, please let us know.

John A. Dyer

CH/le

Enclosures

cc: R. Richmond, LACTC G. Grainger, UMTA

M. Pisano, SCAG

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Summary of Line Item Changes CA-03-0130, SCRTD

MACS Code	Description	Approved Budget	Change	Proposed Budget
20.02.01	Purchase of five Support Vehicles	40,000	-0-	40,000
20.02.02	Purchase/Installa- tion of Support Equipment	800,000	100,000	900,000
20.08.01	Professional Services Contracts for Engineering and Design	18,343,000	6,079,000	24,422,000
0.15.02	Project Sponsor Force Acct. Work	7,000,000	5,270,000	12,270,000
20.16.00	Cost Allocation Plan G & A	105,000	31,000	136,000
20.16.90	Other Supporting Services	500,000	100,000	600,000
32.00.00	Contingencies	462,000	13,000	475,000
	TOTAL	\$27,250,000	\$11,593,000	\$38,843,000

July 13,1982

APPROVED PROJECT BUDGET NO. 1

Capital Grant Project No.: CA-03-0130 Amendment No. 1 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

Project Budget Line Item Code	Description	Amount
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	800,000
20.08.01	Professional services contracts for engineering and design	18,343,000
20.15.02	Project sponsor force account work	7,000,000
20.16.00	Cost allocation plan - G & A	105,000
20.16.90	Other supporting services	500,000
32.00.00	Contingencies	462,000
- ·	APPROVED FINANCING	
	ESTIMATED GROSS PROJECT COST	ş27,250,000
41.00.00	Revenue Financing	-0-
	ESTIMATED NET PROJECT COST	\$27,250,000
	Federal Share (80%) \$21,800.000	

Federal Share (80%) · \$21,800,000 Local Share (20%) 5,450,000

Program <u>Code</u>	Year	Fund Code	Description	Amount
31.10.30 31.10.30			Urban Discretionary, New Starts Urban Discretionary, New Starts	\$12,000,000 9,800,000

Maximum UMTA grant funds committed to this project: \$21,800,000

HAY 27 BY

PROPOSED PROJECT BUDGET NO. 2

Capital Grant Project No.: CA-03-0130 Amendment No. 2 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

Project Eudget Line Item Code	Description		<u>e4</u>	ount
20.02.01	Purchase of five support vehicles		Ş	40,000
20.02.02	Purchase and install support equipment	1		900,000
20.08.01	Professional services contracts for engineering and design		24,	422,000
20.15.02	Project sponsor force account work		12,	270,000
20.16.00	Cost allocation plan - G & A			136,000
20.16.90	Other Supporting Services	*		600,000
32.00.00	Contingencies			475,000
•••	APPROVED FINANCING			
	ESTIMATED GROSS PROJECT COST		\$38,	843,000
41.00.00	Revenue Financing			-0-
	ESTIMATED NET PROJECT COST		\$38,	,843,000
	· · · · · · · · · · · · · · · · · · ·			

Federal Share (80%) \$31,074,400 Local Share (20%) 7,768,600

Program Code	Year	Fund Code	Description	Amount
31.10.30 31.10.30 31.10.30	FY 82		Urban Discretionary, New Starts Urban Discretionary, New Starts Urban Discretionary, New Starts	\$12,000,000 9,800,000 9,274,400

Maximum UMTA grant funds committed to this project: \$31,074,400



July 13, 1982

TO: John A. Dyer, General Manager

FROM: Richard T. Powers, General Counsel

SUBJECT: Amendment to UMTA Capital Grant Project No. CA-03-0130

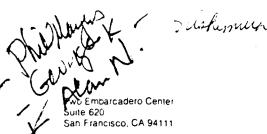
This opinion is written by the undersigned in his capacity as General Counsel of the Southern California Rapid Transit District.

The District is legally empowered and authorized to prepare and file with the Department of Transporatation applications or other documents deemed necessary for the planning, improvement, or operation of its transit facilities.

There is no pending or threatened litigation which might in any way adversely affect any proposed capital improvement or planning programs.

> Richard T. Powers General Counsel

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U.S. Department of Transportation

Urban Mass Transportation Administration

JUL 2 8 1982

Region IX

Nevada

Hawari

Arizona, California,

Mr. John A. Dyer, General Manager Southern California Rapid Transit District 425 South Main Street Los Angeles, CA 90013

Project No. CA-03-0130-2

Dear Mr. Dyer:

I am pleased to advise you that we have approved a grant amendment under Section 3 of the Urban Mass Transportation Act of 1964, as amended, in the maximum amount of \$9,274,400. These funds and our previous commitment of \$21,800,000 provide a total of \$31,074,400 to complete rapid transit preliminary engineering.

Re:

Enclosed are two (2) copies of the approved project budget, four (4) counterparts of the Administration's offer of contractual assistance, and instructions which you must follow in executing these counterparts.

We look forward to working with you in carrying out this worthwhile project. Should you have any questions or need assistance, please feel free to contact Mr. Alan P. Steiner or Mr. Isaac R. Showell, the Transportation Representative for this project, at (415) 556-9364.

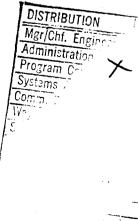
Sincerely,

Dee V. Jacobs Regional Administrator

Enclosures

Obligation Date: JUL 2 6 1982

Fund Code: CH2



INSTRUCTIONS FOR EXECUTING AGREEMENTS

Attached are four (4) copies of a Grant Agreement which have been signed on behalf of the Urban Mass Transportation Administration.

The person officially authorized by the Grantee to accept the Government's Award of financial assistance must execute the Grant Agreements. This execution should be witnessed.

The attorney's certification must specify the date the Grantee authorized the execution of the Government's award. That will be the date of the resolution, ordinance, board minutes, delegation order or other "official action," <u>not</u> the date of execution of the Grant Agreement (unless the execution took place on the same day it was authorized).

Please return two complete copies of executed Grant Agreements to:

Mr. E. V. Fuentes Regional Counsel Urban Mass Transportation Administration Two Embarcadero Center, Suite 620 San Francisco, CA 94111

Two certified copies of the document which authorizes execution of the award must accompany the Grant Agreements. If the execution was authorized by statute and further delegated, cite the statutory authority and send a copy of the delegation of authority to execute the grant award documents.

In the event the Grant Agreements cannot be returned within the ninety (90) day period and you wish to request an extension, please notify the Regional Counsel immediately, in writing, setting forth the reason for the delay.

If a Supplemental Agreement is part of the Grant Agreement, the Supplemental Agreement should be executed by both the Grantee and the Designated Recipient. Recipient's attorney must certify the execution as described above with respect to certification by Grantee's attorney. Instructions for Submission of Required Force Account Plans and Cost Allocation (Project Administration) Plans

Force Account Activities

Grantees with force account activities greater than \$25,000 require approval from UMTA of a force account plan prior to commecing force account activities. The grantee should submit a plan listing the number of employees that will be included in the force account plan, together with their position titles, estimated hours, total expected expenditures, and a description of the purpose of the activities to be undertaken. Please note that only direct salaries and fringe benefits are eligible for reimbursement.

Supporting services (Project administration) - Cost Allocation Plans

Grantees with a supporting services line item in a grant are required by UMTA to submit a cost allocation plan prior to making expenditures from that categories of funds. The grantee should submit a plan listing each employee position involved, estimated percentage of time to be spent on grant, and justification for estimated time in relation to specific grant activities. The time period that this grant is active must be taken into account for the justification of total costs. A listing of Cost Allocation Plan line items are as follows:

Supporting Services -- Cost Allocation Plan

101610	Direct Staff Salaries
101620	Direct Staff Fringe Benefits
	Professional Services Contracts
101631	Legal Services
101632	Management Control and Accounting
	Services
101633	Data Processing
101634	Auditing
101640*	Direct Office Supplies and Materials
101690*	Other Supporting Services Costs

*Components under the last two categories must be broken out (e.g., overhead, under other Supporting Services Costs, etc.)

Submittals

Please submit force account and cost allocation plans to the attention of the Office of Program Management. If you have any questions, please contact the Office of Program Management in San Francisco at (415) 556-3149 or in Los Angeles the program management coordinator, George Grainger at (213) 688-4842.

APPROVED PROJECT BUDGET NO. 1

Capital Grant Project No.: CA-03-0130 Amendment No. 2 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

Project Budget Line Item Code

ne Item Code	Description	Amount
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	620,000
20.08.01	Professional services contracts for engineering and design	23,145,000
20.15.02	Project sponsor force account work	12,270,000
20.16.00	Project administration - G & A	136,000
20.16.90	Other support services	500,000
32.00.00	Contingencies	2,132,000
	APPROVED FINANCING	
	ESTIMATED GROSS PROJECT COST	\$38,843,000
41.00.00	Revenue Financing	-0-
	ESTIMATED NET PROJECT COST	\$38,843,000
	Federal Share (80%) \$31,074,400	
	Local Share (20%) \$ 7,768,600	

Program Code	Year	Fund Code	Description	Amount
31.10.30 31.10.30	FY 80 FY 82		Urban Discretionary, New Starts Urban Discretionary, New Starts	\$12,000,000 19,074,400
Maximum U	MTA gra	int fun	ds committed to this projected:	\$31,074,400

APPROVED PROJECT BUDGET NO. 1

Capital Grant Project No.: CA-03-0130 Amendment No. 2 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

Project Budget Line Item Code	Description	Amount
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	620,000
20.08.01	Professional services contracts for engineering and design	23,145,000
20.15.02	Project sponsor force account work	12,270,000
20.16.00	Project administration - G & A	136,000
20.16.90	Other support services	500,000
32.00.00	Contingencies	2,132,000
	APPROVED FINANCING	
	ESTIMATED GROSS PROJECT COST	\$38,843,000
41.00.00	Revenue Financing	-0-
	ESTIMATED NET PROJECT COST	\$38,843,000
	Federal Share (80%) \$31,074,400	
	Local Share (20%) \$ 7,768,600	

Program Code	Year	Fund Code	Description	Amount
31.10.30 31.10.30		BHA CH2	Urban Discretionary, New Starts Urban Discretionary, New Starts	\$12,000,000 19,074,400
Maximum U	MTA gra	nt fun	ds committed to this projected:	\$31,074,400