

28 450101 -

SOUTHERN CALIFORNIA RAPID TRANSIT DISTRICT
METRO RAIL PROJECT
CA-03-0130-02

AMENDMENT REQUEST

SUBMITTED: JULY 13, 1982
APPROVED: JULY 26, 1982



John A. Dyer
General Manager

July 13, 1982

Mr. Dee V. Jacobs
Regional Director
Urban Mass Transportation Administration
Two Embarcadero Center, Suite 620
San Francisco, California 94111

Dear Mr. Jacobs:

Amendment Request for Capital
Project No. CA-03-0130-02
Metro Rail Preliminary Engineering

The District requests UMTA approval of an amendment for \$9,274,400 Federal for the subject project. This amendment will increase the total estimated net project cost to \$38,843,000 total (\$31,074,400 Federal) and provide the balance of funds required to complete preliminary engineering.

To assist you in processing the current amendment request, the following items are included:

1. Updated Form 424
2. Summary of Changes
3. Approved Project Budget No. 1
4. Proposed Project Budget No. 2
5. Updated Opinion of Counsel

All certifications and assurances transmitted in the original project application are herein incorporated as part of this amendment request. If you require additional information or have any further questions concerning this amendment request, please let us know.

Sincerely,

A handwritten signature in black ink, appearing to read "John A. Dyer", written over the typed name.

John A. Dyer

CH/le

Enclosures

cc: R. Richmond, LACTC
G. Grainger, UMTA
M. Pisano, SCAG

1 ~~Alan~~
2) JEFF CH. ~~CA-72~~
3) BOB S.

FEDERAL ASSISTANCE		2. APPLICANTS	4. NUMBER CA-03-0130	3. STATE APPLICATION IDENTIFIER	6. NUMBER
1. TYPE OF ACTION <input type="checkbox"/> PREAPPLICATION <input checked="" type="checkbox"/> APPLICATION <input type="checkbox"/> NOTIFICATION OF INTENT (OpI) <input type="checkbox"/> REPORT OF FEDERAL ACTION	APPLICATION		b. DATE Year month day 19 80 06 04	IDENTIFIER	b. DATE ASSIGNED Year month day 19

SECTION I - APPLICANT/RECIPIENT DATA

4. LEGAL APPLICANT/RECIPIENT			5. FEDERAL EMPLOYER IDENTIFICATION NO.		
a. Applicant Name	: Southern California Rapid Transit District		95-1978576		
b. Organization Unit	: Grants Administration				
c. Street/P.O. Box	: 425 South Main Street				
d. City	: Los Angeles	e. County	: Los Angeles		
f. State	: California		g. ZIP Code: 90013		
h. Contact Person (Name & telephone No.)	George Kagawa (213) 972-6628				
7. TITLE AND DESCRIPTION OF APPLICANT'S PROJECT			6. PRO-GRAM (From Federal Catalog)		
Preliminary Engineering of Southern California Rapid Transit District's Metro Rail Project			Urban Mass Transportation Capital Improvement Grant		
10. AREA OF PROJECT IMPACT (Name of cities, counties, States, etc.)			11. ESTIMATED NUMBER OF PERSONS BENEFITING		
Countrywide in Los Angeles			7,000,000		
12. PROPOSED FUNDING			14. CONGRESSIONAL DISTRICTS OF:		
FEDERAL : 31,074,400.00			a. APPLICANT 20-35		
b. APPLICANT 7,768,600.00			b. PROJECT Same as Applicant		
c. STATE .00			15. PROJECT START DATE Year month day		
d. LOCAL .00			19 80 06 04		
e. OTHER .00			17. PROJECT DURATION 18 Months		
f. TOTAL : 38,843,000.00			18. ESTIMATED DATE TO BE SUBMITTED TO FEDERAL AGENCY Year month day		
			19 82 07 19		
20. FEDERAL AGENCY TO RECEIVE REQUEST (Name, City, State, ZIP code)			21. REMARKS ADDED		
Urban Mass Transportation Administration, Washington, DC			<input type="checkbox"/> Yes <input type="checkbox"/> No		

SECTION II - CERTIFICATION

22. THE APPLICANT CERTIFIES THAT	a. To the best of my knowledge and belief, data in this preapplication/application are true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is approved.	b. If required by OMB Circular A-95 this application was submitted, pursuant to instructions therein, to appropriate clearinghouses and all responses are attached:	Response attached
	(1) Southern Calif. Association of Governments <input checked="" type="checkbox"/>		<input type="checkbox"/>
	(2) California State Clearing house <input checked="" type="checkbox"/>		<input type="checkbox"/>
	(3) <input type="checkbox"/>		<input type="checkbox"/>
23. CERTIFYING REPRESENTATIVE	a. TYPED NAME AND TITLE John Dyer General Manager	b. SIGNATURE	c. DATE SIGNED Year month day 19

SECTION III - FEDERAL AGENCY ACTION

24. AGENCY NAME		25. APPLICA-TION RECEIVED	
Urban Mass Transportation Administration, Washington, DC		Year month day 19	
25. ORGANIZATIONAL UNIT		27. ADMINISTRATIVE OFFICE	
29. ADDRESS		30. FEDERAL GRANT IDENTIFICATION	
31. ACTION TAKEN		33. ACTION DATE Year month day	
<input checked="" type="checkbox"/> a. AWARDED <input type="checkbox"/> b. REJECTED <input type="checkbox"/> c. RETURNED FOR AMENDMENT <input type="checkbox"/> d. DEFERRED <input type="checkbox"/> e. WITHDRAWN		19	
32. FUNDING		35. CONTACT FOR ADDITIONAL INFORMATION (Name and telephone number)	
a. FEDERAL	\$.00		
b. APPLICANT	.00		
c. STATE	.00		
d. LOCAL	.00		
e. OTHER	.00		
f. TOTAL	\$.00		
34. STARTING DATE Year month day		36. ENDING DATE Year month day	
19		19	
37. REMARKS ADDED		38. FEDERAL AGENCY A-95 OFFICIAL	
<input type="checkbox"/> Yes <input type="checkbox"/> No			

Summary of Line Item Changes
CA-03-0130, SCRTD

<u>MACS Code</u>	<u>Description</u>	<u>Approved Budget</u>	<u>Change</u>	<u>Proposed Budget</u>
20.02.01	Purchase of five Support Vehicles	40,000	-0-	40,000
20.02.02	Purchase/Installation of Support Equipment	800,000	100,000	900,000
20.08.01	Professional Services Contracts for Engineering and Design	18,343,000	6,079,000	24,422,000
0.15.02	Project Sponsor Force Acct. Work	7,000,000	5,270,000	12,270,000
20.16.00	Cost Allocation Plan G & A	105,000	31,000	136,000
20.16.90	Other Supporting Services	500,000	100,000	600,000
32.00.00	Contingencies	<u>462,000</u>	<u>13,000</u>	<u>475,000</u>
	TOTAL	\$27,250,000	\$11,593,000	\$38,843,000

July 13, 1982

APPROVED PROJECT BUDGET NO. 1

MAY 27 1982

Capital Grant Project No.: CA-03-0130 Amendment No. 1
 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

<u>Project Budget Line Item Code</u>	<u>Description</u>	<u>Amount</u>
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	800,000
20.08.01	Professional services contracts for engineering and design	18,343,000
20.15.02	Project sponsor force account work	7,000,000
20.16.00	Cost allocation plan - G & A	105,000
20.16.90	Other supporting services	500,000
32.00.00	Contingencies	<u>462,000</u>

APPROVED FINANCING

	ESTIMATED GROSS PROJECT COST	\$27,250,000
41.00.00	Revenue Financing	<u>-0-</u>
	ESTIMATED NET PROJECT COST	\$27,250,000

Federal Share (80%) - \$21,800,000
 Local Share (20%) 5,450,000

<u>Program Code</u>	<u>Year</u>	<u>Fund Code</u>	<u>Description</u>	<u>Amount</u>
31.10.30	FY 80	BHA	Urban Discretionary, New Starts	\$12,000,000
31.10.30	FY 82	CH2	Urban Discretionary, New Starts	9,800,000

Maximum UMTA grant funds committed to this project: \$21,800,000

PROPOSED PROJECT BUDGET NO. 2

Capital Grant Project No.: CA-03-0130 Amendment No. 2
 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

<u>Project Budget Line Item Code</u>	<u>Description</u>	<u>Amount</u>
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	900,000
20.08.01	Professional services contracts for engineering and design	24,422,000
20.15.02	Project sponsor force account work	12,270,000
20.16.00	Cost allocation plan - G & A	136,000
20.16.90	Other Supporting Services	600,000
32.00.00	Contingencies	<u>475,000</u>
<u>APPROVED FINANCING</u>		
	ESTIMATED GROSS PROJECT COST	\$38,843,000
41.00.00	Revenue Financing	<u>-0-</u>
	ESTIMATED NET PROJECT COST	\$38,843,000
	Federal Share (80%)	\$31,074,400
	Local Share (20%)	7,768,600

<u>Program Code</u>	<u>Year</u>	<u>Fund Code</u>	<u>Description</u>	<u>Amount</u>
31.10.30	FY 80	BHA	Urban Discretionary, New Starts	\$12,000,000
31.10.30	FY 82	CH2	Urban Discretionary, New Starts	9,800,000
31.10.30	FY 83		Urban Discretionary, New Starts	9,274,400

Maximum UMTA grant funds committed to this project: \$31,074,400



July 13, 1982

TO: John A. Dyer, General Manager
FROM: Richard T. Powers, General Counsel
SUBJECT: Amendment to UMTA Capital Grant Project No. CA-03-0130

This opinion is written by the undersigned in his capacity as General Counsel of the Southern California Rapid Transit District.

The District is legally empowered and authorized to prepare and file with the Department of Transportation applications or other documents deemed necessary for the planning, improvement, or operation of its transit facilities.

There is no pending or threatened litigation which might in any way adversely affect any proposed capital improvement or planning programs.

Richard T. Powers
General Counsel



U.S. Department of Transportation

Urban Mass Transportation Administration

Region IX
Arizona, California,
Nevada
Hawaii

Two Embarcadero Center
Suite 620
San Francisco, CA 94111

*Phil...
George K
Alan N.
D. Skinner*

JUL 28 1982

RECEIVED
SEP 8 1982
OFFICE OF
MGR/CHIEF ENGINEER
METRO RAIL PROJECT

Mr. John A. Dyer, General Manager
Southern California Rapid
Transit District
425 South Main Street
Los Angeles, CA 90013

Re: Project No. CA-03-0130-2

Dear Mr. Dyer:

I am pleased to advise you that we have approved a grant amendment under Section 3 of the Urban Mass Transportation Act of 1964, as amended, in the maximum amount of \$9,274,400. These funds and our previous commitment of \$21,800,000 provide a total of \$31,074,400 to complete rapid transit preliminary engineering.

Enclosed are two (2) copies of the approved project budget, four (4) counterparts of the Administration's offer of contractual assistance, and instructions which you must follow in executing these counterparts.

We look forward to working with you in carrying out this worthwhile project. Should you have any questions or need assistance, please feel free to contact Mr. Alan P. Steiner or Mr. Isaac R. Showell, the Transportation Representative for this project, at (415) 556-9364.

Sincerely,

Dee V. Jacobs

Dee V. Jacobs
Regional Administrator

Enclosures

Obligation Date: JUL 26 1982

Fund Code: CH2

DISTRIBUTION
Mgr/Chf. Engineer
Administration
Program Cr. X
Systems
Comm.
Wa
S

INSTRUCTIONS FOR EXECUTING AGREEMENTS

Attached are four (4) copies of a Grant Agreement which have been signed on behalf of the Urban Mass Transportation Administration.

The person officially authorized by the Grantee to accept the Government's Award of financial assistance must execute the Grant Agreements. This execution should be witnessed.

The attorney's certification must specify the date the Grantee authorized the execution of the Government's award. That will be the date of the resolution, ordinance, board minutes, delegation order or other "official action," not the date of execution of the Grant Agreement (unless the execution took place on the same day it was authorized).

Please return two complete copies of executed Grant Agreements to:

Mr. E. V. Fuentes
Regional Counsel
Urban Mass Transportation Administration
Two Embarcadero Center, Suite 620
San Francisco, CA 94111

Two certified copies of the document which authorizes execution of the award must accompany the Grant Agreements. If the execution was authorized by statute and further delegated, cite the statutory authority and send a copy of the delegation of authority to execute the grant award documents.

In the event the Grant Agreements cannot be returned within the ninety (90) day period and you wish to request an extension, please notify the Regional Counsel immediately, in writing, setting forth the reason for the delay.

If a Supplemental Agreement is part of the Grant Agreement, the Supplemental Agreement should be executed by both the Grantee and the Designated Recipient. Recipient's attorney must certify the execution as described above with respect to certification by Grantee's attorney.

Instructions for Submission of Required
Force Account Plans and Cost Allocation (Project Administration) Plans

Force Account Activities

Grantees with force account activities greater than \$25,000 require approval from UMTA of a force account plan prior to commencing force account activities. The grantee should submit a plan listing the number of employees that will be included in the force account plan, together with their position titles, estimated hours, total expected expenditures, and a description of the purpose of the activities to be undertaken. Please note that only direct salaries and fringe benefits are eligible for reimbursement.

Supporting services (Project administration) - Cost Allocation Plans

Grantees with a supporting services line item in a grant are required by UMTA to submit a cost allocation plan prior to making expenditures from that categories of funds. The grantee should submit a plan listing each employee position involved, estimated percentage of time to be spent on grant, and justification for estimated time in relation to specific grant activities. The time period that this grant is active must be taken into account for the justification of total costs. A listing of Cost Allocation Plan line items are as follows:

Supporting Services -- Cost Allocation Plan

101610	Direct Staff Salaries
101620	Direct Staff Fringe Benefits
	Professional Services Contracts
101631	Legal Services
101632	Management Control and Accounting Services
101633	Data Processing
101634	Auditing
101640*	Direct Office Supplies and Materials
101690*	Other Supporting Services Costs

*Components under the last two categories must be broken out (e.g., overhead, under other Supporting Services Costs, etc.)

Submittals

Please submit force account and cost allocation plans to the attention of the Office of Program Management. If you have any questions, please contact the Office of Program Management in San Francisco at (415) 556-3149 or in Los Angeles the program management coordinator, George Grainger at (213) 688-4842.

JUL 26 1982

APPROVED PROJECT BUDGET NO. 1

Capital Grant Project No.: CA-03-0130 Amendment No. 2
 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

<u>Project Budget Line Item Code</u>	<u>Description</u>	<u>Amount</u>
20.02.01	Purchase of five support vehicles	\$ 40,000
20.02.02	Purchase and install support equipment	620,000
20.08.01	Professional services contracts for engineering and design	23,145,000
20.15.02	Project sponsor force account work	12,270,000
20.16.00	Project administration - G & A	136,000
20.16.90	Other support services	500,000
32.00.00	Contingencies	<u>2,132,000</u>
<u>APPROVED FINANCING</u>		
	ESTIMATED GROSS PROJECT COST	\$38,843,000
41.00.00	Revenue Financing	<u>-0-</u>
	ESTIMATED NET PROJECT COST	\$38,843,000
	Federal Share (80%)	\$31,074,400
	Local Share (20%)	\$ 7,768,600

<u>Program Code</u>	<u>Year</u>	<u>Fund Code</u>	<u>Description</u>	<u>Amount</u>
31.10.30	FY 80	BHA	Urban Discretionary, New Starts	\$12,000,000
31.10.30	FY 82	CH2	Urban Discretionary, New Starts	<u>19,074,400</u>

Maximum UMTA grant funds committed to this projected: \$31,074,400

JUL 26 1982

APPROVED PROJECT BUDGET NO. 1

Capital Grant Project No.: CA-03-0130 Amendment No. 2
 Grantee: Southern California Rapid Transit District

Project budget items and corresponding cost estimates are as follows:

<u>Project Budget</u>			
<u>Line Item Code</u>	<u>Description</u>		<u>Amount</u>
20.02.01	Purchase of five support vehicles	\$	40,000
20.02.02	Purchase and install support equipment		620,000
20.08.01	Professional services contracts for engineering and design		23,145,000
20.15.02	Project sponsor force account work		12,270,000
20.16.00	Project administration - G & A		136,000
20.16.90	Other support services		500,000
32.00.00	Contingencies		<u>2,132,000</u>
<u>APPROVED FINANCING</u>			
	ESTIMATED GROSS PROJECT COST		\$38,843,000
41.00.00	Revenue Financing		<u>-0-</u>
	ESTIMATED NET PROJECT COST		\$38,843,000
	Federal Share (80%)	\$31,074,400	
	Local Share (20%)	\$ 7,768,600	

<u>Program</u>	<u>Year</u>	<u>Fund</u>	<u>Description</u>	<u>Amount</u>
<u>Code</u>		<u>Code</u>		
31.10.30	FY 80	BHA	Urban Discretionary, New Starts	\$12,000,000
31.10.30	FY 82	CH2	Urban Discretionary, New Starts	<u>19,074,400</u>

Maximum UMTA grant funds committed to this projected: \$31,074,400