Handbook of scand IWRIM

## Computer Models for

Research, Development, and Technology

Turner-Fairbank Highway
Research Center
6300 Georgetown Pike
McLean, Virginia 22101

Technology Sharing Report
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FOREWORD

The use of computer models for analyzing traffic operational problems and evaluating proposed improvements is one of the newest areas of the field of trafficengineering. Consequently, many practicing engineers are not familiar with the concept, use, application and/or the availability of these models. Yet, it is apparent that urban trafticengineers expend a considerable portion of their time in developing and evaluating alternative improvements relative to traffic operational problems, primarily signal systems, and that the use of these models could significantly benefit them.

This Handbook of computer Models for Traffic Operations Analysis has been prepared to inform the practicing trafficengineer of the computer models which are available for developing and evaluating practical, day-to-day, transportation management problems. This Handjook provides sufficient information to permit the reader to understand the practical applications of the more significant models and to select those models which would be most beneficial considering the capability of available personnel and equipment.

To further assist the potential user, a Technical Appendix was prepared which describes over 100 models that have been developed in the past to serve as a guide in selecting other models to assist in unique problems. A tape library has been prepared which includes the ten models described in the Handbook. These models and further information concerning the models discussed in this Handbook can be obtained by writing the Safety and Traffic lmplementation Division, FHWA (HRT-20), 6300 Georgetown Pike, Mclean, Virginia 22101 or by contacting Mr. David R.P. Gibson of their staffat (703) 285-2378.

## RQBetold

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## Handbook of

## Computer Models for

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## CHAPTER 1 - INTRODUCTION

There has been an increasing awareness on the part of the Federal Highway Administration that practicing traffic engineers are not taking advantage of the research and experience gained in the development of computer models to solve many of their transportation management problems. This lack of use is due to many factors, both real and imagined, which practicing traffic engineers associate with the use of computer models.

Many traffic engineers may be reluctant to use computerized tools because of one or more of the following reasons:

1. Unfamiliarity with existing models and their applications,
2. Negative attitude resulting from a belief that computer models will not give practical results,
3. Bellef that. use of models requires expertise in traffic flow and mathematical theory beyond their knowledge and experience,
4. Difficulty in obtaining the software program and model documentation,
5. Lack of computer hardware to run the models, and/or
6. "Fear" of computers.

## PURPOSE OF HANDBOOK

This Handbook has been prepared to inform practicing traffic engineers of available computer models which can be used to solve many transportation management problems. This information is intended to familiarize traffic engineers with the models which have proven to give practical results, that are within their capability to use and are read-


Figure 1. Engineer's Dilemma
lly available to their organization through the Federal Highway Administration.

The Handbook is intended to familiarize the practicing engineer with computer modeling concepts and considerations in selecting models for their use. The Handbook describes a number of specific models that can assist the engineer in solving a wide range of traffic and transportation management problems. The models described were selected on the basis of past acceptance by practicing traffic engineers; their theoretical validity; practical results; as well as their availability, documentation and maintenance by public agencies. While these models generally represent the current state-of-the-art, there are many other worthwhile models in use or being developed which can serve similar purposes.

## BACKGROUND

The use of computer traffic models is one of the newest areas in the field of traffic engineering. In spite of its brief history, there have been significant developments in
the use of computer models as analytical tools for evaluating various traffic engineering projects (Ref. 1.1). Unfortunately, most of the published documentation has been limited to theoretical dissertations and/or model validation by research institutions or development of special purpose models used by state and large metropolitan agencies. More recently, articles on the application and use of models to evaluate potential area-wide improvements in a few large metropolitan areas have been published but with little detall on the models used. When reviewed by the practicing engineer it is easy to get the impression that onty an army of experts can apply the models to solve problems.

Traditionally there has been a lag between the theoretical development of traffic models and their applications in the field. This lag is due primarily to the need for the "developer" or theorist to look at "why" things happen, while the traffic engineer is concerned more with "what" happens. Thus, a review of literature related to computerized traffic models reveals that the available information is heavily oriented toward basic relationships of traffic flow theory and is written in mathematical terminology which is often confusing to the average reader. Normally, these aspects of model theory and operation are recognized by the traffic engineer as essential to model development, but the practitioner may not readily discern how the model can be applied to help solve a particular problem.

In the past, practicing traffic engineers utilized modeling techniques in one form or another to assist in solving their problems. The early traffic engineer used iconic, or physical, models of a facllity to assist in evaluating specific improvements. These were often in the form of scale models, but more frequently were graphic models, such as the time-space diagram. in more recent years, the traffic engineer has used both analog and symbol ic models, manually or with a computer, to evaluate effects of implementing improvements. The more widely used models for evaluation are those for capacity analysis, signal timing and traffic assignment.


Figure 2. Early Models

Within the last ten years the traffic engineer has found that these traditional techniques cannot provide the insight needed to evaluate the complex problems faced on streets and highways today. No longer can it be sald "we need a new highway." Today it is expected, and rightfully so, that all of the techniques available to increase the trafficcarrying capacity of the existing facilities have been exhausted. The potential improvements one must consider range from the traditional solutions of improved signal timing and phasing, interfacing of signals, turn prohibitions, parking prohibitions, exclusive turn lanes, and additional through lanes to more extensive and complex solutions such as a centralized traffic control system, ramp meter ing, priority lanes and priority treatments for high-occupancy vehicles.

Current techniques used by the practicing traffic engineer can be applied to each of the above control strategies to provide some insight into the advantage of their use. However, increasing traffic demand and its accompanying problems are spreading rapidly throughout most urban areas and are no longer restricted to isolated intersections, arterlals and/or the central business districts. Instead the traffic engineer is faced with
traffic problems on complex street and freeway networks and does not have the funds for evaluating alternative traffic control policies which could be implemented to solve the problem with traditional techniques. This situation is aggravated by the fact that proposals for local traffic engineering improvements are often subject to funding approval at the state and federal levels. The approval process places the burden on the local traffic engineer to demonstrate that the engineering analyses supporting the proposal are technically sound.

Fortunately, recent developments in traffic computer modeling provide the practicing traffic engineer with the opportunity of evaluating alternative traffic control strategies with much of the same basic information required using traditional methods. In fact, a more comprehensive evaluation of individual improvements and the incremental benefits of more elaborate and expensive solutions may be obtained at little additional cost. In order to use these techniques, the practicing engineer must be familiar with the use and benefits of computer traffic models to a sufficient degree that both the potential benefits, and the confidence in using the techniques for solving day-to-day problems, can be both realized and appreclated. It was with this in mind that the Federal Highway Administration initiated a project to develop


Figure 3. Computer Control System
the Handbook on Computer Models for Traffic Operations Analysis.

## ORGANIZATION OF HANDBOOK

The next chapter of this Handbook includes a discussion on computer modeling concepts and its use in solving problems. This chapter also describes the various types of computer models based upon modeling techniques and use of a simple example to illustrate the concepts discussed.

The chapter following the one on concepts describes criteria which could be useful in evaluating specific models and the basis for the selection of models included in this Handbook.

A chapter is then devoted to each of the ten (10) models selected for inclusion in the Handbook. Each chapter provided describes model input requirements, internal operational procedures, significant computational algorithms, output reports and other features and considerations in the use of the models. An example application of each model is also included.

The final chapter describes some of the models presently under development and the ir potential use as well as some general conclusions of model problems and needs that must be addressed in future model development.
introduction

## REFERENCES

1.1 Gibson, D.R. and Ross, P. "Simulation of Traftic Street Networks" Public Roads, A Journal of Highway Research and Development, September, 1977, Vol. 41, No. 2.

# CHAPTER 2 - COMPUTER MODELING CONCEPTS 

A model is simply a representation of a real world object or process. Physical models are used to represent objects, structures, etc. Mathematical models are used to represent established relationships which evolve from some process, such as the interaction between speed, flow, and density in a traffic stream. Computer models are, of course, mathematical rather than physical in nature. The use of a mathematical model does not necessarily require a computer; however, models that describe complex relationships or multiple operations are usually easier to incorporate into a computer program than to operate manually.

## APPROACHES TO PROBLEM SOLVING

There are two general approaches to numer ical problems in engineering. The first is the exper imental, or empirical approach, in which answers to engineering questions are sought by actual measurement, rather than calculation. For example, the traffic carrying capacity of a roadway has been addressed experimentally to determine the effect of such factors as roadway width, parking, etc. The results have been incorporated in the "Highway Capacity Manual" (Ref. 2.1). Many engineering problems can be addressed experimentally. The main advantage of the experimental approach is the credibility resulting from making direct measurements of a specific process under specific conditions. There is no need to rely on assumptions, approximations, or other factors that may reduce confidence in the validity of the solution to a given problem.

The modeling approach, on the other hand, makes use of available information on the process being studied to generate additional information, generally in the form of specific answers to specific questions. The


Figure 4. How Does it Work?
modeling approach, applied to problems of sufficient complexity to warrant the use of a computer program, is the subject of this Handbook.

Compared to the direct measurement approach, computer modeling of fers some important benefits in certain areas, especially when applied to complex problems which do not lend themselves to simple exper imental solutions.

Specific advantages include:

1. Cost: since it is usually possible to model a complex situation (such as a moon landing) at much lower expense,
2. Safety: since computer specialists are seldom injured in the course of their duties,
3. Speed: since many processes (such as weather patterns) can be simulated at many times their actual speed,
4. Scope: since it is possible using computer modeling to examine hypothetical problems (such as a proposed freeway) or to extend the par ameters of a real problem beyond the range of practical experimentation (e.g., future traffic volumes).
5. Controllability: Since it is usually model so that the effects of each parameter may be independently controlled.

All of these advantages are of some interest to the traffic engineer who is concerned with systems that are costly to install, which exper lence safety problems, and which require data analysis over long time periods, often under hypothetical conditions. These systems also involve complex relationships between variables which defy both analytical methods and field measurements. it is not surprising, therefore, that substantial effort has been put into the development of computer models for use as traffic engineering tools.

There are, however, shortcomings assoclated with the modeling approach, which have limited its popularity with traffic englneers.

Such specific problems include:

1. Credibility of results: Since the answers obtained through simulation do not evolve from a real world process, but rather through a ficticious approximation of that process.
2. Personnel requirements: Since the use of computerized techniques of ten assume the need for speciallists with a general knowledge of modeling techniques and with detailed knowledge of the process being simulated.
3. Computer requirements: Which of ten exceed the resources available to the prospective user.

For these reasons, computerized modeling activities have been avoided by many local traffic engineering agencles and have been carried out instead by consultants, universities, and larger governmental agencles. One of the purposes of this Handbook, and the collection of models it represents, is to facilitate the analysis of local traffic
operation problems by local practicing traffic engineers.

## COMPUTER MODEL APPLICATIONS

Since modeling involves the representation of a real-world process, it naturally follows that its application is predicated on a thorough knowledge of the rules which govern the process. Modeling a process which is not clearly understood to begin with is likely to be a waste of time. This is the first rule which governs when and where to apply computer models.

A second rule suggests that the use of computer models should be subordinate to the use of noncomputer ized analytical or experimental techniques. In other words, it should be clearly established that the process does not lend itself to simple analytical methods. It should also be established that, under certain circumstances, modeling is preferable to an experimental approach.

A third rule is also proposed for special cases where decisions may be extremely critical. This would generally apply to large projects where mistakes could be costly in the financial sense, or in terms of the potential for catastrophic system failure. In such cases, simulation techniques may prove to be valuable as a supplement to the more conventional methodology, to give an added degree of confidence to the decision making process.

Some general areas where modeling has been used extensively include:

1. Air and space craft operations, where hypothetical designs and operational sltuations can be tested in a safer and more economical manner.
2. Power distr ibution networks where possible modifications to an existing system can be
examined without disturbing the actual operation.
3. Telephone communication systems where different configuration parameters, message control strategies, etc., can be investigated under variable loading conditions.
4. Terminal operation where the handiling of passengers and freight can be modeled, to seek more efficient and economical methods.
5. Transportation planning, in which simulated trips can be assigned to a transportation network according to a specified algorithm, to determine the need for, and optimal location of, future transportation facllities.
6. Highway safety, where the characteristics of a highway crash can be simulated using the laws of kinematics and dynamics to predict vehicle paths, extent of damage, etc. For example, the computer generated drawing in Figure 5 shows the simulated paths of two vehicles involved in a sideswipe collision.


Figure 5. Sample output from the "Smack" program showing a simulated crash between two vehlcles.

This Handbook focuses on one particular application of computer modeling; specifically the control of street and highway traffic. This topic is of special interest to the traffic engineer, who holds primary responsibility for the design and operation of traffic control systems. The flow of trafflc is a process which is especially welt suited to modeling. Past research has produced several well established rules which govern this process, however, many of the rules involve complex relationships which are easily described but are not amenable to simple analytical treatment.

Furthermore, the need to accommodate several independent traffic movements simultaneously complicates the experimental approaches considerably, and strengthens the potential for computer modeling as a problem solving tool.

Computer programs have been developed to deal with several aspects of traffic control. The programs described in this Handbook fall generally into three categories:

1. Intersection operations; including

- queueing and delay,
- gap acceptance (stop sign, left turns etc.),
- signal timing parameters, and
- effect of geometrics.

2. Street network operations; including optimization of timing, bus priority, and delay and fuel consumption.
3. Freeway corridor operations; including, freeway traffic flow, assi gnment of demand, ramp merging, effect of geometrics, bus priority, - ramp metering, and - restricted lanes.

These programs provide an evaluation of a specified physical or operational configura-
tion under chosen operating parameters in terms of certain figures of merit (delay, speeds, fuel consumption, etc.). In some cases, graphical outputs are produced to illus-


Figure 6. Sample frame from a movie produced by the "NETSIM" program.
trate time variations, or relationships between varlables. In other cases, specific design recommendations are derived. in one case (see Figure 6) a motion plcture was produced showing the movement of each simulated vehicle in the system as a function of time.

## MODEL TYPES

The models represented in this Handbook may be categorized according to several criteria which specify the modeling technique. Most of the computer programs presented in the following chapters make use of several different modeling techniques and can be considered as a family of models incorporated into one package. Some criterla for categorizing models are described as follows:

## Computation vs Simulation Models

Computational models involve the application of mathematical equations, to calculate solutions directly. These equations may represent fundamental mathematical truths, they may be derived from basic principles (e.g., trigonometric functions) or they may simply reflect an established relationship between several variables. The case of highway capacity measurement, mentioned previously as an example of the experimental approach to problem solving, also provides an example of a computational model. In this case, the results of the fleld measurements were incorporated into a model in the form of the "Highway Capacity Manual". While this methodology has been computerized (Ref. 2.1), most capacity calculations are performed manually today.

A simulation model, on the other hand, is a mathematical representation of the sequence of events which comprise a process. In the application of a simulation model the sequence of events is repeated several times to study the outcome. Because of the ability of digital computers to perform repeated calculations at incredible speed, simulation models are usually incorporated into computer programs.

As a simple example, suppose you wanted to determine the probabillty that out of a group of, say, thirty people, there would be at least two people whose birthdays fell on the same day of the year. You could approach this problem analytically as an exercise in probability. The resulting equations would be more complicated than meny people would prefer; however, an answer could be determined without the help of a computer. You could also take an experimental approach by making a frequent nuisance of yourself at public gatherings. With sufficlent patience on everyone's part, a solution could be obta ined.

Simulation could also be used quite effectively in this problem. Using a computer
program, you could assign birthdays randomly to thirty ficticious people (represented by computer memory locations) and then check to see if the same date had been assigned to more than one "person." This process could be repeated a few thousand times in just a few seconds of computer time to produce a believable answer.

The credibility of the answer lies in the fact that the rules of the operation are well established. In this case, it is assumed that the birthdays are indeed randomly distributed. Perhaps they're not. Suppose the group were attending a convention for Capricorns, or maybe a meeting of the Twin's Club. The point is that simulation of a process requires a thorough familiarity with all of the relationships between the varlables which effect the process.

## Emplrical vs Analytical Models

The Highway Capacity Manual is an example of an empirical model. In this case, the basic relationships within the model were arrived at experimentally through extensive field studies. Note that an empirical model is not the same as the "empirical approach" described earlier. The empirical model makes use of results obtained previously using the emperical approach. In some models of both the computational and simulation type, the relationships take the form of analytical equations developed by a purely deductive process.

For example, the number of arrivals during a given period in a traffic stream is frequently assumed to conform to the Polsson distribution. This is an analytical equation in the form,

$$
\begin{equation*}
P(x)=\frac{e^{-m_{m} x}}{x!} \tag{2.1}
\end{equation*}
$$

```
where P(x) = the probability of x arrivals
    during a period,
    m = the average number of arrivals
    during the same period.
```

This relationship is particularly useful in models which must simulate a process in which the number of arrivals fluctuate. For example, consider the operation of a traffic signal in which a different number of vehicies will arrive on each cycle. The Poisson distribution will be used in an example of a simple simulation model discussed at the end of this chapter.

## Deterministic vs Stochastic Models

In a deterministic model, the ficticious sequence of events has a completely predictable outcome. For example, a bus passing through a toll plaza may be required to pay a specified fee and to use a specified lane which guarantees precedence over automobile traffic upon entering the facility. The set of rules that govern the passage of a bus through the toll plaza under these circumstances would therefore be described as a deterministic model. Deterministic models, by themselves, do not usually constitute the entire process being simulated, since they offer little potential for problem solving under repeated application. They are therefore more commonly incorporated as sub-models within the overall program structure.

In a stochastic model, the outcome of a given sequence of events is not completely predictable, but depends on something that happens during the course of the process. In the toll plaza example, vehicles may pay a variable fee, depending on their number of axles, and they may be asslgned to different lanes depending on whether the driver has the correct change available. They may experience further delay by missing the coin basket or by having to yield right of way to other traffic (buses for example), before entering the facility. The passage of vehicles through a toll plaza under these conditions would therefore be described by a stochastic model, since the outcome of the process depends on a number of events, each of which can be described only in terms of its probabillty of occurrence.

The credibility of the results generated by the model just descrlbed would depend heavily
on the assignment of realistic probabilities to the number of axles, and the success with the coin basket. Realistic values would also be required for the delay encountered by yielding right-of-way to other traffic. These delay values could also be either deterministic or stochastic in nature.

The "randomness" inherent in stochastic models is usually derived from a random number generator incorporated into the simulation program. Each time the series of events which make up the process is repeated, the program is asked to supply a new random number. In the toll plaza example, the random number could be used to determine the number of axles on a particular vehicle, whether or not the driver hits the coin basket, etc. The random number, by definition, has an equal probability of falling anywhere between two specified limits (say 1 to 100). For example, if one thousand random numbers between one and one hundred were drawn in sequence, each number should occur approximately ten times, but in no particular order. Thus, if it could be established based on historical information that two thirds of the motorists have the correct change, an individual motorist could be assumed to have the correct change if his assigned random number fell between 1 and 67. Otherwise, he would be assigned by the simulation model to the lane intended for motorists who require change. This concept may be extended to more complex probability functions. More detailed discussions of traffic simulation may be found in References 2.2 and 2.3.

## Microscopic vs Macroscopic Models

A process such as the flow of traffic may be simulated either at the microscopic level, in which each vehicle would be treated as a separate unit, or at the macroscopic level, in which the characteristics of the stream as a whole would be examined. The previous example of a single vehicle passing through a toll plaza would be considered as a microscopic model. On the other hand, the operation of the facility served by the toll plaza is more likely to be treated macroscopically, in terms of average speed, flow rate, density
etc. In general, microscopic models tend to be more accurate in their description of the process being simulated, but they usually require considerably more input data and computer time for execution. They also tend to be more demanding in terms of the level of detail required in their assumptions and approximations, and this could lead to problems of credibility in the results if they are not properly designed.

## Event Scan vs Time Scan Models

A further distinction can be made between models in which the process being analyzed is updated at constant time intervals (e.g., one second) or upon each event which occurs. Time scan models are, in general, easier to develop because the time factor is advanced by a constant increment each time the process is examined. Event scan models, in which the process is updated as each event occurs, are usually more efficient in terms of computer time, since they only update the simulated process in response to a specified event. In the toll plaza example, the position and status of all vehicles could be determined at specified time intervals (time scan), or it could be determined each time a vehicle enters or leaves the plaza (event scan). The choice of techniques is usually based on computer programing considerations.

## Optimization vs Evaluation Models

The two main purposes of computer modeling are,

1. Determination of the values of specific design parameters which will optimize the operation (e.g., cycle, splits, sequence and offsets at a traffic signal or a signal network),
2. Evaluation of the operation as a "system" with specified design parameters in terms of measures of effectiveness. (e.g., delay, stops, fuel consumption, etc.)

Simulation models do not, by themselves, have any inherent optimization capabllities. They
simply reproduce the process as faithfully as possible and accumulate the results. To obtaln an optimal solution using simulation, it is necessary to apply the model repetitively using different design parameters. The set of design parameters that yields the best results should be chosen as the optimal solution. Simulation is therefore best suited to the comparision of a small number of widely differing strategies. Examples of simulation models which do not optimize by themselves are NETSIM (described in Chapter 11), TEXAS (described in Chapter 5), and PRIFRE (described in Chapter 12).

Optimization models seek the best solution automatically. They may or may not provide the required degree of evaluation although they often contalin realistic simulation models, such as TRANSYT-7F and SIGOP Ill (described in Chapters 9 and 10).

The following optimization techniques are commonly used in computer modeling of traffic operations:

Analytical techniques involve an equation, or set of equations, which are solved to yleld the answer directly. An example of an analytical optimization is found in Webster's method (Ref. 2.4) for determining the "ideal" cycle length of an isolated signalized intersection according to the equation:

$$
\begin{equation*}
C_{0}=\frac{1.5 L+5}{1-Y} \tag{2.2}
\end{equation*}
$$

$$
\begin{aligned}
\text { where } C_{0}= & \text { the optimal cycle for mini- } \\
& \text { mum delay, } \\
L= & \text { the total lost time per cycle } \\
& \text { due to starting and stopping } \\
& \text { of traffic movements, and }
\end{aligned}
$$

$Y=$ the proportion of the total green time required to accommodate all of the trafifc.

This relationship was originally developed by a combination of analytical, experimental and
simulation techniques and is used extensively in modeling of traffic signal operations. It is used, for example, by the Signal Operations Analysis Package (SOAP) described in Chapter 4 of this Handbook. Notice that Webster's optimal cycle length equation does not evaluate the delay. It simply indicates the cycle length at which minimum delay will supposedly be experienced. In fact, however, most simulation models would suggest a different cycle length.

Exhaustive search techniques require that all of the possible outcomes of a process be evaluated to determine the desired outcome. This is also known as the "brute force" technique since it is conceptually simple but requires considerable computer time. An example of an exhaustive search may be found in the pattern selection optimizations of the PASSER 11 and PASSER 111 programs discussed in Chapters 6 and 7, respectively. These programs choose the best phasing patterns for each of the signals in a system by examining all of the permissible alternatives and choosing the alternative which provides the best performance.

Hill climbing techniques also involve a search for the optimal value. In this case, however, the search is not exhaustive for the parameter(s) being optimized. A methodical evaluation of successive input values is performed until the general area of the optimal result is located. An intensive search is then conducted in this area until the optimal result is determined to the required degree of precision. The TRANSYT-7F and SIGOP 111 models described in Chapters 9 and 10 use this technique to optimize several operating parameters for a traffic signal network.

1 terative approximation methods are used in some problems which cannot be solved analytically because the solution contains one of the varlables upon which it is based. In this case, a solution is assumed and then calculated using a given value of the variable. Corrections are made and the process is repeated until the assumed and calculated value of the solution fall within an acceptable tolerance. This technique is used in
the Signal Operation Analysis Package to determine the cycle length required to accommodate a minimum green time requirement which exceeds the green time required by the traffic volume on a particular approach. Both the hill climbing and iterative approximation techniques can be termed "heuristic" techniques, since the action taken on any given step of the process depends on the results of the previous step.

Mathematical programming techniques such as linear programming, integer programming or dynamic programming are used to optimize, in a formal way, the allocation of resources, such as metering rates on an entrance ramp. In this case, an objective function, such as total volume accommodated by all of the entrance ramps, is maximized subject to constraints such as freeway bottleneck capacities, etc. The FREQ3CP model described in Chapter 13 uses a linear programming model for this purpose.

## STEPS IN COMPUTER MODELING

Generally speaking, the solution of an engineering problem by computer modeling will proceed as follows:

1. Identify and describe the problem to be solved.
2. Describe the system or process in terms of,

- the inputs
- the outputs
- the physical configuration, and
- the rules of operation.

3. Establish the suitability of computer modeling to the investigation of the problem, i.e., could the problem be solved better by experimental techniques or manual analysis.
4. Identify the specific measures of effectiveness by which alternative solutions will be evaluated.
5. Develop the model. If models have already been developed, this step will simply involve the choice of the most appropriate model.
6. Validate the model (if developing a new model) or calibrate the model (if an existing model is chosen) to ensure credibllity of results.
7. Apply the model repetitively under the desired range of operating parameters to generate the desired result. This is referred to as "fine tuning" the model.
8. Interpret the results and formulate conclusions and recommendations.

A simple example should be helpful in illustrating the concepts presented in this chapter. Suppose that a left turn movement takes place at a traffic signal on a protected interval (and no other interval). Further, suppose that the signal operates on a 60 second cycle with 13 seconds per cycle of green time allowed to permit four vehicles per cycle to turn left (based on 2.5 seconds per vehicle plus 3 seconds lost time). The turning volume is 180 vehicles per hour, which means that, on the average, only three vehicles per cycle will arrive at the intersection. The actual number of arrivals will vary, naturally, from cycle to cycle, and it can be assumed that the arrival pattern conforms to the Poisson distribution discussed previously.

Let's assume one is interested in answer ing the following questions:

1. On what proportion of the cycles will all of the arriving left turns be acconmodated?
2. What will be the average delay to each left turning vehicle?
3. How many vehicles must a left turn storage bay be able to accommodate to ensure that no overflow takes place on at least 95\% of the cycles?

The problem is simple enough to approach by manual analysis or experimentally. It can,
however, eliminate the experimental treatment because of the hypothetical nature of the location.

## The Simulation Model

In developing a slmulation model, one must first decide whether to treat the process microscopically or macroscopically. A microscopic treatment would determine (based on the average headway of 20 seconds) the arrival and departure time of each vehicle, whereas a macroscopic treatment would determine (based on an average arrival rate of three vehicles per 60 second cycle), how many total arrivals took place on a particular cycle. The microscopic approach would naturally be more precise, but the macroscopic approach would be much easier to implement. For this example the macroscopic treatment should be adequate.

The process could then be modeled by the following series of steps:

1. Determine, for the current cycle, how many left turning vehicles arrived, based on a random number applied to a Poisson distribution with a mean arrival rate of three vehicles per cycle.
2. Add these new arrivals to any residual queue from the previous cycle. This will determine, for the current cycle, the storage requirement of the left turn bay.
3. Reduce the queue by four vehicles (the number of left turns accommodated per phase).
4. If no vehicles remain in the queue, treat this cycle as a "satisfied" cycle. Otherwise, keep track of the residual queue to be incremented during the next cycle.
5. Calculate the total vehicular delay for the cycle by multiplying the average number of vehicles in the queve by the cycle length.
6. Proceed to the next cycle and repeat steps 1 thru 5.

The results of a simple computer program developed to simulate this process are presented in Figure 7. In this case the model was applied repetitively for three thousand consecutive cycles, representing 50 hours of real-time operation. The program took approximately one hour to develop and required about three seconds of computer time to exe-


Figure 7. Simulation Model Output showing results of left turn traffic simulation
cute. The following values are summarized in the computer output shown in Figure 7:

1. Cycle No. - Only the first few cycles and the last few cycles of operation are shown in Figure 3.
2. Random No. - The number chosen by the random number generator for determining the number of arrivals on the cycle (in this case numbers between zero and one).
3. Arrivals - The number of arrivals determined by the application of the random number to the Poisson distr ibution.
4. Maximum Queue Frequency Table ( 11 Columns)

- This table shows the cumulative number of simulated cycles in which the maximum queue reached the indicated number of vehicles (0 thru 10+).

5. Satisfied Cycles - The cumulative number of cycles in which the entire left turn demand (residual queue and new arrivals) was accommodated.
6. Total Vehicles - The cumulative number of left turning vehicles processed by the system. Note that a total of 8901 vehicles were processed during the 3000 cycles. This amounts to an average of 2.967 vehicles per cycle which falls within approximately $1 \%$ of the specified nominal arrival rate of three vehicles per cycle.

Returning now to our three specified questions:

1. Proportion of satisfied cycles.

Figure 7 shows that 2071 of the 3000 total cycles were satisfied, indicating a satisfaction rate of $69 \%$.
2. Average delay.

Figure 7 shows that the 8901 vehicles prom cessed incurred a total delay of 5902 vehicie-minutes. This amounts to approximately 40 seconds per vehicle.
3. Maximum storage requirements.

To accommodate the maximum queue on $95 \%$ of the cycles, we can tolerate overflow on only $5 \%$ of the 3000 cycles, or a total of 150 cycles. Figure 7 indicates that the maximum queue exceeds seven vehicles on 145 cycles. However, a six vehicle queue would be exceeded on 228 cycles, which would violate the maximum fallure rate. Therefore, storage for seven vehicles would be needed to satisfy the specified requirements.

## An Analytical Solution

Given an average arrival of three vehicles per cycle, and a capacity of four vehicles per cycle, we can determine the probability that four or fewer vehicles will arrive on any cycle to estimate the proportion of cycles which will accommodate all left turns.

Probability of
Zero Arrivals

$$
P(0)=\frac{e^{-3} 3^{0}}{0!}=.050
$$

One Arrival

$$
P(1)=\frac{e^{-3} 3^{1}}{1!}=.149
$$

Two Arrivals

$$
P(2)=\frac{e^{-3} 3^{2}}{2!}=.224
$$

Three Arrivals

$$
P(3)=\frac{e^{-3} 3^{3}}{3!}=.224
$$

Four Arrivals

$$
P(4)=\frac{\bar{e}^{-3} 3^{4}}{4!}=.168
$$

Thus for four or less arrivals $P(<4)=\overline{.815}$
So, by the analytical solution, the number of arrivals will not exceed the capacity on $81.5 \%$ of the cycles. The corresponding value computed by the simulation program was only 69\%. Why the difference? The simulation program, by monitoring the process on a cycle by cycle basis, was able to keep track of the
residual queue following cycles on which all arrivals were not accommodated. A substantially more complicated analytical model would be required to describe this process as realistically as the simple simulation model.

The average delay can be estimated by Webster's method (Ref. 2.3) by the formula.

$$
\begin{equation*}
d=.9 \quad \frac{c(1-\lambda)^{2}}{2(1-\lambda x)}+\frac{x^{2}}{2 q(1-x)} \tag{2.3}
\end{equation*}
$$

where

$$
C=\text { cycle length }=60 \text { seconds }
$$

$$
q=\text { volume }=0.05 \text { vehicles per }
$$ second

$$
\lambda=\frac{\text { green time - lost time }}{\text { cycle }}
$$

$$
=\frac{13-3}{60}=.167
$$

$$
\begin{equation*}
X=\text { Degree of Saturation }=\frac{q}{\lambda s} \tag{2.4}
\end{equation*}
$$

where $\quad s=$ saturation $=\frac{1}{2.5}=$

$$
.4 \text { vehicles per sec. }
$$

therefore $X=\frac{q}{\lambda s}=\frac{.05}{.167 \times .4}=.749$
from which the calculated delay is 41.68 seconds per vehicle or,

$$
\begin{aligned}
& .695 \text { minutes per vehicle } \\
& \times 8901 \text { vehicles processed } \\
& \hline 6,186 \text { vehicles-minutes of delay, }
\end{aligned}
$$

This value differs by about $5 \%$ from the value of 5,902 vehicle-minutes calculated by the simulation program. This should be considered as a reasonably close agreement. The
analytical method, being substantially simpler, would probably be preferable in this case.

The problem of the maximum queue length would be extremely difficult to solve analytically. This would require a stochastic queuing model, the development of which would tax the capabllities of most traffic engineers, therefore, no analytical solution will be proposed for this example.

This chapter has served as an introduction to the concepts and general approaches to computer modeling. The next chapter discusses the selection of computerized models contained in this Handbook.

## CONCEPTS

## REFERENCES

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## CHAPTER 3 - HANDBOOK DEVELOPMENT

Once the practicing traffic engineer decides that the use of a computer model may be the most practical method of developing and evaluating solutions to a traffic management problem one is faced with the decision of what model, or models, to use. Review of available literature would indicate a myriad of models which have been developed or used in the past. Uniess one has maintalned a reference file, considerable time and effort will be required just to identity available models. Even if a list is available, additional time and effort will be required to obtain model descriptions, and user documentation to evaluate and select appropriate models.

As part of the development of this Handbook an extensive literature research and review was conducted in order to identify existing models and to prepare a synopsis of each from available documentation. The result of this work (Ref. 3.1) included brief abstracts of over 500 references and a synopsis of over 100 models.

An evaluation was made of the relative capabilities and requirements, as well as the potential merits and shortcomings of the traffic analysis models. Based upon this evaluation ten (10) models were selected for inclusion in this Handbook.

The following portions of this Handbook describe the general criteria utilized to evalwate the models. A brief discussion of typical traffic management problems by location type and a listing of models which were reviewed as possible candidates for use are provided as well as the basis of selecting the models for inclusion in this Handbook.

## MODEL EVALUATION CRITERIA

The selection of a model for use in developing and evaluating traffic management problems is a critical first step. All to fre-


Figure 8. Which Models to Choose?
quently models are selected because of their availability at the potential user's location or because of the familiarity of the user with a model. As a result models are often used which may not represent the state-of-the-art, resulting in an invalid representation of traffic flow and selection of improvements which later prove costly or ineffective.

The following section suggests criteria which the potential model user should consider in evaluating and selecting models for particular problems.

## Adequacy of Model Documentation

The most basic requirement in evaluating and selecting a computer model for use in traffic operations analysis is the adequacy of model documentation. Only with this information can the user determine the characteristics of the model and evaluate its potential use for the problem at hand. These documents should include the following:

User Manual - This document provides information on the functional areas of applications,
general information on its computational methodology, input requirements and coding procedures as well as examples of output data and the ir interpretation.

Programmer's Manual - This document describes the computer program, computer requirements, implementation procedures, program concepts and structure as well as descriptions of subroutines, error messages and other usetul information for the installation and operation of the program.

Model Development Documentation - This document describes the background on development of the model, the theoretical basis of the model, the computational methodology and detalls on model validation.

With the above information at hand the potential user should be able to determine the avallability and usefulness of the model. Review of the ilterature should clearly demonstrate that the model is fully operatlonal and debugged and that the credibility of the output has been previously established. There should be sufficient information included to permit the assessment of other criteria.

## Application to Typical Problems

Most of the models for traffic operations analysis have been developed to address specific geometric conflgurations and traffic control features. Therefore, potential users must select which model, or group of models, best fit their needs in evaluating their typical traffic management problems. In evaluating the applicablitity of avallable models the following should be considered.

Functional Applications - The question here is, "does the model do the right job?" in other words, what areas are covered and what are omitted. This requires that the user have a reasonable idea of the type of analysis that will have to be carried out. Normally this is determined based upon whether one is trying to identify an existing problem, developing alternative solutions and/or evaluating alternatives. The extent and complexity of the problem and the ramitications
of the solution will determine the level of detail required for the modeling process.

Configurational Limitations - While a particular model may be functionally applicable, there may be simplifying assumptions or other constraints which limit the geometrics, size of system, control measures, etc. that the model will accommodate. Such restrictions usually simplify the input data coding and reduce the computer memory and time requirements; however, they can also limit the usefulness of the model.

## Reasonableness of Results

For a model to be useful to the traffic englneer the output must produce credible results consistent with available data. In other words, the traffic engineer must be contident that decisions based upon the results of the model, when implemented, wlli obtain similar results in the fleld. To insure that the model will produce reasonable results, consideration should be given to the following:

Theoretical validity - The question here is, "How well does the model represent the real world?". Part of this question can be answered by review of the computational methodology employed. Although the practicing engineer may not be able to conduct an in-depth evaluation of the theoretical basis for the model, the computational methodology should be reviewed to determine with some degree of confidence that it represents the state-of-the-art.

Field Validation - To further insure that the model does produce "real world" results it is important that the model developers have conducted fleld studies that substantlated the reasonableness of the results. Careful attention should be given to conditions under which the model was tested and range of results.

Calibration Requirements - The validity of the results is frequently a function of the amount of calibration required on the model. Models requiring extensive calibration to produce accurate results must be examined critically by the user to assure that the
resources are avallable to obtain the required data, since certain operating parameters may require field studies that are not normally obtained or are difficult to perform.

Sensitivity - Some assumptions and approximations are made by most analysis models which affect the results to varying degrees. A high degree of sensitivity to the assumptions and approximations is clearly undesirable in any model. This is especially important where absolute values of the measures of effectiveness are required. However, it is of lesser importance if the model will be used primarily for relative comparison of alternatives.

## Utillty of Output

An equally important consideration is the utility of the output obtained. Does the output provide results that are useful in the form they are printed out or does it require considerable interpretation? The purpose of a traffic operations model is to provide the user with decision making information. Computer programs often demonstrate their capability to produce substantially more information than people have time to absorb. Therefore, users should carefully assess the character and extent of the output of a particular model that would be run routinely. In assessing the utility of the output the potential user should consider the following:

Input Listing and Editing - Considerable time and effort can be saved when a listing of input data is automatically produced as the first printout. This listing of input data should include an edit of coded values, as well as some logic edit, with written error messages as necessary. Too often data are coded, the model is executed and the results indicate a normal execution, but upon further assessment of results it is obvious that the input data were coded or punched incorrectly.

Measures of Effectiveness (MOE's) - Many measures of effectiveness can be calculated and demonstrated to be numerically correct. To be useful, however, some relationship must be established between these numerical values
and the traffic engineer ing decisions which the analysis is supposed to support. The ideal measures of effectiveness for evaluating traffic operation performance should be:

- Understandable (with a minimum of explanation) by the administrator who must make decisions regarding public works programs,
o Defined in a manner consistent with traffic engineer ing terminology,
- Addressed to the problem which the traffic engineer is trying to solve,
- Convertible to economic terms, and
o Summable, along with other MDE's, to produce a single "bottom line" figure for evaluation.

The MOE's that are used should also be comprehensive. For traffic operations purposes sufficlent measures of effectiveness should be provided for assessment of delay, stops, safety, environmental factors and general comfort. These measures should be self explanatory or guidance in the ir interpretation should be found in the user's manual.

Optimization Capabilities - Some models are capable of self optimization. Others simply evaluate a given scheme proposed by the user In terms of a set of measures of effectiveness. A third group have no real optimization capability, but will evaluate a wide range of parameters specifled by the user and provide a summary of the results for menual interpretation. The degree of self optimization required by the user will depend upon the level of traffic engineering capabilities avaliable to generate the inputs and interpret the outputs. A highly desirable teature when considering models with self-optimization features is the ability to run an "existing" condition as a base for evaluating the optimum solution.

Graphical Output Supplements - Several traffic analysis models produce graphical output supplements (time-space diagrams, etc.) which are useful both in the
interpretation of the outputs and in reducing the manual effort often devoted to preparing drawings for recordkeeping purposes. Graphical outputs are provided in a variety of forms, the most common being a line printer representation, using alphanumer ic characters and, less frequently, a true plot using peripheral plotting equipment.

## Cost Effectiveness

One of the principal concerns to the practicing traffic engineer is the cost effectiveness of using cormputer models (which naturally includes the choice of models). For agencies that expend considerable manual efforts in evaluating traffic management problems, the selective use of computer models can result in more effective use of human resources at little additional cost. On the other hand, agencies that have not expended much on this type of effort in the past, but now find they need to increase this effort, should consider benefits of a computer model to increase the effectiveness of their personnel with minimal increase in operating costs. In considering the cost effectiveness of traffic operation analysis models consideration should be given to the following:

Data Collection - All traffic engineering analysis procedures, whether manual or automatic, require some form of input data. However, the automated procedures, being more powerful than the manual techniques, frequently have an enormous appetite for data. The user should carefully evaluate the data required by a particular model and seriously consider their ability to provide the required data prior to a decision to use a particular model.

Input Deck Structure - A user-oriented model will have an input data deck structure which is uncomplicated (i.e. easy to learn), flexible, and capable of executing multiple runs with minor input changes between runs. Generally, a user or iented deck structure tends to produce fewer errors, thus decreasing turnaround time. Therefore, the importance of a user oriented structure depends largely on the source of computer support. If, for example, computer services are supplied
commercially from a distant city, the consequences of an input data error are far more severe than the case where the computer is located in the user's office.

Personnel Training and Use - The user must $\dagger$ carefully examine the level of technical support available for implementing and using a particular model. As the complexity of the model increases the support requirements in terms of both program operation and interpretation increase. Some additional training, or perhaps additional personnel, may be required to effectively operate and use some models.

Computer Requirements and Cost - The user must compare the requirements of the model with the available computer resources. This includes consideration of program language, core requirements and peripheral equipment (i.e., plotting equipment, etc.). If adequate computer facilities are not available "in house" the user should not immediately eliminate or omit a model. Often large scale computer support may be obtained from other government agencies or from commercial suppliers of computer services. An excellent source of computer service for government agencies is often found at universities and colleges. Another possibility, where an agency has adequate software support but minimum hardware facilities, is modification of specific models to adapt them to the ir own computers. However, careful review of the work required will be necessary to determine if this is cost effective.

External Processing Requirements - If an analysis model is tailored to the user's specific application, no external processing should be required. In many cases, however, the application will differ slightly from the original concept, or a general purpose model will be applied to a specific application. In either situation some pre-processing of the input data may be needed, or manual tabulations of the output data may be required for interpretation. The amount of external processing should therefore be examined from the point of view of the quantity of data and level of judgement required.

## Life Expectancy

To obtain, install and become familiar with a particular model can require considerable time and effort. Therefore, it is important to select models that are expected to have a reasonable life expectancy. In selecting models, consideration should be given to the following factors.

Maintenance by Public Agency - A major hedge against obsolescence is the assurance of maintenance of the software by a public agency. This ensures that the most current version of the model, incorporating both corrections to previous versions and refinements of computational logic will be available at all times.

Potential for Improvement - The advancing state-of-the-art, as well as changes in user requirements, suggest that future improvements may be desirable in any model which is implemented. Models which are amenable to change are therefore generally more useful than those which are not. The potential for improvement depends largely on the complexity of the program structure and the level of documentation available.

Potential for Obsolescence - Current research and development programs of the Federal Highway Administration and other agencies are constantly advancing the state-ot-the-art in traffic operations analysis. This creates some potential for obsolescence in existing models. This could be an important factor, especially where an extensive user effort would be required to implement a particular model.

## SELECTION OF MODELS

In selecting models for inclusion in this handbook consideration was given to selecting illustrative models which would be responsive to the typical problems faced by practicing urban traffic engineers. The problems could vary from intersection signal timing and phasing, to interconnection of signals along an arterial or within a network, as well as
lane operation and vehicle usage at an intersection, along arterials and freeways. To meet these varying requirements, it was felt that the models would best be evaluated and selected by grouping them based upon the geometric configuration they were primarily designed to model. These include:

- Intersections
o Arterials
o Arterial Networks
- Freeways
o Transportation Corridors

The following sections describe the typical traffic management problems faced by traffic engineers at each of these locations, identify the models considered and the basis for selection of models included within this Handbook.


Figure 9. Intersection Problem

## Intersection Models

In the United States today there are over 240,000 signalized intersections with more being installed each day. To the drivers of vehicles, these slgnalized intersections can either ald them on a trip or become an obstacle that delays their free movement. In the
minds of these drivers, how efficient their signals are controlled depends largely on their perception of how well each works to his benefit. Thus, the efficient operation of signalized intersections is a matter of increasing concern to both the motorist and the traffic engineer.

Current traffic signalization design procedures do not permit a truly comprehensive design due to the largely trial-and-error process required. Frequently, the experience of the designer is heavily weighted in the ultimate design, and many factors, such as phasing patterns, hourly volume patterns, etc., are not considered adequately in developing the signal control strategy.

In addition, many solutions to intersection problems require geometric improvements. Traffic engineers can assess benefits to be gained by adding additional thru lanes, separate turn lanes and/or lengthening storage lanes. However, the benefits to be gained from widening existing lanes, improving turning radius, etc., is subject to considerable judgement and open to debate.

Researchers, as well as practicing traffic engineers, over the last two decades have expended considerable effort to develop computer models that provide a more objective and quantifiable methodology for developing and assessing proposed improvements. A review of existing literature resulted in the identification of 26 models which could be used to develop and/or evaluate traffic performance at intersections. Table 1 summarizes the models that were reviewed.

Many of these models are outdated or have limited practical applications. However, two of the models, SOAP and TEXAS, have recently been released, thus they represent the latest state-of-the-art and can be useful to practicing traffic engineers.

SOAP, Signal Operations Analysis Package, was developed by the University of Florida for the Florida Department of Transportation and FHWA and provides the user with a valuable tool for examining and evaluating a wide range of intersection signal design alterna-
tives. SOAP is an optimization model which determines solutions for optimal cycle lengths, splits, phasing patterns and leftturn configurations for three or four-legged intersections.

TEXAS, Traffic EXperimental and Analytical Simulations, was developed by the University of Texas for the Texas Department of Highways and Public Transportation and provides the user with the ability to evaluate existing and proposed intersection designs, both geometric and traffic operations. TEXAS is a simulation model which provides the user with quantifiable effects of changes in roadway geometry, driver and vehicle char acteristics, flow conditions, intersection control, lane control and signal timing plans upon traffic operations.

Because these two models are maintained by public agencies and future enhancements are expected without significant changes in input or output format, they were selected for inclusion in the Handbook. Availability of these two models would provide the user with a wide range of evaluation opportunities for individual intersections.

## Arterlal Models

On most arterial highways serving the urbanized areas of the United States, traffic congestion has severely restricted the flow of traffic to, through, and from major employment centers. New freeway construction has provided some relief, but has had only a slight impact on decreasing congestion in most locations. This method of increased travel capacity is being suppressed in most cities today.

Due to ever increasing right-ot-way and environmental problems, construction cost and other difficulties involved in highway construction, the existing ar terial streets must continue to serve as the major distr ibutors of traffic for the urbanized areas. Therefore, it is essential that traffic engineers use their knowledge and expertise to obtain maximum capacity and efficiency from these existing streets.

Table 1 - Summary of Intersection Models

| Number | Name | Date | Application | Modeling Approach | Program Language | Computer |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1-1 | TEXAS | 1977 | Traffic Performance | Mic., Det., TS, Sim. | Fortran IV | $\begin{array}{ll} \hline \text { CDC } 6600 \\ \text { IBM } 370 \end{array}$ |
| 1-2 | SOAP | 1977 | Signal Timing (Cycle, splits \& phasing) | Mac., Det., TS, Opt. | $\begin{gathered} \text { Fortran } \\ \text { iv } \\ \hline \end{gathered}$ | $\begin{array}{cc} \text { IBM } 3601 \\ * & 370 \\ \hline \end{array}$ |
| 1-3 | SIGCAP | 1977 | Signal intersection Capacity | Mac., Det., TS, Opt. | Fortran | $\begin{gathered} \text { IBM } 3601 \\ 370 \\ \hline \end{gathered}$ |
| 1-4 | SPLIT | 1976 | Signal Timing (Splits on $\mid y$ ) | Mac., Det., TS, Opt. | Fortran | $\begin{array}{lr} \text { IBM } & 360 \\ \text { CDC } & 74 \\ \hline \end{array}$ |
| 1-5 | CYCLE | 1976 | Signal Timing (Cycle only) | Mac., Det., TS, Opt. | Fortran | $\begin{array}{rr} \hline \text { IBM } & 360 \\ \text { CDC } & 74 \\ \hline \end{array}$ |
| 1-6 | HARPST | 1975 | Pedestrian Effects | Mac., Det., TS, Sim. | GPSS | IBM |
| 1-7 | UTCS-IS | 1973 | Traffic Performance | Mic., Stoc., Sim. | $\begin{array}{\|l\|} \hline \text { Fortran } \\ \text { IV } \\ \hline \end{array}$ | IBM 360 |
| 1-8 | BLY | 1973 | Bus Priority Lanes | Mic., Sim. | Fortran | Unknown |
| 1-9 | SIGSET | 1973 | Signal Timing (Cycle \& Splits) | Mac., Det., TS, Opt. | Fortran | $\begin{array}{\|c\|c\|} \hline \text { IBM } 360 / \\ 370 \\ \hline \end{array}$ |
| 1-10 | BRADFORD | 1968 | Gap Acceptance | Mic., Stoc., TS, Sim. | ALGOL | ICL 1909 |
| 1-11 | TEC | 1968 | Traffic Performance | Mic., Det., TS, Sime | GPSS | $\begin{aligned} & \text { IBM } 7094 \\ & \text { IBM } 360 \end{aligned}$ |
| 1-12 | JONES | 1968 | Left Turn Storage | Mic., Stoc., TS, Sim. | Fortran | IBM 1130 |
| 1-13 | DARE | 1968 | Advisory Speed Signals | Mic., Det., TS, Sim. | GPSS | IBM 360 |
| 1-14 | WRIGHT | 1967 | Stop Control Delays | Mic., Stoc., TS, Sim. | $\begin{array}{\|l} \text { ALGOL } \\ (\text { Ext. }) \end{array}$ | Unknown |
| 1-15 | BOTTGER | 1965 | Four Way Stop | Mic., TS, Sim. | Unknown | Unknown |
| 1-16 | MILLER | 1965 | Effect of Turns | Mic., Stoc., Sime | Unknown | Unknown |
| 1-17 | NCHRP | 1964 | Traffic Performance | Mic., Stoc., TS, Sim. | $\begin{aligned} & \text { For tran } \\ & \text { 11, FAP } \\ & \hline \end{aligned}$ | IBM 1094 |
| 1-18 | $\begin{aligned} & \text { AUSTRAL- } \\ & \text { IAN } \end{aligned}$ | 1964 | Capacity and Controls | Mic., Stoc., TS, Sim. | Fortran | 1BM 7090 |
| 1-19 | BLEYL | 1964 | Traffic Performance | Mic., Stoc., TS, Sim. | $\begin{gathered} \text { Fortran } \\ 11 \end{gathered}$ | IBM 7094 |
| 1-20 | EVANS | 1963 | Queueling at Stop Signs | Mic., Stoc., TS, Sim. | Unknown | IBM 7090 |
| 1-21 | AITKEN | 1963 | Queueing at "T" Junction | Sim. | Unknown | Ferrenti <br> Sirius |
| 1-22 | KELL | 1962 | Vehicular Delay | Mic., Stoc., TS, Sim. | FAP | $\begin{array}{r} \text { IBM } 7018 \\ 7094 \\ \hline \end{array}$ |
| 1-23 | LEWIS | 1962 | Traffic Control | Mic., Stoc., TS, Sim. | For tran $11 / F A P$ | ISM 7094 |
| 1-24 | NPL | 1962 | Traffic Performance | Mac, Det., Sim. | Unknown | Ferrenti Pegasus |
| 1-25 | CHEUNG |  | Delay | Mac., Det., TS, Sim. | Fortran | ICL 1907 |
| 1-26 | GOODE | 1956 | Delay | Mic., Det., TS, Sim. | Unknown | MIOAC <br> IBM 704 |

Abbreviations:
Mic. - Microscopic
Det. - Deterministic
TS - Time Scan
Sim. - Simulation

Mic. - Microscopic
TS - Time Scan
Sim. - Simulation

Mac. - Macroscopic
Stoc. - Stochastic
ES - Event Scan
Opt. - Optimization
*Also available in hand-held calculator and micro computer versions.


Figure 10. Congested Arterlals

Trafflc engineers have a wide range of Improvements that can be considered to increase the traffic-carrying capability of urban arterlal streets. Anong the flrst looked at are usually trafflc control measures, such as Improved signal phasing and timing, coordination of signals, removal of curb parkIng, etc., due to the ir lower cost. The next level of improvement can include minor geometric improvements, such as construction of separate turn lanes or pull-out lanes for buses or minor widening of short segments of streets. Systems for coordinating traffic signals along arterlal highways to provide continuous movement of trafflc have also been a commonly used traffic control strategy for many years.

Over the years, computer programs to determine the noptimaln offset and timing have been developed and used by practicing traffic engineers. More recently, programs have been developed which assist trafflc engineers in developing a more neerly optimum signal system for the modern trafflc controllers which provide multi-phase and multi-split capabilities. Other models have been developed which evaluate bus operations,

Intersection operations and vehlcle performance along arterials, both urban and rural.

Table 2 summarizes the programs identified as arterlal models only. Other models in the succeeding section on network also have applications for a single arterlal. Reviem of these models indicates that several models, particularly the slgnal optimization models, have widespread usage in the urban traffic engineering field (PASSER II, PASSER III, SIGPROG, SIGART and LITTLE/MORGAN). However, PASS\& II \& lll are the more recent models, represent current state-of-the-art and, most importantly, are meintained by a public agency. Therefore, PASSER 11 \& 111 were chosen for Inclusion in the Handbook.

PASSER 11, Progression Analysis and Signal System Evaluation Routine, version two, was developed at Texas A \& M University's Texas Transportation Institute for the Texas HIghway Department and provides the user with a valuable tool for determining optimal splits, phases and offsets. PASSER Ill, a spectallzed version for diamond interchange signallzation, may be used for elther an isolated interchange or along a frontage road system.

A special purpose model, SUB (SImulation of Urban Buses), has been developed by FHWA and presents an evaluation of the benefits of bus stop locatlons (nearside, farside or midblock) as well as physical characteristics (protected or unprotected lanes). Because of the increased interest in bus operations within our urban areas this model was also Included in the Handbook to provide transit operators with a tool for evaluating bus lane use and bus stop operations. It is expected that the characteristics of the SUB model wlll eventually be incorporated into TRAF (see Chapter 14).

The Maxband model was not included since It is still under development by MIT under contract to FHWA. When thls model has been fully developed and tested within the next fow years it should be a valld model for consideration for use since it will be ma intalned by FHWA.

Table 2 - Summary of Arterial Models

| Number | Name | Date | Application | Modeling Approach | Program Language | Computer |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A-0 | MAXBAND | UD | Signal Progression | Mac., Det., TS, OPT. | Fortran IV | IBM 370 |
| A-1 | TWOMIC-2CL | 1980 | Two-Lane Rural Roads | Mic., Stoc., TS, Sim. | Fortran IV | CDC 6400 |
| A-2 | MRI | 1980 | Traffic Flow in Mts. | Mic., Stoc., TS, Opt. | $\begin{aligned} & \text { Fortran IV } \\ & \text { /Assembly } \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 0 C \\ & 6900 \end{aligned}$ |
| A-3 | NO STOP 1 | 1979 | Signal Progression | Mac., Det., TS, Opt. | Fortran IV | IBM 360 |
| A-4 | $\begin{gathered} \hline \text { PASSER } \\ 11 \\ \hline \end{gathered}$ | 1978 | Signal Progression | Mac., Det., TS, Opt. | Fortran IV | $\begin{gathered} \hline \text { I®M } 360 / \\ 370 \\ \hline \end{gathered}$ |
| A-5 | $\begin{gathered} \hline \text { PASSER } \\ 111 \\ \hline \end{gathered}$ | 1976 | Signal Timing Diamond Ramps | Mac., Det., TS, Opt. | ANS I/ <br> Fortran IV | $\begin{array}{\|c\|} \hline \text { IBM } 360 / \\ 370 \\ \hline \end{array}$ |
| A-6 | SIMTOL | 1976 | Grades \& Trucks | Mic., Stoc., TS, Sim. | Fortran IV | $\operatorname{CDC~} 6400$ |
| A-7 | SUB | 1973 | Urban Bus Operations | Mic., Stoc., ES, (buses), TS (others) | Fortran IV | $\begin{gathered} \text { IBM } 360 / \\ 370 \\ \hline \end{gathered}$ |
| A-8 | NCSU | 1973 | Passing Sight <br> Distance Requirement | Mac., Det., TS, Opt. | Fortran IV | Unknown |
| A-9 | YU/VANDYKE | 1973 | Parking Effects on Capacity | Mic., Det., Sim. | Unknown | Unknown |
| A-10 | VECELLIO | 1973 | Platoon Dispersion | Mac., Det., Sim. | GPSS | $\begin{gathered} \hline \text { IBM } 360 / \\ 165 \\ \hline \end{gathered}$ |
| A-11 | TSUMB | 1971 | Intersection Operations | Mic., Stoc., Sim. | Machine Code | $\begin{aligned} & \text { Ell liott } \\ & 920 \mathrm{NB} \\ & \hline \end{aligned}$ |
| A-12 | $\begin{aligned} & \text { MACCLEN- } \\ & \text { AHAN } \end{aligned}$ | 1969 | Vehicle Lengths | Mic., Det., TS, Sim. | Fortran IV | Unknown |
| A-13 | DELAY/ <br> DIFFERENCE | 1969 | Signal Progression | Mac., Det., TS, Sim. | Fortran IV | IBM 7094 |
| A-14 | SIGPROG | 1967 | Signal Progression | Mac., Det., TS, Opt. | Fortran | IBM 360 |
| A-15 | FIRL | 1967 | Passing Maneuvers | Mic., Det., TS, Sim. | Fortran IV | IBM 360 |
| A-16 | WARNSHIUS | 1967 | ```Traffic Flow - Rural Roads``` | Mic., Det., TS, Sim. | Fortran IV | IBM 7094 |
| A-17 | SIGART | 1965 | Signal Progression | Mac., Det., TS, Opt. | Fortran IV | $\begin{array}{ll} \text { IBM } & 360 \\ \operatorname{CDC} 74 \\ \hline \end{array}$ |
| A-18 | NEWARK | 1965 | Car Following Man. | Mic., Stoc., Sim. | Unknown | Unknown |
| A-19 | LITTLE \& MORGAN | 1964 | Signal Progression | Mac., Det., TS, Opt. | Fortran IV | $\begin{aligned} & \text { IBM } 7094 \\ & 8 \quad 1620 \\ & \hline \end{aligned}$ |
| A-20 | YARDENI | 1964 | Signal Progression | Mac., Det., TS, Opt. | Fortran IV | $\begin{aligned} & \text { IBM } 7090 \\ & \& 7040 \\ & \hline \end{aligned}$ |
| A-21 | FISHER | 1964 | Lateral Restrictions | Mic., Stoc., TS, Sim. | Unknown | IBM 650 |
| A-22 | PRETTY | 1964 | Traffic Flow Signalized Arterial | Sim. | Unknown | Unknown |
| A-23 | $\begin{aligned} & \hline \text { ARNOLD/ } \\ & \text { RESZ } \\ & \hline \end{aligned}$ | 1964 | Traffic flow on TwoLane Roads | Mic., Det., ES, Sim. | Unknown | Unknown |
| A-24 | MANCHESTER | 1963 | Traffic Performance | Mac, Stoc., TS, Sim. | Atlas <br> Autocode | Atlas ICT |
| A-25 | RHEE | 1963 | Traffic Control Pol. | Mac., Det., TS, SIm. | Unknown | Unknown |
| A-26 | NBS | 1961 | Traffic Flow | Mac., Sim. | Assembly | IBM 704 |


| Abbreviations: Mic. - Microscopic | Sim. - Simulation | Stoc. - Stochastic |  |
| :--- | :--- | :--- | :--- |
|  | Det. - Deterministic | UD. - Under Development | ES - Event Scan |
|  | TS - Time Scan | Mac. - Macroscopic | Opt. - Optimization |



Figure 11. CBD Problems

## Arterial Network Models

In most urban areas, streets and highways form an integrated network within the more densely populated areas. This is most noticeable in central business districts where resurgence in reconstruction and consequently travel, within these ar eas. During the next decade the growth in our urbanized areas is expected to continue to tax our existing highway system, particularly in the CBD.

Unfortunately this modernization of the infrastructure of the downtown areas has frequently not included the physical street system or traffic operations controls. Traffic entering the CBD immediately slows to a crawl due to limited roadway capacity, poorly timed signals, and outmoded operational procedures (on street parking, bus loading and unloading on thru lane, left turning vehicles, etc.).

Such efforts as improved signal timing, arterial signal interconnection, removal of parking, one-way streets or reversible lane operations and other potential improvements must continue to be utilized if maximum use
is to be made of our existing arterial network. Other improvements, such as centralized traffic signal systems controlled by computers, provide the opportunlty for being more responsive to change in travel demand and increasing the available capacity. These new systems are expensive to implement on a trial and error basis and, therefore, are not looked at as frequently as they should be.

However, recent developments in computer modeling provide the traffic engineer with rather inexpensive methods of developing and evaluating various alternatives in order to select the ones most beneficial to the network as a whole. Most of these models require the same inputs that traffic engineers normally obtain and the models provide an economical method of assessing proposed improvements.

Table 3 summarizes the models that can assist the traffic engineer in analyzing and evaluating alternative network traffic control systems.

One of the most widely used models has been TRANSYT, originally developed in England. This model permits development of optimum signal timing and of fets to minimize travel time (delay) and stops within an interconnected system of signals. Results obtained from the use of TRANSYT have proven to be beneficial after implementation. Recently FHWA enhanced and modified this program as TRANSYT-7F and will maintain this program.

Recently the FHWA has redesigned SIGOP into a new version, SIGOP 111 , which provides for improved optimization of signal timing with output that permits basic evaluation between alternatives. This model provides for a comprehensive evaluation, including cycte lengths, with measures of effectiveness for both link and the network as a whole. This model will also be maintained by FHWA, and along with TRANSYT-7F, is included in this Handbook. Both represent the latest state-of-the-art and will provide the urban traffic engineer with the opportunity to evaluate the benefits of either model.

Table 3 - Summary of Arterial Network Models

| Number | Name | Date | Application | Model ing Approach | Program Language | Computer |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{N}-0$ | NETFLO | 1982 | Eval. TSM Strategies | Mac., Stoc, TS, Sim. | Fortran | IBM, CDC, BURROUGH |
| $\mathrm{N}-1$ | TRANSYT-7F | 1981 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran IV | IBM, CDS, BURROUGH, HONEYWELL |
| $\mathrm{N}-2$ | SIGOP 111 | 1980 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran | $\begin{aligned} & \text { CDC } 660 \\ & \text { IBM } 360 / 370 \end{aligned}$ |
| $\mathrm{N}-3$ | TRANSYT-7 | 1978 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran IV | $\begin{array}{ll} \text { ICL } 4-70 \\ \text { IBM } 360 / 370 \\ \hline \end{array}$ |
| N-4 | NETSIM | 1977 | Evaluate Signal Control Systems | Mic., Stoc, TS, Sim. | Fortran IV | $\begin{aligned} & \text { IBM } 360 / 370 \\ & \operatorname{CDC} 6600 \end{aligned}$ |
| N-5 | TRANSYT-6C | 1977 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran | $\begin{array}{lll} \infty 0 & 6600 \\ \text { IBM } & 360 / 370 \end{array}$ |
| N-6 | SIGRID | 1977 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran | $\operatorname{CDC~74/172~}$ |
| N-7 | TRASOM | 1976 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran IV | Unknown |
| N-8 | $\begin{aligned} & \text { BRITISH } \\ & \text { COMBIN. } \end{aligned}$ | 1974 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran IV | IBM 360/50 |
| N-9 | MITROP | 1974 | Opt. Signal Timing | Mac., Det., TS, Opt. | MPSX/MIP | IBM 370/165 |
| $\mathrm{N}-10$ | SIGOP I | 1974 | Opt. Signal Timing | Mac., Det., TS, Opt. | Fortran IV | IBM 370/165 |
| $\mathrm{N}-11$ | ERIKSEN | 1973 | Eval. Bus Movement | Mic., ES, Sim. | Unknown | Unknown |
| $\mathrm{N}-12$ | SIGNET | 1972 | Eval. Sig. Timing | Mic., Stoc., TS, Opt. | Fortran IV | CDC 6500 |
| $\mathrm{N}-13$ | UTS-1 | 1971 | Eval. Traffic Flow | Mis., Stoc., TS, Slm. | Unknown | Unknown |
| N-14 | $\begin{gathered} \hline \text { BIRMING- } \\ \text { HAM } \\ \hline \end{gathered}$ | 1970 | Evaluate Signal Timing | Mic., Det., TS, Sim. | Egtran 3 | Atlas ICL |
| $\mathrm{N}-15$ | DYNET | 1969 | Eval. Traffic Flow | Mic., Stoc., TS, Sim. | Fortran | IBM 360 |
| $\mathrm{N}-16$ | SAKAI/ NAGAO | 1969 | Eval. Traffic Flow | Mac., Det., TS, Sim. | Machine Language | MiniComputer |
| N-17 | SCHALK- <br> WI JK | 1968 | Eval. Traffic Flow | Mac., Sim. | SimScript | CDC |
| N-18 | LONGLEY | 1968 | Eval. Traffic Flow | Mic., Det., TS, Sim. | Fortran | Elliott 4100 |
| $\mathrm{N}-19$ | MILLER \& SCHWARTZ | 1966 | Eval. Sig. Timing | Mac., Sim. | GPSS | IBM 7094 |
| N-20 | VETRAS | 1966 | Eval. Traffic Flow | Mic., Stoc., TS, Sim. | GPSS | IBM 360 |
| $\mathrm{N}-21$ | TRRL | 1965 | Eval. Sig. Timing | Mac., Stoc., TS, Sim. | Unknown | Ferranti Pegasus |
| $\mathrm{N}-22$ | VTS | 1964 | Eval. Traffic Flow | Mic., Stoc., TS, Sim. | GPSS/FAP | IBM 7090 |
| $\mathrm{N}-23$ | TRANS | 1963 | Eval. Sig. Timing | Mac., Stoc., TS, Sime | SAP/FAP | IBM 709 |
| $\mathrm{N}-24$ | TRAUTMAN | 1954 | Eval. Trafflc Flow | Mac., Stoc., TS, Sim. | Unknown | SWAC |

Abbreviations:

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Mic. - Microscopic
Det. - Deterministic
    TS - Time Scan
    Sim. - Simulation
```

Mac. - Macroscopic
Stoc. - Stochastic
ES - Event Scan
Opt. - Optimization

DEVELOPMENT

Where more sophisticated computer control systems are avaliable for changing signal timings, based upon demand as well as the need to evaluate other operational improvements (removal of parking, dedicated bus lanes, turn prohlbits, etc.), the NETSIM simulation model has proven quite usetul. This simulation model can be used to evaluate several alternatives which are beling considered and provides a basis for a comprehensive analysis and identification of potential problems which could occur that would not show up in other models. This model is maintained by FHWA and is expected to be continually enhanced with little change in basic input coding except for the addition of a interactive input processor for use by engineers having access to CRT's.


Figure 12. Freeway HOV Lanes.

## Frecway Models

In recent years an emphasis has been placed on increasing the capacity, safety and efficlency of our nation's freeways. These limited access highways were bullt generally during the last two decades to serve existing and future traffic for years to come.

However, due to the attractiveness of these facillties, design traftic volumes were often exceeded within several years of the ir open Ing.

Today our freeways operate during portions of the day with stop and go traffic and low speeds, much as the parallel arterials they were to replace. This congestion is due to demand in excess of freeway capacity and, frequently, to accident or other incidents affecting traffic conditions.

Since most of the congested freeways are within the urbanized areas, the typical solutions of adding lanes are not feasible, due to right-of-way and construction costs, as well as land use and environment problems. The more economical solutions to these problems have concentrated on encouraging higher vehicle occupancy, controlling the rate of access to the freeway, improving bottlenecks due to weaving or Inadequate merging lanes, as well as detection of incidents to permit Improved response by traffic control officials.

In the last decade, a number of computer models have been developed to ald the transportation engineer in evaluating alternative traffic control strategies to improve the efficiency of the freeway system. Table 4 summerizes the models that were reviewed.

The most common method of encouraging higher vehicle occupancy has been through the designation of a priority lane reserved exclusively for high-occupancy vehicies (HOV). The model which has been used the most extensively in the past to evaluate the effectiveness of this technique is the PRIFRE model. PRIFRE, a reverse acronym for FREeway PRlority lane model, can be used to evaluate the existing conditions without priority treatment of HOV's and var lous types of prlority treatments.

Another method of improving the level of service of freeways is the use of ramp metering to either control the flow of entering vehicies or provide prlority treatment for

Table 4 - Summary of Freeway Models

| Number | Name | Date | Application | Modeling Approach | Program Language | Computer |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| F-0 | FREFLO | 1979 | Evaluate Traffic Flow | Mac., Det., TS, Sim. | Fortran 79 | $\begin{aligned} & \text { CDC, IBM, } \\ & \text { BURROUGH,DEC } \end{aligned}$ |
| F-1 | FREQ6PL | 1978 | Evaluate HOV Lanes | Mac., Det., TS, Opt. | ANS I Fortran | ODC/IBM |
| F-2 | FREQ4CP | 1976 | Develop Optimal Ramp Metering | Mac., Det., TS, Opt. | ANS : Fortran | CDC/IBM |
| F-3 | FREQ3CP | 1975 | Develop Optimal Ramp Metering | Mac., Det., TS, Opt. | Fortran IV | $\begin{aligned} & 1 \mathrm{BM} 360 \\ & \text { CDC } 6900 \\ & \hline \end{aligned}$ |
| F-4 | TRAFFIC | 1975 | Evaluate Incident Detec. Strat. | Mic., Stoc., TS, Sim. | Fortran IV | CDC 6400 |
| F-5 | MACK | 1974 | Eval. Traf. Flow | Mac., Det., TS, Sim. | Fortran | CDC 6400 |
| F-6 | PRIFRE | 1973 | Evaluate HOV Lanes | Mac., Det., TS, Sim. | Fortran IV | $\begin{aligned} & \hline \text { CDC } 6400 \\ & \text { IBM } 360 \\ & \hline \end{aligned}$ |
| F-7 | RAMPCON | 1973 | Develop Opt. Metering Rates | Mac., Det., TS, Sim. | Fortran | CDC 6400 |
| F-8 | SINHA | 1973 | Evaluate Traffic <br> Flow | Mic., Stoc., TS, Sim. | Fortran IV /Assembly | $\begin{gathered} \text { 1BM } \\ 360 / 65 \\ \hline \end{gathered}$ |
| F-9 | SDC | 1972 | Evaluate Traffic <br> Flow | Mic., Stoc., TS, Sim. | Fortran IV | IBM 360/67 <br> UNIVAC 1108 |
| F-10 | GEORGIA | 1971 | Eval. Effects of Trucks | Mic., Stoc., TS, Sim. | Fortran IV /Assembly | $\begin{aligned} & \text { IBM } 360 / \\ & 30 \quad \& 50 \\ & \hline \end{aligned}$ |
| F-11 | $\begin{aligned} & \text { CONNECTI- } \\ & \text { CUT } \\ & \hline \end{aligned}$ | 1970 | Evaluate Traffic Flow | Mic., Stoc., TS, Sim. | Fortran IV | $\begin{aligned} & \hline \text { UN IVAC } \\ & 1106 \\ & \hline \end{aligned}$ |
| F-12 | MIKHALKIN | 1970 | Eval. Sensor Loc. | Mic., Stoc., TS, Sim. | Fortran IV | 1 BM 360 |
| F-13 | NORTHWESTERN | 1969 | Evaluate Lane Changing | Mic., SToc., TS, Sim. | Fortran IV /SPURT | CDC 6400 |
| F-14 | TTI MERGING | 1969 | Evaluate Ramp Controls | Mic., Stoc., TS, Sim. | Fortran IV | IBM 7094 |
| F-15 | MRI | 1968 | Evaluate Traffic Flow | Mic., Stoc., TS, Sim. | Fortran IV /Assembly | IBM 360/50 |
| F-16 | MIESSE | 1966 | Eval. Ramp Closures | Mic., Stoc., TS, Sim. | Unknown | Unknown |
| F-17 | ARIZONA | 1964 | Evaluate Ramp Design | Mic., Stoc., TS, Sim. | Fortran \& Autocoder | $\begin{aligned} & \text { IBM } 7072 \\ & \text { or } \quad 1401 \\ & \hline \end{aligned}$ |
| F-18 | GERLOUGH | 1965 | Eval. Traf. Flow | Mic., Stoc., TS, Sim. | Unknown | SWAC |

```
Abbreviations: Mic. - Microscopic
    Det. - Deterministic
    TS - Time Scan
    Sim. - Simulation
```

Mac. - Macroscopic
Stoc. - Stochastic
ES - Event Scan
Opt. - Optimization
high occupancy vehicles. The FREQ3CP model has been used frequently to evaluate alternative priority entry control for freeways. The FREQ3CP model can be used to determine the entry control strategy (metering rates and priority cut-of $f$ levels) that maximize an objective function such as passenger input or miles of travel.

Although both of these models have been around for a number of years (they are included in the FHWA Transportation Planning "Back Pack" llbrary), they have been included in this Handbook. They have proven to be a valuable tool in evaluating freeway operations.

Both of these models were developed at the Institute of Transportation Studies (ITS) by Or. Adolph D. May and his associates at the University of California at Berkeley. In recent years Dr. May and his associates have extended FREQ3CP and PRIFRE to include fuel consumption, vehicle emissions and demand response impacts. The more current version of this model, $\operatorname{FREQ6PL}$, undergoing testing as of this writing (as was FREQ6PE) a corridor model discussed in the next section).

It was therefore felt more approprlate to Include PRIFRE and FREQ3CP in the Handbook since these models are fully documented and are readily available. For those urban traffic engineers and planners who wish to undertake a more comprehensive evaluation of the effect of ramp metering and freeway HOV lanes it is suggested they contact ITS to determine the availability of their latest programs and documentation.

## Transportation Corrldor Models

During the last decade, transportation officials concerned with congestion on our freeway systems have looked to solutions which considered the entire system of arterials and freeways serving the transportation corridors. These efforts have been focused not only on increasing freeway capacities and vehicle occupancy but on fuller use of the existing capacity available on parallel facilities, as well as efforts to minimize the travel time and delay for the system as a whole.


Figure 13. Transportation Corridors

Efforts toward accomplishing this purpose have included preferential treatment for high occupancy vehicles both on the freeway and their entrances to parallel facilities (where additional vehicles would reduce the level of service on the freeway to unacceptable levels) and survelllance of accidents and other incidents in order to implement control strategies for diversion of traffic to alternate routes.

Most of the computer models available for developing and evaluating transportation corridors are recent and are still in the process of development, testing and refinement. Table 5 summerizes those models which were identified and reviewed.

Much active work in model development in this area is being done by the University of California in Berkeley. Existing models (PRIFRE, FREQ, CORQIC and TRANSYT, etc.) were extended and refined to obtain a family of models for use in evaluating TMS-type projects. These five models, FREQ6PL, FREQ6PE, FRESCOT, TRANSYT-6C and SIMTOL provide the capablility for investigating demand, supply and control interaction for transportation corridors.

Table 5 - Summary of Transportation Corridor Models

| Number | Name | Date | Application | Model ing Approach | Program Language | Computer |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T-0 | TRAFLO | 1982 | Evaluate TSM Strategies | Mac., Stoc., TS, Sim. | Fortran 77 | CDC/IBM, BURROUGH |
| T-1 | FREQ7 | 1980 | Eval. Ramp Metering, Corridor Analysis \& Driver Response | Mac., Det., TS, Opt. | ANS I Fortran | CDC/IBM |
| T-2 | FREQ6PE | 1978 | Develop Optimal Metering Strategy and Corridor Analysis | Mac., Det., TS, Opt. | ANS I Fortran | CDC/IBM |
| T-3 | FREQ5CP | 1977 | Eval. Ramp Metering \& Corridor Analysis | Mac., Det., TS, Opt. | ANS I Fortran | COC/IBM |
| T-4 | INTRAS | 1977 | Eva. Freeway Incidents On Corridor Operations | Mic., Stoc., TS, Sim. | Fortran IV | $\begin{array}{\|ll\|} \hline \text { IBM } 370 \\ \text { CDC } & 7600 \\ \hline \end{array}$ |
| T-5 | CORQ IC | 1975 | Develop Optimal Controls for Corridor Operations | Mac., Det., TS, Opt. | Fortran IV | CDC 6400 |
| T-6 | CORQ | 1974 | Eva. Traffic Control Strategies within Corridor | Mic., Det., TS, Sim. | Fortran IV | IBM 360 |
| T-7 | VPT | 1974 | Evaluation of Traffic Flow in Freeway Network | Mic., Stoc., TS, Sim. | $\begin{aligned} & \text { Fortran IV } \\ & \text { /COMPASS } \end{aligned}$ | CDC 7600 |
| T-8 | LIEW | 1974 | Evaluate Optimal Ramp Control Strategies | Mac., Stoc., TS, Sim. | Unknown | Unknown |
| T-9 | STAR | 1974 | Evaluate Surveillance and Control Strategies for Route Diversions | Mac., Det., TS, Sim. | Unknown | Unknown |
| T-10 | SCOT | 1975 | Evaluate Traffic Control Strategies within Corridor | Mic., Stoc., TS, Sim. | Fortran IV | $\begin{array}{ll} \hline \infty \text { C } 660 \\ \text { IBM } 370 \\ \text { UNIVAC } \\ \hline \end{array}$ |
| T-11 | FRIOP | 1972 | Develop Optimal Interchange Configuration | Mac., Det., ES, Opt. | Fortran IV /Assembly | IBM 360 |
| T-12 | DAFT | 1970 | Evaluate Traffic Control Strategies within Corridor | Mac., Stoc., TS, Sim. | Unknown | Unknown |
| T-13 | SDC | 1966 | Evaluation of Alternative Diamond InterChange Configurations | Mac., Stoc., TS, Sim. | Jovial/ <br> Machine | $\begin{aligned} & \hline \text { VARIAN } \\ & 620 \end{aligned}$ |
| T-14 | TRANSIM | 1966 | Evaluation of Traffic Performance in System | $\begin{aligned} & \text { Mic./Mac., Stoc./Det. } \\ & \text { TS, Sim. } \\ & \hline \end{aligned}$ | Fortran IV | $\begin{array}{\|l\|} \hline \text { IBM } 7090, \\ 7094,1401 \\ \hline \end{array}$ |

Abbreviations:
Mic. - Microscopic
Det. - Deterministic
TS - Time Scan
Sim. - Simulation

Mac. - Macroscopic
Stoc. - Stochastic
ES - Event Scan
Opt. - Optimization

Due to limitations of the models that could be included in the Handbook none of these models were included. It is felt comprehensive studies of major transportation corridors are unique and present special circumstances which would require an evaluation of several models to select the most appropriate. It is recommended that users who are interested in studies of this nature contact the University of California to determine availability and applicabllity of other models.

The FHWA of fices of Research and Development are developing a family of traffic simulation models as part of the TRAF Program (Ref. 3.2) which allow the simulation of transportation corridors (See Chapter 14-Future Developments).

## METMOD OF PRESENTATION

Each of the ten models which were selected are described in the following chapters. A summary description of the model is provided followed by a discussion on its input requirements, model operation and significant computational algorithms and output reports. Any special features which are available as well as potential applications and limitations are described. This is followed by several example applications of the models and a list of appropriate references.

During the development of the Handbook it was determined that the best method of model evaluation and presentation was to select actual problems faced by urban traffic engineers rather than use problems illustrated in the text of model documentation. By this technique the authors were able to "start from scratch," as would a new user and could evaluate adequacy of model documentation, data collection requirements, coding effort and usefulness of output reports.

In the case of the six models used for intersection, arterial and arterial network the data were obtained from the Central Business District of the City of Tampa, Florida.

Within this downtown area is a fairly congested signalized intersection with some unique operational characteristics. This intersection is also part of an arterial serving as a major access to the CBD. This arterial roadway is within an interconnected signal system providing a background cycle for seven actuated signals and one fixed time signal. Presently this arterial is also part of CBD arterial network which includes a system of one-way streets with an additional 50 fixed time signals interconnected and under the same master control as the arterial. The entire downtown system is presently controlled by this master controller with three dial operation.

The other arterial model, PASSER ill, is for diamond interchanges. Since no diamond interchanges exist within the CBD an interchange within the adjacent urban area was selected.

For the two freeway models, PRIFRE and FREQ3CP, a section of $1-95$ in Miami, Fiorida (Airport expressway to Golden Glades) was used as the example application. This section was previously evaluated by the Florida Department of Transportation and field data was readily available.

As previously indicated, each model is discussed in separate chapters. However, several models have applications at the same type of location. Therefore, to illustrate model applications and to permit comparison between models, the same problem is used frequently in two or more chapters. The first time a problem is used there is a more detailed description of existing conditions. When used in succeeding chapters this detall is omitted and the reader may wish to refer back to the referenced chapters.

## AVAILABILITY OF MODELS

The models included within this Handbook provide the urban traffic engineer with a wide range of capabilities to evaluate typical traffic management problems they are faced

Table 6 - Capabilities of Handbook Models

| MODEL | LOCATION APPLICATION |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Intersection | Arterial | Arterial Network | Freeway Lanes | Freeray Ramps |
| SOAP | OPT |  |  |  |  |
| TEXAS | SIM |  |  |  |  |
| PASSER 11 | OPT | OPT |  |  |  |
| PASSER 111 |  | OPT* |  |  |  |
| SUB |  | SIM* |  |  |  |
| TRANSYT-7F |  | OPT | OPT |  |  |
| SIGOP 111 |  | OPT | OPT |  |  |
| NETSIM | SIM | SIM | SIM |  |  |
| PRIFRE |  |  |  | SIM |  |
| FREQ3CP |  |  |  |  | OPT |

*Special Application
with today. Table 6 summarizes the capabilities of the models discussed in this Handbook.

Each of the models described in this Handbook has been placed in a Tape Library which is available for purchase at a modest fee. This can be obtained from the Implementation Division of the Federal Highway Administration by completion of the order form on the last page of this Handbook.

The Tape Library includes the computer program for each model and the problems described in this Handbook for use in executing the problem on the user's computer to determine compatibility.

The Technical Appendix that is provided with the tape includes a description of the structure and contents of the tape, instructions for installing and accessing specific prom grams as well as notes on using and modifying the source code. In addition, a separate chapter is devoted to each model to describe the machine requirements, comments on required Job Control Language (JCL), data
coding and input-output requirements. Also there is a discussion on the use of the example problem for executing the programs.

The Tape Library package does not include a User's Manual or Programmer's Manual for each model. These must be obtained from the National Technical Information Service (NTIS). The documents that are available for earlier models are listed in the references at the end of each chapter along with the ir NTIS number. The documentation that is essential for model application, the User's Manual and frequently a programmer's manual, are indicated by an asterisk.

The Tape Library has been successfully installed and executed on IBM 360/370 equipment and users that have access to this computer system should have no unusual difficulties in using the models. Since all the programs are written in FORTRAN IV, users with other computer systems should be able to install the program on other compatible systems with a minimum of effort (no more than one to two weeks programmer's time).

## DEVELOPMENT

## REFERENCES

3.1 Byrne, A.S., et al., "Handbook of Computer Models for Traffic Operations Technical Appendix: Summary of Models and References," Technology Shar ing Report; FHWA-TS-80, Federal Highway Administration, 1980, 215 pgs.
3.2 "Executive Summary", Integrated Traffic Simulation Model-Phase 1, FHWA-RD801086.

# CHAPTER 4 - SOAP (INTERSECTION OPTIMIZATION MODEL) 

In the United States today there are over 240,000 signalized intersections with more being installed each day. To the driver of a vehicle these signalized intersections can elther ald them on a trip or become an obstacle which delays the ir free movement. In the minds of these drivers, how efficlent their streets are controlled depends largely on their perception of how well each works to their beneflt. Therefore, the efflcient operation of signalized intersections is a matter of increasing concern to both the motorist and the traffic engineer.

Current traffic signalization design procedures do not permit a truly comprehensive design due to the largely trial-and-error process which is required. Frequently the experlence of the designer is heavily weighted in the ultimate design and many factors, such as phasing patterns, hourly volume patterns, etc., are not considered adequately in developing the signal control strategy.

Clearly, there is a need for a procedure that will allow the traffic signal designer to consider a varlety of phasing possibllities and to allow the varying trafflc volumes to be considered. In addition, data should be provided to permit the designer to conduct a cost-effectiveness evaluation of alternative traffic control equipment.

With this need in mind, the Florida Department of Transportation and Federal HIghway Administration, have recently developed a computer model that provides the user with a valuable tool for examining a wide range of Intersection signal design alternatives and selecting the best alternative.

SOAP, which is an acronym for Signal Operatlons Analysis Package, is a traffic signal controller optimizing tool which enables the user to design the signal timing for any three or four legged intersection. SOAP will determine the cotimel cycle length, phasing pattern and left-turn contiguration for 1 solated Intersections. The user may preselect


Figure 14. Signalized Intersection
any of the design parameters if he chooses or allow SOAP to determine them by an optimizatlon algorlthm. SOAP can analyze present timing as well. Since the model has this dual capablility - design and analysis - it can be used as an evaluation tool to compare the relative effectiveness of alternative control strategies.

## MODEL DESCRIPTIOM

The Signal Operations Analysis Package (SOAP) was designed and written by the University of Florida Transportation Research Center (Ref. 4.1-4.5). The program was written in Fortran IV on an IBM 370/165 computer system. The program conslsts of over eleven thousand card images. Almost one half of these are actual Fortran code with the remaining lines used for program documentation.

This program requlres 202 K bytes of computer memory. During the development phase the
program has been run using IBM FORTRAN G, H-extended and WATFIV compilers. A version is also avallable for Burroughs computers. The current program is a stable and reliable version and should be free of errors. The program should be ready to run on most IBM systems with some changes required for other systems.

Execution time will vary considerably depending upon the time periods, type of control and use of progression analysis features. Typically, on the IBM 370/165, an execution time of 2 or 3 seconds may be required. More detailed information of the model program is found in the Programmer's Manual [4.4].

## INPUT REQUIREMENTS

The developers of the model have provided a program which can be run with only the normal information gathered by typical traffic engineering agencies. Provisions have been made for the user to modify the default values built into the program to reflect local conditions.

A standardized format for all input data is used to simplify the coding as much as possible and is shown in Figure 15.


Figure 15. General Card Format

There are three types of inputs which are required. These are:

Type 1 - Instruction cards which tell SOAP what to do,

Type 2 - Parameter cards which telt SOAP how to do it; and

Type 3 - Data cards which supply the input variables for the intersection under study.

Data may be coded and submitted to the computer as a single run or for multiple runs. Figure 16 shows the standard deck stack used for obtaining multiple computer runs.


Figure 16. Structure of SOAP Input Data Deck.

SOAP input data may consist of an original data deck for a glven intersection with multiple runs for evaluating alternatives. In addition, multiple intersections, or problems, may be included at the user's discretion. Table 7 contains a brief description of each of the input cards and the ir purpose.

## Instruction Cards

It was noted earller that multiple runs can be accommodated by SOAP. This does not mean that data requirements become overly burdensome. There are three levels of a complete execution:

1. A "job" which is the complete execution;
2. Problems, which are completely separate and independent analyses, but stacked for convenience to avoid multiple job executions; separated by BEGIN cards; and

Table 7 - Input Requirements for SOAP

| CARD TYPE | NAME | PURPOSE | DATA REQUIREMENT |
| :---: | :---: | :---: | :---: |
| Instruction Cards | BEGIN | To begin a new problem | Begin and end time, duration of periods and name of intersection |
|  | RUN | Initiates a run using all data in the input file | Case number and title of this run |
|  | END | To terminate job | None |
|  | COMMENT (optional) | To record user comments | Maximum of 25 characters per card |
|  | TABLE (optional) | To request intermediate output of tables | Table numbers desired |
|  | $\begin{gathered} \text { PLOT } \\ \text { (optional) } \end{gathered}$ | To obtain printer plots of specified variables | Plot number and number of hor izontal and vertical line and spaces between |
|  | COMPARE (optional) | To compare total delay and excess fuel consumption for prior runs | None |
|  | CASE (optional) | To name a case or run | Run (or Case) number and name of this case |
|  | NO WARN (optional) | To suppress printing of warning messages | None |
|  | $\begin{gathered} \text { CHECK } \\ \text { (optional) } \end{gathered}$ | To have SOAP check all input cards, but not execute | None |
| Parameter Cards | $\begin{gathered} \text { PATTERN } \\ \text { (optional) } \end{gathered}$ | To specify signal phasing patterns | Pattern "name" for east-west and north-south direction |
|  | LEFTURN (optional) | To specify protected left turning intervals or number of "sneakers" | Directions for protected turning intervals, number of left turn vehicles released at end of unprotected phases |
|  | $\begin{gathered} \text { CONTROL } \\ \text { (optional) } \end{gathered}$ | To specify controller operating parameters | Time duration, begin time, dial no. for fixed time control, min. \& max. cycle length and all-red period |
|  | $\begin{aligned} & \text { LINK } \\ & \text { (optional) } \end{aligned}$ | To examine progression with adjacent intersection | Dial number, average speed, distance, directions, outbound and inbound green split, volume data and degree of saturation at satellite |
| Data Cards | VOLUME | To input traffic counts | Volume units, duration period, begin time and volume of each movement |
|  | CAPACITY | To input capacity or lanes to calculate | Duration period, begin time, capacities or number of lanes |
|  | HEADWAY (optional) | To input headway data for each approach | Start up time and departure headways for thru and left movements |
|  | $\begin{array}{r} \text { EXISTING } \\ \text { (optional) } \\ \hline \end{array}$ | To analyze existing timing (no optimization) | Duration period, begin time, green time for each movement and pattern |
|  | MINGREEN (optional) | To specify minimum phase time for each movement | Minimum phase times for each movement |
|  | $\begin{gathered} \text { TRUCKS } \\ \text { (optional) } \end{gathered}$ | To adjust volumes to reflect trucks and buses | Duration period, begin time, and $\%$ trucks and buses for each movement |
|  | GROWTH (optional) | To input growth factors to update or project old counts | Duration per iod, begin time, growth factors for each movement |
|  | $\begin{gathered} \text { PCF } \\ \text { (optional) } \end{gathered}$ | To assign platoon Concentration Factor | Percent of traffic arriving on the red phase for each movement |

3. Runs within a problem separated by RUN cards.

The key instruction cards are thus the BEGIN, RUN and END cards. The BEGIN card clears all data arrays and commences a completely new problem. CASE cards may precede a begin card to label conditions (runs) included behind the BEGIN, as may COMMENT cards (which are ignored by SOAP except to echo them in the input report) and the NOWARN card. The CHECK card must precede a BEGIN card to suppress execution.

When a RUN card is encountered, SOAP begins execution and outputs all reports requested prior to the RUN card. It then looks for either another BEGIN card (to start a new problem), a COMPARE CARD (to insure that the previous run is included in the comparison) or an END card to terminate execution. If none of these is encountered (including the card following a COMPARE card) SOAP will begin to accept changes to the current data in preparation for the next run. Thus a typical deck to study, say, four alternatives will have most of the data in the first run, followed by three runs with only minor parameter or data changes.

## Parameter Cards

The parameter cards follow a BEGIN card. These four cards (PATTERN, LEFTURN, CONTROL, and LINK) establish the signal patterns, left turn sequence, the controller dial settings, cycle lengths and coordination data. All are optional and SOAP either has default values or will produce the parameters internally. Additionally, the EXISTING data card has parameters similar to the PATTERN card.

With multiple phasing and sequencing, there can be up to eight phases and these may be sequenced in many combinations, or patterns. To understand how to use the PATTERN, LEFTURN and EXISTING cards, it is necessary to know precisely how SOAP Interprets several traffic engineering terms, specifically "phase," "pattern," and "sequence."

1. Phase is a unique green display which authorizes only certain movements to
occur. Typical phases are shown in Figure 17. For SOAP's purposes the yellows are considered part of the green.

| Phases fon the Entine aequence |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Phase 1 | Phase 2 | Phase 3 | Phose 4 | Phase 5 |
|  |  |  |  |  |

Figure 17. Typical Signal Cycle Showing Phases, Patterns and Sequences
2. Pattern is the combination of phases for the north-south ( $N-S$ ) and east-west ( $E-W$ ) directions. For example, in Figure 17, the $\mathrm{N}-\mathrm{S}$ pattern consists of phases 1 and 2 and the E-W pattern consists of phases 1, 2, and 3, as indicated at the bottom of the figure.
3. Sequence is the complete phasing for the cycle, or phases $1-5$ as shown at the top of Figure 17.

To simplify coding of the input cards, a standard terminology for describing phases was developed. The permitted movements are simply named according to their direction, as illustrated in Figure 18. The sequence shown in Figure 17 is thus "LTETW". SOAP does not deal with the entire sequence, however, but in patterns. Thus the N-S "pattern name" is "LT" and the E-W "pattern name" is "ETW". This overcomes the uncertainty about the "TIs" since it is now clear which direction is intended. There are a total of eight twophase patterns and eight three-phase patterns which are permissible, in addition to the "all" patterns, shown at the bottom of Figure 18.


Figure 18. Terminology for Naming Signal Phases By Movement.

Pattern names (used on PATTERN and EXISTING cards) should not be confused with LEFTURN specifications, although they must be consistent.

The LEFTURN card establishes for each left turning movement any protected left turn intervals which are required. It is also possible to specify the minimum number of
vehicles on each approach which can be cleared during each cycle.

The CONTROL card establishes the dial number and, time periods, if any, for pretimed operation, the minimum and maximum cycle lengths and the length of any all red period. Up to six dials can be considered. If full-actuation operation is to be evaluated, the dial numbers and time periods are omitted.

Up to four LINK cards can be utilized to examine the effects of progressive movement of traffic through an adjacent signalized intersection. Data which must be coded include vehicle speed, distance, present green time at adjacent intersection, affect and thru volumes. If platoon concentration factors (PCF) are supplied they will override data on the LINK card.

## Data Cards

Eight data cards exist, but only two (VOLUME and CAPACITY) are required. The descriptions in Table 7 are self explanatory, but it is important to recall that all data input must always be in the order specified below (see special case of the "standard" data card as illustrated in Figure 19).


Figure 19. Input Data Card Format As A Special Case of the General

Card Format.

The VOLUME Card is necessary to establish the traffic volumes for each of the elght movements. A separate card is necessary for each time interval where a volume change occurs. If data are missing for some intervals, the user has the option to allow the program to
estimate the volumes by interpolation of values on each side of the vacant interval(s) or to omit the interval(s).

The CAPACITY Card establishes the (maximum) capacity, or saturation flow per hour of green time, given to each movement. However, the user has the option of coding the number of lanes and the saturation flows will be estimated using the departure headways provided in the HEADWAY Card. The number of lanes should be coded as a decimal number (e.g. 2.1) to permit the user to adjust saturation flows for narrow pavements and other restrictions.

Although the HEADWAY Card is optional, frequent users of SOAP will find it desirable to conduct headway studies for their area. If they are different than the default values of 3.5 seconds for start-up time and 2.2 and 2.5 seconds for thru and left turns departures, respectively, the user will want to adjust these default values and use their values for calculating capacity.

The EXISTING Card is optional but can be used to input existing signal timing. This provides a basis for comparing existing operating characteristics with those expected under optimized conditions. However, this card can only be used for pretimed control.

The MINGREEN Card is also optional but should be used when minimum green times for pedestrian crossing are different than the default values. The default values are 10 seconds for protected left turns and 15 seconds for thru movement for pretimed signals and zero seconds for actuated signals.

The traffic volumes can be adjusted to reflect trucks and buses by use of the TRUCKS Card. The program converts the percent of trucks and buses to equivalent passenger vehicies by multiplying by a factor of 1.6.

The GROWTH Card can be used to update old data or to reflect projected changes in traffic volumes. The user can apply factors to each movement to reflect these changes.

In cases where the signal being examined is part of a coordinated system of intersec-
tions, the user can supply this information on the PCF Card as a percent of traffic that arrives on the red phase of each movement. When the "Platoon Concentration Factor" is not supplied, the program assumes random arrivals unless one or more LINK Cards are provided. However, PASSER 11 or MAXBAND should be used for optimizing (bandwidth) coordination.

## OPERATIONAL SUMMARY

SOAP is a complex program in its entirety, however, the more restrictions the user inputs (eg. preselected signal sequences), the fewer the calculations required. The operations and capabilities of SOAP are discussed in this section.

SOAP has three inherent functions:
a) design,
b) analysis, and
c) evaluation.

To accomplish these functions it is necessary to provide inputs mentioned briefly before. To design signal timing it is necessary to configure the intersection and input the appropriate data. SOAP then produces all legitimate phasing patterns. it internally analyzes each pattern and selects the ones which can be executed using the minimum amount of green time. This design is returned to the user.

The next step is dial assignment and timing. A typical controller provides three dials which allow up to three timing patterns to be implemented. SOAP can handle up to six such patterns. The user must decide how many patterns are to be used at a given intersection and assign them to the approprlate dial (control perlod). If any pattern is unassigned, SOAP will do so, based on the traffic demands. If actuated control is desired, no pattern assignments are made and SOAP makes its computations accordingly.

Cycle length is the most difficult design element to determine. This is a particularly complex problem when several control periods are to be designed. However, SOAP produces these quickly, based on the volumes, capacities and several other parameters. A trial and error optimization procedure is used to find the cycle length which produces the minimum total delay, subject to constraints which govern the amount of queueing which can be tolerated.

Analysis is accomplished by computing the various measures of effectiveness, MOE, which are:

- delay,
- stops,
- excess fuel consumption,
- degree of saturation, and
- left-turn conflicts.

This allows the user to quantify the effects of either the designed control strategy, or if desired, any explicit scheme he wishes to analyze. Evaluation comes in the comparison of several alternative schemes. Comparisons can be produced by SOAP automatically or the user may make them of $f-1$ ine, manually.

## COMPUTATIONAL ALGORITHMS

The salient MOE's produced by SOAP were identified above. The computational algorithms to compute these measures are discussed in the following paragraphs.

Delay is calculated using the well accepted Webster's method (Reference 4.6) for unsaturated flow under fixed-timed operations. The Webster model has three components. The delay due to uniform arrivals is expressed as:

$$
\begin{equation*}
D_{1}=\frac{C(1-\lambda)^{2}}{2(1-\lambda x)} \tag{4.1}
\end{equation*}
$$

```
        D
        (sec/veh),
    C = cycle length (sec),
    \lambda = the proportion of green time given
        to the movement (effective green
        time/C), and
        X = the degree of saturation of the
        movement (v/c).
    The delay due to random arrivals, D D , is,
        D}\mp@subsup{D}{2}{}=\frac{\mp@subsup{x}{}{2}}{2v(1-x)
where v = volume (veh/sec) and the rest as
        before.
An adjustment factor, D D, is,
\[
\begin{equation*}
D_{3}=-0.65{\frac{c}{v^{2}}}^{1 / 31 \times(2+5 \lambda) 1} \tag{4.3}
\end{equation*}
\]
which was developed empirically to provide a better mathematical fit to field studies. Webster's delay increases infinitely as the \(\mathrm{v} / \mathrm{c}\) ratio approaches 1.0 ; therefore Webster's is only practical to use up to \(v / c=0.975\). For saturations in excess of capacity the following is used:
\[
\begin{equation*}
Q_{r}=T(v-\lambda S) ; \tag{4.4}
\end{equation*}
\]
where \(P_{r}=\) no. of vehicles not accommodated during the green
\(T\) = time period (sec).
\(S=\) Saturation flow (veh/sec) and the rest as before
The queue length at the end of the phase, \(Q_{\theta}\), is,
\[
\begin{equation*}
Q_{e}=Q_{b}+Q_{r} ; \tag{4.5}
\end{equation*}
\]
\(\begin{aligned} & \text { where } Q_{b}= \text { queue length at the beginning of } \\ & \text { the period. }\end{aligned}\)
```

Given these values the total delay, $D$, is,

$$
\begin{equation*}
D=\frac{T}{2}\left(Q_{b}+Q_{e}\right) \tag{4.6}
\end{equation*}
$$

For the region where saturation is between 0.975 and 1.0 no model existed. Since the region is small, the assumption that delay is constant was used, which was the Webster's delay at $v / c=0.975$, or 2 minutes, whichever was less.

For actuated control, no reliable delay model existed and this problem is extremely complex. The approach used in SOAP was to modify Webster's model. The actuated control strategy is assumed to:
a) Distribute the available green time in proportion to the demand on the critical approaches, and
b) To minimize "wasted" time by terminating each green interval as soon as the queue has been served.

This approximation simulates a "well timed" actuated controller. To achieve the results calculated by SOAP, it is therefore necessary to avoid excessively long initial and extension intervals.

The cycle length calculated by SOAP uses the Webster's method also. For fixed time operation the optimal cycle length, $C_{0}$, is,

$$
\begin{equation*}
c_{0}=\frac{1.5+5}{1-Y} \tag{4.7}
\end{equation*}
$$

where $L=$ sum of all lost time due to starting and stopping critical movements, and
$Y=$ overall degree of saturation (i.e. the proportion of green time required for the movement of traffic).

For actuated control the "cycle length" is the average cycle length which ensures all excess time is dissipated in the starting and stopping process, or 1 - Y. Therefore, the average cycle length, $C_{a}$, is simply 1.1 $L /(1-Y)$. In the low to moderate demand
range, $C_{a}$ will always be lower than $C_{o}$ and the difference is slack time necessary to provide for the stochastic variation in demand.

As the intersection approaches saturation; actuated control approaches fixed time control, or $C_{a} C_{0}=C_{\text {max }}$. The estimate of delay must account for the var ious sources of delay as expressed in Webster's component models. For reasons too lengthy to discuss here, the cycle length used in the first (e.g. 6.1) and second (e.g. 6.2) terms are as follows:

## First Term Second Term

| Fixed Time | $C_{0}$ | $C_{0}^{0}$ |
| :--- | :--- | :--- |
| Actuated | $C_{a}^{0}$ | $C_{\text {max }}^{0}$ |

The proportion of vehicles required to stop, $P_{s}$, is equal to the number of vehicles joining the queue while it is stlli discharging, all divided by the number of arrivals per cycle, or:

$$
\begin{equation*}
P_{s}=\frac{r s}{C(s-v)} \tag{4.8}
\end{equation*}
$$

where $r=$ length of red (sec.), $s=$ saturation flow during green (veh/ sec) and the rest as before.

Excess fuel consumption is computed from the percentage of stops as follows:

$$
\begin{equation*}
E_{s}=\alpha v P_{s} \tag{4.9}
\end{equation*}
$$

```
where }\mp@subsup{E}{S}{}=\mathrm{ gallons of fuel consumed due to stops (gal/hr),
\(\alpha=\mathrm{fuel}\) consumption rate (gal/stop),
\(v=\) volume (veh/hr), and
\(\mathrm{P}_{\mathrm{s}}=\) percent of stops.
```

The excess fuel consumption due to delay, $E_{d}$, is:

$$
\begin{equation*}
E_{d}=\beta \vee d / 3600 \tag{4.10}
\end{equation*}
$$

```
where }\beta=\mathrm{ fuel consumption rate per veh-hr
        of idling,
    d = average vehicle delay (sec/veh),
```

and of course total consumption, $E$, is the sum or $E_{s}$ and $E_{d}$.

The fuel consumption rates, $\alpha$ and $\beta$ are based on studies by Claffy (Reference 4.7).

The $\mathrm{v} / \mathrm{c}$ ratio is a reflection of the degree of saturation of the intersection. For an Individual approach the degree of saturation, $X$, is found by:

$$
\begin{equation*}
x=\frac{v}{\lambda S}=\frac{v}{s} \tag{4.11}
\end{equation*}
$$

as previously defined.
Left-turn conflicts occur when left turns are permissive, or not exclusively protected. The measure of effectiveness is the number of left turns which cannot be accommodated safely. Since protected left turns have no confllcts, none are computed. When the turning vehicles may cross traffic there must be sufflcient gaps in the oncoming traffic. An effective left-turning saturation flow based on Tanner's model (Reference 4.8) which relates opposing flow to left turning flow is used.

Given the opposing flow, the left turn saturation flow is taken from a curve and compared to the left turn demand. Any "excess" demand is the number of left turn conflicts. It is recognized that many left turns are made at the beginning or end of the red; thus the left turn conflicts are not necessarlly denied their turn, but it is felt that this MOE would indicate when (and where) enough excess left-turn maneuvers may occur that remedial action might be warranted.

## OUTPUT REPORTS

There are six types of outputs avallable from SOAP. Each of these provide useful information to the user.

## Input Summary

The input data is echoed prior to execution in a list similar to the one shown in Figure 20. Where appropriate, messages are included so the user can verify that the action taken


Figure 20. Listing of SOAP Input Data
by SOAP was as intended. The liberal use of the comment card will assist the user in recalling the basis for the input data.

## MOE Report $\dagger$

For each run a table of the numerical results of the current run is output. An example is shown in Figure 21. General and control strategy information is found above the table.

Within the table are the current values of the MOE, namely:

1. Delay in vehicle-hours,
2. Percent saturation ( $v / c$ ),
3. Maximum queue length in vehicles,
4. Percentage of stops,
5. Excess fuel consumed (due to stops and delays) in gallons, and
6. Left-turn conflicts.

All but the last are given separately for the thru and left-turn movements for the four directions.

Below this is a summary of items 1 (also in average seconds/vehicle), 2, 5 and 6 for the entire intersection. To the right of the summary is the phasing diagram. The entries in the phasing diagram correlate with Figure 18 as follows:

| $N=$ North | $A=$ Green |
| :--- | :--- |
| $S=$ South | $T=$ Thru |
| $E=$ East | $L=$ Left |
| $W=$ West |  |

SIGNAL OPERATION FOR: ASHLEY DR. E KENNEDY BLVD
2 DIAL CONTROLLER:
ANALYSIS PERIOD: 700. TO 1800. PHASING: 1 PHASENS, 2 PHASE EW.
LEFT TURNS - NORTH: NONE, SOUTH: NONE, EAST: REST, WEST: REST.


Figure 21. MOE Repor $\dagger$ Form SOAP


OPPOSITE ROTATION EQUALLY ACCEPTABLE


OPPOSITE ROTATION EQUALLY ACCEPTABLE

Figure 22. SOAP Phasing Diagram Output

## Design Recommendations

SOAP develops recommended designs based on optimal flow as constrained by input parameters. There are two types of outputs for recommended designs.

1. Phasing Patterns. When protected left turns are specified for one or more approaches, it is necessary to choose the optimal phase patterns from several alternatives. SOAP determines the best two and three phase patterns for both the $\mathrm{N}-\mathrm{S}$ and E-W directions. Each of the four possible phase combinations which may result from these choices is analyzed as a separate design configuration so the user may compare the MOE. A sample phasing diagram is shown in Figure 22. The phase sequence in each pattern is indicated as either:
a. User specified,
b. Determined by analysis of progression characteristics, or
c. Unimportant (i.e. opposite phase sequence equally acceptable).
2. Timing Design. Each design configuration must be optimized in terms of cycle
length, splits and patterns before the MOE can be calculated. The result of the optimization process is produced in a table such as figure 23. For each analysis period, the table includes dial number, cycle length and splits. Above the table is general information and control strategy specifications. The "PATTERN" entries indicate the possible sequences resulting from the choices available and are interpreted exactly as discussed in the previous Section. In this example the patterns are:
a. North-south thru and left movements,
b. East thru and left movements,
c. East and west thru movements, and
d. West thru and left movements.

The phasing diagram at the top of the table indicates the particular phase sequencing for this alternative (e.g. the NS, WE, and EW).

When the control is actuated, an asterisk (*) will appear in the DIAL column and the cycle length and splits are average for each period. The controller should be timed accordingly to be "well timed."

SIGNAL OPERATION FOR: ASHLEY DR. E KENNEDY BLVD 2 DIAL CONTROLLER:

PHASING: 1 PHASE NS, 2 PHASE EW. PATTERN: A NS, WE EW.
LOST TIME PER PHASE: 3.5 , TOTAL LOST TIME: 10.5
LEFT TURNS - NORTH: NONE, SOUTH: NONE, EAST: REST, WEST: REST.


Figure 23. SOAP Timing Report

TABLE NO. 22
CRITICAL VC FOR EACH PHASE


Figure 24. Typical SOAP Intermediate Report

## Intermediate Calculations Reports

Usually the MOE table and recommendations will be sufficient for the engineer's use. On the other hand, there may be a need to have more detailed information as the analysis progresses. The TABLE and PLOT commands are instruction cards which enable the user to call for outputs of many tables (or plots) which are maintained by SOAP.

Table options include printouts of forty-two different types of tables which indicate
either basic parameters (trucks and bus factors, minimum green time, capacities, etc.) or operational measures ( $v / c$ ratios, degree of saturation, average delay by period, etc). Figure 24 illustrates one of these tables.

Plot options graphically portray a comparison of two different statistics. Presently, eight plots are available and show such comparisons as cycle length versus period, delay or volumes per period and excess fuel consumption by period. Figure 25 shows an example of one plot.

YCAP - SUM OF CRITICAL VC FOR ALL PHASES


Figure 25. Example SOAP Graphical Output


Figure 26. SOAP Comparison Summary Reports

## Comparison Summaries

SOAP may be used to examine several different control strategies at an intersection. Each alternative may generate up to four MDE tables depending on the choice of phasing patterns to handle left turns. To facilitate the comparison of these alternatives, the user may request a separate summary of MOE's following a series of runs. Figure 26 illustrates the comparison summary, which includes delay and excess fuel consumption. The columns labeled "\$1" through "\#4" represent the different phasing patterns which were examined.

A second table gives the comparison of the "best" case designs. The output is obtained by including a COMPARE card in the input deck (after the last RUN card which is to be included). Cases can be labeled by including CASE card(s) in the deck.

## Diagnostlc Messages

SOAP contains an extensive library of messages to inform the user of fatal errors in the inputs; to alert the user to potential, but non-fatal, errors; and to advise the users of actions taken by SOAP, such as the use of default values in lieu of data which were not input. There are four (4) levels of messages, as follows:

1. 100 level - fatal messages which must be corrected before SOAP can execute. Examples are unrecognizable card name or missing required cards or data, time periods out of range, inconsistency in pattern names and left-turn specifications and incorrect parameters, to name a few. There are a total of 32 errors at this level.
2. 200 level - warnings that the user may wish to reconsider some aspect of his inputs.

Examples are that unrealistic queues occurred (perhaps due to use of default headways), missing volumes (which SOAP had to estimate), unassigned analysis periods, etc. There are 17 of these messages.
3. 300 level - simply informing the user that SOAP took some action as a result, usually, of omitted data cards. Examples are advisement that a particular default value was used, a particular movement was assumed not to exist or that parameters for a satellite signal were assumed to be the same as the subject signal. There are 19 of these messages.
4. 400 level - these are high level messages that will not generally occur except when the user is highly proficient with sOAP and is getting into the program itself. To generate this level of messages, one must use the Programmer's Manual (Reference 4.4) in lieu of the User's Manual (Reference 4.3).

The placement of messages generally occurs in the input report at the location where SOAP had to make a decision, see Figure 20. Once an input deck has been edited and tested to the user's satisfaction, and the messages are no longer required, the NOWARN card may be placed in the next job to suppress printing of the messages (at levels 200-400). Fatal error messages (level 100) are naturally always printed.

## ADDITIONAL FEATURES

The SOAP options are extensive in terms of the design, configuration and control strategies which can be analyzed or optimized. In a previous section all the options were identified, but to summarize, the following options are avallable in SOAP (these are not mutually exclusive).

1. Analysis vs. design.
2. Existing preset timing vs. optimization.
3. Pretimed vs. actuated.
4. Protected vs. unprotected left-turns.
5. Isolated runs vs. multiple runs with comparison.
6. Preset vs. optimal phase sequencing.
7. Preset vs. optimal dial assignments.
8. Numerous input data vs. default options.
9. Isolated vs. coordinated control.
10. Data check without execution.

The coordinated control function, $g$, is based on the delay-difference in of fset (Reference 4.8). It is not truly an Interconnected arterial design capability, but only estimates the effect of adjacent coordinated signals on the subject signal (i.e. platooned arrivals). Chapter 6 dicusses PASSER 11, (80), which is an arterial progression design model and Chapter 9 discusses TRANSYT-7F, which is a system optimization model. It would seem logical to combine these to obtain total systen optimization. Such a model package may soon be available from the FHWA, called the "Arterial Analysis Package," or the AAP. The AAP is being developed for FHWA by the University of Florlda Transportation Research Center and PRC-Voorhees.

## APPLICATIONS AND LIMITATIONS

As stated earlier, SOAP can be used to design and/or analyze any standard traffic control strategy for either pretimed or actuated operations. As such, it is limited primarily in the same areas which the controller itself is limited. The analysis and optimization is clearly based on mathematical approximations
of the real world and therefore necessarily cannot take into account any extraordinary or erratic human behavior.

SOAP cannot duplicate fully the logic of intelligent controllers with microprocessor "brains" which can be programmed to be extremely responsive to traffic in real time. For instance, the combining of right turns with thru traffic in SOAP presents some problems with accurate estimation of capacity. This is not a severe limitation, however, since the very function of these sophisticated controllers is to optimize on a real time basis, but SOAP is a very powerful and realistic off-line design tool for the practicing signal design engineer.

## EXAMPLE APPLICATION

To illustrate the capabilities and use of SOAP, an existing signalized intersection which is in operation in the downtown area of Tampa, Florida, was selected as an example application. The following describes the intersection location and the use of the SOAP model to evaluate existing signal operation.

## Problem Description

An aerial photograph of the example intersection is shown on Figure 27. This intersection, Ashley Drive and Kennedy Boulevard, is located at the southwest boundary of Tampa's CBD. Kennedy Boulevard is one of the major access routes into the CBD from the west while Ashley Drive is the major access route from the interstate Highway to the north connecting with the suburban areas.

Kennedy Boulevard enters the CBD from the west over the Hillsborough River and is a two-way four-lane highway with a fifth left turn lane. However, beginning at Ashley Drive, Kennedy Boulevard is a one-way street serving only westbound traffic. Traffic approaching from the west wishing to continue east must turn right at Ashley Drive and make
a left turn on Jackson Street which is the eastbound oneway street pair with Kennedy Boulevard.

Ashley Drive is a twoway highway with three lanes in each direction divided by a 30 foot landscaped median. Ashley Drive to the south of Jackson Street continues as a four-lane undivided roadway.

The intersection is presently control led by a five phase, full-actuated controller. However, at the present time it is under computer supervision with a background cycle. Pedestrian push buttons are provided with concurrent pedestrian timing. Thus it operates as if it was pretimed.

Even though the intersection is four-way, arterial movements are prohibited due to the one-way approach on Kennedy Boulevard. On the north approach two through lanes are present with a separate lane for right turns while on the south approach two through lanes are avallable with a separate lane for left turns. The west approach provides two lanes for left turning vehicles and one lane for right turns. On the one-way east approach two through lanes are provided with separate lanes for both the left turns and the right turns. In florida, right turns on red are permitted.

This intersection handles the largest number of vehicles of all intersections within the CBD. Although considerable study has gone into the present design, it is desired to determine if present signal phasing and timing is at its optimum.

## Analysis of Exlsting Conditions

The first step in the use of the SOAP model is to code the input data for existing conditions and analyze the results of the SOAP output. The purpose of this is two-fold. One is the need to obtain data on existing conditions as a basis for evaluating alternatives. The second is to obtain model results in order to evaluate the credibility of the results.


Figure 27. Intersection Configuration For Example Problem.

The minimum data required to use SOAP are lane geometry and turning movements. In addition, information on signal timing is required to evaluate existing traffic operations. Normally these data are available in the maintaining agency's files.

For this location a $1^{\prime \prime}=20^{\prime}$ intersection plan was available showing existing geometric, pavement markings and signs. A signal operating plan was also available as well as a current record of actual controller settings. Recent 15 minute turning movement counts for the period 7:00 AM to 11:00 AM and 2:00 PM to 6:00 PM were also available. Figure 27 illustrates the lane geometric and summarizes the pertinent operating conditions.

In order to evaluate the model's ability to represent actual traffic operations it was decided to conduct limited field studles to determine if the model should be callbrated to ensure the credibility of results. The two areas which most affect these results are headways and platoon concentration factors.

An agency which makes frequent use of SOAP would, over a period of time, obtain average headway values for their community. However, In cases of unusual geometrics, or where a high number of left or right turns are made from the through lanes, special studies may be required. For this location 15 minute observations were made on each approach during the AM and PM traftic period to determine average headways.

Under normal conditions the SOAP model assumes isolated signal operation and vehicle arrivals are assumed to be random. However, in this case the signal is part of a signal system and it would be expected that vehicles would arrive more uniformly. For this location the percent of vehicles arriving during the red interval on the east and south approach were obtained during a one-half hour period at mid-morning. In actual practice a separate study should have been done for each dial. An estimate was made for the north approach and random arrivals were assumed for the west approach since the signal to the west operates as an isolated actuated signal.

Figure 28 shows the coded input data for existing conditions under two cases. Case number 1 uses minimum input data (traffic volumes, capacity, in terms of lanes, and existing signal operation). Case number 2 modifies the default values for headways and establlshes platoon concentration factors for three approaches. A total of 51 lines of code are used with 32 of these lines for traffic movements.

For the Case 1 (Min. Data) four intermediate tables and two plots were requested. The left turn card was used to specify that northbound left turns cauld be permissible, but that east and west bound left turns must be protected movements only. The control cards specify that Dial 1 operates from 7:00 AM for 9 hours with a 70 second cycle and that Dial 2 operates from 4:00 PM (1600) for 2 hours with a 90 second cycle. Turning movements counts were coded by 15 minute perlods from 7:00 to 11:00 AM and from 2:00 PM to 6:00 PM.

Capacity is coded in lanes. Notice that capacity is coded for two time periods in order to reflect the increase in capacity on two approaches (southbound thru and westbound thru) during the PM peak hour due to the difference in right turns. Since a separate lane is reserved exclusively for right turns on these approaches, the capacity could have been reduced to two thru lanes and the right turning traffic removed from the thru counts. However, one case (westbound right turn) required more time than the thru lanes and it was necessary to reflect the need for this time. In the other case (southbound right turn) the right turn could occur during an overlap period and the number of lanes have been increased to reflect the lesser time required to accommodate through traffic. No HEADWAY card was coded, therefore the capacity will be based upon default values.

In order to reflect existing phasing and spllts, the existing card was used to specify for east dial the phase sequence ("Al for all north and south movements at one time, "W" for westbound thru and left at one time and "E" for eastbound thru and left at one time) and their green times. Although the signal


Figure 28. Example SOAP Input Data For Existing Conditions.
controller operates as a semi-actuated signal with a background cycle, the splits were coded assuming each phase extended to its maximum extension, thus acting as a fixed time signal.

Notice that it was necessary to code the signal operation as a three phase signal. in actual practice the minor movements on Phase A (westbound left turns and eastbound right turns) delayed to provide additional pedestrian clearance time for the south approach. Phase $C$ also cut short the same movement (right turns southbound and left turns northbound) to provide additional pedestrian clearance time. Both of these phases (A2 \& C2) only operate when actuated by pedestrians. Since the SOAP model does not evaluate pedestrian actuation, it is necessary to provide minimum pedestrian clearance that are for A1 and C1.

In order to more accurately reflect actual conditions, a second case was coded. A HEADWAY card and PCF card were coded using data obtained from the field. Notice that it was not necessary to recode the previous cards. Since a BEGIN card was not placed after run 1 the computer reads in the HEADWAY card and the PCF card (which changes the default values used in Run 1 to those specified) and again executes the run using all the previous input data. In order to obtain a comparison of the results between Case 1 and Case 2 a COMPARE card was included just prior to the END card. Figure 29 illustrates the output from this computer run, including both cases.

Examination of the results of the output for each case shows how the modification of default headways and the use of PCF factors affect the vehicle operation on each approach. This is best illustrated from a comparison of the MOE reports which reflect the changes that occur due to these modifications.

The most obvious change is in the percent of vehicles stopping north and southbound. In

Case 1, which assumed random arrivals, $77 \%$ of the northbound thru traffic and $64 \%$ of the southbound thru traffic stopped. Not very good when you consider that the system attempts to maximize progression along the north-south route. However, Case 2, which adjusts vehicle arrivals from a random pattern to the observed pattern reduces the percent stops to $34 \%$ and $52 \%$ respectively. Notice for eastbound traffic (left turn) the percent stops remeined virtually the same, 86\% versus 84\%.

The change due to modification in headways is more difficult to see. However, one indication is in the percent of saturation flow for each approach. Since the green time is the same for both cases the change in percent saturation flow is due solely to the change in the time required between vehicles. For instance, this was decreased for northbound thru from 2.2 seconds (default) to 1.9 and the percent saturation flow decreased from $32 \%$ to $28 \%$. On the other hand, headways for westbound through was increased from 2.2 to 2.5 seconds and the percent saturation flow increased from 61\% to 69\%.

Based upon a comparison of the results, it appears that Case 2 conditions more accurately reflect existing operation. Therefore these conditions should be used in the evaluation of alternatives. if one wishes to further verify the reasonableness of results, additional fleld studies could be conducted to obtain information on vehicle hours of delay and percent vehicle stopping for each approach using techniques developed as part of a research project for FHWA (Ref. 4.10).

## Deflne and Analyze Alternatives

Now that input data required to obtain reasonable results has been identified it is now possible to use SOAP to determine alternate signal timing schemes and associated measures of effectiveness. These alternate schemes can then be compared with existing signal timing to determine if an improvement can be obtained. Figure 30 illustrates the


CARD \# CARD FILE LIST UNIVERSITY OF FLORIDA



Figure 29. Example SOAP Output For Existing Conditions.



TABLE NO. 22
CRITICAL VC


TABLE NO. 23
Figure 29 (Cont'd). Example SOAP Output For Existing Conditions.
 **********B*************** SUM OF CRITICAL VC FOR ALG PHASES

| $*$ | 1 | $*$ | 700 | $*$ | 0.224 | $*$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $*$ | 2 | $*$ | 715 | $*$ | 0.372 | $*$ |
| $*$ | 3 | $*$ | 730 | $*$ | 0.495 | $*$ |
| $*$ | 4 | $*$ | 745 | $*$ | 0.702 | $*$ |
| $*$ | 5 | $*$ | 800 | $*$ | 0.663 | $*$ |
| $*$ | 5 | $*$ | 815 | $*$ | 0.736 | $*$ |
| $*$ | 6 | $*$ | 830 | $*$ | 0.575 | $*$ |
| $*$ | 7 | $*$ | 84 |  |  |  |
| $*$ | 8 | $*$ | 845 | $*$ | 0.550 | $*$ |
| $*$ | 9 | $*$ | 900 | $*$ | 0.622 | $*$ |
| $*$ | 10 | $*$ | 915 | $*$ | 0.488 | $*$ |
| $*$ | 11 | $*$ | 930 | $*$ | 0.522 | $*$ |
| $*$ | 12 | $*$ | 945 | $*$ | 0.464 | $*$ |
| $*$ | 13 | $*$ | 1000 | $*$ | 0.502 | $*$ |
| $*$ | 14 | $*$ | 1015 | $*$ | 0.481 | $*$ |
| $*$ | 15 | $*$ | 1030 | $*$ | 0.540 | $*$ |
| $*$ | 16 | $*$ | 1045 | $*$ | 0.379 | $*$ |
| $*$ | 29 | $*$ | 1400 | $*$ | 0.379 | $*$ |
| $*$ | 30 | $*$ | 1415 | $*$ | 0.696 | $*$ |
| $*$ | 31 | $*$ | 1430 | $*$ | 0.525 | $*$ |
| $*$ | 32 | $*$ | 1445 | $*$ | 0.478 | $*$ |
| $*$ | 33 | $*$ | 1500 | $*$ | 0.581 | $*$ |
| $*$ | 34 | $*$ | 1515 | $*$ | 0.542 | $*$ |
| $*$ | 35 | $*$ | 1530 | $*$ | 0.607 | $*$ |
| $*$ | 36 | $*$ | 1545 | $*$ | 0.606 | $*$ |
| $*$ | 37 | $*$ | 1600 | $*$ | 0.684 | $*$ |
| $*$ | 38 | $*$ | 1615 | $*$ | 0.495 | $*$ |
| $*$ | 39 | $*$ | 1630 | $*$ | 0.642 | $*$ |
| $*$ | 40 | $*$ | 1645 | $*$ | 0.651 | $*$ |
| $*$ | 41 | $*$ | 1700 | $*$ | 0.781 | $*$ |
| $*$ | 42 | $*$ | 1715 | $*$ | 0.716 | $*$ |
| $*$ | 43 | $*$ | 1730 | $*$ | 0.527 | $*$ |
| $*$ | 44 | $*$ | 1745 | $*$ | 0.438 | $*$ |
| $* * * * * * * * * * * * * * * * * * * * * * * *$ |  |  |  |  |  |  |


Figure 29 (Cont'd). Example SOAP Output For Existing Conditions.


TABLE NO. 39 der approach (VEhicle hours per 15 minute periods


TOTAL INTERSECTION DELAY BY PERIOD


TABLE NO. 44
CALCULATED PROPORTION OF VEHICLES STOPED


SIGNAL OPERATION FOR: ASHLEY DR. E KENNEDY BLVD
2 DIAL CONTROLLER:
PHASING: 1 PHASE NS, 2 PHASE EW. PATTERN: A NS, WE EW.
LOST TIME PER PHASE: 3.5. TOTAL LOST TIME: 10.5
LEFT TURNS - NORTH: NONE, SOUTH: NONE, EAST: REST, WEST: REST.



VERSION: 1.01
$S O A P P R O G R A M$

CARD CARD FILE LIST



ANALYSIS OF TRAFFIC SIGNAL OPERATION
PROBLEM 1
ASHLEY DR. E KENNEDY BLVD
RUN \# 2

*** 212 ※ $\times$ THE FOLLOWING MOVEMENTS WERE UNASSIGNED FOR THIS RUN:
MOVEMENT 巷 4 SOUTHBOUND LEFT
MOVEMENT 5 EASTBOUND THRU
MOVEMENT 5 EASTBOUND THRU
※** 211 *** THE FOLLOWING PERIODS WERE UNASSIGNED FOR THIS RUN:
$\begin{array}{llll}1100 \ldots, & 1115 \ldots, & 1130 \ldots, & 1145 \ldots, 1200 \ldots, 1215 \ldots, 1230 \ldots \\ 1300 \ldots, & 1315 \ldots, & 1330 \ldots, & 1345 \ldots,\end{array}$
1300., 1315., 1330., 1345.,

Figure 29 (Contid). Example SOAP Output For Existing Conditions.

Table No. 22
CRITICAL VC FOR EACH PHASE

TABLE NO. 23
SUM OF CRITICAL VC FOR ALL PHASES

YCAF - SUM OF CRITICAL VC FOR ALL PHASES

TABLE NO. 39
TOTAL DELAY PER APPROACH (VEHICLE HOURS PER 15 MINUTE PERIOD)

TOTAL INTERSECTION DELAY BY PERICO

## Tables \& Plots Omitted for case 2

SIGNAL OPERATION FOR: ASHLEY DR. E KENNEDY BLVD

## 2 DIAL CONTROLLER:

PHASING: 1 PHASE NS, 2 PHASE EW. PATTERN: A NS, WE EW.
LOST TIME PER PHASE: 3.5, TOTAL LOST TIME: 10.5
LEFT TURNS - NORTH: NONE, SOUTH: NONE, EAST: REST, WEST: REST.


Figure 29 (Contld). Example SOAP Output For Existing Conditions.

rotation based on user choice
Same as Case 1




$$
\begin{aligned}
& \text { SYSTEM SUMMARY SHEET } \\
& \text { SYSTEM ALTERNATIVES SUMMARY }
\end{aligned}
$$


COMPARISON OF CASE SYSTEMS

| $\cdots$ |  |  | * |  |  |  | * |  |  | * |  |  | * |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| * | CASE | \# | * |  | CASE | NAME | * | TOTAL | delay | * | EXCESS | FUEL | * |
| * |  |  | * |  |  |  | * |  |  | * |  |  | * |
|  |  |  |  |  |  |  |  |  |  |  | * |  |  |
| * |  |  | * |  |  |  | * |  |  | * |  |  | * |
| * | 1 |  | * | EXIST | - OPER | (MIN | * |  | 127.14 | * | 237 |  | * |
| * |  |  | * |  |  |  | * |  |  | * |  |  | * |
| * | 2 |  | * | EXIST | DPER | ( $A C T$ | * |  | 84.00 | * | 167 | . 00 | * |
| * |  |  | * |  |  |  | * |  |  | * |  |  | * |



Figure 29 (Contid). Example SOAP Output For Existing Conditions.

SOAP
input data required for the SOAP model to define and analyze various alternatives.

One alternative which may result in improved traffic flow is to look at the potential benefits of a three dial system. Typically the AM peak traffic hour has different demand characteristics than off-peak traffic and the addition of a third dial could result in improved operations. At the same time it would also be desirable to see it a different cycle length would result in improved operation at this intersection. Therefore one alternative (Case 1) is to determine optimal three dial operation and cycle length under fixed time control.

In order for the SOAP model to define and analyze a three-dial operation the user must include a separate control card for each dial. Each dial must be assigned a different number. For each control card the minimum and maximum cycle length to be evaluated must be defined. The user has the option to establish the time each dial goes into effect and the minimum length of time it will remain in operation. In the example this was specifled since the intersection is part of a signal system. However, the user could leave the time in length unspecified and the model will determine which dial and cycle length is best for each time per lod.

Figure 31 illustrates the timing and MOE reports for the two best variations of signal operations under three-dial control. One solution is for a three-phase pattern which uses a 75 second cycle for the AM peak period, 60 second cycle for of $f$ peak and 70 second cycle for the PM peak period. The second solution is for four phase operation. Both the AM and PM periods have the same cycle length, 75 seconds, but the splits are different. There is little difference in the the MOE's, however, the three phase operation is slightly better.

A second alternative which could be looked at would be design of the signal under full actuated operation. To evaluate this alter-
native, it is only necessary to add a new control card under Case 2. No time or cycle length restriction were included (other than minimum green time for pedestrian clearance and model default value for maximum cycle length). Figure 32 illustrates the timing and MOE reports for the two alternative phasing schemes.

As with Case 1, the two phasing patterns determined to be practical were the three phase and four phase operations. Again, there is little difference in the MOE's for these alternatives. Even the cycle length only reduced slightly, from a minimum of 67 seconds to a maximum of 88.7 seconds.

## Evaluation of Resuits

A comparative analysis between alternatives is an optional report from the SOAP model. Figure 33 illustrates this comparison table for the example problem.

Case 1, the optimum three dial operation has virtually the same values for the two MDE's total delay and excess fuel. This should not be unexpected in this case since the present timing has been established through field observation over a number of years. In fact, this can be taken partially as a demonstration of the model's ability to estimate optimum MOE's attainable.

Case 2, full actuation, is slightly better than the fixed time operation. However, it is not possible to attain this level due to the fact that the signal is part of a signal system and the platoon concentration factor (PCF) assumed that the subject signal would have the same cycle length as the system. Obviously, operating this signal independently of the system would result in considerable variation in the PCF. Therefore, the MOE's are higher than would realistically be achieved under full-actuation.

In summary, the evaluation of the results of SOAP indicate that little improvement can be obtained on the existing two dial operation.
metrainl.:
coridjtion: $\qquad$
 cnoss staet Kennedy B/vel ciry Ta-pa


[^0]SIGMAL DPERATIDN FOR: ASHLEY DR. E KEmNEDY BLVD
Phasing: i phase ns, 3 phase en. paitern: a ns, ETH Ew. lost time per phase: 3.5, total lost time: 10.5 LEFT TURMS - HORTH: NDME, SOUTH: nOME, EAST: REST, WESTI REST.

Signal operatioh for: ashley dr. e kennedy olvd
3 dial controller:
analysis period: 700 , to 1800 . phasing: t phase ns, 3 phase ew.
Left turns - north: none, south: none, east; rest, luest: rest.



SIGNAL operation for: ashley dr. e kennedy blvd
3 diAL COMTROLLER:
analysis period: 700 , to 1800 . phasing: phase ns, 2 phase ell LEFT TURNS - MORTH: MONE, SBUTH: NOME, EAST: REST, WEST: REST.


Figure 31. Example SOAP Output for Alternate 3 Dial Operation (Case 1).
stgmal operation for: aghley dr. e kemmedy alvo actuated controller:
phasing: 1 phase ns, 2 phase en. pattern: a ks, ew ew. lost time per phase: 3.5, total lost time: 10.5 LEFT TURNS - NORTH: NONE, SOUTH: MONE, EAST: REST, WESt: RESt.


signal operation for: ashey dr. E kenmedy alvd
actuated controller:
amalysis period: 700. io 1800 . phasing: 1 phase hs, 2 phase ew.
LEFT TURNS - NORTH: NONE, SOUTH: NONE, EAST: REST, WEST: REST,



Stemal operation for: ashley dr, e kennedy blvd
actuated controller:
analysis Period: 700. to 1800 . phasing: Phase ns, 3 phase en.
left turns - north: mone, southi mone, east: rest, hest: rest.


Figure 32. Example SOAP Output for Alternate Actuated Operation.


COMPARISON OF CASE SYSTEMS


Figure 33. Example SOAP Output for Comparative Analysis.

```
However, if three dial operation would im- prove traffic flow at adjacent intersections then the results obtained for this SOAP output could be used to retime the signal with little change in level of service.
```


## Summary of Work Effort Required

The following provide a brief summary of the work effort required for the above example problem.

Data Collection - Since the city maintained a file with plans showing intersection geometrics and signal operation, as well as records of existing signal timing and recent traffic counts, the data collection time for these elements was minimal. In order to obtain fleld data to calibrate the model for existing operations, approximately eight hours of technician time was utilized to obtain headway data for left turn and thru movements for each approach during two perlods of the day. However, typical area-wide headway data obtained over a per iod of time could eliminate the need for this information except in unusual circumstances. Approximately six manhours of technician time was also utilized to obtain an estimate of the PCF for three approaches. This type of data would not normally be coded except where flxed time operation occurs and traffic flow is affected by adjacent signals within an interconnected system. The PCF may also be estimated by making a run with the TRANSYT-7F or SIGOP lil models.

Data Coding - Using the coding form the data for existing conditions were coded within a two hour period. Since the existing conditions had been run, the coding required for detining and evaluating alternatives took less than one hour.

Computational - Most SOAP problems require considerably less than one minute of execution time. The calibration run took 1:59 seconds of CPU time while the optimization run took 6.52 seconds of CPU time. Core storage of 180 K was required for each run.

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| :---: | :---: |
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| 4.3 | "Signal Operations Analysis Package Volume II - User's Manual," University of Florida Transportation Research Center, FHWA Implementation Package 78-4, January, 1978. |
| 4.4 | "Signal Operations Analysis Package Volume lll - Programmer's Manual," University or Florida Transportation Research Center, FHWA Implementation Package 78-4, January, 1978. | Executive Summary," University or Florida Transportation Research Center, FHWA Implementation Package 78-4, January, 1978.

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|  | tation Research Center, FHWA Implementa- |
|  | tion Package 78-4, January, 1978. | Volume IV - Portable Calculator Routines," University of Florida Transportation Research Center, FHWA Implementation Package 78-4, January, 1978.

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4.10 "Definition and Measurement of Delay at Intersections, Volume 3 User's Manual," Report 76-137,.................

Reports on the "Signal Operations Analysis Package", Implementation Package IP-79-9 are available from the Super intendent of Documents Government Printing Office, Washington, D.C. 20402 as follows:

| Volume |  |  |  |
| :---: | :--- | :--- | :--- |
| 1 | Computational Methodology | $050-001-00151-9$ | 2.50 |
| 2 | User's Manual | $050-001-00152-7$ | 5.50 |
| 3 | Portable Calculator Routines |  |  |
|  | HP 67/97 | $050-001-00153-5$ | 4.25 |
| 4 | Portable Calculator Routines |  |  |
|  | SR52/T159 | $050-001-00154-3$ | 5.00 |
| 5 | Programmer's Manual | $050-001-00155-1$ | 5.00 |

# CHAPTER 5 - TEXAS (INTERSECTION SIMULATION) 

Single intersection models such as SOAP (described in the previous chapter) are usually deterministic models which deal with traffic macroscopically and are primarlly concerned with signal timing. However, several other aspects of highway intersections are of equal importance to the designer. Clearly the geometrics of the intersection are of great interest to designers. This aspect is generally treated by most models only as to its effect on capacity. Thus consideration of geometrics is largely based on analytical studies.

Another aspect even less open to mathematical treatment is driver behavior and its (reciprocal) effects on signal timing and geometrics. Driver behavior has been the target of numerous empirical studies, but results of these studies are difficult to transfer into a measurable effect that can be considered in intersection design by analytical or optimization methods. Only in the field of safety analysis has driver behavior been successfully accounted for in earlier applications.

Moreover, all intersections are not controlled by traffic signals. Many, indeed a far greater number than are signalized, either have stop or yield sign control or no control at all. There has been no effective tool for practitioners to analyze such intersections, other than by field studies.

With the growing complexity of intersection design and concern for improved planning and design of the highway and street system, the need for a relatively inexpensive method for detalled study of the variety of intersections and control techniques has become evident. To meet this need, the University of Texas' Center for Highway Research has developed the TEXAS simulation model for the Texas State Department of Highways and Public Transportation (SDHPT) to perform microscoplc simulations of isolated intersections. The SDHPT maintains this model.


Figure 34. Intersection Geometric Problems

The TEXAS (Traffic EXperimental and Analytical simula†tion) model is strictly an analysis tool. It does not recommend design decisions; it rigorously analyzes the particular set of conditions input. The user can evaluate alternative designs by performing several simulations with varied input parameters or data.

The model will simulate any intersection from two uncontrol led oneway streets to complex intersections with multiphase control, and/or multiple lane movements. Traffic control may be none, priority movement (e.g., stop or yield) or signalized. Signalization can be two-phase or up to six-phase pretimed; to eight-phase, dual ring, semiactuated or full actuated; and have protected, permissive or unprotected turns. There are virtually no restrictions on the configurations of the intersection that may be analyzed, thus, any intersection that is feasible from an engineer ing perspective can be simulated.

An extensive array of statistics are maintained and output by the TEXAS model, Including delays, stops, queues, vehiclemiles, travel time, movement counts, and conflicts to name but a few of the most important.

Thus, the TEXAS simulation model is a valuable tool, which enables traffic engineers to evaluate proposed designs in the office without expensive and potentially dangerous field implementation.

## MODEL DESCRIPTION

The TEXAS model was written at the Center for Highway Research at the University of Texas at Austin. The original FORTRAN IV program consists of over 14,700 lines of executable statements and an additional 4940 lines of internal documentation and comments. While TEXAS was written for a CDC 6600 computer, modifications are avallable for conversion to IBM OS/360. Two installation-specific subroutines are included, but FORTRAN versions of these are avallable. The model, which runs in three separate steps, requires a maximum of 110 K octal words on the CDC computer and 210k bytes on the IBM computer.

Execution time is highly variable, dependent upon the nature of the case being simulated. But, In general, execution time eight to forty-eight times faster than real time on the ODC computer. On the IBM, execution times are somewhat longer.

The model contains three major subprograms which, as stated earlier, run independently. The Geometry Processor reads geometric data and "constructs" the physical intersection. Plots of the intersection and printed details are output, as well as outputs to a tape to be used later. The Driver-Vehicle Processor reads input data and "creates" the drivervehicle traffic stream to be used in the traffic simulation. A number of classes of
vehicles allow for the natural stochastic varlation in traffic flow. Printed detalls and tape outputs for further use are also produced at this stage.

The main subprogram is the Simulation Processor, which reads the previous data tapes as well as additional card inputs (e.g., traffic control and other parameters), and performs the simulation. The simulation Processor is a microscopic, stochastic simulation model with time scan updating. Outputs are punch card, printed results and graphic displays.

## IMPUT REQUIREMENTS

The necessary inputs for the TEXAS model were designed to be user oriented and minimal. There are two basic formats for the three processors in the model. Since the pre-simulation processors, the Geometry Processor (GEOPRO) and the Driver-Vehicle Processor (DVPRO), utilize the same input data, only one input format is required for the two processors. The simulation processor (SIMPRO) has its own separate input format. Both formats include alphanumer ic coding.

Four basic types of information must be provided for the pre-simulation processors:

1. geometric information about the intersectlon including number of approaches, number of lanes, etc.,
2. traffic data such as volumes, speeds, etc.,
3. types of vehicles to be included in the simulation, and
4. types of drivers.

These values are primarily user-specified within certain limits. In addition, the GEOPRO plot output may be specifled. Table 8 provides a summary description of the input

Table 8 - Input Requirements for Pre-Simulation Processors - TEXAS

| CARD NAME | PURPOSE | DATA REQUIREMENTS |
| :---: | :---: | :---: |
| $\begin{gathered} \text { TITLE } \\ \text { (1 per run) } \end{gathered}$ | Provide title of simulation | User information |
| NIBA (1 per run) | Define no. of inbound approaches | Total inbound approaches (max. 6) |
| $\begin{aligned} & \text { LIBA } \\ & \text { (1 per run) } \end{aligned}$ | Identify nos. assigned for each inbound approach | Assi gn numbers 1-6 |
| NOBA (1 per run) | Define no. of outbound approaches | Total outbound approaches |
| $\begin{aligned} & \text { LOBA } \\ & \text { (1 per run) } \\ & \hline \end{aligned}$ | Identify no. assigned for each outbound approach | Assign numbers 1-12 |
| PARAMETER (1 per run) | Define simu. time, min. headways, no. vehicle \& driver classes and of vehicles entering correct lane | Use default values or data from fleld studies |
| APPROACH LOCATION AND TRAFFIC FLOW (1 per run) | Define approach location and Traffic Operation Character.istics of each approach | Direction (azmuith), length (coordinates), no. lanes, speed limit, vol., types headway dist. and parameters, speed, etc. |
| TRAFFIC MIX (Optional) | Define percent of vehicle classes in traffic stream by class | Percent of vehicles in each class |
| LANE GEOMETRY <br> (1 for each two lanes) | Define lane geometrics, legal movements and \% traffic in each lane at begin of approach | Lane widths, length, legal movements (left, thru, right and/or U-turn) and percent traffic |
| $\begin{gathered} \text { ARC } \# 1 \\ (1 \text { per run) } \end{gathered}$ | Identify number of arcs to complete geometry | Total number of arcs to be defined (max. 20) |
| $\begin{gathered} \text { ARC \#2 } \\ \text { (1 per line) } \\ \hline \end{gathered}$ | Define arc location and radius (curb returns, islands, etc.) | Begin azimuth, X \& Y coordinates, degree of arc (sweep and radius) |
| $\begin{gathered} \text { Line } \# 1 \\ (1 \text { per run) } \\ \hline \end{gathered}$ | Identify number of stralght lines required to complete geometry | Total number of lines to be defined (max. 100) |
| $\begin{gathered} \text { Line \#2 } \\ (1 \text { per line) ) } \\ \hline \end{gathered}$ | Define each line required for islands, parking lanes, etc. | Begin and end $X$ \& $Y$ coordinates. |
| $\begin{aligned} & \text { SDR \#1 } \\ & \text { (1 per run) } \end{aligned}$ | Identify number of sight distance restrictions | Total number of sight distance restrictions (max. 20) |
| SCR \#2 (1 card per location) | Define each sight distance restriction | $X \& Y$ Coordinate of each corner (of bullding or tree line) |
| PLOT | Define plot info. for draw ings (none, approaches, intersection) | Type of ink pen, scale desired, max. radius for paths, paper width, etc.) |
| OPTIONS | identifies whether user is sup. vehicle and/or driver class. and to request summaries by class | Yes or no decision by user |
| DRIVER MIX (Optional) | Define driver mix | Percent of drivers in each class (max. 5) |
| VEHICLE LENGTH (Optional) | Define vehicle length | Length of vehicle in each class |
| VEHICLE CHARACTERISTICS (Optional) | Define vehicle operating characteristics | Type of vehicle operations (sluggish, average, responsive) for each class |
| DECEL (Optional) | Define max. unlform deceleration | deceleration rate ( $\mathrm{ft} / \mathrm{sec} / \mathrm{sec}$ ) for each class |

Table 8 - Input Requirements for Pre-Simulation Processors - TEXAS (Continued)

| CARD NAME | PURPOSE | DATA REQUIREMENTS |
| :---: | :--- | :--- |
| ACCEL <br> (Optional) | Define max. uniform acceleration <br> rate | Acceleration rate ( $\mathrm{ft} / \mathrm{sec} / \mathrm{sec}$ ) for <br> each class |
| VELOCITY <br> (Optional) | Define maximum velocity | Maximum velocity ( $\mathrm{ft} / \mathrm{sec}$ ) for each <br> class |
| VEHICLE RADIUS <br> (Optional) | Define minimum turning radius | Minimum turning radius ( ft ) for each <br> class |
| DRIVER O.F. <br> (Optional) | Define operating character- <br> istics | Type of driver (slow, average, <br> aggressive) for each class |
| PIJR | Define perception reaction time <br> (Oime | Driver perception reaction time for <br> each class |
| SPECIAL VEHICLE <br> (Optional) | To obtain data on a specific <br> vehicle and driver class | Time per iod, location and type of <br> vehicle, driver and speed |

Table 9 - Input Requirements for Simulation Processor - TEXAS

| CARD NAME | PURPOSE | DATA REQUIREMENTS |
| :---: | :---: | :---: |
| TITLE | Provide title of simulation | Arbitrary name |
| PARAMETER (1 per run) | Define simulation parameters | Time \& length of simulation per iod, delay definition, car following equations, type traffic control, type of statistics requested, etc. |
| LANE CONTROL | Define type of control for each lane | Type of control (none, yield, stop, signal, etc.) |
| CAM STACK \#1 (one per run, if signal) | Define number of intervals (cam stacks) for signal control | Number of intervals (cam stacks) in signal cycle |
| CAM STACK \#2 (one for each interval) | Define lane control for each interval or cam stack | Phase number, interval length in secs (if fixed time) \& signal Indication for each lane |
| PHASE \#1 (one per run, if signal) | Define number of phases | Total number of phases (max. 8) |
| PHASE \#2 (semiactuated signal only) | Define timing for street (non-actuated) phase for semi-actuated signal | Min. Green, amber, all-red intervals and the phase nos. which can be cleared to directly from this phase |
| PHASE \#3 (one per actuated signal phase) | Define timing for each minor phase | Initial interval, vehicle interval, amber \& all red clearance, max. extension, skip, \& recall switches, clear to phase nos., type of detector connection, etc. |
| PHASE \#4 (one per actuated phase) | Define detectors attached to each actuated phase | Detectors attached to this phase and type of operation |

format for the presimulation processors. A typical deck stack is shown in Figure 35.


Figure 35. Pre-simulation Input Deck Stack

Input for the simulation processor consists of control parameters for the simulation itself and specifications regarding the traffic control devices at the study intersection. Table 9 outlines the input requirements for the simulation processor.

A complete description of the input requirements for the TEXAS model is given in Reference 5.3.

## OPERATIONAL SUMMARY

TEXAS is a microscopic, deterministic and stochastic time-scan simulation model.

Random effects are built into the data stream by specifying various classifications of driver-vehicle units. As noted previously, there are three major subprograms in the overall model, which are discussed individually below.

## Geometry Processor

The purpose of the Geometry Processor (GEOPRO) is to describe the physical system to be simulated. The attributes of the system remain constant for any simulation of the physical configuration input. The geometric configuration of the intersection is usually based on the engineering data avallable from a scaled engineering drawing of the intersection. The only significant restrictions on the geometric layout is that all approaches must be linear, but may approach at


Figure 36. Typlcal Output of GEOPRO
any reasonable angle and may have no vertical curves. Curb radil, vehicle paths and lanes are all realistically flexible and bays (or parking in portions of lanes) can be described as lanes which are available only for specified sections.

After "constructing" the geometric layout, GEOPRO determines all allowable vehicle paths through the intersection and identifies all points of conflict. Lane changing within the intersection may be permitted as an option. Maximum speeds, sight distance restrictions and conflicts lincluding non-crossing conflicts, such as merges or close passing of opposing left-turns) are generated by GEOPRO. Plots of the intersection and vehicle paths are output by GEOPRO as are printed details and coded data output to tape to be used by the Simulation Processor.

## Driver-Vehlcle Processor

As noted earlier, the Driver-Vehicle Processor (DVPRO) reads the same data as GEOPRO,
this subprogram is concerned with the preprocessing of driver-vehicle units. The data are generally available from routine traffic studies, and were described earlier. It is primarily in DVPRO where the random, or stochastic variation in the traffic stream is applied. The user may specify the number of driver and vehicle classes (defaults are three and ten, respectively). Driver classes are, for example, nonaggressive, normal or aggressive. Vehicle characteristics are length, vehicle operational factor (e.g., sluggish, normal or responsive), maximum acceleration and deceleration rates, maximum speed, and turning radius. Based on the percentage of drivers and vehicles assigned to each of the several classes a driver-vehicle class matrix is generated. The traffic streams (per approach) are generated by randomly assigning the above classes to each individual vehicle to be simulated. Thus, an input "queue" is built into arrays and each driver-vehicle unit is fully described in terms of the (mostly) randomly assigned attributes which are:

Table 10 - TEXAS Default Driver and Vehicie Characteristics

o queuemin time (sum of previous headways, or arrival time)
o driver class number

- vehicle class number
- desired speed
o desired outbound approach number
- inbound lane number (inbound approach numbers are not randomly assigned)

Table 10 shows the default values used for the various characteristics. A variety of probability distributions are used to assign the above attributes, as discussed in a later section. At present, the only major limitations in this section are that pedestrian interference is not considered and there are no provisions for horizontal or vertical curves on the approaches.

Outputs are printed summaries of the input streams and coded data written to tape for use in the simulation model.

## Traffic Simulation Processor

This subprogram (SIMPRO) is the actual simuIation model. Using previously generated data stored on magnetic tape and further card inputs to establish parameters to be used, SIMPRO performs the dynamic activity computations required for the simulation.

SIMPRO handles the physical case of any single, multi-leg, multi-lane, mixed trafflc intersection (including split intersections) either without control or with any conventional type of traffic sign or signal control. The model attempts to minimize preparatory calculations and is thus highly user oriented.

The model operates on a time scan basis, where at every time increment (1/2 to one second) the simulated position and operational status of every driver-vehicle unit and (any existing) control status are updated, as needed. The degree of updating depends on the likelihood of change. For example, the relative actions of driver-
vehicle units are interdependent, thus must be updated at every time increment.

Some events (e.g., interval changes of traffic signal displays) are predictable and times are flagged for updating at the approm priate time increment. With regard to the simulation time increment, the shorter the time, the more accurate the results.

There are two control times of importance to the simulation process. The first is startup time, where the system is started empty and the simulation model proceeds to load the system. No statistics are recorded during this step. The user must input this time since no algorithm has yet been of fered to reliably determine when equilibrium has been achieved. The developers have suggested using at least two minutes (simulated real time) for this step.

The second step is the actual simulation time, which is also user specitied. Due to the high cost of simulation (despite significant compression from real time), simulation times will normally be short, compared to say field or macroscopic studies. The developers recommend at least ten minutes to obtain sufficient results for analysis.

The simulation process operates within the above time constraints in a manner very closely approximating the real world. Arrivals are random (due to the stochastically derived headways), decisions are dynamic (e.g., gap acceptance and lane changes are responsive to the immediate traffic environment) and the car following submodel is among the most complex, and realistic, of any existing model. At each instant, the model makes available to the simulated driver his desired speed, destination, present position, speed, acceleration, deceleration (as well as the rate of change of these, referred to as jerk) and the relative positions and velocities of adjacent vehicles. The "driver" may decide to maintain speed, accelerate, decelerate or maneuver to turn or change lanes. The decision is dependent on the drivervehicle characteristics, roadway geometry, traffic control status and the actions of other driver-vehicle units on the system, within certain realistic constraints (e.ge,
minimum headways, prohibitions on changing to certain lanes, etc.).

Of several possible decisions available, that receiving the highest priority is based on the premise that drivers wish to sustain their desired speeds, but will obey traffic laws and will maintain safety and comfort. Once the decision is "made", future values of the position/velocity status varlables are processed for use by driver-vehicle units which are dependent upon the present unit.

The order of processing vehicles is based on their position in the system. Outbound vehicles are processed first, then inbound, in the order of least time remaining in the system. A simplified flow chart of the simulation is given in Figure 37.


Figure 37. Generalized Flow Process for SIMPRO

## COMPUTATIONAL ALGORITHMS

The computational capabilities of TEXAS are extremely complex and highly sophisticated, particularly in the SIMPRO subprogram. In the interest of brevity, only the more significant algorithms are included in the subsections below.

## Geometry Processor (GEOPRO)

Construction of the physical layout of the intersection is based simply on the appropriate connection of required arcs and lines. Of more interest is the technique by which vehicles are tracked through the system. Coordinates are not used. GEOPRO establishes all possible paths through the system (e.g., see Figure 36) and the vehicle positions are stored (in the simulation) on the basis of position in the path. When the end of a path is reached, the vehicle is "transferred" to another path (or processed out of the system). These are all based on simple geometric or trigonometric computations (albeit complexly interrelated).

The most significant computational technique of interest in this subprogram is that for maximum speed on curves (l.e., turns). The relationship for maximum speed (V) is as follows:

$$
\begin{equation*}
v=\frac{-B+\sqrt{B^{2}-4 A C}}{2 A} \tag{5.1}
\end{equation*}
$$

For radii greater than 300 m ( $1000 \mathrm{ft}$. ) the values of $A, B$ and $C$ are as follows:

$$
\begin{aligned}
& A=\text { one }(1) \\
& B=-15 \times \text { radius } \times(-0.001) \\
& C=-15 \times \text { radius } \times 0.190
\end{aligned}
$$

For radii less than 300 m ( $1000 \mathrm{ft}$. ) the values of $A, B$ and $C$ are as fol lows:

$$
\begin{aligned}
& A=1-(15 \times \text { radius } \times 0.00013951) \\
& B=-15 \times \text { radius } \times(-0.01404) \\
& C=-15 \times \text { radius } \times 0.49671
\end{aligned}
$$

These are based on AASHTO standards.

## Driver-Vehicle Processor (DVPRO)

The major computational function of DVPRO is to randomly assign the various driver and vehicle characteristics discussed earlier. Probability denslty functions avallable for assigning headways (or arrival times) are the Erlang, gamma, log normal, negative exponential (shifted or unshifted) and uniform. The driver and vehicle classes, inbound lane and outbound approach are assigned based on an empirical discrete distribution le.g., percentages of occurrence for each class). Desired speeds are derived from a normal distribution.

In the interest of brevity, only one example of each of the stochastic processes are given for headway and class assignments.

For Poisson distributed arrivals, the Erlang probability distribution can be used to represent the walting time $T$ until the $K$ th arrival. This distribution is thus the sum of $K$ negative exponential variates with an identical expected value (mean of 1/ ). The probability density function is expressed as follows:

$$
f(t)=\frac{\alpha^{K} \times T^{(K-1)}}{\begin{array}{l}
(K-1)!e^{-T} \\
0 \text { elsewhere }
\end{array} \text { for } T>g^{\text {and }}{ }^{K>0}>0 \text { and } K>0} \begin{array}{r}
\text { (5.2) }
\end{array}
$$

Without developing the entire process, $\propto$ is equal to the mean divided by the varlance of the headways and the Erlang variate, T , is found by

$$
\begin{equation*}
T=-\frac{1}{\alpha} \log \left(\pi K_{1}^{K} N\right) \tag{5.3}
\end{equation*}
$$

where $\pi=$ the product of $K$ random
numbers (RN).

The empirical discrete probability function is, as the name implies, based on field studies. For example, inbound lane assignments would be based on actual measures of lane distributions. For a simple example, assume a two-lane approach on which P \% of the traffic is in lane 1 and (1-P)\% in lane 2.

The lane assignment, $L$, is determined for each vehicle on this approach simply by

$$
L=\begin{align*}
& 1, \text { if } R N \leq P / 100 \\
& 2, \text { if } R N>P / 100 \tag{5.4}
\end{align*}
$$

and $P$ is within the range $0-100$.
All characteristic assignments are made similarly, albelt by a somewhat more sophisticated algorithm to account for greater numbers of characteristics.

## Traffic Simulation Processor (SIMPRO)

This is the most important subprogram in TEXAS, as noted earlier. The multitude of algorithms is simply too vast to include all of them in this Handbook, thus, only qualitative comments are of fered about most of the computations. Only the more sallent submodels are defined mathematically.

Acceleration and deceleration are based on empirically validated linear models.

Car following is based on a noninteger, microscoplc, generalized car following equation as follows:

$$
A_{i}=\frac{v_{i}^{\mu}}{\left(X_{i-1}-X_{i}{ }^{\mu}\right.} \quad(v \quad i-T v)_{i}
$$


already in the lane ahead, subject to a com plicated logical algorithm to determine whether a vehicle should accelerate, decelerate or remain at the initial speed.

Lane control strategies are based on a logical decision process which is dependent on the type of control. Driver responses are determined by traffic control, right-of-way and gap acceptance (depending on control type), right turn-on-red and other possible maneuvers. A complex set of algorithms is used for this function.

Lane changes may be optional (e.g., to achleve higher speed) or forced (e.g., a path does not exist from the present lane to the desired outbound leg). All optional lane changes are based on expected savings in delay, but penalties are based on empirical data. Lane changing geometry is also based on empirically validated trajectories.

Operational factors such as driver classification (e.ge, degree of aggressiveness) and vehicle classification (e.g., responsiveness) affect the slopes of the speed change submodel and other similar parameters. Percep-tion-reaction times affect the times at which decisions are implemented.

## OUTPUTS REPORTS

As in the previous sections, the outputs are described separately for the three processors, plus error messages.

## Geometry Processor (GEOPRO)

GEOPRO produces printed summaries and plots for inspection and a tape with data for use in SIMPRO. Printed outputs contain an echo of the input data with convenient column headings and listings of sight distance restrictions, intersection paths and intersection conflicts (Figure 40).



Figure 38. Overall Intersection Plot


Figure 39. Detall Intersection Plot


TABE 5 - LISTING OF LINES (FOR FLOTTING ONLY)


Figure 40. Example GEOPRO Output Report (Continued)


Figure 40. Example GEOPRO Output Report (Continued)

Plots include an overall layout of the system (Figure 38), the intersection detail and vehicle paths for each approach (a single example of which is shown in Figure 39). The composite vehicle paths, showing all potential conflicts can also be plotted, an example of which (for a different case) was shown in Figure 36. The plots may be interactively displayed on a CRT screen as well, if appropriate hardware exists.

The tape for SIMPRO contains extensive details needed for the simulation. These data may also be written to disk storage if desired.

## Driver-Vehicle Processor (DVPRO)

Printed and tape outputs are issued by DVPRO. Some of the same input data discussed above are printed since both GEOPRO and DVPRO use the same input card deck. However, error checks are peculiar to the separate processors.

The printed output that are the drivervehicle tables are illustrated in Figure 41.

## Traffic Simulation Processor (SIMPRO)

A similar input data echo is issued by SIMPRO, but for the cards input exclusively for this subprogram. Other input data reports also provide more readable formats of the data for the system (Figure 42) and the traffic control.

The summary statistics for each approach, as well as the whole intersection, are reported. Traffic control statistics are also output, as appropriate. Finally, the printed output contains summary statistics of the simulation run itself.

Punched card outputs include the data listed In Figure 42. Specialized evaluation programs or existing statistical packages (SPSS, SAS, etc.) could be used to evaluate the results of alternative simulations.

## Diagnostic Messages

Each processor has its own set of error messages, which are too numerous to list here.

The three processors have 59, 62 and 81 input data error messages, respectively. Unfortunately, once an error is detected, it is reported and execution stops. This could result in several runs to "debug" the input data.

Some errors are only detectable during execution in SIMPRO. These are likewlise reported and the simulation is terminated.

## ADDITIONAL FEATURES

The TEXAS simulation model produces a realistic simulation of intersection operations. The variety of inputs and outputs have been discussed previously and those discussions covered most of the available options. A summary review of these would appear to be warranted, however.

1. Geometry - any feasible design of a single intersection including divided highways which operate under a single signal controller, parking lanes, turn bays and channels.
2. Driver-vehicle units - extremely flexible classifications, all randomily assigned.
3. Turning - lane changes; right and left-on-red; U-turns, protected, permissive and unprotected.
4. Traffic Control - no control; stop or yield sign control; and/or fixed time, semi-actuated or full-actuated signal control. The latter may be based on detector calls set in the pulse or presence modes.
5. Outputs - printed input data, intermediate results and summary statistics of traffic MOE; line plots of geometrics, turning movements and sight- distance restrictions; and interactive graphics displays. Additionally, punched card outputs can be obtained for use in evaluating alternative designs or control strategies using other computer programs.
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    MINTMUM HEOCHAY FDR VEHICLES ISFCI ---- 1.0
    NUMBER IF VEHICLF CLASSFS -------------- 10
    NUMEER DF DRIVER CleSSES --------------- *
    FERCENT OF LEFT TUDNSINMLDIANLIAE -- 8O.
    FERCENT DF RIGHT TUPNS IN CURGLONE --- SO.
THB:F 4 - LISTINE NF AFFROACHES
    IFFRGOCH NUMGER ------------------------ 1
    1FFPOICH DIIMUIH -.-.-.-.-------------------- 180
    NUMBER DF LINES ----------------------------
    NUMGER IF DEERFFS FIF STRIIGHT -------- TO
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    IFFFUACH MEIN SFFFD (MFHI ------------- }77.
    IFFROACH 85 FFPCENTILF SFFED (MFH) ---- >5.0
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Figure 41. Example DVPRO Output Report
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| ENTRY | 2 | Frast | 1 |  |  |  | 14 | 14 | 4 | 14 |  |  |  |  |  |  |
| ENTRY |  | fase | 1 |  |  |  |  |  |  |  |  |  |  |  |  | 14 |
| ERTRY | 4 | FHase | 2 |  |  | 4 G | 19 | 18 | 18 | 10 |  |  |  | -P | ${ }^{\text {P }}$ | 16 |
| Entry | 5 | false | 2 |  |  |  |  |  |  |  |  |  |  | * ${ }^{\text {c }}$ | 1. | 4* |
| Entry | E | frase | 2 |  |  | 0 |  |  |  |  |  |  |  |  |  | 16 |
| Entry | 1 | fatse | 3 | - 1 | 16 | 16 |  |  |  |  | 16 | 18 | 16 | 1 R | IR | ${ }^{1}$ |
| Entey | c | frase | 3 | 4 | +1 | 11 |  |  |  |  | 4 | 14 | 11 |  |  |  |

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| Queve delay tufhicle-sfoonesi | 75397.0 |
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| percemi cf vehicles incuraing oueue dele | AO. |
| averise gueut oelay (sfconosi | 48.9 |
| average glevf delay/average travel time | E0.2 PFRCENT |
| steppec celay ivehicle-seconos 1 | 18716.0 |
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| fercekt tf vehicles incuraing ofliy aftow 10.0 mph | 89.6 |
|  | 57.3 |
| averace telay below 10.c yfh/tverace travel ti | 64.3 PF RCE |
| veficiendies of pravel | 147.744 |
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| numbef cf vemictes frocessed | 44 |
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Figure 42. Example SIMPRO Output Report (Continued)

GEOPRO and SIMPRO use a special storage-management and logic-processing program called COLEASE (COordinated Logic Entity Attribute Simulation Environment). This program accomplishes two objectives: (1) it provides a mechanism which maximizes computer bit usage (storage) by disregarding normal word boundaries and (2) it establishes an efficient means for processing logical binary networks. By maximizing computer bit usage, the amount of storage is reduced with an associated increase in computer time required for the packing and unpacking of variables. The Fortran code that is part of the TEXAS Model and generated by COLEASE will run on any computer that has a Fortran complier. To reduce computer time, these COLEASE generated Fortran routines have also been coded in machine language for $\triangle D C$ and IBM computers. These routines are completely transparent to the users of the TEXAS Model.

## APPLICATIONS AND LIMITATIONS

The TEXAS Model analyzes a variety of conditions. Alternative geometric strategies, vehicle mixes and traffic control strategies can all be investigated. While separate runs are required for the three main processors, many runs could be made, say, with the Tratfic Simulation Processor, using the same outputs of the two preprocessors.

While the TEXAS Model is extremely versatile and powerful, several limitations warrant notice. First is the absence of any effect by pedestrians. All-red signal phases can be modeled for pedestrian intervals at signalized intersections, but the interference to traffic by pedestrians moving simultaneously cannot be simulated.

Approaches must be straight and (essentialiy) at zero grade. In reality, many intersections have approaches on grades, which affect acceleration and deceleration. This can be compensated for somewhat by using different
headway distributions or parameters for the effected approaches, but automatic adjustments would be more convenient.

External preemption of traffic signals cannot be modeled (e.g., bridge, RR or fire preemption).

No estimates of fuel consumption or vehicle exhaust emissions are presently included in the model; however, the Center for Highway Research is presently programming a fuel consumption and emissions submodel to add to the traftic simulator.

Finally, there is no provision for coordination, or even the effect of adjacent signals. Nearby signals will clearly affect the arrival patterns, tending to establish platoons. Despite the impressive variety of avallable arrival distributions, this type of effect cannot be simulated except by direct user input (special vehicles) of driver-vehicle units to OVPRO.

Despite these several limitations, the TEXAS intersection simulation model is an extremely powerful tool for the practicing traftic engineer.

## EXAMPLE APPLICATION

The previous intersection example problem of Ashley Drive and Kennedy Boulevard, used for the SOAP model, was also selected to lllustrate the use and capabilities of the TEXAS model. The following describes the problem and the use of TEXAS to evaluate existing and alternative intersection operation.

## Problem Description

The intersection location, geometric and traffic control characteristics are the same as that described in Chapter 4, page 50. It is desired to determine if new signal timing would improve traffic flow and to determine
what benefits would occur if the curb return radius on the northwest corner were increased.

## Analysis of Existing Conditions

As with all models, it is desirable to code the input data required to simulate existing conditions. This not only provides a basis for determining the acceptability of the model but also as the basis for evaluating the alternatives.

The first step in coding data for existing conditions is to obtain a scaled drawing of the intersection geometrics. To code data, it is necessary to define approaches, lanes and detectors by numbers. To assist the user in coding data it is useful to indicate these directly on the plans or a sketch. Figure 43 illustrates the coding system used for this problem. (In actual practice a $1^{\prime \prime}=20^{\prime}$ scale plan was utilized.)

The geometrics of the intersection are coded based upon a coordinate system. For this problem, a system of coordinates was assigned to insure that all coordinates would be positive numbers.

In addition to the geometric configuration, it was also necessary to define approach volumes, percent in each lane, turning vol umes, speeds, etc. Figure 44 illustrates the standard coding forms and coded data required to represent the example intersection problem.

To code the data required by the geometry and driver-vehicle processor was a fairly straightforward procedure with few areas of difficulty. Since no special studies had been conducted of the mix of vehicle classes and driver characteristics within the urban area, it was necessary to use the default values. However, communities who use the TEXAS Model extensively would want to conduct some reseach to determine if any changes are needed to reflect local conditions. Some judgement was also necessary to code headway distributions. There is a supplemental program (DISFIT) which is available to determine the best fit to an existing distribu-
tion. However, since actual data were not available, the user manual recommendations for medium to high volumes were utilized. A similar problem occurred in coding the data for the simulation processor where it was also necessary to use the user manual recommendations on car-following equation par ameters.

Some problems did occur during execution of the model because of coding errors and several runs were required. Most errors were related to coordinates for some of the geometric features as well as improper coding of some clearance intervals for changes in signal indications.

Figure 45 illustrates the graphical output obtained from TEXAS Model showing intersection geometry and vehicle movements. Other plots obtained were shown previously in Figures 38 and 39.

Over 16 pages of printout are provided by the geometry processor and 8 pages by the driver vehicle processor. These data are basically a description of the input data and are useful for determining if data was properly coded and for identifying possible errors as well as a source listing of input data variables. Portions of this output are shown in Figures 40 and 41 as example output and have not been repeated here.

Figure 46 presents summary statistics of the simulation processor for existing conditons. There were actually twenty-three pages of output, however only the portions concerning overall intersection operation and operating characteristics on the north approach (which would be affected by geometric improvements) were included.

These statistics should be compared with observed fleld data to determine if the model does represent actual traffic operation. Data which could be useful for this comparison would include data obtained from a typical intersection delay study (Reference 4.10). Field data should be obtained on a per lane, as well as on per approach, basis. Since this study was not done for this location, a comparison is not possible.


Figure 43. TEXAS Intersection Data-Ashley Dr \& Kennedy Blvd.
texas state department of hlghways and public transportation the texas model for intersection iraffic GEOMETRY PND DRIVER-VEHICLE PROCESSOR INPUT - FORM I

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GEOMETRY AND CRIVER-VEHICLE PROCESSOR INPUT - FORM 2


Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blvd.

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\text { THE TEXAS MODEL FOR INTERSECTION TRAFFIC }
\end{gathered}
$$

GEOMETRY AND DRIVER-VEHICLE PROCESSOR INPUT - FORM 2 CONTINUED

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LANE CARD (MANDATORY)


Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blvd. (Continued)

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& \text { THE TEXAS MODEL FOR INTERSECTION TRAFFIC }
\end{aligned}
$$

geometry and oriver-vehicle processor input - form 2 continued


Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blvd. (Contínued)

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> the texas model for intersection traffic
> GEOMETRY AND DRIVER-VEHICLE PROCESSOR INPUT - FORM 4


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GEOMETRY AND ORIVER-VEHICLE PROCESSOR INPUT - FORM 7

program :52217a and 1522178


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Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blva. (Continued)

> TEXAS STATE DEPARTMENT OF RIGHWAYS AND PUBLIC TRANSPORTATION
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Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blvd. (Continued)

> IEXAS STATE DEPARTMENT OF HIGHWRYS ANO PUBLIC TRANSPORTATION THE IEXRS MODEL FOR INTERSECTION TRAFFIC SIMULATION PROCESSOR INPUT - FORM 3

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 SIMULATION PROCESSOR INPUT - FORM 5

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procram 1522170
Figure 44. Coded Input Data for TEXAS Model of Ashley Dr. \& Kennedy Blvd. (Continued)


Figure 45. Pen Plot of Intersection Geometrics and Vehicles Movements of Example Intersections.



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Figure 46. SIMPRO Summary Statistics for Existing Conditions at Ashley Dr. and Kennedy Blvd.

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Figure 46. SIMPRO Summary Statistics for Existing Conditions at Ashley Dr. and Kennedy Blvd. (Continued)

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# Figure 46. SIMPRO Summary Statistics for Existing Conditions at Ashley Dr. and Kennedy Blvd. (Continued) 

## Define \& Analyze Alternative

In order to evaluate alternative intersection improvements, the user must modify previously coded data to reflect the proposed changes. For the purpose of this problem two alternatives were defined.

One alternative which can be evaluated is a change in signal timing. As previously indicated the present signal is operating as a semi-actuated controller with a ninety second background cycle. The TEXAS Model does not have any provision for controlling a signal under system control. However, it is possible to evaluate the intersection under present signal timing without the background cycle. The minimum green time on main street (westbound phase) was reduced from 34.2 sec to 26.5 sec., and the maximum green time for the other phases was increased to 33 sec . each from the present maximum of 19.8 sec . for eastbound movement and 22.5 seconds for north-south movement.

Only three (3) cards to SIMPRO required modification to reflect these changes.

A second alternative that can be evaluated is an increase in the curb return radius on the northwest corner. The present radius is ten (10) feet. Due to this tight radius most vehicles, particular trucks and buses, have to slow down in order to negotiate the turn. To evaluate the benefit of an improved turn radius a change was made in the coding to reflect a fifty (50) foot radius. Only two cards to GEOPRO required modifications to reflect the se changes.

## Evaluation of Results

The output reports obtained as a result of the simulation runs provide detalled information of the operating characteristics on the Intersection under each of the conditions modeled. Tables 11,12 and 13 provide a comparison of the results obtained for each condition relative to signal operation and lane and intersection operating characteristics. The following summarizes the results.

Revised Signal Time Alternate - Table 11 compares the statistics on the operation of the
semi-actuated signal controller. As a result of lowering the minimum assured green time on main street from 34.2 seconds to 26.5 seconds, the average length of main street green was reduced from 36 seconds to 28 seconds. This reduction of main street green time and the resulting reduction in overall delay at the intersection indicates that the present minimum green setting on the main street phase is too high. Increasing phase 2 (eastbound dual left turn) maximum extension from 19.8 seconds to 31.5 seconds reduced the number of cycles that the phase maxed-out (3 of 7 cycles or 40\%). On the other hand when phase 3 maximum green time was increased from 22.5 seconds to 31.5 seconds the cycle still maxed out each cycle.

The effect these signal timing changes had on intersection operation can be seen on Table 12. For the intersection as a whole, the total delay per vehicle was reduced by $7.2 \%$ (from 57.9 seconds to 47.3 seconds), while overall stopped delay decreased from 35.9 seconds to 29.1 seconds. This reduced stopped delay would be noticeable to the motoring public. Tentative data (reference 4.10) comparing perceived levels of service with mean stopped delay indicate an increase in the level of service of this intersection from "E" to "D".

Further benefits of the revised signal timing can be seen as an effect on traffic flow in the southbound right turn lane. This movement partially occurs during phase 2 which recelved an increase in green time. A significant improvement occurs for traffic in this lane as a result of the increased time for this movement (phase $2 \& 3$ ). Average queue length has decreased from 12.9 vehicles to 8.0 vehicles with an accompanying reduction in average stopped delay from 49.4 seconds to 21.8 seconds.

For this location an increase in cycle length may be advantageous. Further changes in minimum and maximum greens could be input into the model to determine optimum signal timing. However, since the signal is part of a system, additional studies are required to determine the impact of increased cycle length on other intersections within the system.

Table 11 - Comparison of Alternative Statistics for Semi-Actuated Signal

| Statistics | ALTERNATIVES |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Existing Conditions | Revised |  | Increased Turn Radius |  |
| Ma in Street Phase Number | 1 | 1 |  | 1 |  |
| Main Street Minimum Assured Green ( Sec ) | 34.2 | 26.5 |  | 34.2 |  |
| Main Street Amber Clearance Interval (Sec) | 4.5 | 4.5 |  | 4.5 |  |
| Main Street All-Red Clearance Interval (Sec) | 0.0 | 0.0 |  | 0.0 |  |
| Main Street Number of Phases Cleared to | 2 | 2 |  | 2 |  |
| Main Street List of Phases Cleared to | 23 | 23 |  | 2 | 3 |
| Number of Main Street Green Phases | 8 | 7 |  | 8 |  |
| Average Length of Ma in Street Green (Sec) | 36.0 | 28.0 |  | 36.0 |  |
| Signal Phase Number | 2 | 2 |  | 2 |  |
| Initial Interval (Sec) | 9.0 | 9.0 |  | 9.0 |  |
| Vehicle interval (Sec) | 2.7 | 2.7 |  | 2.7 |  |
| Amber Clearance Interval ( Sec ) | 4.5 | 4.5 |  | 4.5 |  |
| All-Red Clearance Interval ( Sec ) | 0.0 | 0.0 |  | 0.0 |  |
| Maximum Extension After Demand on Red (Sec) | 19.8 | 31.5 |  | 19.8 |  |
| Skip-Phase Switch (On/Off) | ON | ON |  | ON |  |
| Auto-Recall Switch (On/Off) | OFF | OFF |  | OFF |  |
| Parent/Minor Movement Phase Option (Yes/No) | NO | NO |  | NO |  |
| Dial Left Option (Yes/No) | No | NO |  | NO |  |
| Detector Connection Type (And/Or) | OR | OR |  | OR |  |
| Number of Detectors Connected to Phase | 1 | 1 |  | 1 |  |
| Number of Phases Cleared to | 2 | 2 |  | 2 |  |
| List of Phases Cleared to | 31 | 3 |  | 3 | 1 |
| List of Detectors Connected to Phase | 3 | 3 |  | 3 |  |
| Number of Max-outs | 7 | 3 |  | 7 |  |
| Average Time Into Phase for Max-out (Sec) | 21.0 | 33.0 |  | 21.0 |  |
| Number of Gap-Outs | 0 | 4 |  | 0 |  |
| Average Time Into Phase For Gap-Out (Sec) | 0.0 | 26.3 |  | 0.0 |  |
| Signal Phase Number | 3 | 3 |  | 3 |  |
| Initial Interval (Sec) | 9.0 | 9.0 |  | 9.0 |  |
| Vehicle Interval (Sec) | 2.7 | 2.7 |  | 2.7 |  |
| Amber Clearance Interval (Sec) | 4.5 | 4.5 |  | 4.5 |  |
| All-Red Clearance Interval (Sec) | 0.0 | 0.0 |  | 0.0 |  |
| Maximum Extension After Demand on Red (Sec) | 22.5 | 31.5 |  | 22.5 |  |
| Skip-Phase Switch (On/Off) | ON | ON |  | ON |  |
| Auto-Recall Switch (On/Off) | OFF | OFF |  | OFF |  |
| Parent/Minor Movement Phase Option (Yes/No) | NO | NO |  | NO |  |
| Dual Left Option (Yes/No) | NO | No |  | NO |  |
| Detector Connection Type (And/Or) | OR | OR |  | OR |  |
| Number of Detectors Connected to Phase | 3 | 3 |  | 3 |  |
| Number of Phases Cl eared to | 1 | 1 |  | 1 |  |
| List of Phases Cleared to | 1 | 1 |  | 1 |  |
| List of Detectors Connected to Phases | 145 | 14 | 5 | 14 | 5 |
| Number of Max-Outs | 7 | 7 |  | 7 |  |
| Average TIme Into Phase For Max-Out ${ }^{\text {( }} \mathrm{Sec}$ ) | 24.0 | 33.0 |  | 24.0 |  |
| Number of Gap-Outs <br> Average Time Into Phase For Gap-Out (Sec) | 0 | 0 |  | 0 |  |

Table 12 - Comparison of Alternative Statistics for Entire Intersection (All Approaches)

| Measures of Effectiveness | ALTERNATIVES |  |  |
| :---: | :---: | :---: | :---: |
|  | Existing Conditions | Revised Signal Timing | Increased Turn Radius |
| Total Delay (Vehicle-Seconds) | 37089.3 | 30450.6 | 31309.6 |
| Number of Vehicles Incurring Total Delay | 640 | 644 | 645 |
| Percent of Vehicles Incurring Total Delay | 99.8 | 100.0 | 99.8 |
| Average Total Delay (Seconds) | 58.0 | 47.3 | 48.5 |
| Average Total Delay/Average Travel Time | 63.0 percent | 58.1 percent | 58.7 percent |
| Queue Delay (Vehicle-Seconds) | 31707.0 | 25397.0 | 26374.0 |
| Number of Vehicles Incurring Queue Delay | 528 | 519 | 518 |
| Percent of Vehicles Incurring Queue Delay | 82.4 | 80.6 | 80.2 |
| Average Queue Delay (Seconds) | 60.1 | 48.9 | 50.9 |
| Average Queue Delay/Average Travel Time | 65.3 percent | 60.2 percent | 61.5 percent $\dagger$ |
| Stopped Delay (Vehicle-Seconds) | 23040.0 | 18716.0 | 20215.0 |
| Number of Vehicles Incurring Stopped Delay | 528 | 519 | 516 |
| Percent of Vehicles Incurring Stopped Delay | 82.4 | 80.6 | 80.2 |
| Average Stopped Delay (Seconds) | 43.6 | 36.1 | 35.0 |
| Average Stopped Delay/Average Travel Time | 47.5 percent | 44.3 percent | 47.2 percent |
| Delay Below 10.0 MPH (Vehicle-Seconds) | 37297.0 | 30149.0 | 30270.0 |
| Number of Vehicles Incurring Delay Below 10.0 MPH | 579 | 577 | 583 |
| Percent of Vehicles incurring Delay Below 10.0 MPH | 90.3 | 89.6 | 90.2 |
| Average Delay Below 10.0 MPH (Seconds) | 64.4 | 52.3 | 51.9 |
| Average Delay Below $10.0 \mathrm{MPH} /$ Average Travel Time | 70.1 percent | 64.3 percent | 62.8 percent |
| Vehicle-miles of Travel | 136.554 | 137.234 | 137.920 |
| Average Vehicle-Miles of Travel | 0.213 | 0.213 | 0.213 |
| Travel Time (Vehicle-Seconds) | 58934.5 | 52373.2 | 53446.6 |
| Average Travel Time (Seconds) | 91.9 | 81.3 | 82.7 |
| Number of Vehlcles Processed | 641 | 644 | 646 |
| Volume Processed (Vehicles/Hour) | 3205.0 | 3220.0 | 3230.0 |
| Tlme Mean Speed (MPH) = Mean of All Vehicle Speeds | 10.3 | 10.9 | 10.9 |
| Space Mean Speed (MPH) $=$ TOT DIst/TOT Travel Time | 8.3 | 9.4 | 9.3 |
| Average Desired Speed (MPH) | 22.8 | 22.8 | 22.7 |
| Average Maximum Acceleration ( $\mathrm{Ft} / \mathrm{Sec} / \mathrm{Sec}$ ) | 3.5 | 3.4 | 3.4 |
| Average Maximum Deceleration ( $\mathrm{Ft} / \mathrm{Sec} / \mathrm{Sec}$ ) | 3.2 | 3.2 | 3.2 |
| Overall Average Total Delay (Seconds) | 57.9 | 47.3 | 48.5 |
| Overall Average Queue Delay (Seconds). | 49.5 | 39.4 | 40.8 |
| Overall Average Stopped Delay (Seconds) | 35.9 | 29.1 | 31.3 |
| Overall Average Delay Below 10.0 MPH (Sec) | 58.2 | 46.8 | 46.9 |
| Number of Collisions | 2 | 5 | 1 |
| Number of Vehicles Eliminated (Lane Full) | 8 | 5 | 3 |
| Average of Login Speed/Desired Speed (Percent) | t) 94.4 | 96.1 | 96.7 |

Table 13 - Comparison of Alternative Statistics for Southbound Right Turn Lane

| Measures of Effectiveness (MOE) | ALTERNATIVES |  |  |
| :---: | :---: | :---: | :---: |
|  | Existing Conditions | Revised Signal Timing | Increased <br> Turn Radius |
| Total Delay (Vehicle-Seconds) | 8651.0 | 6585.9 | 5639.2 |
| Number of Vehicles Incurring Total Delay | 79 | 95 | 98 |
| Percent of Vehicles Incurring Total Delay | 100.0 | 100.0 | 100.0 |
| Average Total Delay (Seconds) | 109.5 | 69.3 | 57.5 |
| Average Total Delay/Average Travel Time | 75.9 percent | 66.7 percent | 62.3 percent |
| Queue Delay (Vehicle-Seconds) | 8200.0 | 5498.0 | 4520.0 |
| Number of Vehicles Incurring Queue Delay | 76 | 86 | 85 |
| Percent of Vehicles Incurring Queue Delay | 96.2 | 90.5 | 86.7 |
| Average Queue Delay (Seconds) | 107.9 | 63.9 | 53.2 |
| Average Queue Delay/Average Travel Time | 74.7 percent | 61.5 percent | 57.5 percent |
| Stopped Delay (Vehicle-Seconds) | 3899.0 | 2071.0 | 2038.0 |
| Number of Vehicles Incurring Stopped Delay | 76 | 86 | 85 |
| Percent of Vehicles Incurring Stopped Delay | 96.2 | 90.5 | 86.7 |
| Average Stopped Delay (Seconds) | 51.3 | 24.1 | 24.0 |
| Average Stopped Delay/Average Travel Time | 35.5 percent | 23.2 percent | 25.9 percent |
| Delay Below 10.0 MPH (Vehicle-Seconds) | 9921.0 | 7840.0 | 5984.0 |
| Number of Vehicles incurring Delay Below 10.0 MPH | 79 | 95 | 98 |
| Percent of Vehicles Incurring Delay Below 10.0 MPH | 100.0 | 100.0 | 100.0 |
| Average Delay Below 10.0 MPH (Seconds) | 125.6 | 82.5 | 61.1 |
| Average Delay Below $10.0 \mathrm{MPH} /$ Average Travel Time | 87.0 percent | 79.4 percent | 66.1 percent |
| Vehicle-miles of Travel | 16.504 | 19.847 | 20.361 |
| Average Vehicle-Miles of Travel | 0.209 | 0.209 | 0.208 |
| Travel Time (Vehicle-Seconds) | 11403.2 | 9872.4 | 9058.1 |
| Average Travel Time (Seconds) | 144.3 | 103.9 | 92.4 |
| Number of Vehicles Processed | 79 | 95 | 98 |
| Volume Processed (Vehicles/Hour) | 395.0 | 475.0 | 490.0 |
| Time Mean Speed (MPH) = Mean of All Vehicle Speeds | 5.5 | 7.6 | 8.7 |
| Space Mean Speed (MPH) = TOT Dist/TOT Travel Time | 5.2 | 7.2 | 6.1 |
| Average Desired Speed (MPH) | 21.9 | 21.9 | 21.6 |
| Average Maximum Acceleration ( $\mathrm{Ft} / \mathrm{Sec} / \mathrm{Sec}$ ) | 3.8 | 3.7 | 3.5 |
| Average Maximum Deceleration ( $\mathrm{Ft} / \mathrm{Sec} / \mathrm{Sec}$ ) | 3.2 | 3.0 | 3.0 |
| Overall Average Total Delay (Seconds) | 109.5 | 69.3 | 67.5 |
| Overall Average Queue Delay (Seconds) | 103.8 | 57.9 | 46.1 |
| Overall Average Stopped Delay (Seconds) | 49.4 | 21.8 | 20.8 |
| Overall Average Delay Below 10.0 MPH (Sec) | 125.6 | 82.5 | 61.1 |
| Percent of Approach Vehicles Making Movement | 37.4 | 43.2 | 44.3 |
| Average Queue Length | 12.9 | 8.0 | 6.5 |
| Maximum Queue Length | 20 | 16 | 16 |

## Increased Turn Radius

The increase in the radius for the southbound right turn lane from ten (10) feet to fifty (50) feet resulted in significant improvements for traffic. Table 13 provides the most meaningful statistics. As would be expected, an advantageous change occurred in all measures of effectiveness.

The most noticeable change is the increase in the number of vehicles per hour the approach accommodates (from 395 vehicle per hour to 490 vehicles per hour), an increase of approximately 24\%. As a result of the increased volume, and higher travel speed in the turn the average stopped delay has decreased from 49.4 seconds to 20.0 seconds (a 58\% decrease). This is further demonstrated by the reduction in average queue length from 12.9 vehicle to 6.8 schedules.

## Summary of Work Effort Required

The following statements provide a brief summary of the work effort required to solve the above example problem.

Data Collection - Since data on traffic volume, signal timing and geometric designs were available from city files, little time was required. However, no field study was conducted to validate the model. It would be desirable to conduct an intersection delay study to obtain information on the number of vehicles stopped and stopped delay per vehicle for each lane and movement. Also data on headway distribution would be advisable. This would require two people for $45-60 \mathrm{~min}-$ utes per approach, or approximately eight (8) manhours of data collection. An additional four-six manhours would be required for data summary and evaluation.

Data Coding - Approximately eight hours were required to code the existing condition. Another six to elght trial runs were required to review output and determine corrections required. This time would have been considerably shorter had someone been available who was familiar with model output to assist in identifying coding errors. Once the existing conditions data was coded and the model execution completed, only a few
trials were required to make changes. In actual practice, one should plan on three or four mandays of effort to properly $\operatorname{code}$ and calibrate the model to existing conditions.

Computer Time - Execution time for the 12 minute simulation period on the IBM 360/320 for the var ious runs required slightly over 110 seconds per run. Core storage of 258 K was required. The same problem was run on the developers CYBER $170 / 75$ and required an average of 59 seconds.

## REFERENCES

5.1 Lee, C. E., T. W. Rioux and C.R. Copeland, "The Texas Model for Intersection Traffic - Development, "Report No. FHW-ATX78-184-1, University of Texas Center for Highway Research, December, 1977.
5.2 Lee, C. E., T. W. Rioux, V. S. Savur and C. R. Copeland, "The Texas Model for Intersection Traffic - Programmer's Guide, "Report No. FHWATX78184-2, University of Texas Center for Highway Research, December, 1977.
5.3 Lee, C. E., G. E. Grayson, C. R. Copeland, J. W. Miller, T. W. Rioux and V. S. Savur, "The Texas Model for Intersection Traffic - User's Guide," Report No. FHWATX78-184-3, University of Texas Center for Highway Research, July, 1977.
5.4 Lee, C. E., V. S. Savur and G. E. Grayson, "The Texas Model for Intersection Traffic - Analysis of Signal Warrants and Intersection Capacity, "Report No. FHWATX78-184-4, University of Texas Center for Highway Research, July, 1978.
5.5 Rioux, T. W. and C. E. Lee, "TEXAS - A Microscopic Traffic Simulation Package for Isolated Intersections, " presented at the 56th annual meeting of the Transportation Research Board, Washington, D.C., 1977.

## CHAPTER 6 - PASSER II(80) (ARTERIAL OPTIMIZATION MODEL)

The use of systems for coordinating trafflc signals along arterial highways to provlde continuous movement of trafflc has been a commonly used traffic control strategy for many years. The design of such systems has become increasingly more sophisticated, as here the hardware systems themselves.

In recent years, computer programs have been used to determine the "optimal" signal system design. Programs such as SIGART, SIGPROG, and SIGOP have all been used, often extensively. However, these earller models suffer several serious IImitations in today's technological environment. Modern traffic controllers are extremely sophisticated and can handie multi-phase, multi-split requirements. The earller programs are generally unaole to deal with this level of sophistication.

Today's operating environment is frequently a I Inear arterlal highway with multiphase control at any intersection with elther a fixed or seml-actuated control system. The computer model described in this chapter was developed in response to the needs of practicIng trafflc engineers to design optimal signal timing in this environment. The original model, called PASSER 1 , was developed at Texas A\&M University's Texas Transportation Institute for use in the Dallas Corridor Prom ject sponsored by the Federal Highway AdminIstration (FHWA) and the Texas State Department of Highways and Public Transportation (SDHPT) In cooperation with the Clty of Dallas. It was later adapted and expanded as PASSER II for of f-line processing and analysis purposes in HPR Project 165, sponsored Jolntly by the Texas SDHPT and FHWA.

The Texas SDHDT maintains the model and it is used extensively by its staff as well as numerous local trafflc engineers. The current version is called PASSER II(80) hereafter referred to as PASSER 80. The computer program is written In FORTRAN IV. The model has been set up on numerous computers with relatively little difficulty. It is estlmated that machines with core storage of 92 K bytes can handle most problems.


Figure 47. Typical Signalized Arterial System In Urbanized Area.

## MODEL DESCRIPTION

PASSER 80 Is an acronym for Progression Analysis and Signal System Evaluation Routine, version 1980. The basic purpose of the model Is to assist the traffic engineer in determining optimal traffic signal timings for progression along an arterial considering var lous multiphase sequences.

The model was designed to calculate all of the signal timing information needed for plan development and fleld implementation. The program calculates degree of saturation, delay and probability of queue clearance for all movements.

The optimization algorithm of PASSER 80 identifles (from those permltted) the best sycle length, phasing sequence and of fsets-best being defined as that combination which results in the greatest bandwidths in both directions of travel. Phase spllts are calculated to minimize delay at each intersection.

## INPUT REQUIREMENTS

The authors of the model designed the program to use data normally collected and used by practicing traffic engineers in developing signal timing plans. The current program can handle up to twenty (20) signalized intersections along a single arterial highway.

Three types of input cards are used for PASSER 80 - 1) arterial header data, 2) intersection header data, and 3) intersection detall data. These data are recorded on standard computer input cards and submitted for computer processing as shown in Figure 48.


Figure 48. Passer II Data Deck

Arterial Header - This single card is used to describe the arterial signal system under study and defines the general analysis parameters and options.

Intersection Header - One card is required for each signalized intersection to describe the location, connecting link description and signal phasing information.
$\frac{\text { Intersection Details - Three cards are re- }}{\text { quired for each signalized intersection. }}$ Card one is for traffic volumes for each of the movements, card two is for the saturation flow rates for the respective traffic movements and the third card is to establish the minimum phase length for each movement.

A summary description of the input data for each of the card types is included in Table 14. A more detailed description and hints on coding input data are included in the reference material. Standard coding forms are also available (Ref. 6.8).

## OPERATIONAL SUMMARY

PASSER 80 is a macroscopic, deterministic optimization model. The user inputs minimum and maximum cycle lengths and the number of seconds the program will increment and use between the lower and upper cycle length I imits. With these data, the program seeks the optimal design by iteratively varying the splits and offsets for each design cycle length and determining the "bandwidth efficiency". The variation of splits is naturally constrained by the minimum green times input. The variation of of fets is about the desired progression speeds input.

It is suggested that for best engineering, the range of cycle lengths be limited to ten (10) seconds between minimum and maximum. This limitation only means that it is necessary to make multiple runs with var led minimum and maximum cycle lengths and minimum movement green times to study a broader range of possibilities.

The model can analyze up to four (4) arterial phase sequences (with or without overlap) per intersection and will select, from those avallable for consideration, the phase sequence at each intersection that provides the best overall arterlal progression. The permissible phase sequences which can be selected for evaluation are shown in Figure 49.

Table 14 - Input Requirements for PASSER 80

| CARD TYPE | DATA DESCRIPTION | REQUIREMENTS |
| :---: | :---: | :---: |
| Arterial Header Card (1 per arterial) | Run Number | Arbitrary number to identify run |
|  | Name of City \& Arterial | User Choice |
|  | District Number | User Choice |
|  | Date of Run | Month, Day, Year |
|  | No. of Signal ized Intersections | Maximum 20 |
|  | Isolated or Progressive | Type of operation |
|  | Smallest Cycle Length | Greater than sum of minimum green |
|  | Largest Cycle Length | Normally 10 sec . over minimum cycle |
|  | Cycle Length Increments | No. of seconds to increment between the lower and upper bounds on the cycle length in even seconds |
|  | Bandwidth Specification Option (Optional) | Percent of total bandwidth to be provided in "B" direction |
|  | Varlable Speed Option(Optional) | Analysis to include variation of link speeds( ${ }^{2} 2 \mathrm{mph}$ ) |
|  | Printer Plot (Optional) | Time-space diagram (TSD) printed |
|  | Line Plot (Optional) | Use Line Plotter for TSD |
|  | Standard or NEMA | Whether movement numbers are to be standard or NEMA number. |
| Intersection Header Card <br> (1 per intersection) | Name of Cross-street | Required |
|  | Intersection Number | Sequential in "A" direction. |
|  | Distance "A" Direction | Distance in feet from previous signal to this one in "A" direction |
|  | "A" Direction - Average Speed | Desired average progression speed in "A" direction |
|  | Distance "B" Direction | Distance in feet from this signal to the next in the "B" direction |
|  | "B" Direction - Average Speed | Desired average progression speed in "B" direction |
|  | Queue Clearance "A" side (Optional) | Amount of time by which the progression band will lag the start of the "Al direction green |
|  | Queue Clearance "B" side (Optional) | Amount of time by which the progression band will lag the start of the "B" direction green |
|  | Phase sequence for arterial (code at least one) | a) Leading left-turns <br> b) Leading thrus <br> c) Leading green |
|  | Phase sequence for crossstreet (only one) | d) Lagging green |
| Intersection Detall Cards <br> (3 per intersection) | Traffic Volumes * | Intersection number and traffic volumes for each movement |
|  | Saturation Capacity Flow* | Intersection number and saturation flow for each movement |
|  | Minimum Greeñ Times* | Intersection number and minimum green time for each movement |

*These data are placed on separate cards for each intersection.

PASSER II(80)


Figure 49. Permissible Phase Sequences

The user must select one or more of the four basic phase sequences for the arterial permitting the program to select the optimal solution for arterial progression and only one sequence for the cross-street approach. The user has the option to either delete a phase, to specify only one of the specific sequences and/or to permit overlap between phases.

## COMPUTATIONAL ALGORITHMS

The developers of the model have combined Brooks Interference Algorithm with Little's Optimized Unequal Bandwidth Equation, and extended them to multi-phase signal operations.

The program first determines the optimal demand/capacity relationships and from these green splits are determined. Trial cycle lengths, phase, patterns and offsets are varied to determine the "best" set of timings, i.e. that which maximizes the bandwidths.

The salient computational expressions include the following:
(1) Determine Maximum Bandwidth ( $B_{\text {max }}$ ) by Direction.

$$
\begin{equation*}
B_{\max }=G_{O_{\min }}+G_{i_{\min }}-i_{i_{\min }} \tag{6.1}
\end{equation*}
$$

> where: $G_{0_{\text {min }}}=$ minimum outbound progressive green.
> $\mathrm{G}_{\mathrm{i}_{\text {min }}}=\min$ imum inbound progressive green.
> $I_{i_{\text {min }}}=\operatorname{minimum}$ possible inbound band interference optimized subject to upper and lower 1 imits.
> (2) Determine Maximum Band Efficiency ( $E_{c}$ )

$$
\begin{equation*}
E_{c}=\frac{B_{a}+B_{b}}{2 C} \tag{6.2}
\end{equation*}
$$

$$
\text { where } \begin{aligned}
B_{a} & =\text { bandwidth in "A" direction } \\
B_{b} & =\text { bandwidth in "B" direction } \\
C^{\mathrm{C}} & =\text { cycle length }
\end{aligned}
$$

(3) Determine Green Time ( g )

Green times (including clearances) are determined by a gradient search technique which minimizes delay at the intersection (subject to specified minimum greens). The algorithm shifts the phase change times in small increments until the least calculated delay is obtained. The calculation of delay is discussed later.

The last relationship (the objective function) is the basis of the most significant algorithm used. Some earlier models required that the bandwidths be equal. This is not the case for PASSER 80, in fact neither direction is automatically favored.

If it is desired to favor one direction, this can be done by use of the minimum percent of progressive bandwidth (Option 1) on the Arterial Header Card or by appropriate adjustments to the desired progressive speeds on the Intersection Header Card or by adjustments to minimum green times on the Intersection Detall Card. This is subject to the availability of sufficient green time to be absorbed by the "B" direction.
(4)

## Degree of Saturation (X)

$$
\begin{equation*}
x=\frac{v C}{g S} \tag{6.3}
\end{equation*}
$$

where: $V=$ traftic volume
$C=$ cycle length
$g=$ effective green time
$S=$ saturation flow rate
(5) Estimate of Delay (D)

The delay estimate is based on a modification to Webster's method. The modification takes into account the differences in arrival rates between green and red.

$$
\begin{align*}
D= & \frac{V R c(1-g / c)^{2}}{2 V[1+(V R /(S-V G))]}+\frac{x^{2}}{2(V / 3600)(1-X)} \\
& -0.65(C /(V / 3600))^{1 / 3} \quad x^{(2+5 g k)} \tag{6.4}
\end{align*}
$$

where: $V R=$ traffic arrivals on red $V G=$ traffic arrivals on green
and all other terms have been defined previously.
(6) Probability of Queue Clearance (P)

The probability of the queues clearing in the available time is calculated by Miller's method:

$$
\begin{equation*}
P=1-e^{-1.58 \emptyset} \tag{6.5}
\end{equation*}
$$

when: $e=$ the natural base of logarithms

$$
\phi=|(1-x) / x|-(\operatorname{sg} / 3600)^{1 / 2}
$$

There are several limitations on these estimates, as described in Reference 6.8.

## OUTPUT REPORTS

Outputs from PASSER 80 consist of printed reports and optional time space plots by either the printer or line plotter.

## Printed Reports

The printed reports are of two types. The first is simply a listing of the input data as submitted to the computer. This report, illustrated on Figure 50, shows all input data in a clear, readable format.

At the top of the report information is shown that was provided on the arterial header card. Following this heading is a description of the information coded for each intersection. Notice that each of the permissible phase patterns that can be evaluated at this intersection is shown, as well as an indication if overlap is permitted. Also shown are the volumes, soturation flows and minimum green time for each movement. The movement numbers correspond to a standard coding format (or NEMA standard movement numbers if requested).

The second report (Figure 51) includes guidelines for minimum and maximum cycle length for each intersection of operating at an isolated intersection. These are based on an assumed level of service of "D" on all approaches. if the "optimal" cycle length given in the "Best Solution" (figure 52) is not within this range, excess delay may overcome the benefits of progression.

The third report presents the "Best Solution" for signal timing at the intersections in the system. As shown on Figure 52, the report presents cycle length, bandwidth efficlency, attainability and average progression speeds thru the system. Then, for each intersection, the detailed results are reported. The of fset is given, along with the phasing strategy. Then for each phase the included movements and green time (including amber and all-red) are given. Finally the degree of saturation, delay, probability of queve clearance and levels of service based on each of these are given for all movements. Total delay is also given for each intersection and the entire ar tery.

Plots (Optlonal)
Figure 53 shows a typical printer plot of the time-space diagram. Both bands are plotted


Figure 50. Typical Listing of PASSER 80 Input Data

CODING ERROR MESSAGES
NO APPARENT CODING ERRORS

|  |  |  |
| :--- | :--- | :--- | :--- |
|  |  | MINIMUM ADVISABLE |
| CYCLE LENGTH |  |  |$\quad$| MAXIMUM ADVISABLE |
| :---: |
| CYCLE LENGTH |

IF THE CYCLE LENGTH SELECTED IN THE BEST SOLUTION IS NOT WITHIN THE RANGE SHOWN ABOVE THE MAXIMUM BAND WIDTH MAY BE PRESENT BUT UNDULY LARGE DELAY MAY BE PRESENT

Figure 51. PASSER 80 Optimal Cycle Length Ranges

|  | MULTIPHASE ARTERIAL PROGRESSION PROGRAM - PASSER II-80 |  |
| :---: | :---: | :---: | :---: | :---: |
| TAMPA, FLA | ASHLEY DRIVE | DISTRICT $10 / 23 / 81 \quad$ RUN NO. |

BEST SOLUTION




TOTAL INTERSECTION DELAY (SEC/VEH) 13.52

Figure 52. Typical PASSER 80 "Best Solution" Report


Figure 53. Typical PASSER 80 Time Space Diagram Plot
and their widths and speeds are written at the bottom of the plot. The horizontal (time) scale plots show the phasing for dual left, straight thru, and leading and lagging green. 8 lank sections are red on the artery. The plot can be used to quickly identify critical intersections or to "fine tune" the offsets by shifting them to provide more lag green time ahead of the band to clear queues.

## ADDITIONAL FEATURES

PASSER 80 was written to design progression along an arterial. It can also be used to analyze single intersections. To analyze single intersections the user would input a dummy link with zero speeds and distances. The remainder of the input data should be the same as the input for the intersection to be analyzed.

To analyze existing signal timing the user should input the known cycle length, with no variation allowed and zero traffic volumes on the intersection detail cards. The program will then use the minimum green as the actual green. This feature permits the user to examine other traffic engineering improvements, such as installing median refuge zones to reduce pedestrian clearances or alterations in parking policies.

The model can also be run in the "isolated" mode, which will design phase splits based on minimum delays, but no offset optimization is performed and all arrivals are assumed to be uniform. This feature, however, requires a constant cycle length on all signals.

## APPLICATIONS AND LIMITATIONS

PASSER 80 is a tool to assist the engineer in analyzing individual signalized intersection operations or to determine optimum time-space based progression along an arterial. The program determines optimal values of all traffic signal timing parameters: cycle length, splits, phase sequences and offsets.

Several program runs may be needed before a final progression solution is calculated.

The major limitation is the narrow range of cycle lengths that can be tried in a given run, but, as stated earlier, this is easily overcome by multiple runs. The reason for this is that infeasible solutions may result for certain cycle lengths. The restricted range of cycle lengths affords the user the opportunity of carefully examining "optimal" solutions at several cycle lengths, thereby eliminating the infeasible solutions.

Finally, while phase sequencing is automatically "optimized", selection of the best sequences depends on so many factors requiring engineering judgment. On the other hand the program can assist the engineer by giving the optimal solution under a variety of sequence strategies input in several runs.

## EXAMPLE APPLICATION

To illustrate the capabilities and use of PASSER 80 an existing signalized arterial which is in operation in the downtown area of Tampa, florida, was selected as an example application. The following describes the arterial and the use of the PASSER 80 model to evaluate the existing signal system.

## Problem Description

A link node sketch of the arterial used for the purpose of illustrating the PASSER 80 model capabilities and applications is shown in Figure 54. This arterial, Ashley Drive, is located along the western boundary of the Tampa CBD. Ashley Drive provides one of the major entrances to the CBD from the adjacent urbanized area via interstate 1-75 whose on and of $f$ ramps lead directly onto Ashley Drive. Access to the connecting one-way streets serving the downtown area to the east is provided as well as major parking facilities to the west.

Ashley Drive is a multi-lane divided roadway varying from two lanes in each direction at the south end to six and eight lanes at the


Figure 54. PASSER 80 Link-Node Network - Ashley Drive
north end. Exclusive left turn storage is provided for all permitted left turns. In several instances curb lanes have been restricted for right turn vehicles only. Curb parking is not permitted, although there are frequent driveways to major parking facilities. Although buses use the facility, there are no bus stops.

Traffic signals control the eight street intersections. One is a two phase fixed time signal at the south end, Jackson Street. The remaining intersections are controlled by actuated signals. However, the signals are all supervised by a Master Controller with three dial operation. In actuality the seven actuated signals act as semi-actuated signals with a background cycle during the P.M. peak hours of 90 seconds. Detectors are located on the side streets or in left turn bays, to call the minor phases except at Kennedy Boulevard.

Kennedy Boulevard was the example problem used in the chapter for the SOAP model. The major (non-actuated) phase is on the east approach of Kennedy Boulevard. Although it operates as a five phase signal, it can be considered to basically serve as a three phase controller. The other two phases are basically a lag phase for minor left or right turn minor movements to provide additional pedestrian clearance on two approaches.

At the intersection of Madison Street, a three phase signal is provided with a leading left turn phase for southbound traffic. At Twiggs, Zack, and Cass Streets, two phase signals are provided (for side street traffic at Twiggs and Cass Steets, where southbound left turns are prohibited, and for southbound left turns at Zack Street). The Tyler Street intersection provides a three phase signal with a lagging left turn phase for northbound traffic.

The present signal system is under three dial operation, however, for illustrative purposes the example problem will be limited to an evaluation of the P.M. peak hour.

## Analysis of Existing Condition

The PASSER 80 model does not permit modeling of existing conditions. it is possible to model existing cycle length (by setting minimum and maximum cycle length equal to existing cycle length), phasing (by defining only existing sequence), and phase length (by setting minimum greens equal to present splits). However, there is no method to establish existing offsets in order to determine actual bandwidths, and progressive speeds.

Figure 54 shows a link-mode network for Ashley Drive. This illustrates the existing traffic volume for each movement and the distance betweens stop bars in each direction. With the information on this sketch, along with street widths, sufficient information is available to code the arterial network.

## Define and Analyze Alternatives

In order to define the alternatives standard coding forms developed by the Texas SDHPT were used. Figure 55 shows the coded input data for the example problem for evaluating cycle lengths ranging between 60 and 70 seconds. It is important to note that it is possible to look at several permissible phase sequence on the areterial but only one sequence can be specified for the cross street. Figure 56 shows the output for this run. A total of seven runs were made to permit evaluation of cycle ranges between 60 seconds and 130 seconds. One run was also made to represent existing intersection signal timing but not actual offsets.

The range of 60-130 second cycle lengths was used to illustrate the MOE's for this range. However, from a practical standpoint, the range should fall between. 85 of the longest cycle length and 1.5 of the shortest cycle length for optimum "isolated intersection" operation. Thus, a more practical range would fall between 70 and 90 seconds. The cycle lengths below 70 seconds and above 90 seconds would not be considered or even become of excessive delay.



## PASSER $11(81)(2) \quad 43$

intersection header cards (three per intersection)

(CONTHUE ON RIGIT SIDE)

Figure 55. Coded PASSER 80 Input Data for Ashley Drive (Cycle length ranges 60 to 70 seconds)


Figure 56. PASSER 80 Output Report for Ashley Drive (Cycle length 60 to 70 seconds).

CODING ERROR MESSAGES
NO APPARENT CODING ERRORS

|  |  | MINIMUM ADVISABLE |
| :--- | :--- | :--- | :--- |
|  |  | CYCLE LENGTH |$\quad$| MAXIMUM ADVISABLE |
| :---: |
| CYCLE LENGTH |

if the cycle length selected in the best solution is not within the range shown above THE MAXIMUM BAND WIDTH MAY BE PRESENT BUT UNDULY LARGE DELAY MAY BE PRESENT


Figure 56. PASSER 80 Output Report for Ashley Drive (Cycle length 60 to 70 seconds) (Cont'd).

## best solution continued

| **** INTERSECTION 2 KENNEDY | $\begin{array}{r} 7.2 \mathrm{SECON} \\ 10.3 \% \text { OFF } \end{array}$ | $\begin{gathered} \text { OFFSET } \\ \text { CRO } \end{gathered}$ | ARTERIAL PHASE SEQUENCE IS LAGGING GREEN STREET PHASE SEQUENCE IS LEADING GREEN |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | ARTERIAL |  | CROSS STREET |  |  |  |  |  |
| movements | 2+3 | 2+4 | $1+4$ | total major st | 5+8 | $6+8$ | 6+7 | total minor st |
| green time secs GREEN TIME (\%) | $\begin{aligned} & 0.0 \\ & 0.0 \end{aligned}$ | $\begin{aligned} & 23.8 \\ & 34.0 \end{aligned}$ | $\begin{aligned} & 0.0 \\ & 0.0 \end{aligned}$ | $\begin{aligned} & 23.8 \\ & 34.0 \end{aligned}$ | $\begin{array}{r} 23.0 \\ 32.9 \end{array}$ | $0.0$ | $\begin{aligned} & 23.2 \\ & 33.1 \end{aligned}$ | $\begin{aligned} & 46.2 \\ & 66.0 \end{aligned}$ |
| measure of effectiveness |  |  |  |  |  |  |  |  |
| movements | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| xratio | 0.0 | 0.634 | 0.0 | 0.330 | 0.0 | 0.0 | 0.640 | 0.629 |
| level of service |  | B |  | A |  |  | B | B |
| Delay (SEC/VEH) | 0.0 | 24.94 | 0.0 | 1.28 | 0.0 | 0.0 | 24.15 | 23.79 |
| level of service |  | B |  | $\wedge$ |  |  | B | B |
| probability of clearing queue | 1.000 | 0.983 | 1.000 | 1.000 | 1.000 | 1.000 | 0.970 | 0.981 |
| LEVEL OF SERVICE |  | A |  | A |  |  | A | A |



Figure 56. PASSER 80 Output Report for Ashley Drive (Cycle length 60 to 70 seconds) (Contld).

Table 15 - Comparison of PASSER 80 Runs

| RUN | CyCle Range | SELECTED CYCLE |  | $\frac{D A}{\text { SPEED }}$ | $\overline{\text { SEC }}$ | SPEED | $\stackrel{\%}{\text { EFF }}$ | $\begin{gathered} \text { TOTAL } \\ \text { DELAY } \\ \text { (Veh-Hrs) } \end{gathered}$ | AVERAGE DELAY PER VEHICLE (Sec/Veh) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 120-130* | 130 | 42 | 24 | 26 | 24 | 26 | 133.3 | 23.71 |
| 2 | 110-120* | 112 | 36 | 23 | 22 | 23 | 26 | 101.76 | 18.10 |
| 3 | 100-110* | 110 | 36 | 23 | 22 | 23 | 26 | 100.19 | 17.32 |
| 4 | 90-100* | 100 | 32 | 25 | 19 | 25 | 26 | 92.34 | 16.42 |
| 5 | 80-90** | 90 | 28 | 27 | 17 | 27 | 26 | 84.30 | 14.99 |
| 6 | 70-80** | 70 | 18 | 27 | 11 | 27 | 21 | 71.45 | 12.71 |
| 7 | 60-70* | 70 | 18 | 27 | 11 | 27 | 21 | 71.45 | 12.71 |
| EXIST | 90 | 90 | 26 | 27 | 23 | 27 | 27 | 88.99 | 15.80 |

*Outside range of advisable cycle length.
**Range which would be used for analysis based upon intital cycle length calculation for each intersection using Poisson method.

## Evaluation of Results

Table 15 provides a summary of each of the runs. As cycle length increased the arterial delay to vehicles increased, however, bandwidth also tended to become larger, as expected.

A comparison of the existing signal timing with optimum signal timing for the same cycle length ( 90 sec ) showed only a slight difference in total delay. This is largely due to a small change in length of each phase at some intersections, although no phasing plan was changed. (Remember that the model did not represent the exlsting offset but used the model's result so this is not a true comparison.)

In reviewling the results, a 90 second cycle would give the largest percent bandwidth (or efficiency of 26\%) with minimum delay for
that level of efficiency ( 84.30 vehicle hours). The optimum cycle length to minimize delay would be a 70 second cycle with a 71.45 seconds of delay or a reduction of $15 \%$. Although not explicitly defined by the model, It would appear that the 90 second cycle minimized stops, but the length of the stops are increased.

## Summary of Work Effort ReqquIr ements

The amount of work effort required to $\operatorname{code}$, run and analyze the PASSER 80 model was minimal. The following summarizes this effort.

Data Collection - The data required for the PASSER 80 model is minimal. Turning volumes, Intersection geometric and distance between stop bars on each direction are all that is required. In the case of an existing system the existing signal timing would be useful.

PASSER II(80)

Data Coding - Less than one hour was required to code this arterial problem and should be typical for most problems.
Computer Time - Execution time on the IBM
$360 / 370$ varied from .26 seconds for the
existing condition to . 38 seconds for the
highest cycle lengths investigated. All the
problems were executed using 96 K of storage.

## REFERENCES

|  | User's Manual for Progression Analysis Signal System Evaluation Routine PASSER 11," Texas Transportation Institute Research Report 165-14, August, 1974. (NTIS-PB-241582) |
| :---: | :---: |
| 6.2 | Texas Transportation Institute, "Signal Timing Optimization to Maximize Traffic Flow," Workshop Notes, undated. |
|  | Messer, C.J. and Fambro, D.C., "A Guide for Designing and Operating Signalized Intersections in Texas," Texas Transportation Institute, Research Report 203-1, August 1975. |
| 6 | Messer, C. J., et.al., "A Variable-Sequence Multi-phase Progression Optimization Program," Transportation Research Record 445, 1973, pp. 24-33. |
| 6 | Messer, C. J., Fambro, D.B., and Anderson, D.A., "A Study of the Effects of Design and Operational Performance of Signal Systems - Final Report," Texas Transportation Institute Research Report 203-2F, August, 1975. |
| 6. | Fambro, D.B. "PASSER 11 - Software Documentation," Texas Transportation Institute, College Station, January, 1979. |
| 6. | "Traffic Engineering Programs," Texas State Department of Highways and Public Transportation, Dec. 1980. |

# CHAPTER 7 - PASSER III (DIAMOND OPTIMIZATION MODEL) 

The diamond interchange is the most common type of interchange used today in both rural and urban areas. In rural areas, this type of interchange is adaptable almost exclusively to major-minor crossings and the traftic volumes are small so that traffic signs are used to control trafflc. In urban areas, diamond interchanges can handle large traffic volumes by use of storage lanes, channelization, and traffic signals at the crossroad terminals of the freeway ramps.

The slgnalization of diamond interchanges presents an interesting challenge to the traffic engineer. Quite often efficient movement of traffic through the interchange is critical because of the potential for traffic to back up onto the freeway. The quality of service provided is related to the physical design and type of signalization at the interchange.

There are many differences of opinion regarding the best way to signalize a diamond interchange. The computer model described in this chapter was developed to assist the traffic engineer in determining the optimal traffic signal timings for signalized diamond interchanges. The program is applicable to isolated interchanges as well as a series of Interchanges through which progression is desired along one-way frontage roads. PASSER III, I ike PASSER II(80), was developed at the Texas Transportation Institute for use in the Dallas Corridor Project which was sponsored by the Federal Highway Administration (FHWA) and the Texas State Department of Highways and Public Transportation (SDHPT) in cooperation with the City of Dallas. PASSER 111 was adapted and improved upon in HPR Project 178 which was also sponsored by the Texas SDHPT and FHWA.

The Texas SDHPT maintains the model and is used extensively by its staff.


Figure 57 - Typical Signalized Diamond Interchange.

## MODEL DESCRIPTION

In urban areas, most diamond interchanges are signalized at the ramp terminals, where the ramps intersect the cross street. Diamond interchanges are normally characterlzed by their close spacing of the ramp terminals and the resulting small storage areas between the signals. In the early 1960's the Texas Transportation Institute of Texas A\&M University developed a novel signalization strategy for diamond interchanges which took into account the fact that the throughput (or capacity) of the system could be increased by allowing several potentially conflicting movements at the separate intersections to occur simultaneously for a short time (Reference 7.1). This period was termed the "overlap phase" for obvious reasons, and the underlying concept has become a standard in the profession.

PASSER Ill, which is an Acronym for Progressive Analysis and SIgnal System Evaluation

Routine, Model 111 (Diamond Interchange, see Reference 7.2), determines the optimal phase patterns, splits and internal offsets at single interchanges (for given cycle lengths) and additionally the optimal system cycle length and progression offsets for the frontage road progression. The physical system considered is the signalized diamond interchange, with or without thru frontage roads or a series of interconnected interchanges with progression on the parallel (frontage) road.

The computer program is written in FORTRAN IV and consists of about 3100 statements. It is estimated that machines with core storage of 168 K can accommodate most problems.

## INPUT REQUIREMENTS

The input data required for this program are similar to those needed by the PASSER 80 model. The program uses data that are normally collected and used for signal analysis at diamond interchanges, with some special requirements. The current program can handle up to fifteen (15) interchanges in a single run.


Figure 58. PASSER lll Data Deck

Three types of input cards are used for PASSER 111 - 1) freeway header card, 2) interchange header card and 3) interchange detail card. These data are recorded using standard formats and submitted to the computer as shown in Figure 58.

Freeway Header - This card identifies the freeway and defines some general parameters and options.

Interchange Header - This card provides signalization and geometric information for each signalized interchange in the data set. Link data for the frontage road must be provided if a frontage road progression is desired. One card is required for each interchange.

Interchange Detail Cards - Three cards are required for each interchange. Card one contains traffic volumes, card two contains the effective number of lanes for each movement and card three presents the minimum green time in seconds for each signal phase.

A summary description of the input data for each of the card types are included in Table 16. A more detalied description and instructions for coding input data are included in the reference meterial. Standard coding forms are available for the user.

Most of the inputs are self-explanatory, but there are a few peculiarities which should be noted. PASSER 111 has two primary functions as noted earlier: a) isolated interchange optimization and b) coordinated progression on frontage roads. These modes can be run simultaneously for a total system analysis, but this is quite expensive. The preferred approach is to run the isolated designs first and then using these results, run the progressive analysis (if the latter is needed). Accordingly, the user has to be aware of what inputs should be included in the respective runs. The two modes are discussed briefly below, then some general remarks.

## Isolated Interchange Mode

When one or more interchanges are being optimized independently the essential input re quirement is to code a minus one ( -1 ) in each of the five two col umn flelds to cause PASSER

Table 16 - Input Requirements for PASSER III

| CARD TYPE | CARD DESCRIPTION | REQUIREMENTS |
| :---: | :---: | :---: |
| Freeway Header Card (1 per freeway) | Name of City | User Choice |
|  | Name of Freeway | User Choice |
|  | District | User Choice |
|  | Run Number | Arbitrary number to identify run. |
|  | No. of Interchanges | Required |
|  | Isolated Mode | Isolated interchange(s) or frontage road progression. |
|  | THE FOLLOWING IS ONLY FOR FRONTAGE ROAD PROGRESSION OPTION |  |
|  | Progression Mode | To indicate frontage road analysis |
|  | Lower Cycle Length | Smal lest Cycle length. |
|  | Upper Cycle Length | Largest Cycle length. |
|  | Cycle Length Increment | In seconds. |
|  | Min. "B" Direction Band Split | Percent of total bandwidth to be provided in "B" direction. |
|  | Link Speed Search | To permit 2 mph variation (optional) |
|  | Printer Plot | Time Space Diagram (optional) |
|  | Line Plot | Use Line Plotter for TSD. |
|  | $X$ Scale | Scale for time axis. |
|  | Y Scale | Scale for distance axis. |
| ```TnTersection Header Card (1 per interchange)``` | Cross-Street Name | Required-User Choice |
|  | Interchange Number | Must be sequential in "A" direction. |
|  | THE FOLLOWING IS ONLY FOR SINGLE INTERCHANGE ANALYSIS: |  |
|  | Cycle Length | In seconds. |
|  | Delay-Offset Analysis | User Choice |
|  | Permissive Left Turns | To define those permitted. |
|  | Interior Travel Time | Time required to travel from one intersection to the other. |
|  | Interior Queue Storage | No. of vehicles that can be stored ( 25 feet per vehicle) |
|  | THE FOLLOWING IS ONLY FOR PROGRESSION ANALYSIS: |  |
|  | "A" Direction Distance | Distance to next interchange. |
|  | "A" Direction Speed | Average Speed (MPH) |
|  | "B" Direction Distance | Distance to next interchange. |
|  | "B" Direction Speed | Average Speed (MPH) |
|  | Queue Clearance | "A" \& "B" - amount of time the progressive band will lag. |
|  | THE FOLLOWING IS REQUIRED FOR EITHER MODE |  |
|  | Priority Phasing | No. of seconds of directed internal offset for each phasing code. |
| Interchange DetailCards(3 per interchange) | Traffic Volumes* |  |
|  | Number of Lanes* | Effective lanes which serve each movement |
|  | Minimum Green* | Minimum allowable green time for each approach. |

[^1]Ill to determine the optimal internal offset. If analysis only is desired, code actual splits and offsets as "minimum greens" and "priority phasing" as applicable.

## Progressive Frontage Road Mode

In this mode the lower and upper cycle length limits entered may be based on the results of the isolated interchange runs, but should not be more than 10 seconds difference for one run. Directional preference for the progression band may be specified for either one-way or two-way, (with or without preference to direction). The speeds input should be based on field studies under "nonstop" conditions to obtain "free speed" during the time period under study. However, if different link speeds occur and it is not desired to vary the band speed, the average speed should be used (unless it is anticipated that drivers will adjust to slightly different speeds).

## General

Options input on the freeway and interchange header cards are used by PASSER 111 to perform the requested analysis. The volumes can be obtained from field studies or projections, but the user must be careful to obtain the appropriate counts. Just above the coding columns for this card (see Figure 59) are diagrams showing the eighteen movements required. Note that in some cases a movement must be traced through both sides of the interchange.


Figure 59. PASSER 111 Traffic Movements

The second detail card gives the equivalent number of lanes. This is how capacities are input. PASSER lll uses a constant lane saturation flow of 1800 vehicles per hour of green time. This may be adjusted (for a single lane and movement) by inputting a factor in the appropriate field. The factor is found by dividing the user's desired saturation flow by 1800. For example, if a left turn lane saturation flow of 1200 vph for movement 15 (see figure 59) is desired, enter 67. Movements which share several lanes must be assigned the ir proportional capacity. For example, assume the frontage road in direction "A" has three lanes and demands of 200 vph per lane with the traffic in the left lane all turning left (of which 50 make a U-turn) and 50 vehicles in the right lane turn right. The equivalent number of lanes for movements 4, 5, 6 and 7 are 0.25 (50/200), $1.75(150 / 200+200 / 200), 0.75$ (150/200) and 0.25 (50/200), respectively. These values should be carefully estimated since phase splits are based on the demend/ saturation flow ratios.

Finally, the conflicting minimum greens input must not exceed the cycle length (or minimum cycle length) specified. Minimum greens include green, amber and all-red intervals. Sufficient time must be provided for any pedestrian movements.

## OPERATIONAL SUMMARY

PASSER 111 is a macroscopic deterministic time-based optimization model. Since the isolated interchange analysis is distinctly different from the progressive analysis on the frontage roads, it is simpler to discuss them separately.

## Isolated Interchange Mode

The interchange optimization is based on the fact that there can exist at each interchange only three basic phases, or allowable greens (excluding pedestrian phases). These are shown for the left-side intersection in Figure 60. These may occur in the order of either ABC (leading left-turns) or ACB (lag-


Figure 60. Three Basic Phases at Left-Side Intersection of Interchange
ging left turns), where the off-ramp traffic either leads or lags the left-turns to the on-ramp. Three similar phases are available at the right-side intersection.

Only certain movements can exist simultaneously at both intersections for any period of time. Thus, the complete set of possible patterns is four, as shown in figure 61. The fifth code (1A) is a special case of the lead-lead pattern, discussed later. All other movements are stopped. For Phasing Code 1, queues are forming on the ramps during Phase $A$, in the connecting street on Phase $B$, and on the ramps during Phase $C$. When overlap is permitted, some conflicting movements can move simultaneously, as shown in Figure 62. Note that the of fset is defined as the time between the beginning of Phase $A$ on the left side to the end of Phase $B$ on the right side.


Figure 61. Phase Sequences and Phase Codes Used by PASSER 111


Figure 62. Development of Diamond Interchange Phasing Patterns From $A B C$ : $A B C$ Phasing and of $f$ se $\dagger$

PASSER lll examines all possible combinations of phases (i.e., patterns) and varies the offset to find the pattern and offset which results in the minimum delay in the interchange. An example of the comparison of all possible Phase Codes is shown in Figure 63. The optimal design would appear to be Phase Code \#4 with an offset equal to zero or the cycle length ( 70 sec ). Phase Code 1 also gives good results at an offset of about 20 sec. To obtain this result 350 combinations were tried (five phase codes by 70 seconds). To do this by hand would be prohibitive.


Figure 63. Variation in interchange Delay for all Five Phase Codes

The fifth phase code (1A) shown in Figure 61 is the well-known "four phase with over-lap" pattern where the overlap is equal to the internal travel time (i.e., from the stop bar at one intersection to the stop bar of the other). In other words, perfect progression is provided for the through traffic (this may not be the case if Phase Code 1 (only) is specified).

## Progressive Frontage Road Mode

The frontage road progression is independent of the interchange optimization, although the latter should be run to obtain the appropriate phasing and minimums for the progressive
analysis. Both analyses may be run together, but the output is extensive and run time high, so the two step method is preferred. The optimal progression design is that which provides the largest "bandwidth efficiency", defined as the sum of the bi-directional bandwidths divided by twice the cycle length. For further discussion on the progressive optimization, see Chapter 6, PASSER $11(80)$.

## COMPUTATIONAL ALGORITHMS

The computational algorithms differ somewhat between the isolated interchange and progressive frontage road modes. In the isolated mode the green times are found using Webster's method (Reference 7.3).

$$
\begin{equation*}
G=\frac{Y}{\Sigma y} \cdot(C-L)+\ell ; \tag{7.1}
\end{equation*}
$$

where $G=$ green time ( sec ),
$y=$ volume (vps)/saturation flow (upsg),
$\Sigma_{y}=$ sum of all $y$ at intersection
C = cycle length,
$\ell=$ lost time this phase (sec), $L=$ sum of all lost time at intersection.

When the four-phase with overlap pattern (Code 1A) is introduced, the green times are calculated using a slightly different formula. An additional term is inserted in the parenthetical expression, which is then (C + $\emptyset-L)$ and $\emptyset=$ sum of interchange overlap (offset) times.

Exterior delay is the delay to all approaches into the interchange (movements 1-14). These are calculated by Webster's method (Reference 7.3), namely,

$$
\begin{align*}
d= & \frac{c(1-\lambda)^{2}}{2(1-\lambda x)}+\frac{x^{2}}{2 v(1-x)} \\
& -0.65 \frac{c^{1 / 3}}{v^{2}} \cdot x^{(2+5 \lambda)} \tag{7.2}
\end{align*}
$$

where $d=$ average delay per approach (sec/veh),
$C=$ cycle length,
$v=$ approach volume (vps)
$\lambda=$ proportion of cycle green for this appr oach, and
$X=$ saturation ratio $v / c \quad(c=$ capacity)
The internal delay for movements $15-18$ is calculated by the delay-offset technique. However, this technique is too lengthy to discuss here (interested readers are referred to Reference 7.4).

In the progressive mode the objective is to find the optimal bandwidth efficiency, or

Maximize $E=\frac{B_{A}+B_{B}}{2 C}$;

```
where E = bandwidth efficiency,
    BA}=\mathrm{ bandwidth in "A" direction,
    BB}= bandwidth in "B" direction, and
    C = cycle length.
```

For further discussion of the progressive optimization, see Chapter 6, PASSER I|(80).

## OUTPUT REPORTS

There are a total of eight output reports available from PASSER Ill, but not all are produced in a single run since they vary by mode of analysis (i.e. isolated or progressive). The distinctions are included in the discussion below.


Figure 64. PASSER III Input Data Report: Options and Parameters

## PASSER III

interchange input data


Figure 65. PASSER lll Input Data Report: Interchange Data

## Input Data Report

All input data are printed in well formatted tables, shown in Figures 64 and 65. These reports are output in both modes. One table of the type in Figure 65 is produced for each interchange. The contents of these reports are self-explanatory.

## General Signalization Information

In both modes of operation the general intersection information shown in Figure 66 is output for each interchange. This table reports the measures of effectiveness (MOE)

[^2]GENERAL SIGNALIZATION INFORMATION


PHASE ORDER - ABC/ABC
INTERNAL OFFSET - 12 SECONDS
TOTAL INTERCHANGE DELAY - 16.02 VEHICLE-HOURS PER HOUR
Figure 66. PASSER III - General Signalization Report
appropriate. The probabllity of clearing the queue values refer to the likelihood that all queues will be cleared on a given cycle for the particular phase. These three MOE's all have a level of service associated with them. The levels of service are determined from Table 17.

The fourth MOE is only available in the isolated mode. The interior storage ratio is the ratio of the length of the maximum queue per cycle for the C and D phases to the avallable interlor storage capacities for these phases. Storage ratio should not exceed 0.8 , with 0.6 being a preferrable maximum.

> Table 17 - Los Criteria for MOE'S on Signalized Movements

| OPERATIONAL MEASURES | LEVEL OF SERVICE |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | A | B | C | D | E | F |
| Saturation |  |  |  |  |  |  |
| Ratio X | $\leq .6$ | $\leq .7$ | $\leq .8$ | $\leq .85$ | $\leq 1.0$ | $\leq 1.0$ |
| Probability <br> of Cl earing $\geq .95 \geq .90 \geq .75 \geq .50<.50$ <br> Queues, Pc |  |  |  |  |  |  |
| Aver age |  |  |  |  |  |  |
| Approach Delay, d, sec/veh. | $\leq 15$ | $\leq 30$ | $\leq 45$ | $\leq 60$ | >60 | -- |

Below the table in Figure 66 are the phase orders analyzed, the interal offset identified as having the minimal delay (i.e. the optimal offset) and the total interchange delay in veh-hrs/hr.

It should be noted that the estimates of delay for the separate phases in Figure 66 do not vary with of fset under isolated mode analysis for a single cycle length. While the total delay is computed as per Equation 7.2, the internal delays in this table do not reflect variation of offset. This is considered a deficiency of Passer lil. For the isolated mode analysis, evaluations should always be based on total delay where a single cycle length is analyzed, days can be comparted when different cycle lengths are analyzed, rather than individual movement delays.

## Phase interval Report

A Phase Interval Report is given for each interchange which shows the complete phase pattern including overlaps and the length of the intervals. This report is shown in Figure 67. Note that the sum of the intervals is equal to the cycle length.


PHASE ORDER $-A B C / A B C$
INIERNAL OFFSET -12 SECONDS

Figure 67. PASSER Ill Phase Interval Report

## Optimal Progression Solution Report

When the frontage road progressive mode is run the afore-mentioned reports are output. Additionally an Optimal Progression Solution report such as Figure 68 is output. The report includes the optimal cycle length, the progression speed and bandwidth for each direction, the bandwidth efficiency and the attainability. The last value is the aver age percent of the minimum frontage road green time used in each direction for progression.

```
OPTIMAL PROGRESSION SOLUTIUN
```



## Frontage Road Progression Information

Additional internal phasing information, which is shown in Figure 67, is provided for the progression solution. The results are self explanatory. The phase orders and of $f$ sets will have been input by the user unless the delay-offset analysis was called for; but as noted earlier, it is strongly recommended that this analysis not be requested simultaneously with the progression analysis due to extreme computer run times.



Figure 70. PASSER III Time Space Plot

## Tlme-Space Plot

If requested, a printer or line terminal plot of the timespace diagram can be obtained. An example of a line plot is shown in Figure 70.

## ADDITIONAL FEATURES

The two major options have already been mentioned: a) optimization of isolated interchanges and b) optimization of progression on parallel frontage roads.

In the isolated mode, PASSER 111 can analyze five phasing patterns, which were identified in figure 61. The two most popular (but not necessarily always the "best") are the fourphase with two overlaps (Pattern 1A) and the three-phase "lag-lag" pattern (Pattern 4). This is because the interior of the interchange is always cleared in both directions after the ramp traffic has entered.

In the progressive mode the optimal cycle length is determined to maximize progression. Progression may be oneway or two-way with or without preference to one direction.

Output options include printer or line terminal plots of the time-space diagram of the progressive mode.

PASSER 111 can be used to evaluate alternative interchange improvements by simply changing the inputs to reflect proposed conditions, such as adding new lanes.

## APPLICATIONS AND LIMITATIONS

When the isolated interchange mode is used, the results shown in Figures 64 thru 69 are output. For design purposes the interchange will operate optimally if the resulting of $f$ set is used for the particular cycle length and phase pattern specified. To examine
alternative solutions, several runs may be made specifying different parameters. The "best" solution is that which results in the best overall value of the appropriate MDE, usually total delay. other MOE's may be used to override a decision based on delay. For example, if there is a high probability that queues may not be cleared and the internal storage may be exceeded. Other improvements can be analyzed by altering inputs, such as adding lanes.

Although PASSER 111 is designed primarily to study fixed-time and fixed-sequence control, the delay-offset analysis can also be used to study various full-actuated phasings and to determine the effects of different interchange approach lane configurations, left turn contigurations and U-turn lane provisions. Of course one must realize that such an analysis must be considered as an "average" operation.

Similarly, the progressive mode is used to design the optimal progression scheme on a system of interconnected interchanges with continuous frontage roads. In this case the optimal cycle length is computed by PASSER 111, as are the of tsets to obtain progression at a specifled speeds ( +2 mph ). Progression may be oneway or two-way depending on the input parameters. See Chapter 6 - PASSER II(80) for further discussion on the progressive mode.

As stated earlier, these two modes should not be run simultaneously, but this is not really a limitation because it is more practical to design the individual interchanges first, and "fine tune" them before proceeding to the progression design.

## EXNMPLE PROBLEM

To illustrate the use of PASSER Ill model in the isolated mode an example problem was selected. The following paragraphs descrlbe the problem and the results of using PASSER III.


Figure 71. Diamond Interchange Example Problem

## Problem Description

The diamond interchange shown on Figure 71 was used on an example problem. This interchange is located approximately two miles north of the Tampa CBD which has been used previously as example study sites.

This is a standard diamond interchange with the arterial providing a four-lane divided roadway within the interchange and having single left turn bays feeding the on-ramps to the freeway. The left turn bays are 150' long, having a nominal storage capacity of six vehicles. Right turns for northbound of $f-r a m p$ and eastbound arterial are independant of signalization.

The existing signalization is presently a "lead-lead" operation similiar to Figure 62, with a six (6) second offset. Due to problems experienced previously, no permissive left turns from the arterial to the on-ramps are permitted.

Since the timing was orginally established several years ago, it is now desirable to determine if improved traffic flow can be obtained thru revised signal timing.

## Analysis of Existing Conditions

With the PASSER $\| l l$ model it is possible to model existing conditions if signal timing is similar to one of the five phase sequences used by PASSER III. Since the operation at the example interchange meets this criteria, existing conditions were modeled.

To model existing conditions only the existing phase is coded with the of fet used in the field. Minimum greens are coded to represent actual time for each phase.

The operating characteristics under existing conditions were obtained from this initial run. The results showed that Phase A (thru movements at both the signals) was inadequate to handle traffic and that unacceptable levels of services occurred, particularly for the westbound thru movement. Since this was confirmed by observation in the field, the model was accepted as calibrated. Figures 64
thru 67 previously used as illustrations showed the output from this condition.

Define and Analyze Alternatives
Each run of the model will evaluate each of the five possible phasing patterns for a specified cycle length and select the most optimal phasing and internal offset. Figure 70 shows the coding required to permit the model to select the optimal pattern for a sixty (60) second cycle. To define alternatives, it is only necessary to change the interchange header card to specify cycle length for each of the runs. For this problem, one run was made for each 10 second increment between 60 and 100 seconds. Figure 71 shows the report obtained for the input data shown on Figure 72. A similar report was obtained for the existing condition and the five cycle lengths evaluated.

## Evaluation of Results

Table 18 provides a summary of the optimal results obtained for each of the alternative cycle lengths evaluated. The existing leadlead phasing was the optimal phasing pattern for all alternatives except for the 50 second cycle. However, the 50 second cycle is not a valld alternative since the interchange became super-saturated.

As previously discussed the most meaningful measure of effectiveness is the total interchange delay. Alternative $B(60$ second cycle) results in the lowest total delay of 16.02 vehicle hours and represents a substantial reduction ( $40.6 \%$ ) from existing operations. Alternative C ( 70 second cycle) is similar to Alternative $B$ with 16.76 vehicle hours of delay. The criterial movement for both of these alernatives is the right side Phase C. During this phase all left turns cannot clear the interchange and frequently 3 or 4 vehicles will have to rema in in the left turn storage lane between the signals. Although this queue does not affect through movements it does increase the average delay to these left turning vehicles.

It would at first appear that using Alternate C (70 seconds) the delay for these vehicles


Page 2 of 2


- interchange data caidos (thmee per interciahige)

|  | 1]2]3] 4 | [5]6]78 | $9]_{1} 112$ | [41516] | [7819] ${ }^{2}$ | 12 | 6718 | $\left.9^{3}\right]^{3} \mid$ | ${ }_{4} 5$ | 819 | $2]$ | ${ }_{6}{ }^{\text {d }}$ | $0_{0}^{5}$ | [d5 | Cbla | 23 | 156518 | 2 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | MOYEMENIS (SEE, DIAGRAM) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 |
|  | 2018 184.0 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| VOL UME | +1. 0 | 16.28 | 336 | 1240 | 11.0 | 1161 | 1.10 | 1185 | 1526 | 2,45 | 11.9 | 1.145 | 3176 | $11 p$ | 245 | 1902 | 13,3,6 | 1789 |
| NO. LATIES | 1.10 | Lís 30 | $O_{1,17}{ }_{1} 0$ | $1.10,0$ | $0,1.12$ | 7.18 | 1110 | 9, 39 | $\ell_{1-190}$ | $0_{1+1} 51$ | 11.0 | 0.0121 | /1, 719 | 1110 | $4.100^{0}$ | 2.109 | /1, 0,0 | 2.000 |
| MIN. GRN. | 1140 | 118 | 1110 | 1118 | 11, ${ }^{1}$ | 1, 1,0 | 140 | 1,10 | 111 | 111 | 11 | 111 | 1-11 | 111 | +11 | 111 | 11 | 111 |
| VOLUIE | 1 | 11 | 111 | 111 | 111 | 111 | 1.1 | 111 | 111 | 1.1. | 1.1 | 1.1 | 11.1 | 1.1. | 1 | 11. | $1+1$ | 111 |
| NO. LAMES | $\xrightarrow{1-1 .}$ | 1.1.1 | 111 | 11.1 | 111 | 111 | 1.1 | $1 \cdot 1$ | 111 | 11 | 11.1 | 111 | 1111 | 111 | 111 | 11. | 1.1 | +1.1. |
| MIN. GRH. | 11 | - | 1-1 | 11. | 111 | 11.1 | 1.1 | 111 | Lll | 111 | 111 | -1. | 1.11 | 11.1 | 111 | 111 | 111 | 11. |
| VOL U1IIE | 111 | L1 | 111 | 111 | L | -11 | +1.1. | 111 | 111 | -11 | 11. | $1 \perp 1$ | 111 | 1.11 | 112 | 11. | 1.1 | 111 |
| NO. Jalies | 111 | 1.11 | 111 | 11.2 | 11.1 | 111 | 11.1 | 11. | 111 | 111 | -11 | 111 | 111 | 1 1.1. | 1 | 1.1 | 11 | 1.1 |
| HIN. GRN. | 1-1/ | 1-1 | 111 | -1/ | 1 | 1-1.1. | -1/ | 111 | $1+1$ | 11.1 | -1.1. | 1-1 | 11 | 1-1.1. | 111 | 1.1.1. | +1ـ1+1 | 1.1 |

Figure 72. PASSER 111 Coded Input Data for 60 Second Cycle at 1-275 Interchange into Buffalo Ave.

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Figure 73. PASSER III Output Report for 60 Second Cycle length at 1-275 Interchange with Buffalo Ave.

## GENERAL SIGNALIZATION INFORMATION



Table 18 - Comparison of PASSER |l| Alternatives

| Alternate | Cycle Length | Phase Pattern | Internal Offsets | Total Delay (Veh. Hrs) | Critical Movement |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | Phase V/C Ratio | Delay (sec per hr) |
| Existing | 90 | lead lead | 6 | 26.98 | A(Rt.) 1.05 | (Super saturated) |
| A | 50 | lead lead | 36 |  | ( INTERCHANGE SUPER | SATURATED) |
| B | 60 | lead lead | 12 | 16.02 | $\mathrm{C}(\mathrm{R}+$.$) . 85$ | 41.92 |
| C | 70 | lead lead | 13 | 16.76 | $\mathrm{C}(\mathrm{Rt}) \quad$. | 32.76 |
| D | 80 | lead lead | 14 | 18.67 | $\mathrm{C}\left(\mathrm{R} \mathrm{t}_{\text {O }}\right.$ ) . 71 | 31.94 |
| E | 90 | lead lead | 9 | 20.72 | $\mathrm{B}(\mathrm{Rt}) \quad$. | 40.56 |
| F | 100 | lead lead | 9 | 22.78 | $\mathrm{B}(\mathrm{Rt}) \quad$. | 45.57 |

would be reduced with little increase in total delay. However, as previously pointed out, the estimate of delay for the separate phases did not vary with offset, therefore, these figures should not be used except as a general order of magnitude.

Based upon the results of these alternatives it could appear substantial improvement in traffic flow can be obtained by reducing the cycle length. Additional runs for 60 to 80 seconds using a 10 or 11 second internal of $f-$ set with overlap values may result in further improvements.

## Summary of Work Effort Required

The following paragraphs summarize the work effort required for the example problem.

Data Collection - All data required were readily avallable from the traffic engineering office. This included a $11=20^{\prime}$ scale geometric plan of the interchange, recent turning movement counts and existing signal timing.

Data Coding - With the information on hand littie time was required to code the data necessary to run the model. Coding is straightforward except for internal travel time. However, guldelines are avallable in table form in the User's Manual. A little over one hour was required to code the initial runs (existing and optimal runs).

Computer Requirements - The time required to run existing conditions was .33 second of CPU while the optimization runs required .68 sec ond for the 60 second cycle to 1.45 seconds for the 100 second cycle. All the runs required 150 K of storage for the IBM 370.

## REFERENCES

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## CHAPTER 8 - SUB (ARTERIAL BUS SIMULATION MODEL)

The evaluation of traffic operations on urban arterial highways is the subject of a number of computer models described in this Handbook. Traffic flow is simulated (e.g., analyzed) according to a variety of techniques and acceptable results may be obtained from several models, depending upon the analyst's specific interests.

One facet of urban traffic which is not expressly considered in most traffic operations models is bus traffic, either as to how buses operate under various transit management strategies, or the effect of general traffic on bus operations. In some urban areas, buses constitute a major part of the traffic demand. Even more significantly, buses may carry over $70 \%$ of the urban vehicular passengers in larger metropolitan areas.

Urban arterials serve the dual purpose of providing a relatively efficient route for the movement of traffic, as well as servicing the abutting land. In the case of general (primarily automobile) traffic, this is accomplished by appropriate geometrics and traffic controls which enable the smooth flow of through traffic and access/egress to adjacent propertles and cross streets.

In the case of buses, the efficient flow is an important concern, but of equal concern is the efficient servicing of the abutting properties to board and discharge passengers. The necessity of buses to make (largely scheduled) stops at designated bus stops may depending on the type, location and duration of stops-cause perturbations in the traffic stream. Likewise, general traffic may interfere with the movement of the buses, causing delays or extending scheduled travel times.

The analysis of bus-related traffic management strategies is clearly a significant need, for both the traffic engineer and the transit operator. Few existing models ade-


Figure 74. Urban Bus Stop
quately address this aspect. In Washington, D.C., the need to consider bus impacts was recognized and some facilities for analyzing bus flow were incorporated into the Urban Traftic Control System (UTCS-1, later NETSIM, see Chapter 11). These facilities were minimal, however, and detalled analysis of some of the bus-related characteristics noted above could not be adequately addressed.

In order to provide transit operators with a tool for evaluating bus operations along an arterial, and the effect of var lous bus stop strategies on their performance, the SUB model developed by FHWA has been included in this Handbook and is the subject of this chapter.

## MODEL DESCRIPTION

SUB is an acronym for simulation of Urban Buses. The program is written in FORTRAN IV
and contains nine modules with a total length of approximately 1300 FORTRAN statements, plus comments. The program requires only about 90 k of core, thus, should run on most IBM $0 S / 360$ or higher computers. Efficiency is high, although run time varies with the specific simulation requirements. Time compression of up to 50 time units of simulated time per unit of computer time may be realized.

The simulation model treats buses and general traffic differently. Bus traffic is analyzed by a microscopic, deterministic and stochastic simulation submadel with event scan updating. That is, all events are calculated and projected ahead and updates are made only upon occurrence of the projected events (e.g., bus travel from point to point, stop, depart, etc.).

Automobile traffic, on the other hand, is processed by a macroscopic, deterministic simulation submodel with periodic time scan updating. Input volumes are the only random element in this submodel. Traffic is treated as homogeneous groups or platoons on each block and these are propagated along the route according to common analytical expressions, subject to control status, and turning movements.

Only one direction of travel is simulated; however, the effect of opposing traffic on left-turns is considered. Traffic signal control is considered simplistically by a two-phase (for the single approach to each intersection) operation, namely green or not green.

The arterial model analyzed by SUB involves two-tanes of arterial highway, broken into separate links (by block) with either signal or stop/ yield sign control at the nodes.

A number of bus-related strategies are available to minimize the mutual interference of buses and general traffic, such as location of stops (e.g., far-side vs. near-side), type of stop (e.g., pull-outs vs. on-street bus stops) and restricted lanes for buses.

Inputs to SUB include the geometric and traffic control characteristics of the study section, traffic volumes and turning movements, bus routes and schedules, bus stops, passenger demands and other bus-related data.

Outputs are measures of effectiveness of bus operations, such as bus travel times, passenger waiting times and bus dwell times. MOE on general traffic are not produced, however.

## INPUT REQUIREMENTS

There are four basic types of input data required by SUB. These are arterial descriptors, bus data, traffic data and other exogeneous data, such as parameters and standard values.

Additionally, there are certain embedded data which are automatically used by the program. These latter include the following, which may be changed by the user to reflect local characteristics by changing the appropriate "DATA" statements and recompiling the program:

- Minimum acceptable gap for bus driver to change lanes
- Bus driver reaction time
- Factors representing the variability of passenger and bus arrivals, bus passenger service time and bus speed.

The data input by the user are contained on 14 types of cards, which are described in Table 19. A typical data deck stack is shown in Figure 75.

The inputs are mostly self-explanatory, with several exceptions, which are discussed briefly below. However, it should be noted that extreme care must be utilized in coding and keypunching the data. There is no edit routine to check the number of cards or validity of the cards. The program simply

Table 19 - Input Requirements for SUB

| CARD TYPE | CARD DESCRIPTION | REQUIREMENTS |
| :---: | :---: | :---: |
| $\begin{aligned} & \text { TITLE } \\ & \text { (1 per run) } \end{aligned}$ | Provides title for Simulation Run | Arbitrary Information |
| SIMULATION CONTROL <br> (1 per run) | Define parameters to control the simulation | Seed for random number, number of links, simulation time, number of time periods, time scan interval and clock time |
| TRAFFIC PARAMETER (1 per run) | Define traffic parameters which are constant for entire run | Headways, vehicle \& bus lengths and bus operational characteristics (acceleration \& deceleration rates, cruise speed and average "lateness" of bus arrival) |
| PASSENGER SERVICE TIME (1 per run) | Defines the time require to service passenger | Service time, load time, unload time and interaction time between loading passengers |
| TRAFFIC DEMAND <br> (1 per run) | Define the traffic volumes (excluding buses) | Vehicles per hour enter ing first link for each simulation period (max. 13 periods) |
| $\begin{aligned} & \text { BUS ROUTE } \\ & \text { (1 per run) } \end{aligned}$ | Defines bus routes and number of buses on each route | Number of bus routes and number of buses on each route (max. 18 routes) |
| LINK | Define bus stop characteristics of each link of arterial | Link length, number and type of bus stop, distance to stop line and capacity of bus stop |
| BUS ARRIVAL (1 per route) | Define bus arrival times | Scheduled time of day at entry link of each bus |
| COMMON DEMAND (1 per route) | Define common loading, and unloading demand between bus routes | Routes with shared ridership and proportion of demand |
| SIGNAL (1) per link per period) | Define arterial signal timing for each study period | Cycle length, green interval, lead time (if appropriate) and of fset |
| $\begin{aligned} & \text { SPEED \& VOLLME } \\ & \text { (I per I ink } \\ & \text { per per lod) } \\ & \hline \end{aligned}$ | Define traffic speeds and distribution of volumes for each study period | Aver age free speed and lane distr lbution of thru traffic as well as turning volumes |
| PASSENGER DEMAND (1 per stop per period) | Define passenger demand at each bus stop for each perlod | Number of passengers loading and unloading by route |
| PASSENGER LOAD <br> (1 per period) | Define expected passenger load for buses of each route | Number of passengers aboard buses of each route at entry link |
| PASSENGER CAPACITY <br> (1 per per iod) | Define bus capaclty for each period | Maximum number of passengers per bus by route |



Figure 75. SUB Data Deck
reads in the data and attempts to execute the data. If any error exists considerable computer time can be wasted.

All input volumes are indicated for the entry link only. Thereafter, flows are adjusted by adding or subtracting traffic on each link by turns onto the link and cars leaving parking and/or turns from the artery or parking, respectively.

Different simulation periods should be input to reflect changing conditions, such as traffic control, vehicular or passenger demand, and bus schedule changes.

One of the more confusing aspects of the inputs is the common demand among routes. of ten more than one route may serve a passenger for his trip. Thus, passengers may have some choice as to which route to use on the facility being simulated. For example, route 1 may expect to load 200 passengers in the section of interest, while route 2 expects to load 100. If 20 of these may use either route, the common loading is 0.1 (20/200) between routes 1 and 2 and 0.2 (20/100)
between routes 2 and 1 . For the two individual routes, "common" loadings are 0.9 (180/ 200) for route 1 and 0.8 ( $80 / 100$ ) for route 2. Unloadings are calculated similarly. This facility allows for diversion to other routes when bus capacities are threatened. Exact measures of these factors are virtually impossible to obtain; however, the model developers suggest that estimates are better than ignoring the common demand.

Finally, inputs for traffic signal control assume fixed-timed, single through phase, coordinated control. if the study section has actuated controllers, "average" values for cycle length and green intervals should be input. If the system is not coordinated for progession, offsets should be entered which approximate the random variation in the start time of the cycle. Since uncoordinated fixed-time signals will operate with reasonable stability for short periods of time, this is not an unreasonable assumption. For most accurate results, however, these "offsets" should be determined by fleld measurements.

## OPERATIONAL SUMMARY

As noted previously, there are two separate simulation submodels in the SUB program, but there are certain common operational characteristics and interactions between the simulation submodels. The logical operation is described briefly in the following subsections. Figure 76 gives an overview of the model operation.

## Inttiallzation and Inputs

The initial period of simulation is the "priming" period, during which the system is loaded with traffic and buses. Data gathered during this period is not meaningful.

Inputs to the system occur on Link 1, which is the entry link to the system in the direc-


Figure 76. General Logic Flow for SUB Model
tion of travel being simulated. These inputs (both buses and general traffic) are propograted downstream by their respective simulafor with traffic demands varying according to turns onto and from the artery. MOE's calculated for the entry link are also meaningless.

## Macroscopic Traffic SImulator

Traffic operations are updated at fixed-time intervals (from 5 to 15 seconds) on each I ink, beginning with the entry link. Random arrivals are generated using the Poisson distribution to simulate the number of new arrivals in each period. Depending on the type of control, and control status, the new
arrivals are either added to a queue or discharged to the next link. Subsequent links are treated similarly, but with the upstream inputs adjusted by randomly generated arrivals from side streets or midblock locations, or departures to side streets or parking. Each platoon is propogated to the tail of the next successive link.

When a queue of stopped vehicles is set in motion, the discharge time of each vehicle is considered, but in terms of the number of vehicles discharged during the time interval. Link travel times in motion are assumed to be constant for each link.

Traffic is regulated at each node according to the type of control. If the signal is green, or if the intersection is sign controlled (on the cross street), arterial traffic advances, with two constraints:

1. If there exists a queue, traffic moving downstream joins the queue and is discharged later.
2. If vehicles turn left, they must wait for sultable gaps in the opposing traffic stream.

This procedure is done for the entire length of a simulation period, by link, by time step. At each time step, the link occupancy (vehicles/link), queue length in each lane and number of vehicles discharged to the next I ink (by lane) are calculated for each link and stored for interfacing with the bus model.

## Microscopic Bus Simulator

The bus simulator is entered for each link for each simulation per lod. The traffic simulation will have been completed for the entire per iod at this point. Now an event scan simulation is used. For each bus, several event times are recorded, namely the arrivals at stop lines and bus stops, departures from these and completion of a passenger service operation. Each bus has an "ID" number to
key to its statistics and a sequence number which indicates its relative position in the bus stream at any given time.

Bus arrivals are initially input at times dictated by the scheduled arrival time, modified by a stochastic process to reflect variations in arrivals. Buses are then propogated link by link as follows:

1. A tentative arrival time is estimated for each stop on the link, based on its departure time from the upstream link.
2. Intra-link travel is based on a deterministic traffic flow rule (discussed in the next section).
3. At the "tentative" arrival time at a bus stop, the conditions are checked to see if the bus can "reach" the stop (e.g., is the stop blocked by other buses or a traffic queue?). Only other buses can block a protected bus stop. Once the obstruction clears, the "final" arrival time is set.
4. Passenger service time is based on loading and of $f$-loading demends and the "tentative" departure times is calculated.
5. If a bus is blocked from leaving the stop ( $\theta . \mathrm{g}_{\text {., }}$ another bus with a longer passenger service time), a pass is attempted, which depends on the availability of a gap in the adjacent traffic stream. If a pass occurs, the sequence numbers of the buses are switched. If a pass cannot occur, the "final" departure time is set to the departure time of the preceeding bus, plus driver reaction time.
6. At stop lines, the conditions are checked at the "tentative" arrival time. Depending on the signal-sequence status, buses may "depart" at that time or be delayed. Final arrival and departure times are calculated accordingly.

This process is repeated, as appropriate, for each stop on each link for the current simulated perlod.

## COMPUTATIONAL. ALGORITHMS

There are three computational algorithms of interest in the SUB model. These are the traffic flow, bus flow and passenger service models.

The traftic flow model is quite simple. Groups of passenger cars are propagated at constant speed if not queued. If entering a queue, the traffic is assumed to join the queue instantaneously (since delays are not calculated). The queve length varies according to the number of arrivals and departures. Discharges are also calculated simply. At the start of green at a signal, the number of initial departures are based on the input discharge headways. At each time step, so many vehicles are released, until the queue has dissipated. From this point stop line departures equal arrivals.

The bus travel model is somewhat more sophisticated. All buses have their "tentative" travel times from stop to stop estimated by an acceleration-cruise-deceleration model. The variables that control this cycle are distance and cruise speed. The latter is determined to be the lesser of the desired bus crulse speed or the speed of traffic. If traffic density exceeds a threshold value, the bus speed wlll be reduced proportionately to the degree of excess density. Once the cruise speed is determined (for individual buses), the "delays" due to acceleration and deceleration are determined and the projected travel times is summed. Bus travel times are given a random variation by the model. "Tentative" arrival times mentioned above are thus calculated. Finally, the bus passenger service time (BPST) is based on the following relationshlp:
As in the case of most simulation models, the main complexity of SUB is the logical decision-making which occurs at each time interval (for the macroscopic simulation) or event (for the microscopic simulation).

```
BPST = RT + (LIT }\timesPL
BPST = RT + (LIT }\timesPL
    + (UIT x PU) - (ILU x PL x PU)
    + (UIT x PU) - (ILU x PL x PU)
where RT = residual (lost) time for servicing
where RT = residual (lost) time for servicing
        passengers
        passengers
    LIT = incremental time for loading one
    LIT = incremental time for loading one
        passenger
        passenger
    PL = passengers loaded
    PL = passengers loaded
    UIT = incremental time for unloadlng one
    UIT = incremental time for unloadlng one
        passenger
        passenger
    PU = passengers unloaded
    PU = passengers unloaded
    ILU = interaction between loading and
    ILU = interaction between loading and
        unloading
        unloading
ORIGIN OF RANDOM NUMBERS :251671 - PROCESSING interval : 10
QUEUE DISCharge headways \(=\begin{array}{llllll}5.34 .0 & 3.2 & 2.7 & 2.5 & 2.4\end{array}\)

 SERVICE TIME IN SEC \(=4.50+2.70 \mathrm{PL}+1.00 \mathrm{PU}-0.05 \mathrm{PL} . \mathrm{PU}+\mathrm{DEV}\). TRAFFIC \(\underset{868}{\text { DEMANDS, }} \underset{960}{\text { VPH: }} 1188 \mathrm{~S}^{984}\)
LINK.
NO. \(\underset{\text { LENGTH }}{\text { LEET }} \begin{array}{lll}\text { BUS }\end{array}\)
\begin{tabular}{lllll}
1 & 291. & & 0. & 0 \\
2 & 292. & 292. & 2 & 0 \\
3 & 292. & 292. & 2 & 0 \\
4 & 237. & 237 & 2 & 0 \\
5 & \(348:\) & 348. & 2 & 0 \\
6 & 284. & 284. & 2 & 0 \\
& & 28
\end{tabular}
expected bus arrivals to first stop line in hours a minutes route arrivals :
- \(1635 \quad 1645 \quad 1655 \quad 1705 \quad 1715 \quad 1725\)
\(2 \quad 1635165017051720\)
\(\begin{array}{llllll}3 & 1630 & 1642 & 1654 & 1706 & 1718\end{array}\)
\(4 \quad 16301645 \quad 1700 \quad 1715\)
percent of gus common passenger demand
between route ,
and routes : 13
\(\begin{array}{llll}\text { COMMON LOADING } & 80 & 20 \\ \text { COMMON UNLOAD. } & 80 & 20\end{array}\)
between route 2
and routes : 24
\(\begin{array}{lll}\text { COMMON lOADING } & 50 & 50 \\ \text { COMMON UNLDAD. } & 50 & 50\end{array}\)
between route 3
AND ROUTES : 13
\begin{tabular}{lll} 
COMMON LOADING & 70 \\
COMMON UNLOAD. \\
70 \\
\hline 0
\end{tabular} between route 4
and routes : 24
\(\begin{array}{lll}\text { COMMON LOADING } & 50 & 50 \\ \text { COMMON UNLOAD. } & 50 & 50\end{array}\)

The recursive technique used in SUB perhaps loses some accuracy, but is highly efflcient from the computational size and time perspectives.

\section*{OUTPUT REPORTS}

The SUB model produces three basic types of output reports. These are discussed separately below.

\section*{Input Data Reports}

The program gives a listing of the input data In two formatted reports. The first is a summary of input data for the entire simulation run: then, prior to the results of each simulation period, a second report shows the data pecullar to that time period. Samples of these reports are shown in Figure 77.


Figure 77. SUB Summary of Input Data Report

SUB

-tINK NUMBER 3


\section*{Bus Itinerary and Summary Statistics}

The first of the reports on the results of the simulation run is the bus itinerary and summary statistics report, shown in Flgure 78. The arrival and departure times of each bus at each bus stop is shown, along with the passenger loading/unloading and the passenger service time. These are reported by link and for the entire section. Additionally, the average overall speed is shown for the entire section. This report is issued for each simulation time period.

\section*{ROUTE STATISTICS}
\begin{tabular}{lrrrr} 
ROUTE NUMBER & \multicolumn{1}{c}{1} & \multicolumn{1}{c}{2} & \multicolumn{1}{c}{3} & \multicolumn{1}{c}{4} \\
TOTAL P. LOADED & 10 & 11 & 14 & 0 \\
TOTAL P. UNLOADED & 2 & 0 & 2 & 0 \\
TOTAL S. TIME, MIN & 47 & 43 & 62 & 0 \\
MEAN SPEED, MPH & 8.4 & 13.0 & 15.0 & 15.0 \\
P. WAIT. TIME, MIN & 87 & 70 & 0 & 128 \\
MEAN P W TIME, MIN & 8.7 & 6.4 & 0.0 & 0.0
\end{tabular}

SUMMARY STATISTICS
TOTAL PASSENGERS LOADED \(=35\) TOTAL PASSENGERS UNLOADED \(=4\) TOTAL SERVICE TMME, MIN \(=152\) MEAN OVERALL BUS SPEED, MPH=13.3 PASS WAITING TIME, MIN \(=285\) MEAN PASS. WAIT. TIME, MIN \(=8.1\)

Figure 79. Route Statistics and Summary
Report

\section*{Route Statistics and Summary Statistics}

Finally, at the conclusion of the simulation run, the loading/unloading, service times, mean speed and total and average passenger waiting time are reported by route, and for the entire run. An example of this report is shown in Figure 79. The regularity of bus arrivals at stops, mean speed and passenger waiting time are the significant MOE for the system simulated.

\section*{ADDITIONAL FEATURES}

The "standard" analysis options avallable in the SUB model enable the analyst to consider the following design characteristics:
- Changes in types of buses
- Locations of bus stops
- Type of bus stops
- Route and schedule changes
- Changes in passenger or vehicle demand
- Changes in fare collection techniques

By proper manipulation of input data, these additional traffic management strategies may be studied.
- Restricted lane for buses
- Coordination of traffic signals to favor passage of buses at signalized intersections

Finally, it is possible to represent buses that enter and/or exit the arterial at intermediate points within the study section. This is done by treating them as part of the general traffic on the links in which they do not actually travel.

The SUB model only analyzes. No design is "recommended" by the model; however, by making successive runs with varied control conditions, the user can evaluate the alternative strategies and by comparison of the results simulated, select the "best" solution.

\section*{APPLICATIONS AND LIMITATIONS}

The SUB model is designed to analyze bus operations on signalized arterlal streets. Considered are the impacts of bus stop strategies and the affect of general trafflc on bus operations. The reverse, or the impact of buses on general traffic is not considered, mainly because other models can already perform this function. NETSIM (Chapter 11) is the prime example of this capability.

The limitation of two lanes in SUB is, in reality, not a serious IImitation. Most buses normally use the curb lane, in order to service stops, or the adjacent lane, to pass. Since total traffic impacts are not assessed, it is only necessary to deal with these two lanes. If a system actually has more lanes and/or left-turn bays, it can be modeled by simply omitting the traffic that will not impact on bus operations.

The treatment of traffic signals is somewhat simplistic in SUB. The binary control function, green or not green, limits the study of traffic signal strategies somewhat. Also, in this regard, bus preemption is becoming an increasingly considered method of improving bus operations. This type of control cannot be simulated by SUB.

The limitations notwithstanding, SUB is a unique model in the traffic engineer's arsenal of traffic operations models. It is also a valuable tool for the transit operator and can be used by both the traffic agency and the operator to evaluate improved traffic/ transit management strategies.

\section*{EXAMPLE APPLICATION}

An example problem was developed to illustrate the use of SUB to evaluate alternative bus stop locations and design. The example is based upon the same arterial street used previously for lllustrative purposes. The following paragraphs describe the use of SUB for this model.

\section*{Problem Description}

Ashley Drive is the major arterial route serving the downtown area. At the present time four bus routes are served by this facllity with a maximum hourly volume of 19 buses per hour for all routes. None of the buses now stop and pick up passengers on Ashley Drive. However, there is some consideration of the need of adding bus stops to serve the adjacent office bulldings as well as a multipurpose center on the west side of the street which is frequently used during the daytime for convention and industrial shows.

The purpose of this example problem is to evaluate the use of nearside unprotected bus stops at each street, which the driver and transit company prefers, or to install two protected midblock bus stops as desired by


Figure 80. SUB Arterial Example - Ashley Drive
the city traffic engineer. Figure 80 illustrates these two alternatives.

\section*{Analysis of Existing Conditions}

Since this is a proposal to evaluate two alternative methods of serving potential passenger demand on this route no existing condition is modeled.

\section*{Define and Analyze Alternatives}

In order to define each of the alternatives it is necessary to code information on various parameters (vehicle characteristics and
** THE SUB MODEL *** SImulation of urban buses
ASHLEY DR BUS OPERATIONS(PM)- PROPOSED 5 UNPROTECTED NEAR \(S\)

ORIGIN OF RANDOM NUMBERS :251671 ~ PROCESSING INTERVAL : 10
QUEUE DISCHARGE HEADWAYS \(=5.3\) 4.0 3.22 .72 .52 .4
CAR LENGTH \(=22\). FT BUS LENGTH \(=45\). FT, BUS TRAF. FACTOR \(=2.0\) BUS ACCELERATION \(=2.2\) MPH/SEC - BUS DECELERATION \(=3.0\) MPH/SEC
BUS CRUISING SPED
\(=20\). MPH SERVICE TIME IN SEC \(=4.50+2.70 \mathrm{PL}+1.00 \mathrm{PU}-0.05 \mathrm{PL} . \mathrm{PU}+\mathrm{DEV}\). \begin{tabular}{c} 
TRAFFIC \\
868 \\
\hline 960 \\
\hline
\end{tabular}


EXPECTED BUS ARRIVALS TO FIRST STOP LINE in hours Minutes ROUTE ARRIVALS :
\(\begin{array}{llllllll}1 & 1635 & 1645 & 1655 & 1705 & 1715 & 1725\end{array}\)
\(2 \quad 1635 \quad 1650 \quad 1705 \quad 1720\)
\(\begin{array}{lllll}3 & 1630 & 1642 & 1654 & 1706 \\ 17 & 18\end{array}\)
\(4 \quad 1630 \quad 1645 \quad 1700 \quad 1715\)
percent of bus common passenger demand
BETWEEN ROUTE 1
AND ROUTES : 13
\(\begin{array}{llll}\text { COMMON LOADING } & 80 & 20 \\ \text { COMMON UNLOAD. } & 80 & 20\end{array}\)
BETWEEN ROUTE 2
AND ROUTES : 24
\(\begin{array}{lll}\text { COMMON LOADING } & 50 & 50 \\ \text { COMMON UNLOAD. } & 50 & 50\end{array}\)
between route 3
AND RDUTES : 13
\(\begin{array}{llll}\text { COMMON LOADING } & 70 & 30 \\ \text { COMMON UNLOAD. } & 70 & 30\end{array}\)
BETWEEN ROUTE 4
AND ROUTES : 24
\(\begin{array}{lll}\text { COMMON LOADING } & 50 & 50 \\ \text { COMMOH UNLOAD. } & 50 & 50\end{array}\)
passenger service characteristics) which are representative of local conditions. Since these were not readlly available for the local area, guidelines suggested in the User's Manual were utilized.

Since there are no special coding forms the information was coded on standard forms and keypunched. Figure 81 shows a comparison of input data for both alternatives from the output reports.

The only change between the two alternatives is location of bus stops, capacity and type. For Alternative A five unprotected bus stops *** THE SUB MODEL ***
SIMULATION OF URBAN BUSES
ASHLEY DR bus operations (pm -alternate 2 prot mid block bus

ORIGIN OF RANDOM NUMBERS :251671 - PROCESSING INTERYAL : 10
\[
\text { QUEUE DISCHARGE HEADWAYS }=5.34 .03 .22 .72 .52 .4
\]
 BUS ACCELERATION \(=2.2\) MPHSSEC- BUS DECELERATION = 3.0 MPH/SEC
BUS CRUISING SPEED
\(=20\) AVERAGE BUS DELAY \(=\) SO SEC SERVICE TIME IN SEC \(=4.50+2.70 \mathrm{PL}+1.00 \mathrm{PU}-0.05 \mathrm{PL} . \mathrm{PU}+\mathrm{DEV}\). \(\underset{868}{\text { TRAFFIC }} \underset{960}{\text { DEMANDS, }} \underset{1188}{\text { VPH }}: ~ 984\)



EXPECTED buS arrivals to first stop line in hours a minutes ROUTE ARRIVALS :
\(\begin{array}{lllllll}1 & 1635 & 1645 & 1655 & 1705 & 1715 & 1725\end{array}\)
\(2 \quad 1635 \quad 1650 \quad 1705 \quad 1720\)
\(3 \quad 1630 \quad 1642 \quad 16541706 \quad 1718\)
\(4 \quad 1630 \quad 1645 \quad 1700 \quad 1715\)
percent of bus common passenger demand
between route i
AND ROUTES : 13
\(\begin{array}{llll}\text { COMMON LOADING } & 80 & 20 \\ \text { COMMON UNLOAD. } & 80 & 20\end{array}\)
between route 2
AND ROUTES : 24
\(\begin{array}{lll}\text { COMMON LOADING } & 50 & 50 \\ \text { CGMMON UNLOAD. } & 50 & 50\end{array}\)
betheen route 3
AND ROUTES : 13
\(\begin{array}{llll}\text { COMMON LOADING } & 70 & 30 \\ \text { COMMON UNLOAD. } & 70 & 30\end{array}\)
betheen route 4
AND ROUTES : 24
\(\begin{array}{lll}\text { COMMON LOADING } & 50 & 50 \\ \text { COMMON UNLOAD. } & 50 & 50\end{array}\)

Figure 81. Comparison of Summary Input Data for Alternatives


\section*{Alternative "A"}
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline \multicolumn{10}{|l|}{-link number 4} \\
\hline \multicolumn{10}{|l|}{BUS STOP NO. 1} \\
\hline ARRIVAL TIME PASS. LOADED & 16:32 & 16:33 & 16:37 & 16:38 & 16:44 & 0: & 0 & & 0 \\
\hline PASS. UNLOADED & 0 & 0 & 1 & 0 & 4 & & 0 & & 0 \\
\hline SERVICE TIME, SEC & 0 & 6 & 16 & 10 & 12 & & 0 & & 0 \\
\hline DEPARTURE TIME & 16:32 & 16:33 & 16:37 & 16:38 & 16:44 & 0: & 0 & & 0 \\
\hline \multicolumn{10}{|l|}{STOP LINE} \\
\hline ARRIVAL TIME DEPARTURE TIME & \[
\begin{aligned}
& 16: 32 \\
& 16: 32
\end{aligned}
\] & \[
\begin{aligned}
& 16: 33 \\
& 16: 33
\end{aligned}
\] & \[
\begin{aligned}
& 16: 37 \\
& 16: 37
\end{aligned}
\] & \[
\begin{aligned}
& 16: 38 \\
& 16: 38
\end{aligned}
\] & \[
\begin{aligned}
& 16: 44 \\
& 16: 44
\end{aligned}
\] & 0: & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & 0: & 0 \\
\hline \multicolumn{10}{|l|}{-LINK NUMBER 5} \\
\hline \multicolumn{10}{|l|}{BUS STOP NO. 1} \\
\hline ARRIVAL TIME & 16:32 & 16:33 & 16:38 & 16:38 & 16:44 & 0: & 0
0 & 0: & 0 \\
\hline PASS. UNLOADED & 0 & 0 & 0 & 0 & 0 & & 0 & & 0 \\
\hline SERVICE TIME, SEC & 0 & 10 & 7 & 9 & 10 & & 0 & & 0 \\
\hline departure time & 16:32 & 16:33 & 16:38 & 16:38 & 16:44 & & 0 & & 0 \\
\hline
\end{tabular}
\(\begin{array}{llllllllll}\text { ARRIVAL TIME } & 16: 32 & 16: 33 & 16: 38 & 16: 38 & 16: 44 & 0: 0 & 0: & 0 \\ \text { DEPARTURE TIME } & 16: 32 & 16: 33 & 16: 38 & 16: 38 & 16: 44 & 0: & 0 & 0: & 0\end{array}\)
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multicolumn{8}{|l|}{-LINK NUMBER 6} \\
\hline \multicolumn{8}{|l|}{bus Stop no. 1} \\
\hline ARRIVAL TIME & 16:32 & 16:33 & 16:38 & 16:38 & 16:44 & & \\
\hline PASS. LDADED & 0 & 2 & 2 & 4 & \(\begin{array}{r}3 \\ 1\end{array}\) & 0 & 0 \\
\hline PASS. UNLOADED & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\
\hline SERVICE TIME, SEC & 0 & 11 & 15 & 15 & 6 & 0 & 0 \\
\hline departure time & 16:32 & 16:33 & 16:38 & 16:38 & 16:44 & & 0: 0 \\
\hline \multicolumn{8}{|l|}{STOP LINE} \\
\hline ARRIVAL TIME departure time & \[
\begin{aligned}
& 16: 32 \\
& 16: 32
\end{aligned}
\] & \[
\begin{aligned}
& 16: 33 \\
& 16: 34
\end{aligned}
\] & \[
\begin{aligned}
& 16: 38 \\
& 16: 38
\end{aligned}
\] & \[
\begin{aligned}
& 16: 38 \\
& 16: 38
\end{aligned}
\] & \[
\begin{aligned}
& 16: 44 \\
& 16: 44
\end{aligned}
\] & \[
\begin{array}{lll}
0: & 0 \\
0: & 0
\end{array}
\] & \[
\begin{array}{lll}
0: & 0 \\
0: & 0
\end{array}
\] \\
\hline TOTAL P. LOADED & 0 & 4 & 10 & 11 & 10 & 0 & 0 \\
\hline total P. unloaded & 0 & 0 & 2 & 0 & 2 & 0 & 0 \\
\hline TOTAL 5 TIME, SEC & 0 & 27 & 47 & 43 & 35 & 0 & 0 \\
\hline OVERALL SPEED MPH & 15.0 & 15.0 & 8.4 & 13.0 & 15.0 & 0.0 & 0.0 \\
\hline
\end{tabular}

Alternative " B "
-LINK NUMBER 4

BUS STOP NO. 1
\(\begin{array}{lrrrrrrrr} \\ \text { ARRIVAL TIME } & 16: 32 & 16: 33 & 16: 36 & 16: 38 & 16: 44 & 0: 0 & 0: 0 \\ \text { PASS. LOADED } & 1 & 1 & 5 & 5 & 8 & 0 & 0 \\ \text { PASS UNLOADED } & 0 & 0 & 1 & 1 & 1 & 0 & 0 \\ \text { SERVICE TIME, SEC } & 3 & 12 & 9 & 16 & 37 & 0 & 0 & 0 \\ \text { DEPARTURE TIME } & 16: 32 & 16: 33 & 16: 36 & 16: 38 & 16: 44 & 0: & 0 & 0:\end{array}\) stop lime
\(\begin{array}{llllllllll}\text { ARRIVAL TIME } & 16: 32 & 16: 33 & 16: 37 & 16: 38 & 16: 45 & 0: & 0 & 0: & 0 \\ \text { DEPARTURE TIME } & 16: 33 & 16: 34 & 16: 37 & 16: 39 & 16: 45 & 0: 0 & 0: & 0\end{array}\)
-LINK NUMBER 5
STOP LINE
\(\begin{array}{llllllllll}\text { ARRIVAL TIME } & 16: 33 & 16: 34 & 16: 37 & 16: 39 & 0: 0 & 0: 0 & 0: & 0 \\ \text { DEPARTURE TIME } & 16: 33 & 16: 34 & 16: 37 & 16: 39 & 0: 0 & 0: 0 & 0: 0\end{array}\)
-LINK NUMBER 6
-BUS STOF NO. 1
\(\begin{array}{lrrrrrrrrr}\text { ARRIVAL TIME } & 16: 33 & 16: 34 & 16: 37 & 16: 39 & 0: & 0 & 0: & 0 & 0: \\ \text { PASS. LOADED } & 3 & 3 & 2 & 5 & 0 & 0 & 0 \\ \text { PASSS UNLOADED } & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ \text { SERVICE TIME, SEC } & 1 & 1 & 0 & 7 & 9 & 17 & 0 & 0 & 0 \\ \text { DEPARTURE TIME } & 16: 33 & 16: 34 & 16: 37 & 16: 39 & 0: & 0 & 0: 0 & 0: & 0\end{array}\) stop Line
\(\begin{array}{lrrrrrrrrr}\text { ARRIVAL TIME } & 16: 33 & 16: 34 & 16: 38 & 16: 39 & 0: & 0 & 0: & 0 & 0: \\ \text { DEPARTURE TIME } & 16: 33 & 16: 34 & 16: 38 & 16: 39 & 0: & 0 & 0: 0 & 0: & 0 \\ \text { TOTAL P. LOADED } & 4 & 4 & 7 & 10 & 8 & 0 & 0 \\ \text { TOTAL P. UNLOADED } & 0 & 1 & 2 & 1 & 1 & 0 & 0 \\ \text { TOTAL S TIME, SEC } & 14 & 21 & 16 & 33 & 37 & 0 & 0 \\ \text { OVERALL SPEED MPH } & 9.6 & 8.6 & 10.0 & 7.6 & 6.6 & 0.0 & 0.0\end{array}\)

ROUTE STATISTICS
\begin{tabular}{lrrrr} 
ROUTE NUMBER & \multicolumn{1}{c}{1} & \multicolumn{1}{c}{2} & \multicolumn{1}{c}{3} & \multicolumn{1}{c}{4} \\
YOTAL P. LOADED & 7 & 10 & 12 & 4 \\
TOTAL P. UNLOADED & 2 & 1 & 2 & 0 \\
TOTAL S. TIME, MIN & 16 & 33 & 58 & 14 \\
MEAN SPEED, MPH & 10.0 & 7.6 & 7.6 & 9.6 \\
P. WAIT. TIME, MIN & 84 & 46 & 33 & 165 \\
MEAN P W TIME, MIN & 12.0 & 4.6 & 2.8 & 41.3
\end{tabular}

\section*{SUMMARY STATISTICS}

TOTAL PASSENGERS LOADED \(=33\) TOTAL PASSEngers UNLOADED \(=5\) total service time, min \(=121\) mean overall bus speed, mph \(=\mathbf{8 . 5}\) PASS WAIIING TIME, MIN \(=328\) MEAN PASS. WAIT. IIME, MIN \(=9.9\)

Figure 82. Comparison of Bus Itinerary and Bus and Route Summary Statistics for Ist Simulation Period (Continued)
(Code 0) with capacity for 2 buses each were coded for links 2, 3, 4, 5, and 6. For Alternative B two protected bus stops (Code 1) with capacity of 3 buses each were coded for links 4 and 6.

Figure 82 shows a comparison between the bus itinerary and summary statistics for one of the simulation perlods (4:30 PM to 4:45 PM). The only difference in the input data was the bus passenger demand for each bus stop and route. Following this input data information is printed out for each bus simulated showing it's route number, arrival and departure time at each stop bar, as well as at each bus stop. For each bus stop the passengers loaded and unloaded and the service time is shown.

Figure 82 also compares the route statistics and summary statistics for the simulation per iod.

\section*{Evaluation of Results}

The reports for each perlod provides a comparison of statistics. The most useful data are the overall average bus speed and passenger waiting time. Table 20 provides a summary of the results for each of the four 15 minute simulation per lods and for the total hour.

Review of each of the simulation per lods, indicates that for the 1 st period Alternative B results in higher speeds and lower average passenger waiting time. However, as the simulation continues Alternative A appears to be more advantageous. For the entire peak hour the total bus service time and the mean passenger waiting time is lower for Alternate A.

From the bus operators standpoint Alter nate \(A\) would minimize bus travel time and passenger

Table 20 - Comparison of MOE'S for Alternative Bus Stop Configuration
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline \multirow[b]{2}{*}{\begin{tabular}{l}
Summary \\
Statistics
\end{tabular}} & \multicolumn{6}{|c|}{Alternative A} & \multicolumn{4}{|l|}{Alternative B} \\
\hline & 1 & 2 & 3 & 4 & \[
\begin{aligned}
& \text { Peak } \\
& \text { Hour } \\
& \hline
\end{aligned}
\] & 1 & 2 & 3 & 4 & Peak Hour \\
\hline Total Passengers Loaded & 33 & 79 & 60 & 59 & 231 & 35 & 71 & 79 & 36 & 221 \\
\hline Total Passengers Unloaded & 5 & 14 & 9 & 12 & 40 & 4 & 15 & 9 & 3 & 31 \\
\hline Total Service Time (Min) & 121 & 241 & 238 & 233 & 833 & 152 & 291 & 345 & 140 & 928 \\
\hline Mean Overall Bus Speed (MPH) & 8.5 & 8.0 & 5.8 & 6.3 & 7.2 & 13.3 & 7.6 & 4.4 & 5.6 & 7.7 \\
\hline Passenger Waiting Time (Min) & 328 & 220 & 384 & 204 & 1136 & 292 & 298 & 222 & 504 & 1316 \\
\hline Mean Passenger Waiting Time (Min) & 9.9 & 2.8 & 6.4 & 3.5 & 4.9 & 8.3 & 4.2 & 2.8 & 14.0 & 6.0 \\
\hline
\end{tabular}

\footnotetext{
wait time. However, a study of the effect of bus stops in the traffic lane would expect to show a significant reduction in capacity and Increased delay time for other motorists.
```

The increase in mean passenger waiting time
from 4.9 minutes to 6.0 minutes would not
appear to be significant. However, the in-
crease in service time from }833\mathrm{ minutes to
928 minutes (1.6 hours) of vehicle operating
time would result in higher operating costs
and must be considered by the transit oper-
ators.

```

\section*{Summary of Work Effort Required}

The following summarizes the work effort required for the example problem.

Data Collection - Data was readily available for the arterial geometrics, traffic volumes and signal operations, as well as the number of buses per hour by route. Data on passenger demand was not available since no bus stop existed. The data used were based upon estimates by the author for lllustrative purposes only. Data were also not available on bus passenger service time and it was necessary to use the guidelines in the User's Manual. In actual practice it would be desirable to obtain data on local characteristics if they were not avallable, and considerable data collection effort may be required.

Data Coding - Once the data were obtained (or basic assumptions made) the coding was stralghtforward. Some difficulty was experienced in obtaining an executable input deck since the model has no edit checks or error messages, however, this was resolved by repeated runs. Approximately two (2) hours were required to code the data once it was obtained (or created in this example).

Computer Time - The SUB model required less than one second of CPU time for Alternate \(A\) and for Alternate B. Each alternate required 98 K bytes of core storage.
}

\section*{SUB}

\section*{REFERENCE}
*8.1 Radelat, G., "Simulation of Urban Bus Operation on Signalized Arterials", Report No. FHWA-RD-74-6, Federal HIghway Administration, December, 1973. FB-237795.

\section*{CHAPTER 9 - TRANSYT-7F (NETWORK OPTIMIZATION MODEL)}

The efficlent movement of traffic through a grid network of signalized intersections can improve the capacity of the system and reduce adverse effects of traffic, such as annoying stops and delays. The quality of the environment and excess fuel consumption can be reduced as well. Such efficiency can only be achieved by interconnecting the signals and operating them in such a manner that minimizes the delay and stops in the system. Numerous computer programs have been written to assist engineers in determining how the signals should be timed and several on-line control programs are available as well.

One of the most widely used design models is the TRAffic Network StudY Tool - TRANSYT develaped by Dennis Robertson of the Transport and Road Research Laboratory in England (References 9.1 thru 9.3). Since the original model was introduced in 1968, numerous improvements have been made and new versions issued. The version discussed here is TRANSYT-7F (Reference 9.4). An early version TRANSYT-6C, is available from FHWA (See Chapter 14). A later version (TRANSYT-8) is avallable on a license basis (Reference 9.5)

\section*{MODEL DESCRIPTION}

The TRANSYT model is a macroscopic, deterministic, time scan optimization model. It is used for optimizing the signalization on arterials and grid networks. The program was originally written in machine language for use on a Marconl Myrid Computer and later rewritten in Fortran IV for more universal use. The TRANSYT-7F model will operate on an IBM 370, CDC 7700, VAX and Honeywell computers. On the IBM 370 the core requirements for TRANSYT-7F is 278 k . The program contains 7650 lines of code with approximately ten (10)\% used for comments.

The physical characteristics of a system considered by TRANSYT-7F is a coordinated network of up to 50 intersections (nodes) with


Figure 83. Urban Arterial Network Congestion
up to 250 directional links. Only signalized intersections are normally modeled, but facilities exist for modeling sign controlled intersections and "bottleneck" locations. Signal control is fixed-time, two to sevenphase (including pedestrian movements) and fixed sequential phasing. Stoplines may be "shared" by several movements and priority lanes may be desi gnated for buses.

Signal timings are printed in a format that is directly implemented in the fleld for pretimed controllers and time-space diagrams may be printed for selected routes.

\section*{INPUT REQUIREMENTS}

There are 14 major types of input cards for TRANSYT-7F, some of which have single cards, others multiple cards. A complete deck stack is shown in Figure 84. A summary of the input data is shown in Table 21. The basic inputs fall into four functional categories, namely, data which:
a. Are common to the entire network (e.g. cycle length,


Figure 84. Typical TRANSYT-7F Data Deck
b. Control the optimization process,
c. Specify signal timing, and
d. Specify traffic data.

Input cards are numbered by card type and are input with all node-specific data grouped by intersection. Standard coding sheets are available to assist the user in preparing input cards. Some of the salient points about TRANSYT-7F inputs are covered below (refer to Table 21).

\section*{Run Title Card}

The run title card gives a name to the run and the card may contain any alphanumeric data. This must always be the first card in the deck.

\section*{Control Card (Type 1)}

This card applies to the entire network. In addition to the cycle length in seconds, the
number of steps in the cycle is an important input because signal timing, flow and queue varlations are calculated with a time resolution determined by the number of steps. The stop penalty is the parameter that the user may use to specify the relative importance of stops and delay. The objective function includes both and the number of stops/second is multiplied by this parameter before being added to the delay.

The effective green displacements are used to calculate delays by using an "effective green" equal to the start of green plus beginning lag (i.e. startup lost time) and the end of green plus end lag (i.e., to account for vehicles that use the yellow).

The remaining inputs on this card are control options. The options available are summarized as follows:
1. \(\frac{\text { Initial timings: }}{\text { generated. }}\) user input or computer
2. Units of measure: English (gallons, feet and mph) or metric (liters, meters and \(\mathrm{km} / \mathrm{hr}\) ).
3. Timing units: seconds or percent of
4. Speed units: speed or travel time.
5. Output level: var ious levels of outputs.

\section*{Optimization Node List (Type 2)}

Despite the limit of 50 nodes, they may be numbered from 1 to 9999. Nodes are entered in the sequence which they will be optimized in the hill climb process. If it is desired to group nodes so that they are opi!mized together le.g. their relative of fset and splits rema in fixed, but the ir offset in the system is allowed to vary), a negative sign is placed before the secondary node number to Indicate grouping with the next positive numbered node, which is the primary node. Any nodes that are not to be optimized are left off this list. As many cards as necessary are used to number all nodes.

Table 21 - Input Requirements for TRANSYT-7F
\begin{tabular}{|c|c|c|c|}
\hline CARD TYPE & CARD NAME & PURPOSE & DATA REQUIREMENTS \\
\hline \multirow[t]{8}{*}{\begin{tabular}{l}
NETWORK CONTROL \\
(1 each per run)
\end{tabular}} & TITLE & Provide title for run & Arbitrary Information \\
\hline & CONTROL CARD & Define network-wide parameters and input-output options. & Cycle length, no. of steps per cycle, stop penalty, simulation period, start-up lost time, end effective green time, output requirements, type of units and volume scale. \\
\hline & NODE LIST & Define intersections in order which they are to be optimized. & List of node numbers in the order which the user would like them optimized. \\
\hline & \[
\begin{aligned}
& \text { HILL-CLIMB } \\
& \text { CONTROL } \\
& \text { (Optional) }
\end{aligned}
\] & Define the step sizes for the optimization hillclimbing process. & The number and size of each increment to be used in process (default values can be used). \\
\hline & STOPS REDUCTION (Optional) & Define amount of delay which will be considered a "stop". & Percent for seconds of delay which is to be considered a stop (default values can be used). \\
\hline & SENSITIVITY PARAMETER (Optional) & Provide parameter which will limit affect of a node on the downstream node. & Percent of change in flow profile at node which downstream node should be re calculated (default values can be used). \\
\hline & SHARED
STOPLINE
(Optional) & Define links which have different types of turning movements or vehicles (buses normally) that have different operating characterlstics for which MOE's are desired separately. & Link numbers of links which share the same stopline. \\
\hline & NETWORK MASTER & Define other fietwork-wide parameters. & Node number to reference all of fsets, saturation flow rate and platoon dispersion factor to be used for all links. \\
\hline \multirow[t]{2}{*}{NODE SPECIFIC
DATA
(1 set per node)} & \begin{tabular}{l}
CONTROLLER \\
TIMING \\
(1 per controller)
\end{tabular} & Define controller of fet and interval lengths at each intersection. & Number of phases and length of each interval (max. 11) offset or yield point can be coded if existing; code can also indicate double cycling. \\
\hline & CONTROLLER TIMING CONT INUATION (Optional) & Define additional controller intervals. & Duration of intervals 12-25. \\
\hline
\end{tabular}

Table 21 - Input Requirements for TRANSYT-7F (Continued)
\begin{tabular}{|c|c|c|c|}
\hline CARD TYPE & CARD NAME & PURPOSE & DATA REQUIREMENTS \\
\hline \multirow{4}{*}{NODE SPECIFIC DATA (Continued)} & \begin{tabular}{l}
PHASE TIMING \\
(1 per phase)
\end{tabular} & Define intervals for each phase and links which move on green. & Interval which starts green for this phase, variable interval, yellow interval, all red interval, min. \(\emptyset\) duration and links (max. 8) which move on this phase. \\
\hline & PHASE TIMING CONT INUATION (Optional) & Define additional links in phase. & Additional links which move on green. \\
\hline & LINK DATA (1 per link) & Identify link geometric and traffic flow. & Length, number lanes (or saturation flow), traffic volumes, turning traffic from 3 links and speeds. \\
\hline & LINK DATA CONTINUATION (Optional) & Identify additional link characteristics. & Additional lost time/or clearance utilization on link and/or traffic from a 4th link. \\
\hline \multirow[t]{4}{*}{MODIFIER CARDS (optional)} & FLOW/SPEED MULTIPLIER (Optional) & To permit modifications to link traffic volumes and/or speeds. & Percent of flow rate and/or speeds are to be changed from original, by link. \\
\hline & DELAY WEIGHT MODIFIER (Optional) & To provide factors which multiply the effect of delay in performance index (PI). & Link number and factor to be applied. \\
\hline & STOP PENALTY MODIFIER (Optional) & To provide factors which multiply the effect of stops in PI. & Link number and factor to be applled. \\
\hline & PLATOON DISPERSION MODIFIER (Optional) & To change the platoon dispersion factor for specific links. & Link number and new factor for platoon dispersion. \\
\hline \multirow[t]{6}{*}{PLOT AND RUN CARDS} & \(\qquad\) & To identify links which flow profile plots are to be output. & Link number and placement on output. \\
\hline & \[
\begin{aligned}
& \text { RUN CARD } \\
& \text { (Required) } \\
& \hline
\end{aligned}
\] & To instruct program as to what type of run to execute. & Simulation or optimization run and type. \\
\hline & TIME SPACE PARAMETER (Optional) & To provide instructions for time-space plots. & Number of nodes, time (or \%) and distance axis scales. \\
\hline & \[
\begin{aligned}
& \text { TIME SPACE } \\
& \text { TITLE } \\
& \text { (Optional) }
\end{aligned}
\] & Provide titie for timespace plot. & Arbitrary information. \\
\hline & \[
\begin{aligned}
& \text { TIME SPACE } \\
& \text { LINKS } \\
& \text { (Optional) } \\
& \hline
\end{aligned}
\] & To identify links to be printed on plot. & Link numbers in order to be plotted. \\
\hline & TERMINATION (Required) & To mark end of a run. & Indicate if end of this run and if an additional run follows. \\
\hline
\end{tabular}

\section*{HIll-Climb Control (Type 4, Optional)}

This card controls the size of the increments made to the signal timings by the "hillclimb" process. Variations of the values on this card can be used to trade of \(f\) run time for sufficiency of the optimization process, which in large networks may be desirable. Defaults are available for this card.

\section*{Stops per Delay (Type 5, Optional)}

This card allows more realistic estimates of stops vs. delay. TRANSYT normally assigns one stop per delay, no matter how small. Since very short delays are likely to be slow downs rather than stops, this card may be used to simulate such characteristics more realistically. Defaults are avallable for this card.

\section*{Sensitivity Parameters (Type 6, Optional)}

This card controls the accuracy of the simulation process at each hill-climb optimization step. If the change in the departure pattern is less than the percentage values input on this card from one set of signal timings to the next, the simulation of downstream links is terminated. This feature permits significant reductions in computer run time compared to prior versions. Large sensitivity parameters (e.g. 10\%) are normally used initially, then the parameters decrease to \(0.01 \%\) as the optimal solution is approached. Defaults are also avallable for these parameters.

\section*{Shared Stopline LInks (Type 7, Optional)}

If two or more links share a stopline (l.e., use the same roadway at the stopline) they can be "grouped" using this card. Shared stopline links will move on the same phases and have a common saturation flow. The links are reported separately in the outputs, however.

\section*{Network Master Card (Type 10)}

The network master card is required, although all data fields are optional (i.e., this card signals the use of TRANSYT-7F inputs rather
than the earlier TRANSYT-7 inputs. The data fields include the designation of a master controller and several system-wide traffic flow model parameters. if a master controller is identified, all of fsets wlll be referenced to this controller, otherwise the system time reference base is arbittary. The traffic flow parameters are saturation flow per lane, a parameter to calibrate the platoon dispersion model and the approach speed on external links. All parameters have defaults available.

\section*{Controller Tlming Card (Type 1 X )}

This card provides the node number, controller offset or yield point value, yield point -eference interval number and all interval lengths. The value " X " Indicates the number of phases at the node, with a maximum of seven. Up to 25 intervals may be used, but if there are more than 11 intervals, a continuation card (Type 18) must be used for the additional intervals. Interval lengths may be input in seconds or percent, as set on Card Type 1. If the data are to be optimized and no analysis of initial settings is desired, only the fixed interval lengths (e.g., clearances, minimum walk, etc.) need be coded.

A double cycle flag is set if the signal is to operate on one-half the system cycle length. In this case only three phases are permitted.

A Card Type 1 x must be provided for each node and Card Types \(2 X\) through 29 must follow immediately for each intersection (see Figure 84 )

\section*{Phase Timing Card (Type 2X)}

The phase timing cards establish the specific phase sequence and identifies the intervals In each phase. In this case the "X" refers to the phase number and there must be as many Card Types \(2 X\) as the number of phases specified on the preceeding Card Type \(1 x\).

For each phase (l.e., each Card Type 2 X for the current node) the interval starting the green, the varlable interval (l.e., the only
interval that may be changed in the optimization), the yellow interval and the red interval (if used) are identified by numbers. These data define the phases and phase splits.

The phase sequence is specified by listing each link having the right-of-way in each phase. Overlap phasing is indicated by listing the appropriate links(s) in more than one phase. If a link has \(100 \%\) green, it is I inked on all Card Types \(2 x\). If in the unlikely event that more than eight links move in a phase, a continuation card is available.

\section*{Link Data Cards (Types 28, 29)}

For each link listed on the Card Types 2 X for the current node, a link data card (Type 28) and, if needed a continuation (Type 29) are required. The link specific data include link length, stopline saturation flow rate (or equivalent number of lanes), total flow (vph), mid-block source flow and the upstream input link data. For these upstream source links, the link numbers, input flows and free speed (or travel time) are coded. Card Type 28 allows for three input links. If a fourth link is required Card Type 29 is used.

The coding of traffic volumes between nodes (intersections) is straightforward when only one link is used to represent traffic. The traffic entering the link from each upstream link is directly obtained from intersection turning movements. However, when two or more links are used (i.e., one link for left turns from exclusive lane and one link for thru and right turns) the determination of the input volumes are more involved. It is now necessary to code the number of vehicles from each turning movement at the upstream intersection which uses each of these links. Since this data is difficult to obtain in the field it is necessary to estimate the proportion of traffic going to each link from the turning movement. The User's Manual (Ref. 9.4) describes a method of estimating these volumes. However, some additional time and effort is required to estimate these volumes.

Card Type 29 also serves a second purpose. It enables the user to code additional delay at the start of effective green (or, if negative, to reduce the amount of start-up lost time coded in Card Type 1) and additional extension of effective green.

The input flows need not sum to the total (output) flow, thus data may be collected on different days and not require manual balancing.

If a link is a bus link, the speed input is coded in such a way that both bus cruise speed and bus stop dwell time are included. Thus the non-signal delay to buses may be modeled.

\section*{Flow/Speed Scaling (Type 36, Optional)}

These cards allow flows and/or speeds on a link to be altered by specified multiplying factors. The ir primary use is in sensitivity analysis, that is, initial data cards (Type 28) need not be changed.

\section*{Delay Weight Modifler Card (Type 37, Optional)}

This card enables the user to prioritize individual links by assigning a higher relative weight to the delay on these selected links. Conversely, the weighting factor may be used to decrease or eliminate given links from consideration in the optimization. If a zero weight is coded, the affected link will also be eliminated from the fuel consumption estimate.

\section*{Stop Penalty Modifler Card (Type 38, Optional)}

This card is similar to the previous one, except that it is for stops.

\section*{Platoon Dispersion Modifier Card (Type 39, Optional)}

The roadway characteristics may suggest a different platoon dispersion factor (see below) be used than the system value (coded in Card Type 10, or the program default). If
so, the factor may be changed using this card to list all such links and new values of the dispersion coefficient.

Flow Profila Plot Card (Type 40, Optional)
This control card is used to specify the order of links for plotting composite arrival/ departure profiles plotted. Four graphs are plotted per page and they may be arranged to follow progression down or up the pages.

\section*{Run Card (Types 50-53)}

This card is used to conveniently make simulation or optimization runs. Card Type 50 requires the user to specify an optimization step size listed on Card Type 4 (or simulate). Card Type 51 indicates simulation only. Card Types 52 and 53 Indicate optimization with a normal hill-climb list and a "quick" list, respectively (see below).

Time-Space Parameter Card (Type 60, Optional)
This card indicates the number of nodes to be included in the current time-space diagram. Other inputs are various units and scaling parameters for the plot. A Card Type 60 must be first in each group of Card Types 60-61 for each separate plot.

Time-Space Dlagram Title Card (Optional)
This card is similar to the run title card, except this card provides the title for the current plot.

Time-Space LInk List Card (Type 61, Optlonal)

For each time-space diagram, the list of I inks for both directions must be provided in pairs. This is necessary since the user may select routes in any convenient fashion. One-way streets may be plotted by leaving the second field in each pair blank.

\section*{Terminatlon Card (Type 90,91)}

This card signals the end of the data for the current run. If "90" is used, the job termi-
nates. If the card is numbered "91," another complete data deck will be processed.

\section*{OPERATIONAL SUMMARY}

TRANSYT-7F is a macroscopic, deterministic optimization model with independent time scan. It has a moderately structured organization with a master program which calls other subroutines as the analysls progresses.

Input cards are read and checked for apparent accuracy and if errors are detected the erroneous card is printed out with the detected error underlined, and a message is printed. TRANSYT-7F may calculate initial splits if these were not supplied by the user. Thus after satisfactorily reading the input data and, it necessary, computing the initial splits, the program execution begins. The execution of TRANSYT-7F is controlled by the optimization model.

HIll-climbing is accomplished by varying of \(f\) sets and splits in small, medium or large steps and calculating the resulting traffic effects. To accomplish the latter, it is necessary to determine the behavior of traffic within a link. These are based on the manipulation of the following:
a. The "IN" pattern is the periodic flow rate of traffic that arrives at the stopline (downstream) if the traffic was not empeded by the signal.
b. The "OUT" pattern is the periodic trafflc flow rate leaving a link.
c. The "GO" pattern is the per lodic traffic flow rate that leaves the stopline if there was enough traffic to saturate the green.

The word "pattern" refers to the fact that TRANSYT-7F does not deal with individual vehicles, but rather platoons in histogram form (see Figure 85).


Figure 85. TRANSYT-7F Traffic Flow Histogram

The inflows of one link are obtained from the outflows of the upstream link(s). These flow characteristics are computed for each link for each iteration and the delays are calculated, as discussed in the next section.

With this background, the full process may now be described. The first step is to calculate the performance index ( Pl ) for the initial timings. Then the offset of one signal is altered by the number of time units (steps) input on Card 4 and recalculate the PI. If the PI is reduced, the offset is changed successively in the same direction until a minimum Pl is reached. If the first alteration increased the PI, the search was made in the opposite "direction"

Each signal is adjusted in a similar manner In the order specified on Card Type 2 until the network minimum Pl is reached. This process is repeated for each hill-climb value on Card Type 4 (or in the default list). This is offset optimization.

TRANSYT-7F also optimizes splits. It does this by altering the start of each phase and recalculating the PI as before.

It is obvious that the length of a run will be largely dependent on how many iterations of the model are required. Another factor is that if the number of steps used to alter the
particular timing is too small, the solution may be "trapped" into a local optimum which is not global. To compensate for these concerns the recommended step sizes to locate "a good" optimum are given in Table 22, along with the type of optimizations which wlll occur at each step. These are generated internally by TRANSYT-7F, but other lists may be input on Card Type 4.

If a "quick optimization" is desired, the user may specify a hill-climb sequence which may not result in the "best" Pl, but will be reasonably good. Such a sequence is 15, 40, 15, 1, -1, 1. Another option allows optimization to include only those links directly connected to the present link, rather than the entire network. This is done by adding 100 to the values above (e.g. 115, 140, 115, 101, \(-101,101\) ). This reduces run time considerably but the resulting PI may be a few percent worse than the normal method.

Table 22 - Optimization Sequence

\begin{tabular}{ccccc}
1 & 15 & Offsets & 15 & Offsets \\
2 & 40 & Offsets* & 40 & Offsets* \\
3 & 15 & Offsets & -1 & Splits \\
4 & 40 & Offsets* & 15 & Offsets \\
5 & 15 & Offsets & 40 & Offsets* \\
6 & 1 & \begin{tabular}{c} 
Offsets \\
(fine tune) \\
Offsets
\end{tabular} & 1 & \begin{tabular}{c} 
Offsets \\
\((f i n e t u n e)\) \\
Splits
\end{tabular} \\
7 & 1 & \begin{tabular}{c} 
Sine tune) \\
(fine tune) \\
Offsets \\
(fine tune)
\end{tabular}
\end{tabular}

\footnotetext{
*Starred steps insure that the optimization is not trapped in a local optimum. (Source 9.2.)
}

\section*{COMPUTATIONAL ALGORITHMS}

The major algorithms in TRANSYT-7F are the objective function and the calculations of traffic characteristics. The objective function is called the "performance index", or "PI", and it is defined as follows:

MInimize \(P I={ }_{i}^{n} \Sigma\left(W(D)_{i} d_{i}+K W(S)_{i} s_{i}\right.\)
where \(d_{i}=\) delay on the \(i^{\text {th }}\) link of network (veh-hr/hr),
\(\mathbf{s}_{\boldsymbol{1}}=\) average number of stops per second on link \(i\),
\(k=\) the weighting factor for stops entered on Card Type 1, and \(W=\) weighting factors for delay (D) and stops (S) for link l.

This objective function is minimized by an iterative search procedure where the signal timings are changed and the resulting flow and travel characteristics are recalculated.

The link patterns discussed in a previous section are found as follows, for the \(i^{\text {th }}\) link at time step t:
\(I N_{i t}={ }_{j} \sum F_{1 j}\left(P_{i j} \times\right.\) OUT \(\left._{j t}\right) ;\)
where \(F_{i j}=\) the smoothing process from link j to i (see below);
\(P_{i j}=\) the proportion of OUT \({ }_{j}\) which feeds link i, and
OUT \(_{j t}=\) the OUT pattern of link \(j_{t}\) at time t.

The number of vehicles ( \(m_{t}\) ) held at the stopline during time interval \(t\) is found by:
\(m_{\dagger}=\max \left[\left(m_{t-1}+q_{\dagger}-s_{\dagger}\right)\right.\) or (0)];
```

where q}\mp@subsup{q}{t}{}=\mathrm{ the number of vehicles arriving
in interval t, given by the IN
pattern, and
st = the number of vehicles allowed
to leave in interval t, given by
the out pattern.

```

The number of vehicles leaving in interval \(\dagger\) is \(m_{+-}+q_{+}-m_{+}\)and these figures are used to derive the OUT pattern.

The average delay is calculated in two parts which are added together. The first is the average queue length over the cycle (times the cycle length) and the second is the delay due to random variations of arrivals and saturation. The second component for each link is found by,
\(d_{r s}=\left[\left(-\frac{B_{n}}{B_{d}}\right)^{2}+\frac{x^{2}}{B_{d}}\right\rfloor^{1 / 2}-\frac{B_{n}}{B_{d}}\)
where \(d_{r s}=\) random and saturation delay;
\[
\begin{aligned}
& B_{n}=2(1-X)=Z X ; \\
& B_{d}=4 Z-Z^{2} ; \\
& Z=(2 x / v * 60 / T ; \\
& X=\text { degree of saturation; } \\
& V=\text { volume on the link; and } \\
& T=\text { simulation time. }
\end{aligned}
\]

Since TRANSYT-7F assumes that traffic disperses as it travels downstream, the smoothing function (F) used in equation (9.2) is used to more realistically represent this dispersion of vehicles. \(F\) is calculated by,
\[
\begin{equation*}
F=\frac{1}{T+\alpha \beta F} \tag{9.5}
\end{equation*}
\]
```

where \alpha = smoothing parameter (usually
assumed to be 0.35 but it may be
varied), and
\beta=a coefficient which "shifts" the
effective travel time (set to 0.8),
and
t= link travel time.
The number of stops is simply equal to the number of vehicles delayed. Since some de-

```

\section*{}

- --- progran mote --- transyt-7f now begins final processing after all intersections have been input.


Figure 86. Typical TRANSYT-7F Input Data Report
```

lays may only be slow downs and not full
stops, the calculation of stops may be
adjusted by entering the appropriate
parameters on Card Type 5. The recommended
values found to be valid in England are as
follows:
Seconds of
Delay: 1lllllllllllll
% of
Stops: 20 50 65 76 83 88 93 95 97 99 100

```

\section*{OUTPUT REPORTS}

There are five basic outputs avallable from a successful TRANSYT-7F run (i.e., no errors detected).

\section*{Input Data Report}

The input data are echoed in essentlally the same format they were input, with column headings to identify each data item. An example is shown in Figure 86.

\section*{Traffic Performance Tables}

Traffic performance estimates are produced for each set of timings, normally initial and/or final. An example of the final performance table is shown in Figure 87. The initial has the identical format, but is labeled "initial".

Below the title, the link data are given, along with several measures of effectiveness (MOE) and green periods (in seconds). The link MOE's are subtotaled by node to enable rapid identification of critical intersec-


Figure 87. Typical TRANSYT-7F Traffic Performance Table
tions. Starred (*) links are bus links. The "system" MOE's are shown at the bottom of the table.

\section*{Flow Profile Plots (Optional)}

Figure 88 shows a typical flow pattern plot for a link that enters an intersection which is double cycled (i.e., the controller completes two identical cycles in the time alloted for one system cycle). The flow patterns use symbols to enable the user to "see" what is happening over the signal cycle. The following symbols are used:
a. Flow that queues at the stopline, normally on red (1).
b. Flow leaving the stopline on green which clears the queue(s).
c. Arrivals on green that may or not be delayed, as explained below ( 0 ).

The symbol ( S ) represents queue discharge and is generally at the saturation flow rate. The symbol ( 0 ) represents arrivals and when below the ( S ), indicates those vehicles which
join the back of the queue when the "O's" appear without the "S's" above them, these are undelayed arrivals/departures.

The flows are overlayed so the distortion caused by red/green periods are easily observed. The horizontal scale is always constant and equal to the cycle length in steps. The vertical scale is always flow rate, but the scale depends on the maximum flow. The saturation flow always extends to the top of the respective plot (i.e., 24 lines).

These plots are intended to be used to ver ify field conditions by merely observing whether the intersection approaches actually perform as predicted.

The Mean Modules of Error (MME) printed with the graph is a measure of how much the profile of the arrival flow deviates from the mean value. It is an index from 0 to 1 . If the inflow as exactly uniform the MME would equal zero, while a high MME would indicate a link on which the flow is strongly platooned and would particularly benefit from progression. The MME (in Figure 89/0.62) indicates a moderately high potential for progression.


Figure 88. Explanation of TRANSYT-7F Flow Profile Plots

Values approaching 1.0 indicate the link can definitely benefit from progression.

\section*{Signal Timing Tables}

TRANSYT-7F produces a unique output of signal settings, as shown Figure 89. For pretimed controllers, these timings may be readily implemented in the field with no further manual manipulation, so long as the offsets do not
fall within a clearance (or on another pin in the case of electro-mechanical controliers). Warnings are issued in the event of either of these conflicts.

\section*{Time-Space Dlagrams}

TRANSYT-7F will print a time-space diagram for any selected route of up to 50 nodes. The route need not be linear, and many plots
SYSTEM CYCLE LENGTH \(=112\) SECONDS
MASTER OFFSET REFERENCE LOCATION = INTERSECTION NO. 1
all offsets are referenced to the start of interval no. 1 at this signal.
INTERSECTION CONTROLLER SETTINGS
INTERSECTION NUMBER
-
\begin{tabular}{lrrrrrr} 
INTERVAL NUMBER: & 1 & 2 & 3 & 4 & 5 & 6 \\
LENGTH (SEC): & 48 & 6 & 4 & 42 & 8 & 4 \\
LENGTH (\%): & 43 & 5 & 4 & 37 & 7 & 4 \\
PIN SETTINGS ( \(\%\) ): & 10010 & 43 & 48 & 52 & 89 & 96 \\
PHASE START (PH : : : & 1 & & & 2 & & \\
VARIABLE INT. (PH \():\) & 1 & & & 2 & &
\end{tabular}
OFFSET \(=0\) SEC. \(0 \%\).
this is the master controller.
+++ \(137+++\) WARNING + THE OFFSET FALLS WITHIN 1\% OF AN INTERVAL CHANGE POINT at the START OF INTERVAL NO. 1

Figure 89. TRANSYT-7F Signal Timing Table
may be printed. The symbols of the diagram shown on Figure 90 are as follows:
a. "+" green in the direction of increasing distance from the origin (down the page).
b. "-" green in the direction of decreasIng distance (up the page).
c. "blank" green on the route in both directions.
d. "*" red on the route.

Although through bands are not explicitly plotted, the scaling allows convenient use of such tools as triangles and protractors to plot the bands. A speed protractor is available in the TRANSYT-7F User's Manual (Reference 9.4).

\section*{ADDITIONAL FEATURES}

TRANSYT-7F has a number of options, most of which are handled by control cards as discussed in an earlier section. It has already been noted that buses can be modeled separately by including bus links. These can either be separate lanes or shared lanes. In addition, pedestrians can be modeled by treating them as "vehicles" on separate links. Care must be taken to insure that pedestrians do not interchange with vehicles in the flow patterns. Pedestrian links should have zero stop penalty and delay weights if it is desired to exclude them from the PI and fuel calculations.

TRANSYT-7F can be used to design larger networks by subdividing the networks into sections that can be handled by the present

TRANSYT-7FTIME-SPACE DIAGRAM ROUTINE
ASHLEY DRIVE ARTERIAL ANALYSIS - TRANSYT-7F- OPT 12 SEC CYCLE PM PEAX
112 SECOND CYCLE 56 STEPS
PLOT TITLE: ASHLEY DRIVE - OPTIMUM CYCLE
TIME AXIS IS IN:SEC TIME SCALE \(=3\) SEC/CHAR, DIST. SCALE \(=67\) FTMINE
NODE \(12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890 \quad\) DISTANCE


NODE \(\overline{12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890 ~ D I S T A N C T E ~}\)

\[
\begin{aligned}
& +++ \text { THRU IN DOWN OIRECTION } \\
& \text { THRU IN BOIH DIRECTIONS } \\
& - \text { THRU IN UP DIRECTION } \\
& \text { *** RED IN BOTH DIRECTIONS }
\end{aligned}
\]

Figure 90. TRANSYT-7F Time-Space Diagram Plot
program (i.e., 50 nodes and 250 links). The boundary nodes are fixed from section to section so that their timings are not changed in the subsequent analysis. In this manner, sections can be "stacked" such that they will always share one or more nodes whose timings will be optimized in one section then remaln fixed in the subsequent section.

Additionally, bottlenecks and unsignalized intersections can be considered. At intersections governed by a fixed priority rule (e.g. stop sign on cross-street) the main route traffic incurs no delay. The inflow from the side road is given a "GO" pattern proportionate to its actual capacity which is a function of the main street traffic.

While TRANSYT-7F is the most current version of TRANSYT readily available in the U.S., TRRI has also written version 8 which improves upon the current version (Reference 7.5); however, this version is only avallable on a license basis (i.e., only "end" users may purchase the program).

\section*{APPLICATIONS AND LIMITATIONS}

In addition to designing the optimal signalization of coordinated networks, TRANSYT-7F can analyze existing (or any preset) conditions by simply inputting Card Type 51 (Run Card).

TRANSYT-7F does not explicitly optimize the cycle length or phase sequences; however, these can be "optimized" by multiple runs with varying values of the cycle length input in Card Type 1 or phase sequences on Card Types 2X. A manual approach similar to the hill climb technique explained earlier should be used (probably with the "quick optimization" procedure used in the initial trials and the normal optimization used for "fine tuning").

The shortcomings listed in the above paragraphs are clearly limitations present in
this version; however, TRANSYT-7F is sufficiently realistic to design many network configurations, and can be extremely useful to the local traffic agency.

Other limiting assumptions are listed below:
a. All major intersections in the network have traffic signals, although signcontrolled intersections and other mid-block bottlenecks can be modeled.
b. Traffic entering the network from the outside does so at a constant uniform rate on each approach. This is not unrealistic over a long period such as an hour.
c. The volumes and proportions of turns rema in constant at each approach for the entire period of analysis.
d. Traffic dispersion is assumed to be uniform for the period of analysis.

The last three are probably the most ser lous of limitations; although the platoon dispersion model is far more realistic than a simpler assumption of uniform platoons.

\section*{EXAMPLE APPLICATION}

In order to illustrate the use of TRANSYT-7F the arterial problem previously utilized for PASSER 80 was selected. The following describes this example application of TRANSYT7F.

\section*{Problem Description}

Ashiey Drive has eight signals interconnected as part of a downtown signal system. Previous analysis has indicated that the existing phasing is adequate. However, the clty does desire to determine if an Improved operation can occur by changing the cycle lengths, splits, and of fsets. TRANSYT-7F, will be utilized to develop and evaluate alternative signal timing.

\section*{Analysis of Existing Conditions}

The first step in the evaluation process is to use TRANSYT-7F to represent existing conditions. This condition is the basis for evaluating other alternatives.

In order to code data for TRANSYT-7F properiy a link-node map with pertinent information is essential. Figure 91 illustrates one method of preparing such a map. This map shows link number, lane usage, volumes, distance between stop bars, and intersection numbers. The only additional information that would be required is existing signal timing. To code existing conditions, information is required on offsets, phasing, and interval lengths for vehicle and pedestrian signal displays. Figure 92 illustrates the coded input data for existing conditions. A total of 82 cards were required to represent the eight nodes and 42 links.

The input data were keypunched and submitted to the computer for execution. Figure 94 illustrates part of the input data report obtained from this run.

Review of the traffic performance table on Figure 94 for each of the links, permits a ready identification of existing problems The 01 links for each node (signal) are the major northbound thru movements. Information on degree of saturation, stops and maximum back of queue can be quickly identified for these links as well as identification of other problems.

For instance, the approach with the highest degree of saturation is link 206, the eastbound dual turn at node 2, Kennedy Blvd. For this links \(91 \%\) of all approaching traffic must stop.

On the other hand, link 201 (the northbound thru approach) requires only \(3 \%\) of the traffic to stop, indicating that the offset between beginning of green at Jackson Street and beginning of green at Kennedy Street for this movement is virtually ideal.

At the bottom of Figure 95 are the measures of effectiveness for the network as a whole. These are not as meaningful as the link statistics for evaluating a specific run. However, they can be extremely useful when compared to other alternatives as will be seen during the evaluation of alternatives.

The determination of the number of vehicles stopping on the approach can be more clearly seen on the flow profile plots on Figure 95. Link 101 (the upper left plot) is an entry link with uniform arrivals throughout the cycle. However, for link 201 (the middle left plot) we can see few arrivals on red (the 111 symbols). Virtually all movements (97\%) are arrivals and departures on green (the 000 symbols).

Figure 96 is an example of the signal timing output obtained. Since this is an existing conditions run, the ir settings should represent actual field settings.

The last report obtained from the existing conditions run is the time space plot shown on Figure 97. This graphically displays the green time avallable in both directions. However, no statistics on bandwidth and progressive speed are avallable. The user must make the calculations for these parameters. For the existing conditions there is a bandwidth of approximately 26 seconds at a speed of 34 miles per hour (four mph over the speed limit) or for the average travel speed of 25 mph the bandwldth would be 20 seconds. There is no bandwidth in the opposing (southbound) direction.

\section*{Define and Analyze Alternatives}

The TRANSYT-7F model can be utilized to develop optimal signal timing settings for given cycle lengths and phasing. In order to define these alternatives it is only necessary to change a few cards.

Basically these changes include the control card (to specific cycle length, steps and automatic generation of initial timings) and


Figure 91. TRANSYT-7F Link Node Network-Ashley Drive



Date
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline 12 & 1 & 00 & 1 & 5 & 6 & 4 & 8 & 8 & 4 & & & & & & \\
\hline 21 & 1 & 1 & 1 & 3 & 0 & 15 & 101 & 103 & & & & & & & \\
\hline 22 & 1 & 4 & 4 & 6 & 0 & 20 & 104 & 103 & & & & & & & \\
\hline 28 & 101 & 0 & 3270 & 572 & 0 & & & & & & & & & & \\
\hline 28 & 104 & 313 & 1640 & 436 & 0 & 208 & 21 & 25 & 203 & 305 & & & & & \\
\hline 28 & 103 & 313 & 3270 & 484 & 0 & 211 & 121 & 25 & 203 & 339 & & & & & \\
\hline & & & & & & & & & & & & & & & \\
\hline & & & & & & & & & & & & & & & \\
\hline
\end{tabular}

Figure 92. TRANSYT-7F Coded Input Data Forms for Ashley Drive Existing Conditions.



Figure 92. TRANSYT-7F Coded Input Data Forms for Ashley Drive Existing Conditions (Continued).


Figure 92. TRANSYT-7F Coded Input Data Forms for Ashley Drive Existing Conditions (Continued).


ASHLEY DRIVE ARTERIAL ANALYSIS - TRANSYT-7F- EXISTING CONDITION PM PEAK
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline \multicolumn{17}{|c|}{CONTROL FLAGS} \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { IYPE }
\end{aligned}
\] & \begin{tabular}{l}
CYCLE \\
LENGTH
\end{tabular} & ```
STEPS
``` & \[
\begin{aligned}
& \text { STOP } \\
& \text { PENALTY }
\end{aligned}
\] & \begin{tabular}{l}
PERIOD \\
LENGTH
\end{tabular} & \[
\begin{aligned}
& \text { LOST } \\
& \text { TIME }
\end{aligned}
\] & \begin{tabular}{l}
GREEN \\
EXTEN.
\end{tabular} & INITIAL TIMINGS & SPEED/
T-TIME & \begin{tabular}{l}
OUTPUT \\
LEVEL
\end{tabular} & ENGLIS METRIC & SEC PERCENT & & & \[
\begin{aligned}
& \text { FLOW } \\
& \text { SCALE }
\end{aligned}
\] & \\
\hline 1 & 1 & 90 & 45 & 25 & 60 & 2 & 3 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. } \\
& 2
\end{aligned}
\] & \[
\begin{gathered}
\text { CARD } \\
\text { IYPE } \\
2
\end{gathered}
\] & 1 & 2 & 3 & 4 & LIST & \[
{ }_{6}^{O F} \quad \mathrm{NOI}
\] & ODES TO & \[
\begin{gathered}
\text { BE } \\
8
\end{gathered}
\] & MIZED & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { IYPE }
\end{aligned}
\] & MASTER NODE & \begin{tabular}{l}
SYSTEM \\
SATFLOW
\end{tabular} & SYSTEM PDF & EXTERNAL SPEED & \[
\begin{aligned}
& \text { FUEL } \\
& \text { FACTOR }
\end{aligned}
\] & YSTEM & MASTER D & DATA & & & & & & & \\
\hline 3 & 10 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline \multicolumn{17}{|c|}{\begin{tabular}{l}
PROGRAM NOTE --- INPUT UNITS WERE SPECIFIED AS FOLLOWS: \\
SPEED/TRAVEL TIME IN SPEED ENGLISH/METRIC UNITS IN ENGLISH TIMING UNITS IN SECONDS
\end{tabular}} \\
\hline INTER & IION & 1 & & & & & & & & & & & & & & \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { TYPE }
\end{aligned}
\] & \[
\begin{aligned}
& \text { NODE } \\
& \text { NO. }
\end{aligned}
\] & OFFSET & \begin{tabular}{l}
OFFSET \\
REF INT
\end{tabular} & INTERVAL
INT1 & AL DURATI INT? & NTROLLE ONS (SE IHT3 & ER TIMING ECS. OR PE INT4 & \[
\begin{aligned}
& \text { IG DATA } \\
& \text { ERCENT } \\
& \text { INT5 }
\end{aligned}
\] & INTG* & INT゙ & INTi* & INTi' & '. Intio &  & DOUBLE CYCLE \\
\hline 4 & 12 & 1 & 47 & 1 & 16 & 6 & 4 & 52 & 8 & 4 & 0 & 0 & 0 & - & 0 & 0 \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { TYPE }
\end{aligned}
\] & NODE NO. & \[
\begin{aligned}
& \text { START } \\
& \text { INT }
\end{aligned}
\] & VARIAB. INT & \[
\begin{aligned}
& \text { YELLOW } \\
& \text { INT }
\end{aligned}
\] & \[
\begin{gathered}
\text { ALL-RED } \\
\text { INT }
\end{gathered}
\] & PHASE MINIM. SECS. & \begin{tabular}{l}
E TIMING \\
LINKS
\end{tabular} & \begin{tabular}{l}
DATA \\
MOVING IN
\end{tabular} & THIS PH & HASE & & & & & \(\underset{\text { FLAG }}{\text { CONT }}\) \\
\hline \[
\begin{aligned}
& 5 \\
& 6
\end{aligned}
\] & \[
\begin{aligned}
& 21 \\
& 22
\end{aligned}
\] & \[
\begin{aligned}
& 1 \\
& 1
\end{aligned}
\] & \[
\begin{aligned}
& 1 \\
& 4
\end{aligned}
\] & \[
4
\] & \[
\begin{aligned}
& 3 \\
& 6
\end{aligned}
\] & 0 & \[
\begin{aligned}
& 15 \\
& 20
\end{aligned}
\] & \[
\begin{aligned}
& 101 \\
& 104
\end{aligned}
\] & \[
\begin{array}{r}
103 \\
103
\end{array}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & 0 \\
\hline \multicolumn{17}{|c|}{LINK DATA} \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { IYPPE }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \begin{tabular}{l}
LINK \\
LENGTH
\end{tabular} & \[
\begin{aligned}
& \text { SAT. } \\
& \text { FLOW }
\end{aligned}
\] & TOTAL
VOL. & \[
\begin{aligned}
& \text { MID-BLK. } \\
& \text { VOL. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { FIRST } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{gathered}
\text { INPUT LIN } \\
\text { VOL. }
\end{gathered}
\] & \[
{ }_{S K}^{\text {NK }} \underset{P}{ }
\] & \[
\begin{aligned}
& \text { 5ECOND } \\
& \text { NO. }
\end{aligned}
\] & INPUT VOL. & \[
\begin{gathered}
\text { LINK } \\
\text { SPD } \\
\hline
\end{gathered}
\] & \[
\begin{aligned}
& \text { THIRD } \\
& \text { NO. }
\end{aligned}
\] & INPUT VOL. & \[
\underset{\text { SPD }}{\text { LINK }}
\] & \\
\hline \[
\begin{aligned}
& 7 \\
& 8 \\
& 9
\end{aligned}
\] & \[
\begin{aligned}
& 28 \\
& 28 \\
& 28
\end{aligned}
\] & \[
\begin{aligned}
& 101 \\
& 104 \\
& 103
\end{aligned}
\] & O
313
313 & 3270
1640
3270 & 572
436
484 & 0
0
0 & 20
208
211 & 20
121 & 0
25
25 & 20
203
203 & 30
305
339 & 0
25
25 & 218
208 & 10
110
24 & 0
25
25 & 0
0
0 \\
\hline \multicolumn{17}{|l|}{INTERSECTION 2 - Intersections 2 thru 8 simllar} \\
\hline 68 & 28 & 801 & 284 & 3270 & 1578 & 0 & 701 & & 25 & 706 & 196 & 25 & & 0 & & \\
\hline 69 & 28 & 802 & 284 & 1640 & 263 & 0 & 702 & 230 & 25 & 706 & 33 & 25 & 0 & 0 & 0 & 0 \\
\hline 70 & 28 & 803 & 0 & 5100 & 1029 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline 71 & 28 & 807 & 0 & 1800 & 295 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline 72 & 28 & 808
812 & 0 & 1640
1640 & 36
259 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline & 28 & 812 & 0 & 1640 & 259 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline
\end{tabular}
--- PROGRAM NOTE --- TRANSYT-7F NOW BEGINS FINAL PROCESSING AFTER ALL INTERSECTIONS HAVE BEEN INPUT.
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { TYPE }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { GRAPH } \\
& \text { LINK } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{gathered}
\text { PLOT } \\
\text { LINK } \\
\text { NO. }
\end{gathered}
\] & CARDS LINK NO. & & & & & & & \\
\hline \[
\begin{aligned}
& 74 \\
& 75
\end{aligned}
\] & \[
\begin{aligned}
& 40 \\
& 40
\end{aligned}
\] & \[
\begin{aligned}
& 101 \\
& 501
\end{aligned}
\] & \[
\begin{aligned}
& 104 \\
& 503
\end{aligned}
\] & \[
\begin{aligned}
& 201 \\
& 601
\end{aligned}
\] & \[
\begin{aligned}
& 203 \\
& 603
\end{aligned}
\] & \[
\begin{aligned}
& 301 \\
& 701
\end{aligned}
\] & \[
\begin{array}{r}
303 \\
703
\end{array}
\] & \[
\begin{aligned}
& 401 \\
& 801
\end{aligned}
\] & \[
\begin{aligned}
& 403 \\
& 803
\end{aligned}
\] & 0 & 0 & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & \[
\begin{aligned}
& 0 \\
& 0
\end{aligned}
\] & 0 & 0 \\
\hline \[
\begin{aligned}
& \text { CARD } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { CARD } \\
& \text { TYPE }
\end{aligned}
\] & & & & & & N CARD & & & & & & & & & \\
\hline 76 & 51 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
\hline
\end{tabular}
--- PROGRAM NOTE --- THERE ARE A TOTAL OF 8 NODES AND 42 LINKS (INCLUDING BOTTLENECKS, IF ANY) IN THIS RUN.
Figure 93. TRANSYT-7F Input Data Report for Existing Conditions on Ashley Drive
initial seitings
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline \[
\begin{gathered}
\text { NODE } \\
\text { NO }
\end{gathered}
\] & \[
\operatorname{LINK}_{\text {NO }}
\] & FLOW (VEH/H) & \[
\begin{gathered}
\text { SAT } \\
\text { FLOL } \\
(V E H / H)
\end{gathered}
\] & \begin{tabular}{l}
DEgREE \\
OF SAT \\
(x)
\end{tabular} & \[
\begin{gathered}
\text { TOTAL } \\
\text { TRAVEL } \\
(V E H-M I / H)
\end{gathered}
\] & \[
\begin{gathered}
\text { TOTAL } \\
\text { TIME } \\
\text { (VEH-H/H) }
\end{gathered}
\] & \[
\begin{aligned}
& \text { UNI FORM } \\
& \text { DELAY } \\
& (\mathrm{VEH}-\mathrm{H} / \mathrm{H})
\end{aligned}
\] & \[
\begin{aligned}
& \text { RANDOM } \\
& \text { DELLY } \\
& \text { (VEH-H/H) }
\end{aligned}
\] & \[
\begin{gathered}
\text { TOTAL } \\
\text { DELAY } \\
(V E H-H / H)
\end{gathered}
\] & \[
\begin{aligned}
& \text { UNIFOR } \\
& \text { SIOP } \\
& \text { CVEH/H: }
\end{aligned}
\] & & max back OF QUEUE (VEH) & FUEL CONSUM (GAL/H) & \begin{tabular}{l}
GREEN \\
START \\
(SEC)
\end{tabular} & PERIOD
LENGTH (ENGTH & LINK \\
\hline 1 & 101 & 572 & 3270 & 68 & 0.0 & 5.030 & 4.660 & 0.369 & 5.030 & 484.0 C & 85x) & ) 12 & 5.96 & 48 & 22 & 101 \\
\hline 1 & 103 & 484 & 3270 & 15 & 28.57 & 1.121 & 0.0 & 0.0 & 0.0 & 0.06 & 0x) & ) & 1.39 & 0 & 90 & 103 \\
\hline 1 & 104 & 436 & 1640 & 39 & 25.74 & 2.375 & 1.302 & 0.063 & 1.365 & 352.10 & \(8(x)\) & 9 & 3.80 & 74 & 60 & 104 \\
\hline 1: & & 1492 & Max \(=\) & 68 & 54.31 & 8.526 & 5.962 & 0.432 & 6.394 & 836.1 C & 56x) & 12(M) & 11.15 & NODE & PI \(=\) & 12.2 \\
\hline 2 & 201 & 496 & 4860 & 37 & 26.81 & 1.339 & 0.233 & 0.053 & 0.286 & 13.51 & 3x) & ) & 1.51 & 52 & 24 & 201 \\
\hline 2 & 203 & 644
516 & 3270 & 71 & 34.81 & 3.922 & 2.126 & 0.429 & 2.556 & 323.16 & 50x) & 11 & 4.63 & 52 & 24 & 203 \\
\hline 2 & 206 & 516 & 2950 & 83 & 0.0 & 5.708 & 4.733 & 0.976 & 5.708 & 468.4 ( & 91x) & ) 12 & 6.15 & 30 & 18 & 206 \\
\hline 2 & 207 & 584 & 3270 & 43 & 0.0 & 3.054 & 2.971 & 0.083 & 3.054 & 388.91 & 67x) & ) 10 & 4.34 & 80 & 36 & 207 \\
\hline 2 & 208 & 45 & 1440 & 8 & 0.0 & 0.197 & 0.195 & 0.002 & 0.197 & 25.14 & 56\%) & 1 & 0.28 & 80 & 36 & 208 \\
\hline 2 & 210 & 423 & 1440 & 56 & 22.87 & 1.472 & 0.394 & 0.180 & 0.575 & 68.56 & 16x) & 3 & 1.75 & 30 & 46 & 210 \\
\hline 2 & 211 & 231 & 1640 & 21 & 0.0 & 0.390 & 0.376 & 0.015 & 0.390 & 81.96 & 35x) & ) 2 & 0.80 & 80 & 58 & 211 \\
\hline 2 & 212 & 276 & 1440 & 47 & 0.0 & 1.527 & 1.426 & 0.102 & 1.527 & 186.76 & 68x) & ) 5 & 2.11 & 80 & 36 & 212 \\
\hline 2: & & 3215 & max \(=\) & 83 & 84.49 & 17.609 & 12.453 & 1.840 & 14.293 & 1556.0\% & 48x) & ) 12 (M) & 21.57 & NODE & PI = & 25.1 \\
\hline 3 & 301 & 1428 & 4860 & 59 & 78.97 & 7.062 & 4.100 & 0.209 & 4.310 & 796.66 & 56x) & ) 22 & 11.09 & 40 & 44 & 301 \\
\hline 3 & 303 & 582 & 3270 & 27 & 35.44 & 2.230 & 0.813 & 0.025 & 0.839 & 157.8 C & 27x) & ) 4 & 2.97 & 26 & 58 & 303 \\
\hline 3 & 304 & 93 & 2590 & 29 & 5.66 & 1.614 & 1.361 & 0.031 & 1.392 & 92.7 (1 & 100x) & ) 2 & 1.42 & 26 & 10 & 304 \\
\hline 3 & 305 & 165 & 3270 & 18 & 0.0 & 1.112 & 1.102 & 0.010 & 1.112 & 117.71 & 71x) & 3 & 1.40 & 88 & 24 & 305 \\
\hline 3 & 306 & 211 & 1640 & 46 & 0.0 & 1.632 & 1.532 & 0.100 & 1.632 & 164.51 & 78x) & ) 4 & 1.99 & 88 & 24 & 306 \\
\hline 3 & 310 & 383 & 1640 & 36 & 23.32 & 1.520 & 0.556 & 0.049 & 0.605 & 104.86 & 27x) & ) 3 & 1.98 & 26 & 58 & 310 \\
\hline 3: & & 2862 & Max = & 59 & 143.40 & 15.171 & 9.466 & 0.424 & 9.889 & 1434.06 & 50x) & ) \(22(\mathrm{M})\) & 20.85 & node & PI \(=\) & 19.8 \\
\hline 4 & 401 & 1465 & 4860 & 55 & 81.02 & 5.357 & 2.005 & 0.171 & 2.177 & 228.78 & 16x) & ) 6 & 6.19 & 42 & 48 & 401 \\
\hline 4 & 403 & 926 & 4860 & 35 & 47.76 & 8.864 & 6.942 & 0.047 & 6.990 & 721.86 & 78\%) & is & 9.45 & 42 & 48 & 403 \\
\hline 4 & 408 & 143 & 2590 & 14 & 0.0 & 0.691 & 0.685 & 0.006 & 0.691 & 86.11 & 60x \({ }^{\text {75 }}\) & 2 & 0.97 & 4 & 34 & 408 \\
\hline 4 & 412 & 400 & 1640 & 63 & 0.0 & 2.645 & 2.382 & 0.262 & 2.645 & 301.16 & 75x) & 8 & 3.49 & 4 & 34 & 412 \\
\hline 4: & & 2934 & max \(=\) & 63 & 128.77 & 17.556 & 12.015 & 0.487 & 12.501 & 1337.76 & 46x) & ) 19(M) & 20.10 & NODE & PI \(=\) & 21.8 \\
\hline & 501 & 1854 & 4860 & 58 & 102.53 & 6.468 & 2.241 & 0.202 & 2.443 & 392.41 & 21x) & ) 10 & 8.25 & 40 & 58 & 301 \\
\hline 5 & 503 & 926 & 4860 & 19 & 59.27 & 2.326 & 0.0 & 0.0 & 0.0 & 0.08 & 0x) & 0 & 2.89 & 0 & 90 & 503 \\
\hline 5 & 504 & 115 & 1640 & 25 & 7.36 & 0.771 & 0.461 & 0.021 & 0.482 & 101.26 & 88x) & ) 3 & 1.13 & 12 & 24 & 504 \\
\hline \(5:\) & & 2895 & Max \(=\) & 58 & 169.16 & 9.565 & 2.702 & 0.223 & 2.925 & 493.61 & 17x) & ) 10 (M) & 12.27 & NODE & PI \(=\) & 6.4 \\
\hline 6 & \[
\begin{aligned}
& 601 \\
& 602
\end{aligned}
\] & 1403 & 3270
1640 & 65 & 62.77
0.45 & 2.898
0.019 & 0.125 & 0.309 & 0.434 & 16.11 & (1x) & ) 0 & 3.34
0.05 & 46 & 58 & 601 \\
\hline
\end{tabular}


Figure 94. TRANSYT-7F Traffic Performance Table for Existing Conditions on Ashley Drive.


Figure 95. TRANSYT-7F Flow Proflie Plots for Existing
Conditions on Ashley Drive.
NETWORK-WIDE SIGNA IIMING DAIA
NETWORK-WIDE SIGNAL TIMING DATA
SYSTEM CYCLE LENGTH \(=90\) SECONDS
MASTER OFFSET REFERENCE LOCATION = INTERSECTION NO. 1
all offsets are referenced to the start of interval no. i at this signal.
INTERSECTION CONTROLLER SETTINGS
INTERSECTION NUMBER
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline INTERVAL NUMBER: & 1 & 2 & 3 & 4 & 5 & 6 \\
\hline LENGTH (SEC): & 16 & 6 & 4 & 52 & 8 & 4 \\
\hline LENGTH (\%): & 18 & 7 & 4 & 58 & 9 & 4 \\
\hline PIN SETTINGS (\%): & 10010 & 18 & 25 & 29 & 87 & 96 \\
\hline PHASE START (PH ) & 1 & & & 2 & & \\
\hline VARIABLE INT. (PH \#) & : 1 & & & 2 & & \\
\hline
\end{tabular}
OFFSET \(=0\) SEC. \(0 \%\).
THIS IS THE MASTER CONTROLLER.
+++ \(137+++\) WARNING + THE OFFSET FALLS WITHIN \(1 \%\) OF AN INTERVAL CHANGE POINT AT THE START OF INTERVAL NO. 1

\begin{tabular}{lrrrrrrrrr} 
INTERVAL NUMBER: & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\
LENGTH (SEC): & 14 & 10 & 4 & 26 & 10 & 4 & 8 & 10 & 4 \\
LENGTH (\%): & 16 & 11 & 4 & 30 & 11 & 4 & 9 & 19 & 4
\end{tabular}
\(+++129+++\) WARNING + DUE TO ROUNDOFF, INTERVAL NO. 4 HAD TO BE ADJUSTED BY O SEC AND/OR IX.
PIN SETTINGS (\%): \(\begin{array}{llllllllll}100 / 0 & 16 & 27 & 31 & 61 & 72 & 76 & 85 & 96\end{array}\)
PHASE START (PH : \(1 \quad 1 \quad 2\)
VARIABLE INT.(PH : \(1 \quad 1 \quad 2\)
OFFSET \(=4\) SEC. \(4 \%\).
\(+++137+++\) WARNING + THE OFFSET FALLS WITHIN \(1 \%\) OF AN INTERVAL CHANGE POINT AT THE START OF INTERVAL NO. 9
                                    Intersections 3 thru 7 similar
INTERSECTION NUMBER
\begin{tabular}{lrrrrrrrr} 
INTERVAL NUMBER: & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\
LENGTH (SEC): & 24 & 10 & 4 & 26 & 4 & 8 & 10 & 4 \\
LENGTH (\%): & 27 & 11 & 4 & 30 & 4 & 9 & 11 & 4
\end{tabular}
+++ 129 +++ WARNING + DUE TO ROUNDOFF, INTERVAL NO. 4 HAD TO BE ADJUSTED BY O SEC ANDIOR 1\%.
PIN SETTINGS (\%): \(100 / 0 \quad 27 \quad 38 \quad 42 \quad 72 \quad 76\)
PHASE START (PH ): 1
VARIABLE INT.(PH : 1
OFFSET \(=4\) SEC. \(4 \%\).
\(+++137+++\) WARNING + THE OFFSET FALLS WITHIN 1\% OF AN INTERVAL CHANGE POINT AT THE START OF INTERVAL NO. \&
    Figure 96. TRANSYT-7F Signal Timing Tables for Existing
    Conditions on Ashley Drive.
--- PROGRAM NOTE --- this IS the input data report for time-space diagram no. 1

TRANSYT-YF TIME-SPACE DIAGRAM ROUTINE
ashley drive arterial analysis - transyt-7f- existing condition pm peak
90 SECOND CYCLE 45 STEPS
PLOT TITLE: ASHLEY DRIVE - EXISTING TIMING
TIME AXIS IS IN:SEC TIME SCALE = 3 SEC/CHAR, DIST. SCALE \(=67\) FT/LINE
NODE \(12345678901234567890123456789012345678901234567890123456789012345678901234567890123456789012345678901234567890 \quad\) DISTANCE



+++ THRU IN DOWN DIRECTION
-- THRU IN UP DIRECTION
WM RED IN BOTH DIRECTIONS


Figure 97. TRANSYT-7F Time Space Plot for Existing Conditions on Ashley Drive.

\section*{TRANSYT-7F}


Figure 98. TRANSYT-7F Traffic Performance Table for Optional Solution on Ashley Drive.

Table 23 - Comparison of TRANSYT-7F MOE's For Alternate Cycle Lengths Ashley Drive
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline Alternate & Cycle Length & \begin{tabular}{l}
Total \\
Travel \\
Time \\
(Veh-H/H
\end{tabular} & \begin{tabular}{l}
Total \\
Delay \\
Veh-H/H
\end{tabular} & Total Uniform Stops Veh/H & \begin{tabular}{l}
Total \\
Fuel \\
Consumption \\
(Veh/H)
\end{tabular} & Performance
\(\qquad\) & \[
\begin{aligned}
& \text { Speed } \\
& \text { (MPH) } \\
& \hline
\end{aligned}
\] \\
\hline Existing & 90 sec . & 110.050 & 72.284 & 9456.7 & 141.85 & 137.96 & 8.82 \\
\hline 1 & 70 sec . & 94.751* & 56.986* & 8340.5 & 128.42* & 114.91* & 10.25* \\
\hline 2 & 72 sec. & 98.293 & 60.528 & 9076.8 & 133.46 & 123.56 & 9.88 \\
\hline 3 & 74 sec . & 97.871 & 60.105 & 8635.6 & 131.46 & 120.07 & 9.92 \\
\hline 4 & 76 sec . & 96.792 & 59.027 & 8784.9 & 131.36 & 120.03 & 10.03 \\
\hline 5 & 78 sec . & 97.630 & 59.864 & 8756.4 & 131.57 & 120.67 & 9.95 \\
\hline 6 & 80 sec . & 100.056 & 62.291 & 8637.8 & 132.33 & 122.28 & 9.70 \\
\hline 7 & 82 sec. & 100.880 & 63.114 & 8194.6 & 130.13 & 120.02 & 9.63 \\
\hline 8 & 84 sec . & 100.896 & 63.131 & 8449.6 & 131.81 & 121.81 & 9.62 \\
\hline 9 & 86 sec . & 102.010 & 64.244 & 8450.5 & 132.34 & 122.93 & 9.52 \\
\hline 10 & 88 sec . & 103.027 & 65.262 & 8439.2 & 132.79 & 123.87 & 9.42 \\
\hline 11 & 90 sec . & 104.474 & 66.708 & 8384.8 & 133.14 & 124.94 & 9.29 \\
\hline 12 & 92 sec . & 104.664 & 66.899 & 8019.4 & 130.77 & 122.59 & 9.28 \\
\hline 13 & 94 sec . & 105.338 & 67.572 & 7979.8 & 131.12 & 122.59 & 9.22 \\
\hline 14 & 96 sec . & 107.089 & 69.323 & 7944.4 & 131.45 & 124.49 & 9.07 \\
\hline 15 & 98 sec . & 107.442 & 69.676 & 7920.6* & 131.55 & 124.68 & 9.04 \\
\hline
\end{tabular}
* Lowest value for MOE

Controlier Timing Card (to specify fixed intervals) for each intersection. If alternative phasing schemes are to be considered then the phase timing cards for each phase must be changed.

Since previous evaluation (PASSER 80) indicated the phasing was adequate it is only necessary to define alternatives by varying the cycle length. For this example an optimal signal plan was developed for each two (2) second increase in cycle length from 70 seconds to 98 seconds. This required changing 12 cards in the existing conditions run (two control cards, two title cards and elght control timing cards).

\section*{Evaluation of Results}

Table 23 provides a comparison in network wide MOE's for each of the 15 alternatives. In general as cycle length increased, travel time increased, stops decreased, and speed was relatively unchanged. All of the alter-
native signal plans developed by TRANSYT-7F resulted in improved traffic flow.

The optimel cycle length was a 70 second cycle. Total delay would be reduced by \(20 \%\) (from 72.28 veh-hrs/hr to 56.99) while stops are reduced by \(12 \%\), as well as total fuel consumption. Average operational speed is increased from 8.8 mph to 10.3 mph .

Figure 98 shows the traffic performance expected on each of the links for the optimal 70 second cycle. The degree of saturation was slightly increased on some approaches in order to provide additional time for links with higher level of saturation. The most noticable improvement on a link by link basis is that in every case the maximum queue has decreased on each link. For instance, the maximum back of queue for existing conditions was 22 vehicles for link 301. For the optlmal 70 sec. cycle the maximum expected back of queue for link 301 was reduced to 16 vehicles, or 27 percent.

\section*{Summary of Work Effort Required}

The following summarizes the work effort required to run the TRANSYT-7F model for this problem.

Data Collection - Very little time is required to obtain data since all the information is normally obtained by the traffic engineering office except link to link turning movement counts for street segments which used two or more links to describe traffic flow. To accurately measure these data miniorigin/destination studies would have to be conducted, however, reasonable procedures for estimating these movements are found in the User's Manual (page 5-26 to 5-93, Reference 9.4).

Data Coding - The coding of data for TRAN-SYT-7F does requires some time, however, the primary effort is the time required to transform data from the information on-hand (turning mavements, signal timing etc.) to that required for coding. It was found to be easier to summarize this data on the linknode network prior to coding. Preparation of the link-node sketch, summarization of data and actual coding of forms required approximately four hours. An additional hour was required to review, identify and correct coding errors for existing conditions.

Computer Time - Required CPU time for the existing conditions was approximately .97 second per run (a total of three runs were required). The optimization runs required from 6.22 seconds of CPU time for the 70 second cycle to 9.06 seconds for the 98 second cycle. A total of 284 K of core storage was required.

\section*{REFERENCES}
9.1 Robertson, D.1., "TRANSYT: A Network Study Tool," TRRL Report LR 253, 1969.
9.2 Robertson, D.I. and P. Gower, "User Guide to TRANSYT Version 6," TRRL Supplementary Report 255, 1977.
9.3 Hunt, P.B. and J.V. Kennedy, "A Guide to TRANSYT/7," TRRL Note UN/78/11, January, 1978.
9.4* Wallace, C.E., K.G. Courage, D.P. Reaves, G.W. Schoene and G.W. Euler, "TRANSYT-7F User's Manual," Prepared for the Federal Highway Administration, Office of Traffic Operations, Contract No. DTFH61-80-C-00072, February, 1981.
9.5 Vincent, R.A., A.I. Mitchell and D.l. Robertson, "Users Guide to TRANSYT Version 8," TRRL Report LR 888, 1980.

\section*{CHAPTER 10 - SIGOP III (NETWORK OPTIMIZATION MODEL)}

Chapter 9 described a street network signal analysis and optimization model developed in the United Kingdom called TRANSYT. This chapter describes a similar model developed in the United States -- SIGOP III. The similarities between SIGOP 111 and TRANSYT fall primarily in the functional area; that is, both models are macroscopic signal timing design and analysis models. Both contain two primary submodels: 1) a traffic flow submodel and 2) an optimization submodel which minimizes a user specified "disutility" function. The specific approaches employed differ somewhat between the models, however.

SIGOP \(\|\| l\) uses the underlying principles of the TRANSYT model, and was based upon the following objectives (10.2).
1. Develop a new, improved optimization procedure.
2. Improve effective utilization of the model.
3. Enable explicit representations of the traffic environment, including exclusive turning bays.
4. Consider the effect of extensive queueing to prevent "spillover" into upstream intersections.
5. Explicitly consider multi-phase control.
6. Include useful features of other models.

SIGOP III is an outgrowth of the SIGOP model, but most of the difficulties with the earller model have been overcome. Several SIGOP features, notably the time-space plot capability, have been retained in SIGOP III.

One of the major differences between SIGOP 111 and TRANSYT concerns the optimization objective function. TRANSYT considers delay and stops. SIGOP lll also considers delay


Figure 99. Arterial Network
and stops but, additionally, the objective function includes a term for queue "spillover."

SIGOP lll is a powerful analysis and design tool. Preset conditions, such as existing conditions, may be analyzed in terms of a number of useful traffic engineering measures. The signal timing may be optimized for cycle length, splits and effects to minimize the "disutillty" function. Comparisons of results of several candidate configurations enables the engineer to evaluate the relative effectiveness of the alternative designs.

SIGOP lll was developed by KLD Associates, Inc. for the Office of Research, Federal Highway Administration (FHWA). The model will be disseminated and maintained by the Implementation Division of FHWA, thus the utillty and useful life of the model should be both current and reliable.

Program inputs include network geometrics, traffic flows and link capacities, link speeds, signal timing parameters and control options. The inputs are greatly improved over the original SIGOP. Data requirements for SIGOP lll are relatively less than TRANSYT and NETSIM but more than PASSER II(80).

\section*{MODEL DESCRIPTION}

SIGOP 111 is an acronym for Traffic SIGnal OPtimization Model, version lll. The program is written in FORTRAN IV and has successfully run on both CDC 6600, IBM 360 and 370, and Amdahl 470 computer systems. The current version contains 34 subroutines and 23 common blocks. the FORTRAN program is approximately 7,900 lines in length of which approximately \(76 \%\) are definition and executable statements. The program requires approximately 300 k bytes of core storage on an IBM 360 computer, but an overlay structure reduces the space requirement to 200k bytes.

Execution time is variable and depends upon the number of intersections (nodes) and the number of cycle length iterations. The computing time varies approximately linearly with the number of nodes and cycle iterations (Reference 10.2). Thus, even large networks can be optimized in a relatively short time, and computer time is comparable to recent versions of TRANSYT (TRANSYT-7 and TRANSYT-7F of Chapter 9).

The study network can presently consist of a maximum of 50 nodes and 130 links, however, the developers have given instructions for expanding the capacity of the program (Reference 10.2).

SIGOP III is a macroscopic, deterministic, simulation and optimization model with a periodic time scan over the solution space (e.g., cycle lengths, offsets and splits). The optimization technique employs a gradlent methodology to scan the feasible solution surface to be confident that the system-wide
global optimum solution is found. The model uses an application of a technique referred to as the "Method of Successive Approximations" (Reference 10.5) that shortens the solution times. These techniques are discussed in greater detail later in this chapter.

The model deals exclusively with mixed-flow traffic on a signalized arterial network. Multiple approaches (e.g., diagonal streets) are permissible and signal timing is assumed to be fixed-time, but with multiple phasing.

The model contains four main program segments which are: 1) an executive module, 2) an initialization module, 3) a traffic submodel, and 4) the optimization submodel. The program structure is shown in Figure 100.


Figure 100. SIGOP lll Program Structure

\section*{INPUT REQUIREMENTS}

There are 13 types of input cards avallable for SIGOP III, a sample of the deck layout is shown in Figure 101. A largely standardized input format has been designed for the benefit of the users. Alphabetlc information is input to name the network and streets. Most numeric data are input in standard 4 -column integer fields. A summary of the inputs is provided in Table 24.

Table 24 - Input Requirements For SIGOP I\|
\begin{tabular}{|c|c|c|}
\hline CARD TYPE & CARD DESCRIPTION & REQUIREMENTS \\
\hline Identification Card (required) & Provide general information about the run. & Run number, time, date and name of run. \\
\hline Network Card (required) & Network-wide parameters and objective function. & Min. and max. cycle, half cycle flag, lost time, headways, saturation limits, optimization flag and other control flags. \\
\hline Minimum Phase Duration Cards (required) & Minimum green times for each node in network. & Node number and minimum greens for each phase. \\
\hline Link Cards (required) & Link geometric and characteristics. & Link-end node numbers, length, no. of lanes, turn bays, \(\%\) trucks, speed, headway, lost time, weight factor, input flows, source/sink flows, output flows and control codes. \\
\hline Coupled Approach Cards (required) & Indicate links that "share" a common stopline and move in parallel. & Link-end node numbers. \\
\hline Link Name Cards (required) & Link names. & Link-end node numbers and names. \\
\hline Plot Header Card (optional) & Plot control card. & Number of plots. \\
\hline Plot Name Card(s) (optional) & Title of plot. & Title. \\
\hline Node Sequence Cards (optional) & Node sequence for plot. & Node numbers in order to be plotted. \\
\hline Fixed Offset Cards (optlonal) & Signal offsets not to be changed. & Node numbers and offsets. \\
\hline Fixed Phase Duration Cards (optional) & Phase splits not to vary. & Node numbers and splits. \\
\hline Signal Timing Cards (optional) & Initlal phase splits. & Node numbers and signal of fsets and phase durations. \\
\hline End-of-Run & Output control information. & Number of copies of outputs, flow scaling factors (for up to four additional runs) and plot scaling factors. \\
\hline
\end{tabular}


The network structure is input by identifying each node. Links are identified by link-end node numbers, thus a link running from Node 1 to Node 2 would be "named" Link (1,2). Generally, one link will extst between each adjacent set of nodes in each direction, if two-way. Turning bays are handled explicitly, rather than via separate links (e.g. as in TRANSYT). The flow through the network is further identified by inputting the downstream node number recelving through traffic from each link. Only internal links carry traftic. External links (identified by having an external node number of 800 or greater) serve only as input sources, or exit sinks, and no travel occurs in these links. Neither are the 800 level nodes included in the network. Queueing and delay do, however, occur on external input links.

Mid-block sources or sinks may be included to reflect the affect of parking lots, shopping. centers, etc.

Links that share common, or parallel, movements may be coupled together and, thus, move on simultaneous phases.

Volumes must be specified, both in terms of inputs and outputs. A "primary" volume is the through input from upstream. "Secondary" volume is that from other upstream movements,
such as turns from cross streets (excluding sink/source flows). Output volumes are expressly input as to turning movement, where the through output volume is calculated, as the sum of all inputs, less the sum of output right and left turns. Since the input/output flows are specified per link, volumes need not "balance" from node to node. This is convenient since data collection techniques are rarely sufficiently accurate that volumes do balance.

Signal patterns are input in a fairly easy manner (although probably no more so than in TRANSYT). The steps are given below:
1. Diagram the phase patterns (for several examples see Figure 102) and identify the movement diagram for the link in question (say from the left, or eastbound, in the 4 -phase example).


Figure 102. Phase Movement Coding Diagram
2. Determine which phases carry the through movement from this link (e.g. \(\varnothing 2\) \& \$3).

Table 25 - Input Phase Codes For Link Card
\begin{tabular}{|c|c|c|c|c|}
\hline \multirow[b]{2}{*}{\(\underline{\text { Code }}\)} & \multicolumn{4}{|c|}{Phase(s) Servicing Indicated Movement} \\
\hline & 1 & 11 & 111 & IV \\
\hline 1 & \(x\) & & & \\
\hline 2 & & \(x\) & & \\
\hline 3 & & & \(x\) & \\
\hline 4 & & & & \(x\) \\
\hline 12 & \(x\) & \(x\) & & \\
\hline 13 & X & & x & \\
\hline 14 & x & & & \(x\) \\
\hline 23 & & \(x\) & \(x\) & \\
\hline 24 & & X & & \(x\) \\
\hline 34 & & & \(x\) & X \\
\hline 41 & X & \(x\) & \(x\) & \\
\hline 42 & x & X & & \(x\) \\
\hline 43 & X & & \(x\) & x \\
\hline 44 & X & \(x\) & \(x\) & X \\
\hline 45 & & X & X & X \\
\hline 50 & & + & ser & \\
\hline
\end{tabular}
3. Enter Table 25 to determine the code for this link that satisfies the phases determined in step 2 (e.g., \(\operatorname{code}=23\) ). This value is entered in the first of three fields on the Link Card that are provided for patterns.
4. Repeat steps 2 and 3 for left-turns (e.g., code \(=12\) ) and right-turns (e.g., code \(=50\) ). These codes are entered in the remalning two flelds of the pattern section on the Link Card.
5. Repeat steps i-4 for all remaining approaches at this node, and subsequently for all nodes.

Note that for less than three phases per approach, the code is identical to the phase numbers continuing in order, thus, the user should quickly become familiar with the coding scheme.

The major disadvantage of this approach is the limitation to a 4-phase cycle. Many con-
trollers operate on five or six phases even in fixed time operations. The advantage is that it provides and easy to understand encoding scheme.

Capacities of movements are input in terms of the numbers of lanes, start-up lost time and minimum discharge headways. The latter value, for a given link, is the reciprocal of the maximum vehicle service rate, thus users who normally work with capacities (vphg) can easily convert to minlmum discharge headway. For example, 1700 vphg leads to a 2.1 sec headway ( 3600 sec hour \(t 1700\) vphg). The value is input in tenths of seconds, so 21 would be input.

Signal offsets and splits may be input to analyze preset (e.g., existing) conditions. Furthermore, if the user desires, selective offsets and splits may be input which cannot be changed by the optimization model. This feature may be used, for example, when optimizing a very large system, by segmenting the network into groups of 80 or fewer nodes. The "border" street(s) could be optimized in one segment, then fixed in the adjacent segment.

In summary, the inputs to SIGOP Ill are functlonally similar to TRANSYT. Both programs have some advantages over the other in terms of ease of coding, although SIGOP III appears to have a slight advantage in this regard. One minor problem with the SIgop lil coding scheme is the necessity to indicate, on one card, the identification of the following card. The codes for "next card" vary among cards; thus, the user must always be attentive to the "current" card.

\section*{OPERATIONAL SUMMARY}

As noted before, SIGOP ill is a macroscoplc, deterministic model with a traffic submodel and an optlmization submodel.

The network is formulated as a system of nodes with unidirectional links between nodes, as required. External links have psuedo nodes, indicated by node numbers greater than 799. An example of a network is shown In Figure 103.


FIgure 103. Typical SIGOP III Network

Traffic appears on the external input links and is assumed to arrive uniformly at the input stop line. Within the system traffic is assumed to travel in platoons which disperse over downstream links according to 1) the time of release upstream, 2) the distance traveled and 3) the free speed. The relationship between traffic and control is illustrated in the nine "standard" cases depicted in Flgure 104. The primary and secondary platoons are according to the definitions given in the discussion of volumes in the previous section.

Upon the onset of green, and after the initial start-up and acceleration lost times expire, any existing queue is assumed to discharge at the saturation flow rate. The traffic moves in a coherent platoon along the link, but dispersing (i.e. lengthening) as it progresses. Robertsons' platoon dispersion technique (Reference 10.6) is used (although indirectly, as explained later). Delay, stops and queuing can thus be computed, given the predictable arrival and departure profiles of the traffic. The methodology for these computations is discussed in the next section. (Also see Chapter 9 on TRANSYT for further information on platoon dispersion.)


Figure 104. Sketches of Flow Control Contiguration, in Time-Space Plane for SIGOP Ill

The above describes the traffic submodel brlefly. The traffic model can be exercised for each link, given the signal timing of the upstream and downstream nodes. The optimization process thus searches for a set of signal timings (offsets and splits) that minimizes the "disutility function" (defined later). By switching the signal timing according to a rule, the effect on traffic flow is recalculated and the disutility is compared with the previous value. If improvement (reduction) results, the model (see figure 10.2) continues to "search" until disimprovement is encountered. By repeatedly evaluating changes in the disutility, due to new signal settings, an optimal condition, or design, can be determined. The optimization technique is also discussed further in the next section.

\section*{COMPUTATIONAL ALGORITHMS}

From the foregoing discussion, it might appear that the computations of the SIGOP III model, while numerous, are somewhat trivial. This is not the case. Several sophisticated techniques are employed in both the traffic submodel and the optimization submodel. Indeed, the calculation of splits is of interest as well. Once the signal timing has been completed for any given iteration, the traffic submodel is entered to obtain the measures of effectiveness (MOE) followed by the optimization process. The salient computational algorithms in each of these steps are described below, in turn.

\section*{Signal Timing}

Signal timings input to the traffic submodel are cycle length, splits and offsets. The cycle length range is a user input as is the increment of cycle length. Thus cycle length is constant for each iteration analyzed. Offsets are affected in the optimization process and are discussed later.

Unlike TRANSYT, which allows all splits to vary (subject to the minimum green constraint) to achieve the lowest value of the objective function, SIGOP lll calculates minimum green requirements using Webster's method:
\[
\begin{equation*}
g_{K}=\frac{Y_{k}}{Y}(C-L), \tag{10.1}
\end{equation*}
\]
\[
\text { where } \quad \begin{aligned}
g_{k} & =\text { green time required to service } \\
& \text { traffic on approach } k, \\
Y_{k} & =\text { critical volume/capacity ratio } \\
& \text { for approach } k, \\
& Y=\sum_{k} \\
C & =\text { cycle length, and } \\
L & =\text { total lost time per cycle. }
\end{aligned}
\]

Then, if the sum of these green times is less than the cycle length (e.g., \(\Sigma_{g_{k}}<C\) ), the remaining "slack" time is allocated to the major movements only in the optimization.

\section*{Traffic Flow and Measures of Effectiveness (MOE)}

The salient MOE noted previously are delay, stops and queue length. The developers of SIGOP Ill have conducted extensive investigations to relate the of \(f\) set/split relationship of adjacent signals to traffic flow on the link. The entire process is too complex to relate here, and interested readers are referred to Reference 10.3. Critical to all the calculations is the assumption concerning platoon dispersion. Robertsons' method (Ref. 10.6) was found to be satisfactory (see the discussion on platoon dispersion in Chapter 9), but to eliminate the recursion relationship from the computations (and thus save computing time), a series of studies were performed to replace Robertson's recursion formula with a direct estimate of the additional time required to service a platoon of, say, length N beyond the time the platoon is discharging at the saturation flow rate. Thus, the total green time required to service the bulk of a platoon (e.g., allowing the relatively small number of vehicies, having long headways, at the tall of the platoon to be "clipped" off) was derived as (Ret. 10.3):
\[
\begin{equation*}
\nabla=T_{P}-T_{Q}= \tag{10.2}
\end{equation*}
\]
\[
\begin{aligned}
a_{1}+ & a_{2} N+a_{3} N^{2}+L\left(a_{4}+a_{5} N+a_{6} N^{2}\right) \\
\text { where } \nabla= & \text { additional time to service the } \\
& \text { platoon relative to the saturation } \\
& \text { service rate, } \\
T_{P}= & \dagger \text { ime for a platoon of length } \\
& N-N_{c} \text { to pass a point located } L \\
& \text { feet downstream of the signal, } \\
T_{Q=}= & \text { time required to service a pla- } \\
& \text { toon discharging at the saturation } \\
& \text { rate (e.g., } T_{Q}=N h, \text { where } h \text { is } \\
& \text { the saturation headway in sec/veh) } \\
a_{i}= & \text { constants of regression, }
\end{aligned}
\]
\(N=\) total number of vehicles in the platoon,
\(\mathrm{L}=\) distance to the downstream point, or the next stopline.

According to the developers, close comparisons resulted from this technique when compared to Robertson's approach.

This approach eliminates the step-wise simulation used in TRANSYT, thus MDE must be calculated deterministically. The current version of SIGOP lll calculates delay similar to Websters method (Ref. 10.7); namely for light flow:
\[
\begin{equation*}
D=\frac{C(1-\lambda)^{2}}{2(1-\lambda x)}+\frac{x^{2}}{2 q(1-\chi)} \tag{10.3}
\end{equation*}
\]
where \(D=\) average delay in sec/veh,
\[
C=\text { cycle length },
\]
\(\lambda=\) proportion of the cycle that is effectively green,
\(q\) = flow rate,
\(X=\) degree of saturation
For moderate to heavy flow the revised equation is (Ref. 10.3):
\[
\begin{equation*}
D=\frac{C(1-\lambda)^{2}}{2(1-\lambda X)}+\frac{1 H(\mu) X}{2 q(1-X)} \tag{10.4}
\end{equation*}
\]
where 1 = varlance of the number of arrivals per each cycle divided by the average number of arrivals per cycle;
\(H(\mu)=a\) complex function of \(\mu\), that shears Webster's curve through the region where the \(q / s\) ratio is close to or exceeds 1.0 , where \(\mu=(s g-g C) / 1 s g\),
\(g=\) effective green time and,
s = saturation flow in veh/sec; and
all other variables are as previously defined.

Stops are computed for each of the conditions depicted in Figure 10.6, for example for case 1 (Ref. 10.3):
\[
\left.\begin{array}{rl}
S=\alpha\left(\frac{L}{V}+\right. & \left.1+P_{i}+t_{0}-t_{\text {on }}+P_{2}\right),(10.5)  \tag{10.5}\\
\text { where } S= & \text { stops in veh/sec; } \\
\alpha= & \text { mean queue service rate, } \\
& \text { veh/sec; } \\
L= & \text { link length, ft.; } \\
V= & \text { link free flow speed, fps; } \\
\rho_{1}= & \text { sum of start-up and accelera- } \\
& + \text { lion lost time for platoon } P_{1} ; \\
P_{1}= & \text { platoon slze expressed in } \\
& \text { bandwidths, or sec, for primary } \\
& (i=1) \text { and secondary }(i=2) \\
& \text { platoons; } \\
t_{0}= & \text { start of green at upstream } \\
& \text { node; and }
\end{array}\right\}
\]

Similar formulas were developed and tested for each of the other cases.

The SIGP III documentation is not clear as to how queue length is explicitly determined. The necessary ingredients are, however, avallable from the queue proflles depicted on Figure 104 and the estimates of stops. The maximum length is controlled by the user in the parameters input to the disutility function.

Finally, SIGOP lll has the facility (if the user so indicates) to automatically examine double cycling of signals if the degree of saturation does not exceed a threshold, also input by the user. This is a very convenient method of examining double cycling.

\section*{Optimization Submodel}

Most traffic signal optimization models employ some sort of iterative methodology to arrive at the optimal design. SIGOP II employs a unique approach in its optimization process.

First, the objective function (disutility function) is defined as follows (Ref. 10.1):
\[
\begin{align*}
\min \sum J_{i j} & =\sum\left\{D_{i j}+K S_{i j}+\right.  \tag{10.6}\\
& \left.\frac{\delta\left[D_{Q}\left(Q_{\max }-Z_{i j}\right)^{2}\right]^{2}}{R^{2}}\right\}
\end{align*}
\]
where \(\mathrm{J}_{\mathrm{ij}}=\) disutility on link \(i j\) during one cycle;
\(D_{i j}=\) delay on link \(i j\) per cycle, veh-sec;
\(S_{i j}=\) stops on link \(i j\) per cycle, veh-stops;
\(k=\) user specified equivalence factor for stops;
\(D_{Q}=\) user specified equivalence factor in veh-sec;
\(\left(Q_{\text {max }}\right)_{i j}=\) estimated maximum queue length on link lj, in feet;
\(R=\) user specified value of residual storage desired on all links beyond ( \(\left.Q_{\text {max }}\right)_{i j}\) to prevent splllback arlsing from short-term fluctuations in volume, in feet;
\(Z_{i j}=\) the distance from the downstream stopline back to the previous intersection, or \(L_{j}-R\), where, \(L_{i j}=\) link length; and
\(=\) a binary index which is zero (0) if \(Q_{\max } \leq Z_{i j}\) or one (1) if
\(Q_{\text {max }}\)

The third term, controlled by the index, is not involved unless the maximum queue threatens to spill back into the upstream intersection.

The user controls the objective function through his inputs of the values \(k, D_{Q}\), and R.

The optimization process is algorithmic, rather than analytical, thus it is described below in steps.

Step 1 - Initial Settings - At the beginning of a run it is necessary to arrive at an initial set of signal timings that will subsequently be revised in the optimization process. The procedure used in SIGOP \|\| produces a "good" set of timings for the network (l.e., including of \(f\) sets).
a. Transform the network into a serles of nodes separated by "links" whose "length" is proportional to the two-way volume on the "link" (the developers refer to these as arcs).
b. Using a technique developed by Kruskal (Ref. 10.8), determine the maximum "path" through the network. That is, construct a "tree" which includes all nodes and is the maximum "length" of all trees possible. Store the node sequence of this tree.
c. For the actual network, use Webster's equation (Eq. (10.1), to determine splits.
d. For each node in turn, construct a mininetwork where the current node is the central node and it is connected to any adjacent nodes which have already been processed in this manner (e.g., "brought in" to the network).
e. Treating the current central node of the mini-network, exercise the optimization procedure on the current mini-network to adjust the signal timing of the current (central) node to produce the minimum disutility in the mini-network.
f. Repeat steps 3 through 5 along the spanning tree determined at step 2 until all nodes have been treated.

This process produces a "good" initial timing since the spanning process emphasizes the
heaviest traveled links. It thus reduces the number of iterations in the network optimization.

Step 2 - Calculate Traffic Performance and Disutility - The initial settings enter the traffic model and the performance measures and the disutility function are computed as discussed earlier. This process is repeated after each change of signal timing from the optimization process.

Step 3 - Gradient Search - Making use of the known aspects of the relationship between traffic operations and control, namely that platoons arriving primarily during the green will result in the lowest delay and stops (see Figure 104), the developers of SIGOP III established predictable relationshlps between offsets and splits. The assumption is made first that the primary platoon always enters the link shortly after the beginning of green at the upstream intersection and the secondary platoon enters shortly after the onset of red. By projecting the platoons downstream, an ideal offset is easily determined for each link. A practical range of offsets is also readily calculated, since the length of the platoons (in seconds) is known. Within this relatively narrow range of alternative offsets (and splits on the major links), the optimization submodel does a gradient search over all possible values of of fiet and split to achleve the minimum network disutility.

The last process is functionally similar to the hillclimb process used in TRANSYT; however, the preparation for entering the search is so highly developed by that point, the computer time required to conduct the search is greatly reduced.

The developers do caution, however, that there is no guarantee that the true global optimum will always be achieved. (Note: This is true of all "optimization" models with the possible exception of MAXBAND, ref. 14.18)

\section*{OUTPUT REPORTS}

There are three general types of outputs provided by SIGOP III, several of which are comprised of more than one table or plot. The major outputs are discussed separately below.

\section*{Input Data Report}

The inputs to SIGOP ill are reported back to the user in a series of formatted tables. A Link Data Input Report reflects the geometric and traffic data. An example of this report is shown in Figure 105. Data in this report come from the Identification, Network and Link Cards.

Shorter reports indicate the inputs on the Minimum Phase Duration, Coupled Approaches, the var lous Plot, Fixed Of feet and Split and Signal Timing Cards. An example of the Minimum Phase Duration report is shown in Figure 106. The remaining network-wide parameters not specified in Figure 105 are shown in Figure 107.

Table 25 (shown earlier) is also output by SIGOP III for the convenience of the user.

\section*{Optimal Signal Settings}

The signal settings determined by SIGOP 111 (or input by the user it no optimization was to be performed) are output in the format shown in Figure 108. Note that the order of phases is not that to be implemented, but rather, phases should be implemented in the order I, II, III and IV, as applicable. The affected links, offset and splits are output for each phase. This permits the relatively easy conversion to yield points after correcting for clearance and interval length.

\section*{Performance Analysis}

This report shows the disutility value for each iteration of the model, and reports the optimal. For example, in Figure 109, "sweep" 3 was the optimal. Next are given the per-

ALGORITHM TO PROVIDE DPTIMAL, CYCLE-BASED, TRAFFIC SIGNAL TIMING PATTERNS FOR THE PERIOD EXTENDING FROM 1630 ro 1730 HOURS

RUN NUMBER 3 EXECUTED ON \(4 / 8 / 1981\)
ASHLEY DR ARTERIAL ANALYSIS-OPTIMUM CYCLE RANGE PM PEAK
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline \[
\begin{aligned}
& \text { LIN } \\
& \text { FROM, }
\end{aligned}
\] & & \begin{tabular}{l}
RECV \\
NODE
\end{tabular} & \[
\begin{aligned}
& \text { LNGTH } \\
& \text { (FT) }
\end{aligned}
\] & \[
\begin{aligned}
& \text { NO } \\
& \text { LN }
\end{aligned}
\] & \[
\begin{aligned}
& \text { L-PK } \\
& \text { LANE }
\end{aligned}
\] & \[
\begin{aligned}
& \text { R-PK } \\
& \text { LANE }
\end{aligned}
\] & \[
\begin{aligned}
& \text { TRK } \\
& \text { PCT }
\end{aligned}
\] & \[
\begin{aligned}
& \text { 5PD } \\
& \text { MPH }
\end{aligned}
\] & \[
\begin{aligned}
& \text { D HDWY } \\
& \text { H } \\
& \text { CSE }
\end{aligned}
\] & \[
\begin{aligned}
& Y \angle S T \\
& \text { ECONDS }
\end{aligned}
\] & WT.
s) & PRI-VOL (VPH) & \[
\begin{gathered}
\text { SEC-VOL } \\
(V P H)
\end{gathered}
\] & \[
\begin{gathered}
\text { S/S-VOL } \\
(V P H)
\end{gathered}
\] & \[
\begin{aligned}
& \text { L-TRN } \\
& (V P H)
\end{aligned}
\] & \begin{tabular}{l}
R-TRN \\
(VPH)
\end{tabular} & \[
\begin{gathered}
\text { RED-CLR } \\
(S E C)
\end{gathered}
\] & & CODE & & 1 \\
\hline 1800, & 1) & 2 & 0 & 2 & 0 & 0 & 5 & 0 & 1.9* & 3.5* & 1.0* & 572 & 0 & 0 & 0 & 76 & 0 & 1 & 50 & 1 & 1 \\
\hline (2, & 1) & 800 & 313 & 2 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 644 & 276 & 0 & 436 & 0 & 0 & 12 & 2 & 50 & 2 \\
\hline ( 1, & 2) & 3 & 286 & 2 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 496 & 0 & 0 & 20 & 0 & 0 & 1 & 1 & 50 & 3 \\
\hline (813. & 2) & 801 & 0 & 2 & \(\dagger\) & 1 & 5 & 0 & 1.9* & 3.5* & 1.0* & 751 & 154 & 0 & 45 & 276 & 0 & 2 & 2 & 2 & 4 \\
\hline ( 2, & 3) & 4 & 291 & 3 & 0 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 476 & 792 & 160 & 0 & 174 & 0 & 1 & 50 & 1 & 5 \\
\hline (801, & 2) & 3 & 0 & 0 & 2 & 1 & 5 & 0 & 1.9* & 3.5* & 1.0* & 747 & 0 & 0 & 516 & 231 & 0 & 50 & 3 & 23 & 6 \\
\hline ( 3, & 2) & 1 & 286 & 2 & 0 & 1 & 5 & 25 & 1.9* & 3.5\% & 1.0* & 905 & 102 & 0 & 0 & 423 & 0 & 1 & 50 & 13 & 7 \\
\hline ( 4 , & 3) & 2 & 322 & 3 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 915 & 143 & 0 & 93 & 0 & 0 & 13 & 3 & 50 & 8 \\
\hline c802, & 3) & 812 & 0 & 2 & 1 & 1 & 0 & 0 & 1.9* & 3.5* & 1.0* & 376 & 0 & 0 & 211 & 102 & 0 & 2 & 2 & 2 & 9 \\
\hline 13 , & 4) & 5 & 292 & 3 & 0 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0\% & 1254 & 211 & 0 & 0 & 0 & 0 & 1 & 50 & 50 & 10 \\
\hline (811) & 4) & 0 & 0 & 0 & 2 & 1 & 0 & 0 & 1.9* & 3.5* & 1.0* & 490 & 42 & 0 & 143 & 389 & 0 & 50 & 2 & 2 & 11 \\
\hline ( 5, & 4) & 3 & 272 & 3 & 0 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 926 & 0 & -11 & 0 & 0 & 0 & 1 & 50 & 50 & 12 \\
\hline ( 4, & 5) & 6 & 292 & 3 & 0 & 0 & 5 & 25 & 1.9k & 3.5* & 1.0* & 1465 & 389 & 0 & 0 & 220 & 0 & 1 & 50 & 1 & 13 \\
\hline ( 6, & 5) & 4 & 338 & 3 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 1001 & 40 & 0 & 115 & 0 & 0 & 12 & 2 & 50 & 14 \\
\hline ( 5, & 6) & 7 & 237 & 3 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 1634 & 0 & 0 & 5 & 0 & 0 & 13 & 3 & 50 & 15 \\
\hline (809, & 6) & 803 & 0 & 2 & 0 & 0 & 0 & 0 & 1.9K & 3.5* & 1.0x & 135 & 114 & 0 & 40 & 209 & 0 & 2 & 2 & 2 & 16 \\
\hline ( 7, & \(6)\) & 5 & 243 & 3 & 0 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0x & 931 & 70 & 0 & 0 & 0 & 0 & 1 & 50 & 1 & 17 \\
\hline \& 6, & 7) & 8 & 348 & 3 & 0 & 1 & 5 & 25 & 1.9x & 3.5* & 1.0* & 1629 & 209 & 0 & 0 & 226 & 0 & 1 & 50 & 1 & 18 \\
\hline (804. & 7) & 808 & 0 & 3 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 636 & 0 & 0 & 229 & 70 & 0 & 2 & 2 & 2 & 19 \\
\hline ( 8 , & 7) & 6 & 288 & 3 & 0 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0x & 895 & 36 & 0 & 0 & 0 & 0 & 1 & 50 & 50 & 20 \\
\hline ( 7, & 8) & 806 & 284 & 2 & 1 & 0 & 5 & 25 & 1.9* & 3.5* & 1.0* & 1623 & 229 & 0 & 263 & 0 & 0 & 12 & 2 & 50 & 21 \\
\hline (807, & 8) & 805 & 0 & 2 & 0 & 1 & & 25 & 1.9* & 3.5* & 1.0* & 480 & 110 & 0 & 36 & 259 & 0 & 3 & 3 & 3 & 22 \\
\hline (806, & 8) & 7 & 0 & 3 & 0 & 1 & 5 & 25 & 1.9* & 3.5* & 1.0\% & 1029 & 0 & 0 & 0 & 134 & 0 & 1 & 50 & 1 & 23 \\
\hline
\end{tabular}

Figure 105. SIGOP 111 Link Data Input Data Report

MINIMUM PHASE DURATIONS (SEC)
\begin{tabular}{ccccc} 
NODE & I & II & PHASES & III \\
1 & 14 & 23 & 0 & IV \\
2 & 23 & 23 & 23 & 0 \\
3 & 14 & 23 & 10 & 0 \\
4 & 14 & 23 & 0 & 0 \\
5 & 14 & 23 & 0 & 0 \\
6 & 14 & 23 & 10 & 0 \\
7 & 14 & 23 & 0 & 0 \\
8 & 14 & 10 & 23 & 0 \\
\hline & & & & 0
\end{tabular}

Figure 106. SIGOP ll| Minimum Phase Duration Report
crcle lengths-
SPECIFIED NETWORK-WIDE PARAMETERS
MINIMUM= 70 SEC. MAXIMUM= 78 SEC. INCREMENTAL CHANGES= 2 SEC.
CODE FOR DOUBLE-CYCLING \(=1\)
NETWORK-WIDE START-UP LOSS=3.5 SECONDS DISCHARGE HEADWAY=1.9 sECONDS
WEIGHT ASSIGNED TO VEHICLE STOPS IS 5
value of percent saturation below which a node may be double-cycled is 25 percent MIN. duration of halved cycle lengit is 0 sec.

MIN. DURATION OF MINOR PHASES IS 10 SEC.
the maximum disutility arising from a queue extending the full lengit of a link is 200 veh-seconds (equiv.) RESIDUAL STORAGE THRESHOLD IS 90 FEET
SATURATION CODE= CONTINUITY CODE=25 CONVERGENCE CODE 4 PROCESSING CODE=0 *WARHINGE SECDNDARY VOLUME ON LINK (2, 3) IS TOO HIGH. CONTINUITY VIOLATED 8Y G5 PERCENT NUMBER OF OUTPUT COPIES- 1
ADDITIONAL RUNS (IF ANY) HILL APPLY THE FOLLOWING PERCENTAGES OF THE INITIAL VOLUMES- \(0 \quad 0 \quad 0\) NOSC \(720 \times 20\)
there were a total of o input errors

Figure 107. SIGOP lll Network wide input Data Report


Figure 108. SIGOP lll Optimal Signal Settings Report


FIgure 109. SIGOP III Performance Report


Figure 110. SIGOP Ill TIme-Space Plots
formance values for each link and the network total. The MOE are:
```

V Volume (vph)
Average Speed (mph)
Delay (sec/veh)

- Stops (per minute)
- Capacity (vphg)
Degree of Saturation (%)
- Maximum Queue (veh)
O Fuel Consumption (gal/hour)
O Total Emissions (16/hu.)
- Hydrocarbons
- Carbon Monoxide
- Nitric Oxide
Finally, the user specified time-space plots are issued, as illustrated in Figure 110.

```

\section*{Dlagnostic Messages}

SIGOP III performs extensive checks on the input data to identify obvious errors. During execution of the model other errors may be detected, such as excessive saturation. There are a total of 52 error messages in the library. Some of these also advise the user on a course of action, if applicable. In all cases, the messages, cause and corrective action required are well documented (Ref. 10.1).

\section*{ADDITIONAL FEATURES}

As already noted, SIGOP 111 can handle multiphase signals (up to four phases) and can automatically investigate the advantage of double cycling signals that have a low degree of saturation (thus extensive delay, stops and queue length).

SIGOP lll can be used purely as an analysis tool to evaluate alternative timing plans derived from sources other than the SIGOP 111 optimization or to examine alternative patterns. Naturally, the user must code and run each alternative and evaluate the results manually.

Up to five runs may be executed per cycle length with no limit on the number of cycles
optimized. This enables the user to investigate the effect of changing trend in traftic demend. Although limited to 80 signals and 230 links, the documentation describes how to expand the capacity of the program.

\section*{APPLICATIONS AND LIMITATIONS}

SIGOP III is a powerful design and analysis tool for the engineer concerned with coordinated signal systems. Functionally, both SIGOP III and TRANSYT are quite similar, both with unique properties not avallable in the other. For example, inclusion of maximum queue length in the objective function is an important advantage in SIGOP lli.

There are several items that would be considered as IImitations in SIGOP lli. These are llisted below.
1. The limitation to four phases in the cycle cannot adequately serve some users. Up to six phases are not uncommon in many systems.
2. There is no provision for bus links in a SIGOP III analysis.
3. Permissive and unprotected turns are not addressed explicitly by SIGOP Ill. While this is true of other models, the user is often able to "model" such conditions by restricting the capacities of such movements. This is not possible in SIGOP 11. However, permissive and unprotected turns are accounted for within the model.
4. The model does not explicitly deal with minor intersections (e.g., stop sign control).

In summery, SIGOP \(\| 11\) has, as do all traffic models, several 11 mltations and disadvantages. Nonetheless, the complexity of the optimization techn ique makes this model somewhat faster in terms of running time. The multiple cycle length capablility is clearly an asset, which can save the designer a considerable amount of time that would ordinarlly be spent in generating numerous jobs.


Figure 111. SIGOP lll Link-Node Diagram Ashley Drive

\section*{EXAMPLE APPLICATION}

The previous Ashley Drive arterial signal system was used to illustrate the application of SIGOP lil. The following describes the use of SIGOP lill for this existing signal system.

\section*{Problem Description}

As with the previous example the basic problem is to determine if a change in signal timing can be implemented along Ashley Drive that will result in improved traffic operation. SIGOP lil will be used to model existing signal timing and develop alternate signal timing plans for evaluation.

\section*{Analysis of Existing Conditlons}

The first step was the preparation of a linknode map to assist in coding the network. This diagram is shown in Figure 111. Unlike TRANSYT, which uses a link number, SIGOP defines a link by the two connecting nodes or intersections. A total of 15 external nodes (external traffic sources and/or traffic exits) were used with eight internal nodes, or intersections. A total of 32 one-way links were used to describe the street sys-
tem. The existing conditions were coded on standard forms which are avallable from the Implementation Division (HDV-21) of FHWA. The forty (40) lines of coded input data required to reproduced existing conditions is shown on Figure 112.

The data were keypunched and the data deck submitted for model executlon. Figure 113 illustrates the output obtalned for existing conditions.

\section*{Define and Analyze Alternatives}

Once the existing conditions have been coded, and results from the model have been accepted as representative of the existing operations, the data can be modified to define alternatives. In order to define and obtaln alternative signal timing plans only two cards need to be changed: (1) the identification, or title card, and (2) the network control card. For the purposes of this example application signal optimization runs were requested for each even cycle length between 70 seconds and 98 seconds (as was done for TRANSYT-7F).

Figure 114 shows the performance table for the optimum 88 second cycle. Slmilar tables were obtained for each cycle length.

SIGOP III CODING SHEET


Figure 112. Coded SIGOP III Input Data for Ashley Drive (Existing Conditions)


Figure 112. Coded SIGOP III Input Data for Ashley Drive (Existing Conditions). (Continued)


Figure 112. Coded SIGOP III Input Data for Ashley Drive (Existing Conditions) (Continued).


\section*{minimum phase durations (sec)}
\begin{tabular}{ccccc} 
NODE & I & II PHASES III & IV \\
1 & 14 & 23 & 0 & 0 \\
2 & 23 & 23 & 23 & 0 \\
3 & 14 & 23 & 10 & 0 \\
4 & 14 & 23 & 0 & 0 \\
5 & 14 & 23 & 0 & 0 \\
6 & 14 & 23 & 10 & 0 \\
7 & 14 & 23 & 0 & 0 \\
8 & 14 & 10 & 23 & 0
\end{tabular}

Figure 113. SIGOP lil Output Report for Existing Conditions on Ashley Or ive.


PROGRAM WILL DETERMINE NETHORK DISUTILITY FDR THE FOLLOWING SIGNAL TIMING
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{6}{|c|}{Program will determine nethork disutility for the following signal timing specified signal timing pattern} \\
\hline node number & OFFSET REF. TO PHASE I & \[
\begin{aligned}
& \text { DURATION OF } \\
& \text { PHASE I } \\
& \text { (SEC) }
\end{aligned}
\] & \[
\begin{gathered}
\text { DURATION OF } \\
\text { PHASE III } \\
\text { (SEC) }
\end{gathered}
\] & DURATION OF PHASE III (SEC) & \[
\begin{aligned}
& \text { DURATION OF } \\
& \text { PHASE IV } \\
& \text { (SEG) }
\end{aligned}
\] \\
\hline 1 & 47 & 26 & 64 & 0 & - \\
\hline 2 & 52 & 27 & 40 & 23 & 0 \\
\hline 3 & 40 & 47 & 29 & 14 & 0 \\
\hline 4 & 41 & 52 & 38 & 0 & 0 \\
\hline 5 & 39 & 63 & 27 & 0 & - \\
\hline 6 & 59 & 48 & 28 & 16 & 0 \\
\hline 7 & 63 & 64 & 26 & 0 & 0 \\
\hline 8 & 52 & 38 & 29 & 23 & 0 \\
\hline
\end{tabular}
additional runs (if any) will apply the following percentages of the initial volumes- o o o o



Figure 113. SIGOP III Output Report for Existing Conditions on Ashley Drive (Continued).


emissions will be lower in california.

best crele length so far is 90 seconds
Figure 113. SIGOP III Output Report for Existing Conditions on Ashley Drive (Continued).

1124750




Table 26 - Comparison of SIGOP III Alternatives For Ashley Drive
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline Alternative & Cycle Length & Disutility & Veh-Hrs & \begin{tabular}{l}
Mean \\
Speed (mph)
\end{tabular} & Stops per min. & Fuel Consumption (Gals.) \\
\hline Existing & 90 & 83,120 & 83.0 & 11.61 & 102 & 95 \\
\hline 1 & 70 & 20,314 & 55.4 & 17.39 & 24 & 75 \\
\hline 2 & 72 & 21,050 & 54.7 & 17.61 & 30 & 74 \\
\hline 3 & 74 & 24,203 & 56.4 & 17.10 & 30 & 75 \\
\hline 4 & 76 & 19,753 & 56.0 & 17.22 & 17 & 75 \\
\hline 5 & 78 & 18,715 & 54.6 & 17.64 & 21 & 73 \\
\hline 6 & 80 & 30,488 & 60.9 & 15.83 & 29 & 77 \\
\hline 7 & 82 & 31,544 & 60.0 & 16.05 & 33 & 77 \\
\hline 8 & 84 & 23,764 & 56.3 & 17.11 & 30 & 74 \\
\hline 9 & 86 & 16,472 & 54.2 & 17.80 & 16 & 74 \\
\hline 10 & 88 & 16,466 & 53.7 & 17.96 & 30 & 75 \\
\hline 11 & 90 & 26,800 & 58.0 & 16.61 & 30 & 75 \\
\hline 12 & 92 & 21,874 & 56.2 & 17.14 & 22 & 74 \\
\hline 13 & 94 & 19,417 & 54.5 & 17.68 & 27 & 73 \\
\hline 14 & 96 & 28,519 & 59.3 & 16.26 & 28 & 78 \\
\hline 15 & 98 & 53,045 & 69.5 & 13.87 & 54 & 85 \\
\hline
\end{tabular}

\section*{Evaluation of Results}

Table 26 provides a comparison of the measure of effectiveness (MOE's) obtalned for each two second cycle length which was evaluated between 70 and 98 seconds, as well as the existing 90 second cycle length.

Unilike TRANSYT-7F, which indicated that as cycle length increases the stops, delay and travel time generally increases, the MOE's for SIGOP ill signal timing plans varled, but with minimum disutlilty occurring for the 88 second cycle. The next best cycle length was 86 seconds, fallowed by 78 seconds and 94 seconds.

The optimum plan developed by SIGOP lll resulted in a reduction of \(35 \%\) in arterial vehicle hours of travel and a \(70 \%\) reduction in stops per minute (from 102 to 30). Most significant was a reduction in fuel consumption of from \(85 \mathrm{gals} / \mathrm{hr}\). to \(75 \mathrm{gals} / \mathrm{hr}\). or 21\%.

\section*{Summary of Work Effort Required}

The following summerizes the effort required to use SIGOP lll for this problem.

Data Collection - The data required for SIgop Tll was readily avallable from the clty's files.

Data Coding - Data coding was rather straightforward. The exception was traffic volumes, which required some manual manipulation. However, the existing conditions data were coded within approximately two hours, with less than one hour required for identifying and correcting coding errors. Two runs were required to obtain final data.

Computer Time - Execution time on the IBM \(360 / 370\) varied from 0.72 seconds for existing conditions to between 5 and 6 seconds for each optimization run per cycle length evaluated. A total of 1.4 minutes of CPU time was required to evaluate the 16 alternatives. A minimum of 258 k of core storage was used.

\section*{SIGOP III}

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10. Leiberman, E.B. and J.L. Woo, "SIGOP III - Formulation and Software Documentation," Federal Highway Administration, July, 1982 (unpublished).

\section*{CHAPTER 11- NETSIM (NETWORK SIMULATION MODEL)}

The majority of traffic operations models described in this Handbook are of the macroscopic type. Many models serve the dual purpose of analysis (l.e., simulation) and design (l.e., optimization). Those which perform macroscopic simulations or deterministic estimates (e.g., SOAP, TRANSYT-7F and SIGOP Ill) contain deterministic traffic flow models that are based on theoretically acceptable traffic behavioral concepts. These necessarily only predict what might be referred to as "average conditions" because they assume homogeneous, non-varying traffic operations. Such predictions are often acceptable for analyzing signal design and geometric configurations for the purposes of evaluation of var ious alternatives.

Frequently, however, the engineer needs to analyze potential designs more rigorously than the macroscopic models are able to achleve, or they need to consider the stochastic variations of traffic flow. Additionally, a highway network may contain a mix of geometric, control and traffic management strategies which exceed the capabilities of the macroscopic models (such as real-time control systems or bus stop placement). In such cases, the only viable evaluation techniques are microscopic simulation and empirical studies. Empirical studies are often impractical (e.g., due to the cost, time and potentially undesirable permutations to traffic involved), but more significantly, the evaluation of alternatives process is usually part of the design phase where the engineer is searching for the appropriate design to implement. Thus the empirical method is automatically eliminated (except, perhaps, to perform a post-implementation vs. pre-implementation evaluation).

Microscopic simulation is the logical choice. This class of model is necessar liy more complex than macroscopic models, both in terms of computations and data management, as well


Figure 115. Arterlal Signal System
as input requirements. One such model, the TEXAS model, has already been discussed in Chapter 5. TEXAS is a single, isolated intersection model. Obviously, the vast majority of intersections in an urban area form networks in which the signalized (and unsignallzed, for that matter) intersections are interrelated--that is, the operation of one intersection influences the operation of others adjacent to it, and vice versa.

One of the first successful large scale network microscopic simulation models was the Urban Traffic Control System model, referred To as UTCS-1 (Reference 11.1), developed by Peat, Marwick, MItchell and Co., for FHWA based on two earlier models, DYNET and TRANS. The model was extended by KLD and Associates (and others) for FHWA and the name of the extended model was changed to NETSIM to reflect its new characteristics as part of the TRAF family (see Chapter 14 and Ref. 10.2-10.7).

NETSIM can evaluate any configuration of an urban network, including any normal form of traffic control at the individual intersections. The modular format enables analysis
of extremely flexible design configurations and strategies. Inputs are extensive, but standardized to a large degree. Most input parameters have built-in default values to minimize local calibration.

NETSIM is designed primarily to provide the engineer with a powerful analysis tool to test complex network problems. It is particularly well suited for analysis of dynamically controlled (l.e., real-time) traffic control systems, which cannot be analyzed macroscopically because of the highly variable nature of their operation (analysis of real-time control systems requires special programming of the particular real time logic to be simulated, as discussed later).

The original development of NETSIM was initiated by the Office of Research, Federal Highway Administration (FHWA) and FHWA wlli both disseminate and maintain the model. Thus, the utility and useful life of the model can be expected to be both current and rellable.

\section*{MODEL DESCRIPTION}

NETSIM, which is an abbreviation (see explanation of the naming of TRAF models in Chapter 14) for NETwork SIMulation model, composed of the prefix NET for surface street network and the suffix term SIM for microscopic simulation. it is written in FORTRAN iv for IBM OS/360/370 and CDC 6600 computer systems. The current version contains 74 separate routines with a total of approximately 11,000 executable FORTRAN statements and 84 data blocks. The total program length, including comments, continuations, etc., is 14,000 records. The core requirement varles slightly, but (IBM) computers with 280k bytes should be able to execute NETSIM with overlays. (Note: As of this writing, the preprocessor subroutine is very long and may not compile on many computer systems. A modifled version is being developed which will overcome this problem.)

Table 27 -
Major Features of NETSIM Model

\section*{MICROSCOPIC, STOCHASTIC SIMULATION OF INDIVIDUAL VEHICLE MOVEMENTS}

SIMULATION OF FULL RANGE OF CONTROL FEATURES, INCLUDING:
- "Stop" and "Yield" Signs
- Turn Controls
- Parking Controls
- Fixed-Time Signals
- Vehicle-Actuated Signals
- Real-Time Traffic Control and Surveillance Systems

MODULAR STRUCTURE INCORPORATING DETAILED TREATMENT OF:
- Car Following Behavior
- Network Geometry
- Grades
- Bus Traffic
- Queue Formation
- Intersection DIscharge
- Intra-Link Friction and Mid-Block Blockages
- Pedestrian-Vehicular Conflicts

PROVISION FOR FLEXIBLE MIX OF STANDARD OUTPUT MEASURES

Execution time is highly variable. It depends upon the number of links, nodes and vehicles to be simulated. Depending on the complexity, the efficiency may range from about 1:13 (seconds of computer time to seconds of simulated time) to nearly \(1: 1\), but averages about 1:2 on large applications. Clearly, large, complex systems will require extensive computer time (although run time is much better on CDC and Burroughs computers). Run time is most sensitive to the number of vehicles simulated.

The model is based on a microscopic slmulation of individual vehicles which are moved
through the system along the links, according to specified controls at nodes (intersections), stochastically determined turning movements and deterministic car following. No set paths are modeled as turning movements are purely random.

The model can investigate a wide mix of traffic control and traffic management strategies, including fixed or actuated signal control, and sign control; special-use (l.e., turn) and general-use lanes; and standard or channelized geometrics.

The capacity of the model may be expressed in the maximum number of nodes (99), links (160) and vehicles (1600 in the network at any instant).

The model contains two major modules which are:
- Preprocessor - reads and checks input data
- Simulator - the main simulation model

\section*{INPUT REQUIREMENTS}

In light of the complexity of the model's capabilitles, the developers have strived to make the user's task of providing the necessary inputs as simple as possible. They have for example, minimized the quantities and uniqueness of data required, minimized the amount of manual analyses necessary, maximized effective use of the input data, and simplified modification procedures for "embedded" inputs.

The basic model input is a coded street network which must be accompanied by information about the system traffic control(s) to be studied. Average flow rates must be specified for both the "entry links" on the periphery of the network and the "source/sink" nodes within the network. In addition, presumed performance characteristics which may include such things as gap distributions,
discharge rates, etc., must be input for the traffic movements along each link and through each intersection approach.

The input data may be classified in two ways. First, a distinction is made between characteristics which are considered to be "loca-tion-specified", that is unique to a particular link (or node), or "network-wide" constants that apply to all points within a network.

A further distinction is made for those two types of data that they may be expressed in the model either as exogenous or embedded inputs. Exogenous inputs must be specitied by the user for each application, and must be read into the model using input control cards. Embedded inputs are directly incorporated within one or more of the main simulation routines. The embedded data may be changed to suit the user's particular requir ements.

The following is a list of the card input requirements grouped by function for the NETSIM model (some of these being optional):
- Identiflcation cards - title and network name cards
- Link cards - link name, link geometry, link operation, link turning movements, and opposing link identification cards
- Signal cards - fixed-time signal and traffic actuated signal cards
- Flow rate cards
- Control cards - execution control, network priming and simulation control cards
- Surveillance cards;
- Bus system cards - path, bus station, bus route, bus flow and dwell time cards;
- "Rare" event cards


Figure 116. Simplified NETSIM Data Deck
- Embedded data change cards
- Updated data cards

As noted above, the user is provided with a wide range of options to allow flexibility of the simulated conditions.

Table 28 gives a summary description of each of the input cards and their use. Figure 116 shows a simplified data deck stack.

Each execution of NETSIM may be implemented for one of three purposes:
1. Peripheral data management activities and diagnostic checking of input data using the preprocessor module,
2. Diagnostic testing of the "clean" input data by the simulator module, and
3. Execution of a simulation analysls comprised of one or more "subintervals" of time for a specified network.

It should be noted that, although the model provides extensive diagnostic data evaluation, care should be exercised in the prepar-
ation of the input data to insure accuracy of the simulation. An example would be such items as the length of a turning pocket or the placement of a bus stop which cannot be detected as an error by the preprocessor.

\section*{OPERATIONAL SUMMARY}

NETSIM is a microscopic, stochastic, simulation model with fixed time-scan updating.

The network is described as a series of unidirectional links and nodes. Each link represents a particular approach to a node and changes in link characteristics (e.g., added or dropped lanes) may be modeled by inserting mid-block nodes. Traffic generators, such as parking lots, minor streets and the like, may be included as "sink/source" nodes. A link may conta in up to five lanes of traffic plus a left and a right turn pocket.

Traffic demand is initially input to the network via "entry" links on the periphery of the system or "source" nodes within the network. Upon reaching the periphery or internal sinks, vehicles are processed out via "exit" links and "sink" nodes, respectively.

Within the network, vehicles are propagated through the system along the var ious links every second, with their time-space trajectorles being recorded at 0.1 second resolution. The internal simulation is extremely complex and vehicle motion is governed by a serles of car-following, queue discharge and lane changing algorithms.

Within any sub-interval, all conditions (e.g., input flow rates, turning movements rates, signal timing, etc.) are constant. To allow for variation in such varlables, several sub-intervals, which may be as short as one minute, or as long as desired, are input.

Table 28 - Input Requirements For NETSIM
\begin{tabular}{|c|c|c|c|}
\hline CARD TYPE & CARD NAME & PURPOSE & DATA REQUIREMENTS \\
\hline \multirow[t]{3}{*}{\[
\begin{aligned}
& \text { CONTROL CARD } \\
& \text { (1 per run) }
\end{aligned}
\]} & Execution Control Card & Identifies mode of execution and other administrative functions. & Input mode specified (magnetic tape or data cards). \\
\hline & Network Priming Cards & Specifies maximum initialization time. & Initialization time in seconds and clock time. \\
\hline & Simulation Control Card & Controls duration, intermediate and cumulative output. & Duration, start time and length of time for intermediate output, elapsed time (sec) between successi ve cumulative outputs. \\
\hline \multirow[t]{2}{*}{\begin{tabular}{l}
IDENTIFICATION CARDS \\
(1 per run)
\end{tabular}} & Title Card & Identifies case study. & Alphanumer ic title and seed for random number. \\
\hline & Network Name Card & Identifies descriptive information. & Network name, city, code number of file on data tape. \\
\hline \multirow[t]{5}{*}{\[
\begin{aligned}
& \text { LINK CARD } \\
& \text { (1 set per run) }
\end{aligned}
\]} & Link Name Cards & Identify each link by street name. & Upstream and downstream node nos., street names. \\
\hline & Link Geometry Card (1 per 3 links) & Define geometry of all entry and internal network links. & Node numbers, length, grade, right- and left-turn capaclity, downstream nodes recelving turning traffic. \\
\hline & Link Operation Cards (1 per 3 links) & Define operational characteristics of traffic on each internal and entry link. & Node Nos., right-turn-onred, no. of lanes, speed, queue discharge rates, lost time, pedestrian volume level, channelization of lanes. \\
\hline & Link Turning Movement Cards (1 per 4 links) & Specifies turning movements (as percentages or volumes) for each link. & Counts or percentage of through, left-, right- and diagonally-turning vehs. \\
\hline & Opposed Link Identification Card (if req'd) & Specifies all links which have opposed left turn movements. & All pertinent node numbers for links in question. \\
\hline \multirow[t]{3}{*}{\begin{tabular}{l}
SIGNAL CARDS \\
(1 set per run)
\end{tabular}} & ```
Fixed Time Sig-
nal Cards
(1 per non-ac-
tuated node)
``` & Specifies signal control at each non-actuated control node (including non-signalized intersections). & Node number, of fset , interval duration, control code on each approach for each interval (max. 6). \\
\hline & ```
Fixed Time
Signal Continu-
atlon Card
(if required)
``` & Extension of above Card Type for signals with over six intervals. & Node numbers, offset, control codes on each approach for each interval (7-9). \\
\hline & Actuated Controller Card (1 per actuated node) & Defines all links serviced by actuated controller and other characteristics. & Node number, control ler coordination, single/dual ring control, detector switching, cycle length. \\
\hline
\end{tabular}

Table 28 - Input Requirements for NETSIM (Continued)
\begin{tabular}{|c|c|c|c|}
\hline CARD TYPE & CARD NAME & PURPOSE & DATA REQUIREMENTS \\
\hline \multirow[b]{2}{*}{\begin{tabular}{l}
SIGNAL CARDS \\
(1 set per run) (continued)
\end{tabular}} & Phase Card (1 per phase for actuated signals) & Defines operating characteristics of each phase on each actuated controller. & Node, phase number, actuation type, yield point, offset, initial interval, passage time, min. gap, max. extension, max. green, amber, red, recall switch, etc. \\
\hline & Phase Operation Card & Define signal indications assoclated with specitied phase and location of all detectors affecting the phase. & Node, phase number, signal Indication for each approach, and location of each detector. \\
\hline FLOW RATE CARDS (1 set per run) & Volume Card ( 1 per 6 links) & Specifies traffic volumes on each link excluding buses. & Node numbers, flow rates, percent trucks. \\
\hline \begin{tabular}{l}
SURVEILLANCE \\
CARDS \\
(1 set per run)
\end{tabular} & \begin{tabular}{l}
Surveillance Cards \\
(if required)
\end{tabular} & Specifies detector type, location and placement on each link. & Node numbers, detector type, location, length of "presence" detector or distance of "counter" from node. \\
\hline \multirow[t]{5}{*}{BUS SYSTEM CARDS (optional)} & Path Cards & Define path of each specifled bus route. & Route number, node numbers. \\
\hline & Bus Station Cards & Identify, locate and describe each bus stop in network. & Stop number, lane, capacity and type of bus stop. \\
\hline & Bus Route Cards & Relate bus routes and bus stations. & Route numbers, sequence of bus stations on route by station number. \\
\hline & Bus flow Card & Specifies volume of buses on each route. & Route numbers, mean headway for buses. \\
\hline & Dwell Time Cards & Specifies mean dwell time of buses at each bus station. & Station numbers, mean dwell times to service passengers. \\
\hline \multirow[t]{2}{*}{EVENT CARDS (optIonal)} & Short-Term Event Cards & Locate and identify shortterm events. & Node numbers, frequency and duration. \\
\hline & Long-Term Event Cards & Locate and Identify longterm events. & Node numbers, time of event, duration and lane blocked. \\
\hline EMBEDDED DATA CHANGE CARDS (optional) & \(9 \mathrm{misc} . \mathrm{cards}\) & To input changes to the embedded calibration data. & Elements in calibration data and program variable names. \\
\hline UPDATE CHANGE DECK & Update Control Card & Construct a new data set by modlfying a previously generated data set which is on tape. & Card type numbers. \\
\hline
\end{tabular}

In order to predict the performance of individual vehicles within the network, each vehicle is randomly assigned various characteristics upon entry into the system. These characteristics, noted in the previous section, are vehicle type, average discharge headway, average acceptable gap, etc.

Nodes are operated according to the type of traffic control specified. Nodes may be yield or stop sign controlled or signalized with fixed-time, actuated (both isolated or coordinated) or volume-density controlled. The latter two may involve detectors in either pulse or presence modes.

Depending on the control status and queue length, vehicles are either queued, discharged or processed through the node. Turning movements occur randomly--that is, based on the input proportions of turns, individual vehicles are selected to execute left or right turns. Turns may be protected or unprotected, as specifled by the user. In the case of signalized control, up to nine phases may be programmed for any given signal controller.

As the time scan proceeds, data are recorded in vehicle and link arrays. For example, for each vehicle, cumulative time, distance, delay and number of stops are maintained. Additionally, the vehicle's present position (IInk, lane, position in queue) and projected action at the next node are noted, as applicable.

Link statistics are similar, but additionally include the cumulative number of vehicles and turning movements processed, as well as the current link occupancy, queue lengths and signal status.

In addition to the above statistics, many of which are used for the statistical summaries output by NETSIM, several other aspects of traffic flow are treated to allow a detalled evaluation of the quality of system operation and traffic behavior. These include intersection discharge and queuing behavior, responses to temporary blockages, vehiclepedestrian conflicts, impact of buses in the traffic stream and lmpact of various signal contral strategies.

The overall operation of the model is summarized in the following seven steps (Reference 11.5) which are performed at each one second interval within a "sub-interval".
1. All vehicles that were located in queues at the commencement of the time step are processed;
2. All remaining vehicles already on the network, but not "in-queue", are processed;
3. Any new vehicles are emitted onto the network via entry links in accordance with the specifled flow rates for each entry link;
4. Any new vehicles to be emitted onto the network from any internal source nodes are processed;
5. The status of all traffic signals in the network is updated;
6. The set of standard vehicle and link statistics contained within the vehicle-array and link-array are accumulated and a series of diagnostic checks performed;
7. Finally, if a point has been reached in the simulation run where a statistical output is called for, the necessary results are printed.

These steps are repeated (as approprlate) for each time step and updates of the input conditions are made at the beginning of each subintervals.

\section*{COMPUTATIONAL ALGORITHMS}

The myriad of computational requirements in NETSIM are simply too extensive to cover in detall in this Handbook. The more important algorithms are discussed functionally below, and equations or processes are given for the most significant of these. The discussions are necessarily simplified in the interest of
brevity. For ease of continuity, they are discussed in the same order as the first six steps listed in the previous section.

\section*{Queue Processing}

All links, and lanes on each link, are scanned for the presence of queues. When a queue is found, the queue leader is identifled and it is determined whether it discharges at this interval or not (for example, red signal, lack of gap or headway not exhausted will result in no discharge). If the lead vehicle can be discharged, it is so processed (according to a deterministic acceleration rule). In this case, the status of all vehicles in the queue is updated to begin moving, and/or record storage time. If the leader is "blocked", vehicle and link statistics are simply updated.

\section*{Moving Vehicie Processing}

This is the most complex step in the simulation, as the status of all moving vehicles must be updated. Vehicles are processed from downstream to upstream to allow for car-following, lane changing and the like. For example, the first vehicle on a particular link and lane to be processed will be the next vehicle which would encounter the queue (which has already been processed). Vehicle and link status updates are performed as each vehicle is processed. A variety of actions can occur depending on a vehicle's location, speed (actual and desired), lag, turning assignment, etc. Simplified, a vehicle may follow one of the following actions:
- Speed may be adjusted by a car following rule,
- It may join the queue,
- It may discharge to another link,
- It may change lanes,
- It may be designated to exit at a "sink" node (if beyond mid-block), or
- If a bus, it may stop at or leave a bus stop.


Figure 117. Flow Diagram of Car Fol lowing Model

The algorithm is best illustrated in the flow diagram shown in Figure 117.

The car following logic is the most complex (that is, all other actions are based on deterministic acceleration, deceleration or lane change rules). The natural variation of desired speeds requires that the car following rule consider the relative position, velocity and desired speeds of vehicles which are interacting with one another cotherwise a simple acceleration/steady-state/deceleration rule can be used). But when a tralling vehicle is influenced by a leading vehicle, a stimulus-response model must be invoked. A new model was developed for this purpose based on principles used in many existing car following models, but with changes to improve stability and avoid "collisions". The model, which applies only to a vehicle following another vehicle within 200 feet ( 61 m ) is stated as follows:
\[
\begin{equation*}
a_{f}=\frac{7\left(s_{\ell}-s_{f}-v_{f_{i}}-L_{\ell}\right)+(1 / 3)\left(v_{\ell}^{2}-2 v^{2} f_{i}\right)}{v_{f_{i}}+3} \tag{11.1}
\end{equation*}
\]

Where \(a_{f}=\) acceleration of follower at the end of the time slice
\(s=\) distance along link
\(V=\) speed
\(L=\) vehicle length, including 3 feet (1m) clearance
\(\ell=\) subscript indicating lead vehicle
\(f=\) subscript indicating following vehicle at the end of the time step, or at the beginning if further subscripted by i

If the trailing vehicle's desired speed is reached, further processing is limited to a constant speed model until that vehicle "catches up" with the leader which is being stopped.

If a vehlcle reaches the periphery of the network (or is assigned to a sink node) it is processed out at this point.

\section*{Input New Vehlcles, Exter Ior}

This routine scans all links to determine whether a new vehicle should be emitted into the system, effective at the end of the time step. If a vehicle is emitted, an identifying number is assigned and the following characteristics are randomly assigned:
- Driver Characteristlcs
- Vehicle classification (car, truck or bus-buses are processed differently in the simulation)
- Lane assignment
o Action at next node (e.g., turn or through)

Checks are made to determine whether space exists for the desired lane/turn assignments and the vehicle is flagged for lane change(s) if appropriate.
"Arrivals" at input links are based on a uniform distribution.

Input New Vehicle, Interior
These vehicles are generated at "source" nodes within the network. The logic is very similar to that described above. The documentation is not clear as to whether there must be a gap avallable to accept the vehicle.

\section*{Signal Stetus Update}

All traffic signals are updated at this step. All nodes are scanned and at the signalized nodes, the current phase timer is decremented. When this timer reaches zero, the next phase is activated and the timer is reset. For fixed-time, this process is trivial. For actuated control, a routine is called which, for the appropriate type of controller, determines whether current conditions warrant updating the signals. if updating is not required, the control ler acts (momentarily) similarly to a fixed-time unit. If updating is required (say a call is received on a semi-actuated approach), signals on all approaches are updated, and appropriate timers (e.g., extensions, minimums, etc.) are adjusted.

\section*{Statistics}

In addition to simply updating all statlstics in the simulation, several other important tasks are performed at this point. These are summarized as follows:
- Insure that all status parameters are consistent, correct if not
- Reset vehicle process codes
- Update all "event" actions
- Detect "spillback" of queues
- Block or unblock lanes
- Update pedestrian blockages

\section*{- Update dwelling buses}

The "events" referred to above may be short or long-term. These are user specified and may be input to simulate blockages such as accidents, standing or parked cars or other extraordinary perturbations in the network. The exact nature is not specified, but the difference is that short-term events occur randomly for a varlable amount of time, and long-term events are preprogrammed (i.e., input directly) and occur on schedule for a specified amount of time.

\section*{OUTPUT REPORTS}

There are five basic printed outputs generated by NETSIM. Some of these are automatic and others must be requested by the user. Results may also be stored on tape for future use, such as evaluation by the postprocessor. The outputs are discussed below.

\section*{Input Date Report}

General input data are summarized in a formatted report which can be checked for accurancy. The report is shown in Figure 118. A detalled report (echo) of all data is also avallable.

\section*{Standard Statistical Report}

A summary of important statistics or measures of effectiveness (MOE), is given at the end of each sub-interval. The cummulative performance on each link and the entire network are printed. The user may also request this report at any time in the simulation (e.g., every " \(n\) " minutes).

The contents of the report are summarized in the example output shown in Figure 119.

Note that total delay includes both delay due to stops and "delay" due to speeds reduced below some specified target level.

\section*{Intermedlate Outputs}

To obtain additional results to augment the above report, the user may request intermediate results at any point in the simulation. These reports are useful in detailed analyses of varying traffic conditions and/or selective (perhaps problem) locations. The contents of the report are shown in Figure 120.

\section*{Fuel Comsumption and Vehicle Emission Report}

A summary of fuel comsumption and vehicle emissions for each link and the network as a whole is obtained for each run. The fuel consumption data is reported for three types of vehicles; (1) composite auto, (2) truck, and (3) bus, based upon vehicle characteristics coded on the volume Card (autos and trucks) and Bus Flow Card. Fuel consumption is reported in both gallons and miles per gallon for each vehicle type. Vehicle emission is reported in grams per mile for autos only and includes hydrocarbons, nitrous oxide and carbon monoxides. Figure 121 shows the format and contents of this report.

\section*{Supplementary Outputs}

A variety of optional outputs may be obtained for detalled analysis or input data. The following may be tabulated at the user's request:
- The origin-destination pattern of all vehicles
- Types and locations of all detectors
- All "rare events"
- Bus performance

Additionally, comprehensive error messages are ouput to assist the user in "debugging" the data or locating inconsistencies in the



NETWORK STATISTICS


FIgure 119. NETSIM Standard Statistical Report

LINK STATISTICS AT TIME 16450
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline LINK & OCC. & \[
\begin{aligned}
& \text { VEH } \\
& \text { DIS }
\end{aligned}
\] & TURN tEFT & \multicolumn{2}{|l|}{\begin{tabular}{l}
MOVEMENT \\
THRU RT.
\end{tabular}} & \begin{tabular}{l}
queue \\
1
\end{tabular} & & \[
{ }_{2}{ }_{3}
\] & \[
\begin{gathered}
B Y \\
4
\end{gathered}
\] & \[
\underset{5}{\text { LANE }}
\] & \begin{tabular}{l}
DELAY, \\
VEH.
\end{tabular} & \[
\begin{gathered}
5 T O P \\
D(Y(P)
\end{gathered}
\] & \[
\begin{aligned}
& \text { CYC } \\
& \text { FLR }
\end{aligned}
\] & EVNT & & CUREL & \[
\begin{aligned}
& \text { RRE } \\
& \text { IIZ }
\end{aligned}
\] & \[
\begin{aligned}
& \text { NT } \\
& \text { ATI }
\end{aligned}
\] & & \[
\begin{gathered}
\text { AVG. } \\
\text { SPEED }
\end{gathered}
\] & \[
\begin{array}{r}
\mathrm{Na} . \\
\mathrm{STOP}
\end{array}
\] & \[
\begin{aligned}
& \text { SIG } \\
& \text { CODE }
\end{aligned}
\] \\
\hline (800, 1) & 0 & 143 & 0 & 143 & 0 & 0 & 0 & 0 & 0 & 0 & 0.0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0.0 & 0 & 1 \\
\hline ( 1, 2) & 7 & 144 & 0 & 132 & 19 & 2 & 4 & 0 & 0 & 0 & 16.3 & 77 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 8.3 & 83 & 1 \\
\hline ( 2, 3) & 0 & 125 & 5 & 120 & 0 & 0 & 0 & 0 & 0 & 0 & 24.2 & 78 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 6.2 & 114 & 2 \\
\hline ( 6, 5) & 4 & 230 & 0 & 234 & 0 & 0 & 0 & 0 & 0 & 0 & 8.2 & 77 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 11.9 & 99 & 1 \\
\hline ( 7, 6) & 5 & 262 & 29 & 239 & 0 & 0 & 0 & 0 & 0 & 0 & 2.3 & 36 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 20.2 & 40 & 1 \\
\hline ( 15, 5) & 5 & 138 & 38 & 0 & 108 & 0 & 0 & 0 & 0 & 0 & 21.1 & 71 & 0 & 0 & 4 & 0 & 1 & 0 & 0 & 6.8 & 135 & 2 \\
\hline (810, 16) & 2 & 35 & 0 & 37 & 0 & 0 & 2 & 0 & 0 & 0 & 0.0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0.0 & 0 & 1 \\
\hline ( 7, 8) & 1 & 359 & 0 & 244 & 116 & 0 & 0 & 0 & 0 & 0 & 4.0 & 14 & 0 & 0 & 4 & 0 & 0 & 0 & 0 & 17.6 & 48 & 1 \\
\hline (804, 11) & 0 & 158 & 0 & 158 & 0 & 0 & 0 & 0 & 0 & 0 & 0.0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0.0 & 0 & 1 \\
\hline
\end{tabular}

Figure \({ }^{\text {120. NETSIM Intermediate Statistical Report }}\)


Figure 121. NETSIM Fuel Consumption and Vehicle Emissions Report
network description. Special problems are also identifled through these messages.

\section*{Output Tape}

The data may be ouput to tape, for evaluating several simulations. A list of these data is given in Table 29.

Table 29 - Summary of Data Output to Tape
\begin{tabular}{ll}
\hline LINK-SPECIFIC DATA & NETWORK-WIDE DATA \\
\hline Vehicles discharged & \begin{tabular}{l} 
Total vehicle \\
trips \\
Total vehicle- \\
Travel time per vehicle \\
miles \\
Delay per vehicle \\
\\
Total vehicle- \\
minutes
\end{tabular} \\
Stops per vehicle & \begin{tabular}{l} 
Stops per vehicle \\
Ratio of moving/ \\
stopped time
\end{tabular} \\
Percent stop delay & \begin{tabular}{l} 
Average Speed \\
Average saturation \\
Number of cycle fallures \\
Ratio of moving/stopped \\
Average delay \\
time
\end{tabular} \\
\hline
\end{tabular}

\section*{Diagnostic Messages}

As note earller, there are extremely extensive diagnostic shecks and feedback messages available in NETSIM. Indeed there are too many to itemize here. Errors may occur in several ways. If errors are detected in reading the input data, NETSIM will point out the error and disallow execution of the simulation, but error checking will continue to determine whether further errors exist in the data. The approximate breakdown of the documented errors (l.e., execution aborted) and warnings (i.e., fixup taken, but careful review should be made for possible error) is as follows:
\begin{tabular}{lccc} 
PROGRAM STEP & & ERROR & \\
& WARNING \\
Preprocessor & 112 & & 1 \\
Simulation & 2 & 5
\end{tabular}

\section*{ADDITIONAL FEATURES}

As with most large-scale microscopic simulation models, NETSIM has a multiplicity of features, and user options. Virtually any feasible geometric configuration, traffic control system, traffic management strategy and demand configuration can be modeled. The type of network may vary from a single intersection, up to a complex grid network.

A major enhancement underway and being developed by the University of Washington, is an interactive graphic capability. This enhancement (referred to as NETGRAF) will enable the user to make more effective use of the postprocessor function of NETSIM. Presently, two-way comparisons must be run of \(f\) line, a process which can be time consuming. With NETGRAF, the comparisons can be run, and displayed, on-line, thus greatly reducing the time required to compare a number of NETSIM simulations. NETGRAF will be disseminated by the U.S. Department of Transportation.

\section*{APPLICATIONS AND LIMITATIONS}

NETSIM is particularly applicable to the analysis of large-scale complex traffic networks, optionally with coordinated systems (whether master controlled or dynamically controlled).

NETSIM is an operational, analysis and evaluation model. Its sole function is to approximate real-world conditions that are input by the user. It performs no design itself. Thus, in single runs, any of the infinitely variable input conditions may be considered by the user. Several of these may be evaluated by the user to determine which is "best", thus the evaluation function is, to a certain extent, a design tool, but. it must be emphasized that the "best" solution is only among those alternatives tested. There is no assurance that the "best" solution is an optimal solution.

Analysis is the role of microscopic simulation models. The results are generally more rellable than those obtained from macroscopic models, since the natural stochastic variation of traffic demand and behavior are considered. Although any simulation model is a simplification of the real-world, NETSIM is sufficiently flexible to handle a highly sophisticated system of intersections, including on-line signal control system (with additional user programming).

Also inherent to microscopic simulation models are the disadvantages of costly calibration, extensive input requirements and the requirements for a high level of expertise in using them. These are all true of NETSIM; although the developers have written the model with the user in mind to the degree possible. For example, most parameters are furnished in the model, but these may be changed if the user has local data which would better callbrate the model.

Several specific limitations of the model are discussed below.
1. Physical constraints are 99 nodes, 160 links and 1600 vehicles in the system at any time. These can be increased easily, but a substantial increase in computer time will result to run larger networks.
2. Freeway facilities cannot be modeled in NETSIM. A rough estimate of the effect of freeways on the street system is possible, by making the ramps "sink/source" nodes. The freeway effects must be estimated separately.
3. Similariy, rotary intersections and semi major uncontrolled intersections cannot be modeled only with difficulty.
4. For agencles with limited access to large computers, NETSIM can be quite expensive to use, either in terms of dollars or computer time, depending on local operating policies.
5. It has been noted that real-time control systems can be simulated; however, the
algorithms must be inserted by the user. NETSIM does not contain any package dynamic control systems. Surveillance capabilities do exist, however.
6. Preset vehicle trajectories through the network are not possible though they can be estimated by adding additional statements to the code. Vehicles are simply input to the network and turns are assigned randomly. This limits evaluation of oneway street systems and does not allow for induced diversion from congested streets. The latter would have to be approximated by the user manually "directing" traffic via increased turns to effect the diversion or via utilization of the NETFLO model.
7. Inputs to the system are based on a uniform distribution. This is often not realistic. A patch deck is avallable from FHWA which corrects this difficulty.

In summary, NETSIM has several limitations inherent to any microscopic simulation model, as well as several limitations peculiar to this model. But on the whole, it is a powerful analysis tool for the traffic engineer ing agency that has the level of staff expertise and computer facilities to use the model. To overcome this latter requirement (i.e., resources), some state departments of transportation are assisting localities in using NETSIM on their facilities. Thus, mid-to-large-slzed urban areas should not be discouraged from using this excellent traffic engineering tool.

\section*{EXAMPLE APPLICATION}

To illustrate the use of NETSIM the Ashley Drive arterlal signal problem previously used to illustrate signal optimization models was selected. The following describes the use of NETSIM to evaluate alternative signal timing plans.


Figure 122. NETSIM Link-Node Network for Ashley Drive

\section*{Problem Description}

The previous models described in this Handbook (PASSER 80, TRANSYT-7F and SIGOP III) were used to evaluate existing operations and to develop improved signal timing plans. In case of each of these models it was necessary to assume the signals were operating as fixed time signals, when in fact seven of the eight signals were semi-actuated signals with a background cycle. There were no provisions for evaluating the affect varying traffic volume during each cycle had on the splits or even the possible affect of skipping a phase.

In this example NETSIM will be used to evaluate the optimal signal timing plan developed by each of the previous models for a 90 second background cycle. As previously indicated these signals are part of a downtown signal system of fifty-six signals what are operating during the PM peak hour under the
same cycle length. Each of the previous models were used to develop optimal signal timing for Ashley Drive as an arterial system, rather than a network. Therefore, the reader should not infer from this example use of NETSIM that one of the optimization modules gives better results than another. Both the TRANSYT-7F and SIGOP \|ll models are network models, and to develop an optimal signal timing plan for Ashley Drive, should have been coded as part of the total system. However, for the purpose of brevity this was not done, therefore, the results of this application will not result in a fair comparison of each of the optimization model's ability to develop optimal signals plans.

\section*{Analysis of Existing Conditions}

The first step in the evaluation process is the use of NETSIM to represent the existing system. This provides the user with an opportunity to check the model's ability to
to represent traffic conditions in the field as the basis for evaluating alternative plans.

Figure 122 illustrates the link-node network for the example applications. Notice that in this example the traftic signals at the intersections affecting traffic flow on the cross stretch have been included. On Kennedy Blvd. (the major cross street), west of Ashley Drive there is a signal (node 13) located approximately 1450 feet west of Ashley Drive. This is not part of a system and presently operates as a 60 second fixed time signal. The other signals affecting traffic flow on the cross streets (nodes 14, 15, 16, and 17) are located on a parallel one-way street (Tampa Street). This street is one-way southbound and is controlled by two phase, flxed time signals within the 90 second cycle length. Since we are only interested in simulating traffic arrivals from the cross streets, only the signals and traffic movements affecting westbound traffic were modeled. A total of 17 nodes were required to represent signals (13) or intersections (4) to insure data will be obtained on traffic affected by the Ashley Drive signals.

Once the remaining data on geometrics, traffic volume and signal operation were obtained it was possible to code the network. Figure 122 illustrates the data coded to represent existing conditions. A total of 125 lines of coded data was required. With the exception of two items, all data (geometric, traffic counts, operating speed, and signal operations) were easily obtained.

The only judgment required for coding the network were the queue discharge rate (mean headways from standing queue) and lost time (queue start-up delay) by lead vehicle. Since headway studies had been conducted the average mean headway of 2.0 seconds was utillzed. Although the model has a default distribution for lost-time, a 3.5 second value for lost time was used in the example.

The input data were keypunched and submitted to the computer for execution. Several submissions were required in order to obtain a run with no errors. Basically, these errors
were related to improper coding of signal codes for actuated signals and the detector operations. Figure 124 shows a copy of the standard statistical reports obtained from the accepted existing conditons run. The input data report (17 pages are not shown, however, excerpts from its report were showm previously in Figure 118.

One of the initial problems with the final run was the use of an initialization period of 300 second ( 5 minutes). This was insufficient and it was necessary to go to \(600 \mathrm{sec}-\) onds. However, the final run for existing conditions lafter getting rid of other errors) only required 360 seconds to reach equilibrium.

One of the first facts obtained from the existing conditions report is that on Link \((4,3)\) spillback occurs frequently during the simulation (for 404 seconds or \(45 \%\) of the 900 seconds simulation interval. In other words, for 40 of the 90 cycles, the vehicle queue exceeded the length of this lane and vehicles could be blocking Intersection 3.

The link statistics report provides valuable data in specific problems. For Link \((4,3)\) we see that traffic demand is \(71 \%\) of saturation flow, yet the green time avallable is only \(.45 \%\) of the cycle ( 41 out of 90 seconds) thus spillback would obviously occur.

On a link by link basis deficlences can be readily identified. From a level of service point of view one could look at average delay time in seconds per vehicle per each link. Using delay in excess of 30 seconds as a criterion four links would be of concern. These are Links \((2,3),(13,3),(4,3)\), and (11,8). In addition Link \((5,4)\) in close with 28.4 seconds of delay. Three of the four critical links are approaches to Intersection 3 (Ashley Drive and Kennedy Blvd.).

A second measure of effectiveness (stops per vehicle) further indicates the magnitude of problems on these links. Data obtained from the output for existing conditions can be utilized to determine the credibility of the model. Intermediate statistical reports are avallable upon request (see Figure 120) which


Figure 123. NETSIM Coded Input Data Form for Ashley Drive Existing Conditions.



Figure 123. NETSIM Coded Input Data Form for Ashley Drive Existing Conditions (Continued).


Figure 123. NETSIM Coded Input Data Form for Ashley Drive Existing Conditions (Continued).


NETSIM CARD 17 - ACTUATED PHASE OPERATIONS



NETSIM CARD 20 - VOLUMES


Figure 123. NETSIM Coded Input Data Form for Ashley Drive Existing Conditions (Continued).



Netsim card 60 - Simulation control llast card in data for each simulation subinterval)


Figure 123. NETSIM Coded Input Data Form for Ashley Drive Existing Conditions (Continued).
simulation time interval \(=900\) seconds.
scanning interval 19 second
intermediate output commences 300 seconds after beginning of sus-interval
for a period of goo seconds, print-dut will appear at intervals of 300 seconds cumulative output will appear every 5 minutes during sub-interval

> clock time now

430 P.M.
fuel consumption and emissions will be processed
vehicle trajectory data will not be written to unit 23

initialization period completed after 360 seconds
commence simulation and gather statistical data
spillback has prevailed on limk ( 4. 3) for 52 seconds from time 137 to time \(=189\) SPILLBACK has prevailed on Limk ( 4, 3) for 64 SECONDS from time 217 to time \(\mathbf{2 8 1}\)
cumulative statistics since beginning of simulation
present time is 1645 o, elapsed simulated time is is mikutes, o seconds link statistics


Figure 124. NETSIM Standard Statistic Report for Existing Conditions - Ashley Or ive.
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline 9, & 8) & 12.7 & 232 & 30.4 & 17.5 & 0.63 & 47.9 & 12.4 & 227.2 & 4.5 & 83.0 & 43 & 15.8 & 3.2 & 0.30 & 7 & 0 \\
\hline ( 10. & 9) & 9.7 & 257 & 22.9 & 49.5 & 0.32 & 72.5 & 16.9 & 446.6 & 11.6 & 305.3 & 73 & 8.1 & 4.7 & 0.42 & 12 & 0 \\
\hline ( 17, & g) & 8.0 & 148 & 18.8 & 59.5 & 0.24 & 78.3 & 31.7 & 587.1 & 24.1 & 446.3 & 78 & 6.1 & 5.2 & 0.88 & 13 & 0 \\
\hline
\end{tabular}

NETWORK STATISTICS


SPILLBACK HAS PREVAILED ON LINK (4, 3) FOR 243 SECONDS FROM TIME \(=657\) TO TIME \(=900\)


Figure 124. NETSIM Standard Statistic Report for Existing Conditions Ashley Drive (Continued).

Table 30 - Comparison of NETSIM MOE's for Alternative Signal Timing Plans - Ashley Drive
\begin{tabular}{|c|c|c|c|c|}
\hline \multirow[b]{2}{*}{MOE} & \multicolumn{4}{|c|}{ALTERNATIVES} \\
\hline & Existing & PASSER 80 & TRANSYT-7F & SIGOP 111 \\
\hline Vehicle Miles & 397.64 & 377.50 & 401.77 & 403.60 \\
\hline Vehicle Minutes & 3070.5 & 2985.9 & 2958.00 & 2856.2 \\
\hline Vehicle Trips & 1391 & 1341 & 1395 & 1405 \\
\hline Stops per Vehlcle & 1.71 & 1.97 & 1.70 & 1.87 \\
\hline Moving Time per Total Time (\%) & . 318 & . 305 & . 330 & . 342 \\
\hline Avg. Speed (mph) & 7.77 & 7.59 & 8.15 & 8.48 \\
\hline Mean Occupancy (vehicles) & 204.0 & 198.5 & 196.5 & 189.9 \\
\hline Avg. Delay per Vehicle (sec.) & 90.35 & 92.79 & 85.19 & 80.31 \\
\hline Total Delay (min.) & 2094.7 & 2073.8 & 1980.7 & 1880.7 \\
\hline Delay per Veh.-mile (min. per mile) & 5.27 & 5.49 & 4.93 & 4.66 \\
\hline Travel Time per Veh.-mile (min. per mile) & 7.72 & 7.91 & 7.36 & 7.08 \\
\hline Stopped Delay per Total Delay (\%) & 84.8 & 83.8 & 84.7 & 82.0 \\
\hline Fuel Consumption (gals. per mile) & . 0465 & . 0471 & . 0450 & . 0446 \\
\hline Vehicle Emissions (gross/mile) & & & & \\
\hline Hydro carbon (HC) & 6.07 & 6.26 & 5.83 & 5.75 \\
\hline Carbon Dioxlde (CO) & 112.75 & 117.30 & 107.98 & 105.43 \\
\hline Nitrogen Oxide (NOX) & 6.52 & 6.07 & 6.29 & 6.43 \\
\hline
\end{tabular}
show queue length by lane at any specific instance of time. This is useful in calibrating the model. For instance, Link (4.3) that for the highest average saturation flow includes the exclusive right turn lane. In Figure 124 we only obtain information on the entire approach-e.g. average queue of 25.3 vehicle. However, the intermediate statistical report should 23 vehicles on the approach at the requested turn interval with 4 vehicles in lane 1 (thru lane) 9 in lane 2 (the thru lane) and 10 vehicles in lane 3 (the curb lane or exclusive right turn lane). Observation in the field indicates this is typical and indicates the model is reproducing arterial conditions.

This evaluation of existing conditions would include a similar comparison of other links within the network. In some cases a more detalled mathematical comparison would be required. However, in most instances this would not be economical.

\section*{Define and Analyze Alternatives}

In order to define the alternate signal plans the existing signal timing card must be changed. For each alternative signal plan this required that card 16-NA (non-actuated phase of actuated signal) and card 16-A (actuated phase) be changed to include the new signal timing data (offsets, yield points, force of \(f s\) and maximum green times) for the actuated signals. in addition card 10 (fixed time signal) must also be changed for node 2 (Ashley Drive and Jackson Street). In all a total of 19 of the 125 cards were changed for each alternative.

In order to evaluate each of the alternatives the summary of link statistics provides the most meanful information. For each of the alternatives the user could look at traffic characteristics on each of the links to identify problems which occur for each alternative.

\section*{Evaluation of Results}

The reports obtained from each of the runs provide a useful tool for evaluation of the results. Table 30 provides a comparison of the summary statistics for the network as a whole.

Based on the developed signal timing plans some improvement in operations can be expected with several alternatives.

The PASSER 80 signal timing resulted in an overall deterioration of most measures of effectiveness. The most noticeable was an increase in the stops per vehicle (for 1.71 to 1.97 ) and seconds of delay per vehicle (from 90.35 to 92.79 ). The PASSER 80 optimization model was designed to increase bandwidth in both directions along Ashley Drive, which should result in fewer stops per vehicle. However, PASSER 80 assumed uniform arrivals on the cross-streets which does not occur when signals are controlled. Therefore, there was a net increase in length of delay and number of stops.

Both TRANSYT-7F and SIGOP lll signal plan resulted in reduced vehicle delay time and stops per vehicle. TRANSYT-7F minimized stops per vehicle while sIGOP lll minimized delay time per vehicle and both minimized fuel consumption. There was little significant difference between the reductions in gas consumption and vehicle emissions.

Since both TRANSYT-7F and SIGOP 111 results in similar improvements in traffic flow the user can feel confident that either of these plans would be noticeable to the driver using the system. Whether the driver would perceive the TRANSYT-7F timing (that minimized stops) or the SIGOP lil timing (that minimized delay) as better would be left to the judgement of the user.

\section*{Summary of Work Effort Required}

The following summarizes the work effor required for the example problem.

Data Collection - All data required were readily available from the traffic engineer's office.

Data Coding - The coding of the NETSIM input data required considerable time. Approximately 20 hours were required to develop the link-node diagram and to code the data. How ever, it is belleved that persons experienced in coding of NETSIM could accomplish the work in less than 12 hours, since considerable time was spent referring to the User's Guide. An additional 6 hours of review time was required to identify errors and to resubmit 4 runs prior to obtaining acceptable output.

Computer Time - The required CPU time var led from 67.1 to 71.9 seconds for the 900 second simulation period. A total of 294 k of core storage was utilized.

\section*{REFERENCES}
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2. KLD and Associates, "Network Flow Simulation for Urban Traffic Control System Phase 11, Volume 1. Technical Report," Final Report, Contract No. DOT-FH-118502, U.S. Department of Transportation, Federal Highway Administration, 1977.
3. Worral, R.D. and E. Lieberman, "Network Flow Simulation for Urban Traffic Control System - Phase 11, Volume 2. Program Documentation for UTCS-1 Network Simulation Model, Part \(\mathbf{I " , ~ F i n a l ~ R e p o r t , ~ C o n - ~}^{\prime \prime}\) tract No. DOT -FH-11-8502, U.S.Department of Transportation, Federal Highway Administration, 1974.
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6. KLD and Associates, "Network Flow Simulation for Urban Traffic Control System Phase 11, Volume 5. Applications Manual for UTCS-1 Model," Final Report, Contract No. DOT-FH-11-8502, U.S. Department of Transportation, Federal Highway Administration, 1977.
7. Lieberman, E. and W. Rosenfield, "Network Flow Simulation for Urban Traffic Control System - Phase II Volume 6. Extension of NETSIM Simulation Package (Formerly UTCS-1) to Incorporate Vehicle Fuel Consumption and Emissions," Final Report, Contract No. DOT-FH-11-8502, U.S. Department of Transportation, Federal Highway Administration, 1977.
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\section*{CHAPTER 12 - PRIFRE (FREEWAY SIMULATION MODEL)}

In recent years, emphasis has been placed on encouraging higher vehicle occupancy as a means of increasing capacities of transportation facilities and for conserving energy. One of the primary techniques of encouraging higher vehicle occupancy has been the designation of a priority lane reserved exclusively for high occupancy vehictes (HOV).

The more common application for the use of reserved lanes for HOV's has been along freeways, particularly those leading to the central city. Initially these applications considered giving priority to buses, however, in more recent years HOV's have included passenger vehicles with 2 and 3 or more persons per vehicle.

Computer models for evaluating these potential applications were first developed in 1968 at the University of California at Berkeley. Since that time numerous models have been developed and/or expanded upon to permit a more sophisticated analysis.

PRIFRE is an extension of two earlier models, EXBUS and FREEQ. The EXBUS model was written to evaluate mixed flow (i.e. buses and carpools) priority lanes on freeways but was restricted in its flexibility in terms of capacity and demand changes over time. FREEQ (renamed \(\operatorname{FREQ} 3\) later) was a similar model designed to evaluate normal operations on a freeway and demand fluctuation over time and distance as well as being responsive to actual origin-destination patterns and congestion.

PRIFRE represents a combination of the philosophy of EXBUS and the realism of FREQ3 as well as several further improvements. Recently, many of the features of PRIFRE and FREQ5CP (which evaluate priority entry control) have been incorporated into a new model (FREQ6PL) which provides a more sophisticated evaluation. This model is available as part of the new FHWA PLANPAC 2 software package. Technical support is available through the Institute of Transportation Studies, University of California at Berkeley. However, the


Figure 125. HOV Lanes Along Freeway

PRIFRE model described in this chapter can provide a useful tool to the practicing traffic engineer in evaluating the potential benefits of priority lanes on freeways.

\section*{MODEL DESCRIPTION}

PRIFRE is a reversed acronym for the FREeway PRIority Lane Model. The model is a unique, general purpose computer program written in FORTRAN IV which has been installed previously on both the CDC 6400 and IBM 360 computers. It requires approximately 80 k bytes of core memory on IBM computers. The model is included as part of the FHWA Urban Transportation Program (PLANPAC) and has been widely used. The program consists of approximately 2500 lines of code with 86 percent action fortran statements.

The physical system considered by PRIFRE is a directional freeway with a priority lane reserved for high occupancy vehicles (HOV's) and the on and of \(f\) ramps to the freeway. The freeway section is described as a series of contiguous sections which are internally operationally homogenous. The model allows the engineer to evaluate priority lane strategies on freeways.

PRIFRE can evaluate the existing condition without priority treatment for HOV's and various types of priority treatments. In its present form the assumption is made that the priority lane is a oneway "normal" flow lane which is accessible only at the beginning and egress only occurs at the end. But, with manual interfacing, it can analyze separate priority lanes, control flow lanes and ramp control schemes with priority entry for HOV's.


Figure 126. Typical PRIFRE Data Deck

\section*{INPUT REQUIREMENTS}

The input data required for using the PRIFRE model does require data that is not normally maintained by traffic engineers. Indeed this data, the origin and destination patterns (on-ramp/off-ramp) and vehicle occupancy, are the most difficult to obtain.

Input data consist of seven (7) card types. These are stacked for input as shown in Figure 126 and include the following types:

Title Card - This single card describes the project under study.

Parameter Card - One card is used to establish the parameters controlling the evaluation. This includes the number of sections, time periods, output format, vehicle occupancy for HOV's, growth factors and other parameters.

Capacity Card - One card for each section is required to describe the information necessary to develop its capacity.
\(\frac{\text { Ramp Limit Card }}{\text { define special }}\) ramp card can be coded to special restraints, to evaluate the effect of queuing at the designated locations.

Speed-Flow Capacity Cards - These cards define a set of curves the model uses to interpret reduced speeds due to the volume of traftic. However, this card is optional.

Time Slice Title Card - This card describes
the periods under study.
Occupancy Card - This card defines the percent of cars with var ious occupancy levels and additional on-ramp capacity limits when developing ramp control strategies.

Origin-Destination Card - One card is required for each on-ramp and defines the number of cars exiting at each of f-ramp from that on-ramp. Separate cards are required for cars and buses.

End 0-D Card - One card is required at the end of the last O-D card to show this is the end of the data.

A summary description of the input data for each card type is shown on Table 31. A more detailed description is included in the reference material.

\section*{OPERATIONAL SUMMARY}

PRIFRE reads and checks the input data, warning of detected errors and terminating execu-

Table 31 - Input Requirements For PRIFRE
\begin{tabular}{|c|c|c|}
\hline CARD TYPE & CARD DESCRIPTION & REQUIREMENTS \\
\hline \[
\begin{gathered}
\text { TITLE } \\
(1 \text { per run) }
\end{gathered}
\] & Provide title of simulation. & Arbitrary Information. \\
\hline PARAMETER (1 per run) & Define parameters for entire simulation run. & No. of sections \& time perlods, output reports, min. veh. occ. for HOV's, lane operation, bus equiv. factors, etc. \\
\hline CAPACITY
(1 per section) & Define capacity of each freeway section (max. 50). & No. of lanes, capacity of normal \& HOV lanes, length, design speeds, truck \& bus factors \& presence of ramps by type. \\
\hline \begin{tabular}{l}
RAMP CAPACITY \\
(1 per run)
\end{tabular} & Define ramp capacity. & General ramp capacity and special capacities for up to 6 on-ramps and 3 off ramps. \\
\hline SPEED-FLOW/CAPACITY CURVES (Optional) & Define user supplied speed\(\mathrm{v} / \mathrm{c}\) curves, if desired. & \(X(v / c)\) and \(Y(\) speed) coordinates of curve (max. 20 points). \\
\hline \[
\begin{aligned}
& \text { TIME SLICE TITLE } \\
& \text { (1 per time period) }
\end{aligned}
\] & Provide title for each time period to be analyzed. & Time period, etc. of the per iod following. \\
\hline \begin{tabular}{l}
OCCUPANCY \\
(1 per time period)
\end{tabular} & Define vehicle occupancy for specific time period and modifiers to special on-ramps (max. 5). & Avg. passengers per bus, proportion of vehicles with \(1,2, \ldots 5\) or more passengers, and revised capacities for specific on-ramps. \\
\hline O-D DATA
(2 per time per iod
per on-ramp) & Define vehicle and bus destinations (off-ramps) for traffic entering on each on-ramp (origin). & One card for buses-vehicles per hour to each following of f-ramp, and one card for vehicles-passengers per hour to each following of f-ramp. \\
\hline \[
\begin{gathered}
\text { END } \\
(1 \text { per run) } \\
\hline
\end{gathered}
\] & To terminate run. & Code END OD. \\
\hline
\end{tabular}
tion when a fatal error is found. Once the data check has been successfully completed and the data stored in work files, program execution begins.

The program progresses serially, by time slice, from subsection to subsection, performing the following analyses (for a single run, as runs may be stacked):
1. Ramp analysis is performed to determine if a ramp queue exists, develops or dissipates and compiles the appropriate delays.
2. Volume calculations are performed using the input demands, O-D's and any existing
queues. If capacity is exceeded, the freeway and not the ramps, is queued. If the current subsection has the beginning of the priority lane, any ramp input lane, downstream or upstream with destination within the priority section, is denied entry to the priority lane. All other HOV's enter the priority lane and return to the general lanes at the end.
3. Ramp merging analysis is based on the ramp inputs and estimated right lane volumes. Again, if the right lane exceeds capacity (due to ramp inputs), the freeway is queued.
4. Weaving analysis is confined to on-off ramp maneuvers and capacity reductions are computed using techniques from the Highway Capacity Manual (Ref. 12.4). Weaving effects in the area of the HOV lane entrance and exit must be accounted for by adjusting the mainline capacities in these subsections.
5. Queuing analysis on the mainline takes into account the propagation of shockwaves, whether moving upstream or downstream, and adjusts volume vs. demand accordingly. This process is somewhat complex and interested readers should refer to the original documentation (Ref. 12.1) or documentation on the sub-model FREQ3 model (Ref. 12.5).
6. Speed-flow analysis uses the Highway Capacity Manual curves to determine travel time related impacts, based on the flow characteristics computed earlier. Additionally, the user can input up to nine of his own curves, which may be specifled for use in any subsection(s).

\section*{COMPUTATIONAL ALGORITHMS}

The most significant computational algorithm in PRIFRE is the simulation function of FREQ3, which is documented in Reference 12.2. The simulation, while detalled, is not overly complex.

Of primary interest is the speed-flow relationship. FREQ3 has design speed-flow curves based on the Highway Capacity Manual (Figure 9.1). Thus there are three curves avallable, having design (or free) speeds equal to 50 , 60 or 70 mph . Additionally, as stated above, the user may input his own curves. If the default option is used, speeds in the upper region of the speed-V/C curve (l.,e. V/C < 1.0 and no congestion ) are simply taken from the speed-flow table. if demand exceeds capacity, a more complex calculation is required to take into account the facts that queuing can extend upstream into the adjacent subsection and that shockwaves effect the
speed. The equation for travel time is stated as follows:
\[
\begin{align*}
& T T_{i-1}=+\times d_{i-1} \times L_{i-1}+ \\
& \left(d_{i-1}-d_{i-1}\right) \times \frac{1}{2} \times t^{2} r+  \tag{12.1}\\
& \left(T_{0}-t\right) \times d_{i-1} \times L_{i-1} \text {, } \\
& \text { where } T T \text { = travel time in subsection } i-1 \text {, } \\
& \text { 1-1 } \\
& t=\min \left|\frac{L_{i-1}}{r}, T_{0}\right| \\
& r=\text { speed of shockwave }= \\
& \frac{R A_{i-1}}{d_{1-1}-d_{i-1}}  \tag{12.2}\\
& \text { and } \quad R A_{i-1} \quad D_{i}-C_{i}=\text { net rate of change in } \\
& \text { the number of vehicles in sub- } \\
& \text { section i-1, } \\
& L_{i-1}=\text { length of subsection } i-1 \text {, } \\
& d_{i-1}=\text { queuing density in subsection } \\
& \text { i-1 (vpm), } \\
& d_{i-1}=\text { non-queuing density in } \\
& \text { subsection i-1 (vpm), } \\
& T_{0}=\text { time interval (e.g. } 0.25 \text { for } \\
& 15 \mathrm{~min} .) \text {, } \\
& D_{1}=\text { demand for subsection } i \text {, and, } \\
& U_{i}=\text { Volume of traffic leaving } \\
& \text { subsection } 1 \text {. } \\
& \text { These speed-v/c curves, and the above algo- } \\
& \text { rithm for congested flow, have not been wide- } \\
& \text { ly accepted by recent researchers, and the } \\
& \text { user should strongly consider using his own } \\
& \text { curves. These may be based on observed data } \\
& \text { or derived. A single formula for obtaining } \\
& \text { speed (or rather travel time) as a function } \\
& \text { of demand (whether less than or greater than } \\
& \text { capacity) has been found both useful and } \\
& \text { accurate. This model is expressed as } \\
& \text { (Reference 12.6): }
\end{align*}
\]
```

    t=0.87 +
    where t = average travel time over the
subsection,
to = average travel time over the
subsection at capacity,
q = average demand in the subsection,
and
qm}=\mathrm{ capacity of the subsection
The user can easily calculate values of t for
various values of q/qm}\mathrm{ and input this table
as a user supplied speed - v/c "curve".
A second significant algorithm deals with the
weaving effect. Again, Highway Capacity
Manual techniques are used. The service
volume is calculated by:
sv=[v+(k-1)w, l}]/N
where $s v=$ service volume,

$$
\begin{aligned}
& v=\text { total volume (demand), } \\
& k=\text { weaving influence factor, }
\end{aligned}
$$

```
\[
\begin{aligned}
w_{2} & =\text { smaller weaving volume and } \\
N & =\text { number of lanes. }
\end{aligned}
\]

All other computations are similarly based on Highway Capacity Manual techniques or other commonly accepted techniques.

\section*{OUTPUT REPORTS}

The outputs from PRIFRE consist of four groups - (1) a listing of input data, (2) messages concerning the queues, (3) summary table of numer ical results, and (4) travel times. These are covered below.

\section*{Input Data Listing}

The general and subsection inputs are echoed in a readable format as shown in Figure 127. The column headings are as follows:
1. SSEC NO. - subsection number.
2. \(P\) - whether normal (blank) or priority subsection (P).

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BERKELEY, CAIFDRNI:
\(\begin{array}{lr}\text { VFRSION } & 22.0 \\ \text { PIGE NO. } & 1\end{array}\)

HOY EXIMPLE \(\mathbf{3 - 9 5}\) HIMMIGIRFORT XWAY TO GOLON GLADESI-EXIST. LANES B FERS HOV LN
TNPUT \(2: T A\)


\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline 13 & 4350. & 0.0 & 1000. & 60 & 60 & 600.970 & 0 & 0 & 61610 & Section lincs. & Ptgin & Sects.) 01 \\
\hline 2 P 3 & 4350. & 1500. & 1390. & 60 & 60 & 600.970 & & 0 & EEGN & N PRIORITY LANE & & \\
\hline 3 F 5 & 9330. & 1500. & 1341. & 60 & 60 & 600.970 & 0 & 7 & 1 IRPO & HRT X-wiy me & 02 & \\
\hline - P 5 & 7650. & 1500. & 3294. & 60 & 60 & 600.970 & & 0 & line & ORDP & & \\
\hline F & 5000. & 1500. & 900. & 50 & 60 & 600.970 & 0 & 0 & 67 SI & \(\boldsymbol{l}\) Off & 01 & \\
\hline 6 P & 6 CCO . & 1500. & 1863. & 60 & 60 & 600.970 & 0 & 0 & 6251 & 1 ON & 03 & \\
\hline F & 6000. & 1500. & 2577. & 60 & 60 & 600.970 & 0 & 0 & 60 51 & 1 On & 0 & \\
\hline 8 P & 6ctc. & 1500. & 2075. & 60 & 60 & 600.970 & 0 & 0 & 1951 & 1 OFF & 02 & \\
\hline P & 6000. & 1500. & 3091. & 60 & 50 & 600.970 & 0 & 0 & 8151 & 1 ON & 05 & \\
\hline 10 P & 6CCO. & 1500. & 1644. & 60 & 60 & 600.97c & 0 & 0 & 9551 & \(\boldsymbol{1}\) nff & 03 & \\
\hline 11 F 5 & 7650. & 1500. & 1054. & 60 & 60 & 500.970 & 0 & 0 & 0551 & 1 nm & 66 & \\
\hline 12 F & 6cco. & 1500. & 1505. & 50 & 60 & 600.970 & 0 & 0 & 1035 & St off & 04 & \\
\hline 13 F & 6900. & 1500. & 3795. & 60 & 60 & 600.970 & 0 & 0 & 103 s & 51 ON & 07 & \\
\hline 14. \({ }^{\circ}\) & c000. & 1500. & 1982. & 50 & 60 & 600.970 & 0 & 0 & 1195 & St off & 05 & \\
\hline 25 P & 6000. & 1500. & 1478. & 60 & 50 & 600.970 & 0 & 0 & 175 S & St Off & 06 & \\
\hline 16 P & 6cco. & 1500. & 1890. & 60 & 60 & 600.970 & \(n\) & 0 & 1255 & ST Om & 08 & \\
\hline 17 P 3 & 4350. & 1500. & 1890. & 60 & 60 & 600.970 & 0 & 0 & 135 s & St Off & D7 & \\
\hline 18 F 3 & 4350. & 1500. & 3434. & 50 & 50 & 600.97 C & 0 & 0 & 1355 & ¢T On & 09 & \\
\hline 13 F 3 & 1350. & 1500. & 2474. & 60 & 60 & 500.970 & 0 & 0 & 1515 & St off & D8 & \\
\hline 203 & 4350. & 1500. & 500. & 60 & 60 & 600.970 & & 0 & fNO \(P\) & pritrity lane & & \\
\hline 213 & 4.350. & 0.0 & 1000. & 60 & 60 & 600.970 & 0 & 0 & END S & section & 09 & \\
\hline
\end{tabular}

RAMF LIPIIS \(=1500\).
ON-RAMF 1 ITMITITH50.
ON-RAMP 2 IIHIT=4350.
Figure 127. Example PRIFRE Input Data Listing
3. NO.LN - number of lanes (excluding priority Iane).
4. CAP. - capacity of normal or unreserved roadway. Note that a very large capacity is given for the first subsection. This is to prevent queuing out of (up-stream of) the study area. Indeed this example begins at a toll station where queuing normally exists, but it is not to be included in the PRIFRE simulation.
5. CAP 1 P.LN. - capacity of priority lane.
6. LENG - length.

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BERMELEY. COLIFORMI

7. NOR SPD - speed curve for normal lanes.
8. UNR SPD - speed curve for unreserved I anes.
9. RES SPD - spead curve for priority lanes.
10. TRK.FAC. - the truck factor (0.970).
11. ORG.DES. - an 0 indicates an origin at the beginning of the subsection and a \(D\) indicates a destination at the end.
12. LFT RMP - would be 1 if any ramp was on the left.
\begin{tabular}{lr} 
VERSION \\
PAGE NO. & 2.0 \\
\hline
\end{tabular}

GROWIH PERTOD OECUPINCY SHIFT I ONRESERVED OR NDRMAL OPERITICNS
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline N & & & & EFF & , & ( & HPN & riv & NE & 隹 & \\
\hline NORM & & & & EfF & & \(V / M / L\) & & IIME & - & FEE T & T RA \\
\hline - & 3 & 1435. & 4350. & 0.0 & D. 23 & 99. & 5. & 7.36 & 1000. & 100 C & -101. \\
\hline U & 2 & 1373. & 2900. & 0.0 & 0.47 & 83. & 8. & 1.91 & 1390. & 1390 & -101. \\
\hline \(u\) & 5 & 2704. & 7750. & 0.0 & 0.35 & 103. & 5. & 2.92 & 1341. & 1341 & -101. \\
\hline U & - & 2704. & 5120. & 0.0 & 0.44 & 91. & 7. & 5.03 & 3294. & 3294 & -101. \\
\hline \(u\) & 3 & 2704. & 4500. & 0.0 & 0.60 & 75. & 12. & 0.85 & - 900. & 90 C & -101. \\
\hline \(\checkmark\) & 3 & 2857. & 4500. & 0.0 & 0.64 & 72. & 13. & 1,60 & - 1853. & 1863 & -101. \\
\hline U & 3 & 2997. & 4500. & 0.0 & 0.67 & 70. & 14. & 2.06 & 2577. & 2577 & -101. \\
\hline U & 3 & 2997. & 4500. & 0.0 & 0.67 & 70. & 14. & 1.66 & 2075. & 2075 & -101. \\
\hline \(u\) & 3 & 3226. & 1500. & 0.0 & 0.72 & 67. & 16. & 2.19 & 3091. & 3091 & -101. \\
\hline \(u\) & 3 & 3228. & 4500. & 0.0 & 0.72 & 67. & 16. & 1.17 & 1244. & 1644 & -101. \\
\hline \(\cup\) & . & 3247. & 6170. & 0.0 & 0.53 & d2. & 10. & 1.21 & 1054. & 1054 & -101. \\
\hline \(u\) & 3 & 3247. & 4500. & 0.0 & 0.72 & 67. & 16. & 1.06 & 1506. & 1506 & -101. \\
\hline \(\cup\) & 3 & 3191. & 4500. & 0.0 & 0.71 & 68. & 16. & 7.74 & - 3795. & 3795 & -101. \\
\hline \(\cup\) & 3 & 3191. & \$500. & 0.0 & 0.71 & 68. & 15. & 1.43 & - 1982. & 1982 & -101. \\
\hline 0 & 3 & 2972. & 4500. & 0.0 & 0.66 & 71. & 14. & 1.20 & 1478. & 1478 & -101. \\
\hline \(u\) & 3 & 2900. & 4500. & 0.0 & Q.F4 & 72. & 13. & 1.59 & 1880. & 188 t & \(-101\). \\
\hline & 2 & 2900. & 2900. & 0.0 & 1.00 & 49. & 29. & 0.73 & 1890. & c & 0.0 \\
\hline \(v\) & 2 & 2657. & 2900. & 0.0 & 0.92 & 35. & 36. & 1.07 & 3434. & 0 & 0.0 \\
\hline \(u\) & 2 & 2657. & 2900. & 0.0 & 0.92 & 36. & 36. & 0.77 & 2474. & c & 0.0 \\
\hline N & 3 & 2617. & 1350. & 0.0 & 0.50 & 20. & 43. & 0.13 & 500. & c & 0.0 \\
\hline \(N\) & 3 & 2617. & +350. & 0.0 & 0.60 & 20. & 43. & 0.25 & 1000. & 0 & 0.0 \\
\hline
\end{tabular}

Figure 128. Example PRIFRE Simulation Results - Priority Operation
\begin{tabular}{|c|c|c|}
\hline INSIITtiE ff transfortarion ind traffic enginerring & VERSION & 22.0 \\
\hline UNIVERSTIY OF COLIFGRNIt & Page no. & 12 \\
\hline
\end{tabular} GERKELEY CILIFDRMII
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline ¢EC & CRE & OES & torti & & CAF & Eff & & W/h/L & & time & & FEET & & & \\
\hline 1 & 1335. & 0.0 & 1335 & 1335. & 4350. & 0.0 & 0.31 & 9. & 49. & 0.73 & 1000. & 0 & 0.0 & & \\
\hline 2 & c. C & 0.0 & 1335 & 1335. & 435C. & 0.0 & c. 34 & 9. & 4. & 0.32 & 1390. & 0 & 0.0 & & \\
\hline 3 & 1331. & 0.0 & 2665 & 2665. & 9300. & 0.0 & 0.29 & 9. & 50. & 0.32 & 1341. & 0 & 0.0 & & \\
\hline 4 & c. C & 0.0 & 2656 & 1566. & 7650. & 0.0 & 0.35 & 11. & 4. & 0.77 & 3294. & 0 & 0.0 & & \\
\hline 5 & 0.9 & 67. & 2656 & 2666. & 5 CO 0. & 0.0 & 0.44 & 24. & 47. & 0.22 & 900. & 0 & 0.0 & & \\
\hline 6 & 23 C . & 0.0 & 2389 & 2829. & 600 c. & 0.0 & 0.47 & 15. & 47. & 0.45 & 1863. & 0 & 0.0 & & \\
\hline 7 & 13 c . & 0.0 & 2959 & 2959. & 6000. & 0.0 & 0.09 & 16. & *F. & 0.63 & 2577. & 0 & 0.0 & & \\
\hline E & c.t & 128. & 2959 & 2959. & 600 c . & 0.0 & 0.49 & 16. & *6. & 0.51 & 2075. & 0 & 0.0 & & \\
\hline 3 & 357. & 0.0 & 3188 & 3188. & 6080. & 0.0 & 0.53 & 18. & 45. & 0.77 & 3091. & 0 & 0.0 & & \\
\hline 1 C & c. C & 124. & 3180 & 3188. & 6000. & 0.0 & 0.53 & 18. & 45. & 0.41 & 1644. & 0 & 0.0 & & \\
\hline 11 & 145. & 0.0 & 3209 & 3209. & 7656. & 0.0 & 0.47 & 14. & 48. & 0.25 & 1054. & 0 & 0.0 & & \\
\hline 12 & C. 6 & 180. & 3209 & 3209. & 6000. & 0.0 & 0.53 & 18. & 45. & 0.38 & 1506. & 0 & 0.0 & & \\
\hline 13 & 124. & 0.0 & 315.3 & 3153. & 5000. & 0.0 & 0.53 & 17. & 46. & 0.95 & 3795. & 0 & 0.0 & & \\
\hline 14 & c.c & 719. & 3253 & 3153. & 600 C . & 0.0 & 0.53 & 17. & 45. & 0.49 & 1982. & 0 & 0.0 & & \\
\hline 15 & 0.0 & 221. & 2934 & 2934. & 6000. & 0.0 & 0.4 .9 & 16. & \({ }^{6} 5\). & 0.36 & 1478. & 0 & 0.0 & & \\
\hline 16 & 149. & 0.0 & 2862 & 2852. & 5000. & 0.0 & 0.48 & 15. & 46. & 0.46 & 1880. & 0 & 0.0 & & \\
\hline 17 & 0.0 & 391. & 2862 & 2862. & 4350. & 0.0 & 0.66 & 23. & 2. & 0.51 & 1890. & 0 & 0.0 & & \\
\hline 18 & 1 ¢2. & c. 0 & 2633 & 2633. & 4350. & 0.0 & 0.61 & 20. & 43. & 0.90 & 3434. & 0 & 0.0 & & \\
\hline 13 & 0.5 & 99. & 2633 & 7633 . & 450. & 0.0 & 0.61 & 20. & 43. & 0.65 & 2474. & 0 & 0.0 & & \\
\hline 2 C & C.t & 0.0 & 2534 & 2534. & 435 C. & 0.0 & C.5月 & 19. & 44. & 0.13 & 500. & 0 & 0.0 & & \\
\hline 21 & 9.3 & 2534. & 2534 & 2534. & 4350. & 0.0 & 0.58 & 19. & 4t. & 0.76 & 1000. & 0 & 0.0 & & \\
\hline
\end{tabular}

Figure 129. Example PRIfRE Simulation Results - Non Priority Operation
13. SUBSECTION LOCATION - landmark(s) of subsection.

Additionally, at each time slice the origindestination tables for priority vehicles and non-priority vehicles are echoed.

\section*{Queuing and Numerical Results}

These occur on the same report, an example of which is shown in Figure 128. The column headings are defined in the figure for the numerical results. The queuing messages appear above the table. There are four of these messages which may occur. The first is of the type "QUEUE COLLISION 6 T2 \(=.106, "\) which means that a queue in subsection 6 is growing (l.e. backing upstream) and left the subsection (i.e., entered subsection 5) at 0.106 hour after the current time slice. When T2 \(=.000\), the queue began the time slice with a queue already backed upstream.

The next message is "QUEUE SPLIT 7" indicates that (in this case) subsection 7 could not handle the sum of demand and discharging
vehicles and an existing queue split into two. The subsection becomes a bottleneck.

The last two messages occur in the last timeslice of a decreasing queue situation. PRIFRE tries to clear a queue at the end of a time-slice but this is not always possible. Thus, if a time slice is 0.25 hour long and the queue length reaches zero at 0.231 hour, the message "SEC \(7 \mathrm{TL}=0.231\) " occurs.

If the queue still exists after 0.25 hours, it is cleared and the message "SECT 7 CLEAR 153" occurs, and 153 vehicles were instantaneously discharged from the queue.

If no priority operations exist, that is, the user is simulating existing conditions to compare with the priority condition to be "implemented," the output report is of the form shown in Figure 129.

\section*{Travel Time and Summary Date}

The next output is the travel times. Tables of single trip travel times in hundredths of a minute from each origin to each destina-


Figure 130. Example PRIFRE Summary Report \(\dagger\)
tion, both for non-priority trips and priority trips (see Figure 127). Below these tables are the summaries of normal, unreserved and reserved total travel time (veh-hr and passenger-hr) and the input delays. All data are given for the current time slice and cumulatively. Then total vehicle-miles and passenger-miles are given and finally the comparison of total travel time (all vehicles) under normal vs. priority conditions and the savings realized by priority operations.

\section*{ADDITIONAL FEATURES}

PRIFRE is a special purpose simulation model and does not have any overt additional features. However, by proper manipulations of input parameters an expanded range of control strategles can be analyzed. For example, if a fixed-time metering system exists, this can be simulated by altering the affected ramp capacities from the normal (e.g. 1500) to the meter ing rate (eg. 900 vph ).

A later extension of this model called FREQ6PL combines the priority lane analysis with the freeway simulation and entry control optimization model FREQ - series (see Chapter 14).

\section*{APPLICATIONS AND LIMITATIONS}

PRIFRE is a simulation tool which can be used to analyze and evaluate existing (normal) operations and priority operations where one or more lanes is reserved for buses and/or carpools. Comparative data allow the user to assess the benefits of such priority control strategies and estimate the cost effectiveness of a traffic improvement of this type.

The primary limitations are llsted as follows:
1. The HOV lane can have only one entry point and one exit; thus concurrent flow
lanes cannot be adequately studied. The PRIFRE documentation (Reference 12.1) recognizes this and some preliminary work has been reported on techniques to overcome the deficiency. A program LCHANGE has been written to calculate the required distances for lane changes between any two lanes on the freeway (including the freeway (including priority lanes) and weaves from an on-ramp to the HOV lane, and conversely, from the HOV to an off-ramp. LCHANGE has not been incorporated into PRIFRE per se; however, a later combined version of this and another program, called FREQ6PL goes a long way to overcome this deficiency (Reference 12.7).
2. Since PRIFRE is only a mathematical representation of a highly stochastic physical operation, some properties are not totally realistic. The major problem detected by the developers has to do with the handling of queues on the freeway. For example, when trying to evaluate improvement plans which called for adding auxiliary lanes with the algor ithm predicted earlier, longer and slower queues developed when two queues collided. This was probably due to an erroneous assumption of a linear relationship between shockwave speed and queue growth/discharge rate.
3. The instantanenous propagation of vehieles from upstream to downstream results in spiraling errors which limit a study section to about 10 miles , otherwise gross errors can occur. Under 10 miles , the approximations are more reliable.
4. Such assumptions as constant demand and homogeneity of flow within subsections and time slices lead to obvious oversights. Thus the results must be considered to be the "average operation of an incident-free freeway where all driver behavior is exactly predictable."
5. No consideration is given to violators in the HOV lane, or quallfled HOV's that do not use the priority lane.

Despite these shortcomings, PRIFRE can afford the engineer with an important tool for analyzing proposed transportation improvements. Several projects which were studied with this model have proven to be highly successful in carrying more people in the same number or fewer vehicles, and at higher speeds.

\section*{EXAMPLE APPLICATION}

To illustrate the use and capabilities of the PRIFRE model an existing freeway section in Mlami, Florida was selected as an example application. The following describes the freeway characteristics and the use of PRIFRE to evaluate the use of a high occupancy vehicle (HOV) lane.

\section*{Problem Description}

The example freeway is \(1-95\) in north Mlami, Florida. The section under study extends from the interchange with the Airport Expressway north to the interchange of 1-95 with Palmetto Expressway and the Florida Turnpike. \(1-95\) is the primary highway facility in this northern corridor of Dade County connecting major residential areas in north Dade and southern Broward County (Ft. Lauderdale) with major employment centers in the greater Miami area.

1-95 was a six to ten lane, divided, full access controlled interstate highway. In 1975 it was determined that an effort would be made to use this facility as a demonstration to determine the potential benefits, of preferential treatment for high occupancy vehicles (HOV's).

For this example application several alternatives were to be evaluated. One set of alternatives was to look at the designation of one of the existing lanes for HOV's at 3 person per vehicle and 2 persons per vehicle. The other set of alternatives included the construction of an additional lane and evaluating operation without HOV lanes and with
with HOV lanes, each with 3 and 2 persons per vehicle.

Figure 131 provides a graphic sketch of the existing freeway, its interchanges and the more important char acteristics. The existing and future traffic lanes were all 12 foot in width. The PM peak hour for northbound traffic is to be evaluated. The peak hour factor is . 85 with \(3 \%\) trucks. For the purpose of this problem no adjustment was made for grades or obstructions. The developed capacity for the existing lanes and the additional (priority) lane are shown on Figure 131.

\section*{Analysis of Existing Conditions}

As part of each set of input data the existing conditions (without priority lane operations) is coded as well as an alternative priority lane operation. PRIFRE does not have a standard input coding form, therefore, a copy of the \(80 \times 80\) listing of input data is shown on Figure 132. It should be noted that the blank spaces are Bus Origin \& Destination cards which must be included even if there are no trips made, as shown here.

The results of the simulation run for existing conditions are shown on Figure 129. At the top of the report is a description of the input data for the established parameters and the section characteristics.

The measures of effectiveness for the existing operation are shown as part of the cumulative statistics at the end of the existing operation simulation. Under existing operation 1,893 vehicles hours and 2,901 passenger hours are required of the traffic using the portion of the freeway. During this two hour period the freeway served 80,483 vehiclesmiles of travel and 123,215 passenger-miles. There were no input delays for vehicles entering the system.

\section*{Define and Analyses of Alternatives}

The first alternative included a parameter to define one existing lane reserved for vehicles with 2 or more persons. Figure 133 shows the results of the simulation run under this condition.


Figure 131. PRIFRE Section Data for 1-95 Example Problem
```

HOV EXAMPLE I-g% MIGMITAIRFORT XWAY TO GOLDN GLGOESI-EXICT. LANES ? FERS HOV LN

```

```

    2F 3 4350 1500 1390 FO EC EC . 97 BEGIN FFILIRITY LONE
    3F 5 9300 1500 1341 EO 60 60 .97 O TIIRFORT X-WGY CN O2
    4F557550 1500 S2S4 EC EO 6C .S7 LNNE OROF
    ```


```

    9F 4 6000 15CC 3091 60 EC FC .97 O & 1 5T UN
    1OF4 5JOJ 1500 1644 60 60 EC .97 D O5 51 OFF
    ```

```

    12F 4 000 1500 1506 60 6C 60 .97 D 103 5T UFF
    13F 4 5000 1500 3795 60 6C EC .97 L 103 ST LNN
    I4F 4 500 1 1500 19&7 FO 60 60 .97 0 11OST OFF
    15F 4 650J 1500 1478 60 EC 6C . 97 0 175 ST UFF
    15F G 6500 1500 1880 EO EC EO .97 0 125 ST ON
    17F 3 4350 15CC 1B9O FO 6C EO .97 0 135 ST IFFF
    17F
    19F 3 4350 1500 2474 EC 6C 60 % .97 % O 151 ST DFF 
    21 3 4350 1000 60 60 6C .97 O ENO SECTITIN
    1500 1 4350 2 4350
TIME SLTCE 1 3:30 FM
4058.5 22.130.5.3 3.2 .8
?

```


NOTE: Coded bus and vehicle
\(O \& D\) cards for other time periods were not included in this figure.
1IME SLICE ; 6:00 FN
    071.072 .04 .71 .8 . 5
                                    5

Figure 132. PRIFRE Input Data Listing for \(1-95\) Example Problem
 LATVERCIIY IF GALIFGONI
BERKEL-Y, ILIFORN:
pigf NO.






\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline c & 1 & , & : & 4 & 4 & \(t\) & 7 & B & 9 \\
\hline 1 & \(1=5\). & -4.. & 48. & -9\%. &  & 767. & cru. & 9\%. & \\
\hline ? & 1; & ; Аの. & 46. & 47 C . & \(66^{\circ}\). & (,51. & 748. & 904. & : \\
\hline 4 & \(\because\) ? & 15.6 & 276. & 141. & 4 Ff . & \(4.7 \%\) & \(61^{\circ}\). & 77. & R 34. \\
\hline 4 & r.t & \(11 \%\). & 19. & ว 3 . & 445. & 477. & 470. & 739. & 7 \% \({ }^{\text {\% }}\) \\
\hline 5 & -. 3 & c. & 116 & 121. & -r. & 4F\% & 450. & 61. & F4. \\
\hline ¢ & ¢.t & e.c & \(\bigcirc\) & 6.4 & 20. & 144. & 141. & 495. & 545. \\
\hline 7 & 2.3 & r.r & c. 0 & \(c\). & 144. & 1 AR . & 278. & 4.54. & 472. \\
\hline \(\varepsilon\) & C.C & c. \({ }^{\text {r }}\) & c.e & c.e & c.c & c. & 27. & cas. & >as. \\
\hline a & 2.3 & - & ¢.? & c.r & c.e & c.0 & \(c\). & 1ヶ. & 1 cm \\
\hline
\end{tabular}


Figure 133. PRIFRE Simulation Results for I-95 Priority Lane (2 persons/vehicle) with Existing Lanes.

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\begin{tabular}{|c|c|c|c|c|c|c|c|c|}
\hline 114： & リー くLっき & & ricc ris & \multicolumn{5}{|r|}{NO．JF raitaity bente＝ EFGPRVET FFIMFITY REFFREIIAG} \\
\hline & flvi． & 3こ～n． & ［16］C． & & eevil & fir V／C & CFN & mp н tiouv \\
\hline SEC & \(C\) ric & rra & T01P1 & & & & U／M／L & II 4 \％ \\
\hline & 1343． & 3.0 & 1：30 & & & & & \\
\hline 7 & C． C & 1.0 & 1ヶ¢ & 1 & 23i． & \(15.6 C .2 .14\) & 4. & ：1．4．14 \\
\hline 11 & 1731． & \(\therefore .0\) & 2fef & 1 & \(7 ク \mathrm{~V}\)－ & 1：CC．c．11 & 4. & 5． 0.70 \\
\hline 9 & C． C & ¢． 0 & 7EEr & 1 & 3ブ． & 15cc．0．15 & 4. & 41．10．75 \\
\hline 4 & c．\({ }^{\text {c }}\) & －7． & 2Fisf． & 1 & 275． & 1506．0．15 & 4. & 51．10．20 \\
\hline 5 & 212． & 2.0 & 28．70 & 1 & フワi． & 15CC．C．t 5 & 4. & 9． 4.41 \\
\hline 7 &  & ＇．c & 2959 & 1 & プF。 & 15ccoc．14 & 4. & 41． 11.97 \\
\hline 3 & c． 0 & 1） & 2040 & 1 & フワi． & 1eco．c．es & 4. & 4．1． 11.45 \\
\hline s & 857. & C．0 & \(318 \%\) & 1 & プf． & 15cceos．1） & 4. & F1． 11.69 \\
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\hline 11 & 14 \％． & \(\therefore \mathrm{C}\) & s）ce & 1 & フワ1． & 1rce．c．ln & 4. & 41．U．7． \\
\hline 17 & C．C & 1 fr． & 13ct & 1 & 276 & \(15 c c . r .15\) & 4. & 21． \(1 . ⿱ ㇒ ⿻ 土 一 𠃋 十\) \\
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\hline 1 & 4 & 7784. & \(53>\mathrm{c}\) & 0.11 & 0.44 & 91. & & 7．5．U才 & － 2204. & 3294 & －286． \\
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\hline A & ， & 279\％． & 4 shu． & n．u & 11．0． & 72. & & 3．0．1\％ & 500. & 0 & 0.0 \\
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\hline 4 & \({ }_{6} 6\) & 4\％． & 7 CR ． & 946． & lse．t． & 147\％． & 17r4． & \(188 t\). & 187. \\
\hline 5 & 3.3 & c． & s？ & 4Fs． & afe． & 1105． & 14．47． & 1514. & 15.44. \\
\hline ¢ & C． 0 & c．c & e． & ขワ7． & 644. & 764. & 99\％． & 117E． & 1718. \\
\hline 7 & 3.3 & C． 6 & c． 0 & c．r & 417. & 4．7． & 3FF． & 41. & －1． \\
\hline \(\varepsilon\) & C． 0 & t．r． & c． C & c．r． & c．c & \(r\) ． & \(7{ }^{7}\) ， & 414. & \(4 \square^{4} 4\). \\
\hline 9 & 2.3 & C．r & 6.6 & 「： & t．e & \(0 \cdot 6\) & r． & \(1 \& \%\) & \(こ \because \%\) 。 \\
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\hline \({ }^{\text {c }}\) & 1 & ， & 1 & 4 & 5 & & 5 7 & 3 & 9 \\
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\hline 1 & 5. & 142． & \％re． & － 7. & \(\cdots \cdot\) & 4 FF ． & 4ヶ\％． & ＋f： & \(7 \mu\) 。 \\
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\hline 7 & 2.3 & r．r & c． 2 & \(r\) ． & 1 Ff & 181. & ，；ur． & 476 & \(41 F\). \\
\hline E & c． 0 & C．\({ }^{\text {a }}\) & c． 0 & 0.1 & C．c & r． & － 34. & 714. & 245. \\
\hline 7 & 2.5 & \(C \cdot 6\) & c．o & C．C & \(\mathrm{O} \cdot \mathrm{c}\) & c． 6 & C O．C & 143. & 171. \\
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Figure 133．PRIFRE Simulation Results for 1－95 Priority Lane（2 persons／vehicle） with Existing Lanes（Continued）

To define a second alternative the parameter card was changed to evaluate the use of the priority lane for 3 or more persons per vehicle. This alternative was also based upon the existing lanes.

Another set of alternatives were also defined. The basic alternative included the addition of another lane within the median. This required that lanes and capacities be modified on the input cards. Figure 127 showed the input data listing for this condition. The two levels of occupancy previously used were coded for this improved condition.

\section*{Evaluation of Alternatives}

As a result of the previous task a total of six conditions, or alternatives, were defined and measures of effectiveness were obtained for each run. A summary of these results are shown on Table 32.

The designation of one of the existing lanes as a reserved lane for high occupancy vehicles resulted in an overall reduction in total passenger travel time. Due to the reduction in lanes for non-priority vehicles input queues occur. The results in an increase in total travel time for both vehicles and passengers. Vehicle hours of travel under the 2 persons per vehicle restriction is increased by 248 percent (from 1893 to 4703 veh-hrs) and passenger hours are increased 187 percent (from 2901 to 5433 pass. hrs). Increasing the vehicle occupancy to 3 persons per vehicle per hour further decreased overall travel time.

With the addition of one lane of traffic, with no restrictions, a slight decrease in vehicle hours of travel occurs from 1893 to 1762 as well as a decrease in passenger hours of travel, from 2901 to 2698 , or approximately a seven (7) percent improvement.

Table 32 - Comparison of PRIFRE Results For Alternative Freeway Operations 1-95
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \multirow[b]{3}{*}{Measures of Effectiveness} & \multicolumn{3}{|c|}{EXISTING LANES} & \multicolumn{3}{|c|}{ADDITIONAL LANE} \\
\hline & No. & \multicolumn{2}{|l|}{With Priority Lane} & No. & \multicolumn{2}{|l|}{With Priority Lane} \\
\hline & Pr. Ln & 2 pers. & 3 pers. & Pr. Ln & 2 pers. & 3 pers. \\
\hline Freeway Travel Time-Veh/Hrs. & 1893 & 3943 & 4089 & 1762 & 1813 & 1854 \\
\hline Pass/Hrs. & 2901 & 4361 & 5355 & 2698 & 2158 & 2523 \\
\hline Input Delay-Veh/Hrs. & -0- & 760 & 1994 & -0- & -0- & -0- \\
\hline Pass/Hrs. & -0- & 1072 & 2815 & -0- & -0- & -0- \\
\hline Total Travel Time-Veh/Hrs. & 1893 & 4703 & 6083 & 1762 & 1813 & 1854 \\
\hline Pass/Hrs. & 2901 & 5433 & 8170 & 2698 & 2158 & 2523 \\
\hline Total Travel Distance-Veh/Mi. & 80483 & 73701 & 68884 & 80483 & 80483 & 80483 \\
\hline Pass/MI. & 123215 & 90189 & 96253 & 123215 & 97254 & 111057 \\
\hline Input Queue Length & & & & & & \\
\hline Vehicles & -0- & 314 & 999 & -0- & (-51.1) & (-92.3) \\
\hline Veh/Hrs. & -0- & 414 & 1037 & -0- & 540 & 175.0 \\
\hline Travel Time Savings & & & & & & \\
\hline Over Non-Priority Ophs & & & & & & \\
\hline Veh/Hrs. & -0- & (-2809) & (-4189) & -0- & -0- & -0- \\
\hline Pass/Hrs. & -0- & (-2533) & (-5269) & -0- & -0- & -0- \\
\hline
\end{tabular}

Further benefits occur in reduced passenger hours of travel with the designation of one lane for vehicles with 2 or more persons per vehicle. A total reduction of 540 passenger hours of travel, or \(20 \%\), occurs with only a slight increase in vehicle hours of travel ( 51.1 hours or \(3 \%\) ). Increasing the vehicle occupancy to 3 persons increases the vehicle hours of travel by \(10 \%\) while only saving 175 , or \(10 \%\), passenger hours of travel.

From the comparison of results obtained it is obvious that the largest benefits occur with the addition of a lane and its classification as an HOV lane for two or more persons per vehicle. In actual fact the lane was constructed and originally designated for 3 or more persons (Reference 12.8). It was hoped that with the estimated 3 minutes travel time advantage that a shift in vehicle occupancy would occur. Unfortunately this did not occur and the lane was redesignated for two or more person vehicles.

\section*{Summary of Work Effort}

The following summarizes the effort required to use PRIFRE for this example problem.

Data Collection - The major work effort to use this model is obtaining data on the ori-gin-destination of vehicles enter ing the freeway as well as vehicle and bus occupancy. Normally these data are not avallable and field studies will be required. One method to obtain this data is to have field personnel located at each on-ramp and of t-ramp with tape recorders. Two individuals would normally be required at each on-ramp. One would record the number of vehicles with \(1,2,3,4\) and 5 or more persons per vehicle while the other would record the time and last three digits of the license plates of each entering vehicle. An individual would be located at each of f-ramp and also would record the time and license plate number of each exiting vehicle. This information would then be coded, and keypunched for processing by a computer to match the destination of each entering vehicle. it is estimated that
approximately 48 manhours of effort "per interchange" is required to obta in 0-0 and vehicle occupancy data for two hours in the AM and PM perlods.

Data Coding - Data coding was rather straight forward and required little time after data was obtained in a usable fashion. Approximately six hours were required for the initial coding and an additional four hours were required to identify and correct coding errors.

Computer Time - Execution time was extremely fast varying from .71 to .79 seconds of CPU time. Core storage of 96 k was required for each run.

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\section*{CHAPTER 13 - FREQ3CP (FREEWAY OPTIMIZATION MODEL)}

In addition to providing exclusive lanes along a freeway for high occupancy vehicles (HOV's), some success has also been obtalned by giving prlority treatment to HOV's at entrances (on-ramps) to freeways. In addition to providing preferentlal treatment to entering HOV's to encourage higher utilization of capacity and to reduce energy consumption, ramp control (metering) is also useful to control the flow of entering traffic into the freeway to minimize the travel time and delays for the system as a whole.

The model presented in this chapter, FREQ3CP, has been used in the past to evaluate ramp meter ing strategies. It has been included in the FHWA Transportation Planning "BAOPAC" Ilbrary for a number of years but no technlcal support is avallable. FREQ3CP does provide a useful tool in calculating the effect of varlous ramp control strategles on freeway operations. However, in its present form it does not evaluate the effect of diverted trafflc on the adjacent parallel street system. Work is underway by the University of Callfornla at Berkeley to incorporate FREQ3CP's features in models which handie both the freeway and adjacent network. Chapter 14 discusses some of these emergency models.

\section*{MODEL DESCRIPTION}

The physlcal system considered by this model is a directional, urban freeway section and the associated ramps. The freeway section is described as a serles of contiguous sections which are internally operatlonally homogenous. The model allows the engineer to design and evaluate entry control strategles at any or all entrance ramps to optimize fiow in the system. Impacts of vehicies diverted from the freeway onto surface streets are estimated In a rudimentary fashion.


Figure 134. Typical Ramp Meter Ing Operation

FREQ3CP is an acronym for FREeway Optimlzation with Queuing, version 3 BCP (Control and Prlorlty treatment).

The model optimizes flow, based on any of four objective functions, using a I inear programiling submodel (PREFO). The decision varlables are the ramp metering rates. High occupancy vehicles (HOV's) can be given priorlty treatment at any or all entrance ramps, or exclusive access at some ramps.

The evaluation is accomplished by a mecro scoplc simulation submodel (FREO3) which was developed expressly to analyze freeway operations. A number of traftic management strategles can be investigated by FREQ3CP.

FREQ3CP (Ref. 13.1, 13.2 \& 13.3) is an extenslon of an earller model. FREQ (Ref. 13.4) which performed essentially the same simulation, except the latter version has several additional features. FREQ3CP adds the optimization of ramp control with priority entry.

The program consists of approximately 2000 I ines of code with \(80 \%\) of them actual fortran statements. The program requires appraxl-
mately 180 k bytes of core memory on an IBM computer.

Analyses by FREQ3CP can be obtained for the existing conditions and for optimal control conditions using any of a variety of strategies. The physical system is limited to 20 on ramps, 20 off-ramps and 40 freeway segments.

\section*{I NPUT REQUIREMENTS}

The following basic data are input to FREQ3CP:
1. Analysis Options and Parameters
a) Control Strategy Option
b) Formulation Option
c) Diversion Option
d) Confidence Coefficient
e) Physical Limits
f) Preselected Operational Parameters
2. Freeway Characteristics
a) Capacities
b) Weaving Considerations
c) Speed-flow Characteristics (optional)
d) Ramp Characteritics


FIgure 135. Typical FREQ3CP Data Deck
3. Demand Characteristics
a) Passenger Occupancy Distributions
b) Origin-Destination Patterns
c) Diversion Equilibrlum Queue Length

Table 33 summarizes the input requirements for FREQ 3CP. Much of the data would normally be available to the analyst (geometry, tratfic volumes, etc.) or can be developed (capacities). Like PRIFRE, however, there are two major data items that are not normally avallable to the traffic engineer.

One major input is a set of origin-destination tables for vehicles entering the freeway. These data are essential and normally would require special field \(0-D\) studles to obtain.

The other input requirement is for vehicle occupancy information. These data include the number of vehicles with \(1,2,3,4\) and 5 or more persons, as well as buses. The data deck layout for inputting the information is shown in Figure 135. Normally four to eight time periods will need to be analyzed to obta in sufficient data for evaluation.

\section*{OPERATIONAL SUMMARY}

The program reads and checks the user supplied inputs and reports any detected errors. If fatal errors are detected, data checking contlnues, but the run is aborted. Several non-fatal warnings may be given, which do not abort the run, but alert the user to possible problems with the data or control conflguration.

Once the data have been checked and found acceptable, temporary storage files are created and execution begins.

A complete run consists of the following sequential steps (see Figure 136).
1. The freeway simulation submodel (FREQ3) is executed for the existing condition and impacts are reported.
2. The optimization sub-model (PREFO) is executed to determine the optimal meter-

Table 33 - Input Requirements For FREQ3CP
\begin{tabular}{|c|c|c|}
\hline CARD TYPE & PURPOSE & DATA REQUIREMENTS \\
\hline Title (1 per run) & Provide title of simulation. & Descriptive information. \\
\hline Option Control (1 per run) (required) & Specify the type of simulation and the controls for this run. & Choice of submodels (with or without freeway simulation and/or ramp metering or not), objective function (passenger, vehicles, pass. miles or veh. miles), type of diversion, conflindent limits, output, reports, etc. \\
\hline \begin{tabular}{l}
Problem Title \\
(1 per run)
\end{tabular} & Provide title for problem. & Descriptive information. \\
\hline \begin{tabular}{l}
Parameter \\
(1 per run)
\end{tabular} & Define freeway perameters. & No. of sections \& time periods, output data, speed-v/c curves, growth factors (if desired), type of 0-D data, etc. \\
\hline \begin{tabular}{l}
Capacity \\
(1 per section)
\end{tabular} & Define freeway section physical and operating characteristics. & No. of lanes, capacity, length, truck factor, speed-v/c curve, if on and/or of f ramp is present, and description information. \\
\hline \begin{tabular}{l}
Ramp Limits \\
(1 per run)
\end{tabular} & Define ramp capacities or constant metering rate. & General ramp capacity and capacity at special on-ramps. \\
\hline User Speed-V/C (optional) & Define special speed-V/c curves developed by user. & \(X(V / C)\) and \(Y\) (speed) coordinates of curve. \\
\hline \begin{tabular}{l}
Passenger Occupancy \\
(1 per on-ramp)
\end{tabular} & Define vehicle occupancy and number of buses for each onramp. & Percent of vehicle with 1,2,3,4 and 5 or more passengers and buses. \\
\hline \begin{tabular}{l}
Bus Occupancy \\
(1 per run)
\end{tabular} & Define bus occupancy for each on-ramp. & Average passenger occupancy of buses for each on-ramp. \\
\hline Partial Diversion Equilibrium Ramp Queue (1 per run) & Define maximum permissible queue for each on-ramp. & Maximum queue desired on each on-ramp in turns of number of vehicles or delay time. \\
\hline \multicolumn{3}{|r|}{THE FOLLOWING CARDS ARE REQUTRED FOR EACH TIME SLICE (PERIOD) EVALUATED} \\
\hline \begin{tabular}{l}
Tlime STice TTle \\
(1 per run)
\end{tabular} & Provide fitle for time perlod. & Descripfion informaflon (autos and7 or Bus 0-D data). \\
\hline Occupancy (1 per period) & Define network average vehicle occupancy and revise on-ramp capacity. & Average number of passengers in each vehicle and revised on-ramp capacities. \\
\hline \[
\begin{aligned}
& \hline \text { 0-D Title } \\
& \text { (1 per 0-D Table) }
\end{aligned}
\] & Define title for the origin destination tables that follow. & Descriptive information (autos and/ or Bus 0-D data). \\
\hline \[
\begin{aligned}
& 1 \text { per on-ramp per } \\
& 0-D \text { Data } \\
& 0-D \text { table) }
\end{aligned}
\] & Define the destinations of vehicles entering each onramp. & Number of vehicles and bus trips from each on-ramp to each of t-ramp. \\
\hline \begin{tabular}{l}
Preset Ramp \\
Strategy \\
(1 per period)
\end{tabular} & Define ramp metering strategy for each on-ramp. & Lower IImit for the occupancy level of priority vehicles at all on-ramps. \\
\hline Metering Rate LImit (2 per period) & Define maximum and minimum metering rates. & Maximum and MIn imum metering rates (vph) for each on-ramp. \\
\hline End OD (1 per run) & To terminate current simulation run. & Code END OD. \\
\hline
\end{tabular}


Figure 136. Generalized Flowchart of the FREQ3CP Model

Ing system to maximize the user selected objective function. The optimal design is output.
3. FREQ3 is executed aga in with the results of the optimization submodel to compute the impacts of the specified control strategy, and the results are reported.

This sequence provides the user with impacts for the "before" condition and the "after" affects of the control strategy.

\section*{COMPUTATIOMAL ALGORITHMS}

There are four primary computational functlons in FREQ \(3 C P\). These are within the program. One is manual and consists of four subfunctlons. These are described separately in the following sub-sections.

\section*{Simulation Function (FREQ3)}

There was little change in this submodel, the algorithms are detalled in Reference 13.4. Algorithms are used to simulate the following tasks:
1. On-ramp queuing;
2. Subsection demands;
3. Merging analysis;
4. Weaving analysis (ramp to ramp only, optional);
5. Bottleneck analysis;
6. Flow on freeway; and
7. Of f-ramp queuing.

All algorithms are based on the Highway Capacity Manual techniques (Reference 13.5). The most significant algorithm is the calculation of travel time on the freeway. The program uses the speed vs. volume/capacity (V/C) ratio curves, but the user may also override this by inputting his own curves. See Chapter 12, PRIFRE, for further detalls on FREQ3.

\section*{Optimization Function (FREFO)}

The optimization sub-model is a standard I inear programming (LP) formulation of the general type,
\(\max \sum_{i} C_{i} X_{i}\)
subject to: \(\quad a_{k i} X_{i} \leq b_{k}\),
for all \(k\),
and all \(x \geq 0.5 i\)
The \(C_{i}\) are cost coefflcients, \(X_{i}\) are decision variables, \(k i\) are "technology" coefficients and \(b_{k}\) are limits. The basic optimization submodel used in FREQ3CP (PREFO) is documented in Reference 13.1.

There are four objective functions avallable In \(\operatorname{FREQ} 3 C P\). Any of the following may be maximized:
1. Vehicle input rate,
2. Vehicle-mlies of travel,
3. Passenger input rate, or
4. Passenger-miles of travel.

The complete set of objective functlons are given as follows:
max VEHICLE INPUT RATE \(=\sum_{i=1}^{n} x_{i}\)
max VEH. MILES OF TRAVEL \(=\sum_{i=1}^{n} \ell_{1} x_{i}\)
max PERSON INPUT RATE \(=\sum_{i=1}^{n} \sum_{k=1}^{6} O_{k} X_{i k}\)
or
\(\max \underset{\text { PERSON MILES }}{\text { OFAVEL }}=\sum_{i=1}^{n} \sum_{k=1}^{6} \ell_{i k} 0_{i k} x_{i k}\)
where: \(\quad X_{i}=\) number of vehicles entering at ramp 1;
\(\ell_{1}=\) average trip length of vehicles entering at ramp lifrom origin-destination tables);
\(O_{k}=\) occupancy levels, e.g.
\(0_{i k}=k=1,2,3,4,5\) for cars at
all ramps and for \(k=6\), the aver-
age bus occupancy at ramp l;
\(X_{i k}=\) number of vehicles with
occupancy level \(k(=1,2,3,4,5,6)\)
at ramp i; and
\(\ell_{i k}, O_{1 k}=\) same as before, but
separated into occupancy levels, \(k\); and
\(n=\) number of ramps.
The constraints of the linear programming model are also varled. Those which are always used are the capacity and non-negativity constraints. The constraints are discussed below for the passenger-based analysls, since the vehicle-based functions are subsets of the other.

The first set of constraints is that the mainline capaclty in any subsection cannot be exceeded, or
\[
\begin{aligned}
& \sum_{i=1}^{n} \quad F_{i 1 \ell} x_{i 1}+F_{121} x_{12}+\ldots \\
& +F_{15 \ell_{15}}+F_{i 6 \ell^{e x}}^{16} 1 \\
& \text { for } \ell=1, p ;
\end{aligned}
\]
```

where Fik\ell= fraction of traffic from
on-ramp i wlth passenger
occupancy K(k=1 through 5 for
autos and 6 for buses) passing
through subsection \ell;
e = bus equiva lency factor;
C}\mp@subsup{C}{\ell}{}=\mathrm{ capacity of subsection;
and all the rest as before.
The second set of constraints is that the
volume on any on-ramp cannot exceed the
demand at that ramp, or
X ik}<<\mp@subsup{D}{ik}{\prime},\mathrm{ for i=1, n and k=1, 6;
where D ik}=\mp@subsup{\sum}{j=1}{m}\mp@subsup{d}{ijk}{}=\mathrm{ traffic demand at
ramp i with occupancy level k;
and where;
d
level k; and
m}=\mathrm{ number of of f-ramps.
It should be borne in mind that k=6 is for
buses. The non-negativity constraint is
simply }\mp@subsup{X}{ik}{}\geq0\mathrm{ for i=1,n and k=1,
6.

```

Several additional constraints are optlonal. The metering rates can be ilimited by the following:
\[
\begin{equation*}
\sum_{k=1}^{6} x_{i k} \leq M_{i} \text { and } \sum_{k=1}^{6} x_{i k} \geq m_{i} \tag{13.9}
\end{equation*}
\]
\[
\text { for } i=1, n
\]
where \(M_{i}, m_{i}=\) maximum and minimum metering rates at ramp 1 , respectively.

These minimum constraints may be required, for example, to prevent the ramp queue backing onto a surface street or to keep the violation rate down. The maximum may be appropriate at a ramp which has an excellent alternative route or to discourage short trips. Ramp closing may be accomplished by
setting \(M_{i}\) to zero. Exclusive use of a ramp for buses can be accomplished by changing the '6' in (13.6) to '5' and setting M1 \(=0\). Carpools could be given similar exclusive use by making the upper limit of \(k\) equal one less than the desired carpool level. These options are summarized as follows (all for on-ramp 1):
1. No control: \(X_{i k}=D_{i k}, k=1,6\).
2. Autos only: \(X_{16}=0\)
3. Priority Vehicles only:
\(x_{11}=x_{12}=\ldots=X_{i k}=0\),
where \(K\) is one less than desired carpool level.
4. Buses only: \(x_{11}=x_{12}=\ldots=\) \(X_{15}=0\).
5. Ramp Closed: \(X_{i k}=0, k=1,6\).

There are other optional control (optimization) strategies which are more detalled, and the interested reader may consult Reference 13.1.

\section*{OUTPUT REPORTS}

There are four stages of outputs in FREQ 3CP an input data report, a report of the freeway performance before control, the optimum control report and, finally, the simulation of freeway performance after control. During the simulations there is an output report for each time slice.

The output reports are discussed In the subsections below; however, the following information is helpful for better understanding the output:
1. A priority cut-off-level of 1 for an onramp indicates that all vehicles are considered to be priority vehicles, i.e., the metering rate is equal to the demand.
2. An asterisk (*) which is printed after the priority cut-off-level of an on-ramp

Indicates that the optimum metering rate is less than the original demand, and that this on-ramp should be metered at the rate specifled by the model.
3. The program always prints the meter ing rate for the ma inline input (on-ramp No. 1), however, the printed metering rate is always equal to the original demand. Therefore, an asterlisk (*) never appear s in tront of the priority cut-off-level of the mainline input (see number 2 above). originally, the program was desi gned with the capability of controlling the mainline input, but the current version of the program automatically sets the maximum and minimum metering rates of the mainline input equal to the original demand, regardless of the values of the specified maximum and minimum rates.
4. Sometimes there is a very small difference (.2\% at the most) between the number of passenger- (or vehicle-) miles of travel printed from the PREFO subprogram and the FREQ3 subprogram. The former is more accurate than the latter.

\section*{Input Data Report}

The first output is a report on the freeway characteristics data, which allows the user to check the inputs for accuracy. This report is shown in Figure 137, which is self explanatory.

\section*{Freeway Performance Before Control}

Figure 138 shows a typical report on freeway performance during a typical time slice. The table entries are reasonably self explanatory, but the following points may need highIIghting:
1. Note that the "0-D Data Demends" and the "Adjusted Volumes" correspond unless demand exceeds the freeway capacity ("FRWY CAP."). In this case the excess demand on the freeway ("DEM.") is reduced


Figure 138. Typical FREQ3CP Freeway Performance Report Before Control


Figure 139. Typical \(\operatorname{FREQ} 3 C P\) Optimization Control Report
to the volume ("VOL.") level and the excess is stored in the upstream subsection.
2. System measures are given below the table for the current time slice and cumulatively.

Several less important reports are also avallable at this stage. These include updated \(0-D\) tables and single trip travel times between all origins and destinations.

\section*{Optimization Control Report}

Again, for each time slice the freeway performance is reported in a report very similar to figure 138, except that queuing data at each ramp are also given. In addition to the other secondary reports mentioned earller for the before condition, reports are given on the demand diverted to surface streets and delayed into the next time slice. Most significantly, the optimal metering rates are given in a table such as Figure 139.

\section*{ADDITIONAL FEATURES}

FREQ3CP is designed mainly to assist in developing optimal entry control strategies
for a general use freeway whose on-ramps are metered, but priority vehicles can bypass the signal. With manual interfacing, priority lane(s) on the freeway can be analyzed as well. Freeway design improvements can also be evaluated with \(\operatorname{FREQ} 3 C P\) by appropriately adjusting the capacities and/or speed - V/C curves.

Later versions in the \(\operatorname{FREQ}-s e r i e s\) have expanded this model to increase the analysis and correct earlier deficiencies. For example FREQ4CP (Ref. 13.6) added estimation of fuel consumption and vehicle exhaust emissions as well as estimates of spatial and modal responses. Further enhancements resulted in \(\operatorname{FREQ6PE}\) as a corridor model which analyzes the impacts on surface streets in detail (Ref. 13.7). FREQ6PL (Ref. 13.8) combines the basic \(\operatorname{FREQ}\)-model with the exclusive lane analysis of PRIFRE (see Chapter 12). Both FREQ6PE and FREQ6PL are being used by numerous localities to test the improvements represented by these advanced models.

\section*{APPLICATIONS AND LIMITATIONS}

As stated earlier, there are a varlety of analyses which can be accomplished using FREQ3CP. The emphasis, of course, is evaluating entry control strategies (eg. ramp
meter ing) and priority treatment for HOV's at ramps (eg. ramp metering bypass). Priority lanes on the freeway can be analyzed, but only by making one run for general traffic and one run for the HOV lane. This process of "fool ing" the program is tenuous at best, as the effects of weaving and speed differentials which actually exist between concurrent HOV lanes and general lanes would be very difficult to account for.

Nonetheless, \(\operatorname{FREQ} 3 C P\) is an excellent model for the primary purposes for which it was written.

In addition to the quantitative limitations (e.g., 20 on-ramps, 20 off-ramps and \(40 \mathrm{sec}-\) tions), and the absence of many of the improvements noted in the previous section, there are several other limitations which should be recognized. These are of two types: a) those which are inherent limitations and b) those which are based on assumptions that may not be fully realistic. The latter are not necessarily critical, but the user should be aware of the possible ramifications.

The qualitative model limitations are summarized below:
1. The effect of diverted traffic is not fully assessed. The assumption is made that these vehicles do not affect surface street operations, but diversion of a significant amount of trafflc can clearly be adverse to arterlal flow.
2. The effect of extensive ramp queues on surface streets is also not fully assessed. This can be a serious problem and in actual experience, surface streets are often blocked, or traffic is delayed by queues which back onto them. FREQ6PE is a more comprehensive model in this respect.
3. Spatial shift is not estimated. No arterial-to-freeway shift is recognized, nor is "backtracking" to use an upstream ramp (which is not uncommon). Again, FREQ6PE does estimate spatial shifts.
4. The FREQ3CP model does not address temporal shift or demand changes but this is avallable in FREQ6PE.
5. Traffic flow is considered homogenous in each subsection and in each time slice. While to assume otherwise would require (much more complex and expensive) micro scoplc simulation, it must be recognized that the results of the FREQ simulation are "average day" in a no-incident environment.
6. The assumption is made that in the no control condition, the freeway and alternative surface routes are in equilibrium (i.e. equal travel time). This assumption is clearly not universely valid.
7. Time spent in a queue is assumed to be valued equally as time in motion. Studies have suggested otherwise; however, this can be tempered by approprlate assignments of ramp cut-off-limits.
8. Finally, the linear programing optimization which maximizes either passenger or vehicle input or miles of travel may not adequately address objectives some users may have. For example, some users may wish to minimize total travel time. Such time based measures are generally nonI inear functions, however, and more complex modeling techniques are required.

A number of the limitations noted have been overcome in later enhancements of the FREQserles, notably FEQ6PE. Users interested in later versions (Including FREQ6PL (an extension of PRIFRE) should contact the institute of Transportation Studies, University of Californla at Berkeley.

\section*{EXAMPLE APPLICATION}

The 1-95 freeway system described in the previous chapter was also used to illustrate the use of the FREQ3CP model. The following describes the results of this application.

\section*{Problem Description}

The previous model, PRIFRE, was used to evaluate the benefits of priority lane operation under existing conditions as well as with construction of a new lane in the median.

The alternatives to be evaluated in this problem are the potential benefits of ramp control, with either controlled metering of all vehicles or priority treatment for high occupancy vehicles. For the purpose of this problem an HOV vehicle will be defined as one with 2 or more persons per vehicle.

\section*{Analysis of Existing Conditlons}

A sketch and summary table similar to the PRIFRE example was prepared. Basically, the only difference was the comblning of the first two and last two sections, since no change in freeway geometric or usage will occur under this operation. Figure 140 illustrates this condition.

Since standard coding forms are not avallable for \(\operatorname{FREQ} 3 C P\) an echo listing of the input data is shown on Figure 141. The most noticeable difference between this input data/set and that of PRIFRE is that the auto 0-D tables are placed before the bus 0-D tables and that a separate breakdown of percent vehicle occupancy for each on ramp is required. As a result of the submission of these input data a report was obtained on existing operations. The results are similar to those obtained from PRIFRE.

Figure 142 illustrates the outputs from this run. The first section of the output is a Ilsting of the freeway sections, and the characteristics, as well as tables showing the distribution of vehicle and bus occupancy by on-ramps. Information is shown on the demand during the period, volume accommodated, MOE's (V/C ratio, density, speed, travel time) and any queues which have occurred and the rate of storage. Where queues occur Information on their location, length and delay in vehicle hours are shown (note time slice 3 in Figure 142 on page 264). Network wide summary statistics for the current time
interval and cumulative values are also shown. The results for existing conditions are similar to those obtalned in Chapter 12. In actual practice these results are used to compare with actual fleld operation in order to calibrate the model. Although this was not done in this example, it is a necessary, and often, time consuming work.

\section*{Deflne and Analyze Alternatives}

A total of five alternatives were defined. For existing physical conditions, one run was made to optimize vehicle input with a second run to optimize passenger-miles of freeway travel. The only change required between these two alternatives was to change the optimum control card from one objective (maximum vehicle input) to the other (maximum passenger-miles of freeway travel).

The other three alternatives were based upon the addition of another through lane, which was proposed previously as reserved for HOV's. However, in this case operation was evaluated with no controls as well as with control of vehicle entry and priority entry for high occupancy vehicles. These alternatives required that the lanes and capacity of the freeway section be changed (19 cards), as well as the optimum control card.

Figure 143 illustrates the results obtained for existing conditions under ramp metering to maximize vehicle input. The first two reports for each time slice shows the 0-D volumes, minimum and maximum meter ing rates, and the control strategy used for the per lod as well as the demand that was diverted to the arterial streets and/or transferred to the next time slice. After that report has been printed for each time slice the results of a simulation after controls have been implemented are shown. These are similar to the reports obtained earlier with no ramp controls.

\section*{Evaluation of Results}

Table 34 summarizes the results obtained for each two alternatives on a system wide basis. With the existing lanes, but with ramp meter-


FIgure 140. FREQ3CP Section Data for 1-95 Example Problem.

\section*{FREQ3CP}

```

        250001 NC 2 NC
        050001200120015001200120012001200
            900 100 180 340 180 180 i80 130
        TII:E SLICE 2 {:00 Pi
    157
    AUTO O-D TABLE

```
21 NC 2 NC
050001200120015001200120012001200
    \(900 \quad 180 \quad 180 \quad 240 \quad 180 \quad 130 \quad 180 \quad 180\)
    EHD OD

Figure 141. FREQ3CP Input Data Listing for 1-95 Existing Conditions.
ramp mete improve i-95 miami(airport xhay to goldn glades)- max vehicle input

* INDICATES uSER SUPFLIED SPEED-FLON CURVE NUMBER
\[
\begin{aligned}
& \text { RAMP LIMITS }=1500 . \\
& \text { ON-RAMP } \\
& 0 N i-R A I P
\end{aligned} \quad 2 \text { LIMIT }=4350 .
\]
**DISTRIBUTION OF PASSENGER OCCUPANCY**


FIgure 142. FREQ3CP Simulation Result for 1-95 Existing Conditions.


Figure 142. FREQ3CP Simulation Result for l-95 Existing Conditions (Continued).
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline \multirow{12}{*}{\[
\begin{aligned}
& \text { ORIGIN } \\
& \text { DOWN }
\end{aligned}
\]} & \multicolumn{9}{|c|}{ES} \\
\hline & & & & & & & & & \\
\hline & \({ }^{1}\) & & 14 & 17 & 17 & & & & 9 \\
\hline & 71. & 132. & 141. & 171. & 172. & 181. & 315. & 72. & 1647. \\
\hline & 74. & 127. & 104. & 142. & 208. & 168. & 265. & 67. & \\
\hline & 0. & 14. & 7. & 23. & 23. & 33. & 77. & 13. & 301. \\
\hline & 0. & 2. & 10. & 23. & 20. & 20. & 20. & 4. & 185. \\
\hline & 0. & 0. & 8. & 23. & 27. & 30. & 82. & 20. & 572. \\
\hline & 0. & 0. & 0. & 2. & 13. & 23. & 36. & 14. & 230. \\
\hline & 0. & 0. & 0. & 0. & 13. & 20. & 29. & 13. & 189 \\
\hline & 0. & 0. & 0. & 0. & 0. & 0. & 17. & 7. & 292 \\
\hline & 0. & & 0. & & 0. & 0. & 0. & 8. & 337. \\
\hline SUM SESST \(^{\text {SUM }}\) & 145. & 275. & 270. & 387. & 468. & 475.
310 & 848. & 213. & 5436. \\
\hline sum(ORIG) & 2902. & 2838. & 494. & 284. & 762. & 310. & 264. & 316. & 345. \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \[
\begin{aligned}
& \text { ON-RAMP } \\
& \text { NO. }
\end{aligned}
\] & \[
\begin{aligned}
& \text { ORIGINAL } \\
& (V E H)
\end{aligned}
\] & \[
\begin{aligned}
& \text { CONT } \\
& \text { DEMAND } \\
& \text { (PASS) }
\end{aligned}
\] & ROL STRATEGY ON PRIDRITY cut-off LEVEL & VEHICLE FREEHAY (VEH) & \[
\begin{aligned}
& \text { BASIS } \\
& \text { INPUT RATE } \\
& \text { (PASS) }
\end{aligned}
\] & NON-PRIORITY metering rate & PRESET CONTROL STRATEGY \\
\hline 1 & 2902. & 4527. & 0 & 2902. & 4527. & 0. & NO METERIHG \\
\hline 2 & 2838. & 4427. & \(0 *\) & 1531. & 2353. & 0. & FRIORITY CUT-OFF LIMIT \\
\hline 3 & 494 & 771. & 0 & 494. & 771. & 0 . & FRIORITY CUT-OFF LIMIT \\
\hline 4 & 284. & 443. & 0 & 284. & 443. & 0. & PRICRITY CUT-OFF LIIIIT \\
\hline 5 & 762. & 1189. & 0* & 240. & 374. & 0. & PRIORITY CUT-OFF LIMIT \\
\hline 6 & 310. & 484. & 0 & 310. & 434. & 0 & PRIORITY CUT-DFF LIAIT \\
\hline 7 & 264. & 412. & 0 & 264. & 412. & 0. & PRIORITY CUT-OFF LIMIT \\
\hline 8 & 316. & 493. & \(0 *\) & 180. & 231. & 0. & FRIORITY CUT-GFF LIMIT \\
\hline 9 & 345. & 538. & 0* & 130. & 281. & 0. & PRIORITY CUT-OFF LIMIT \\
\hline TOTAL & 8515. & 13284. & & 6385. & 9960. & & \\
\hline
\end{tabular}


DEMAND(VEH/T.s.) TRANSFERED TO THE NEXT TIME SLICE
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline \multirow[b]{3}{*}{\[
\begin{aligned}
& \text { ON-RAMP } \\
& \text { NO. }
\end{aligned}
\]} & \multirow[b]{3}{*}{\begin{tabular}{l}
TRANSFERED DEMAND \\
(VEH/T.S.)
\end{tabular}} & \multicolumn{3}{|l|}{\multirow[b]{2}{*}{DESTINATION}} & & \multicolumn{3}{|r|}{distribution} & \multicolumn{2}{|l|}{Pattern} \\
\hline & & & & & & & & & & \\
\hline & & NO. & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\
\hline 1 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 2 & 0. & 0. & 0 . & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 3 & 0. & 0. & 0. & 0. & 0. & 0 : & 0. & 0. & 0. & 0. \\
\hline 4 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 5 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 6 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 7 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 8 & 0. & 0. & 0 . & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline 9 & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. \\
\hline Sum \({ }^{\text {d }}\) & r) 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0 . & 0. \\
\hline
\end{tabular}

Figure 143. FREQ3CP Simulation Result for Optimel Priority Control (max. veh. Input) Under Existing Condition.


END OF SIMULATION FOR ABOVE CRITERION

Figure 143. FREQ3CP Simulation Result for Optimal Priority Control (max. veh. input) Under Existing Condition (Continued).

Table 34 - Comparison of \(\operatorname{FREQ} 3 C P\) Results For Alternative Ramp Control Strategies on 1-95.
\begin{tabular}{|c|c|c|c|c|}
\hline \multirow[b]{2}{*}{Measures of Effectiveness} & \multicolumn{2}{|c|}{EXISTING LANES} & \multicolumn{2}{|l|}{ADOITIONAL LANES} \\
\hline & Exist. Operations & With
Ramp Controls & Typical Operations & With
Ramp Controls \\
\hline Freeway Travel Time-Veh/Hrs. & 4,839 & 3,876 & 4,052 & 3,869 \\
\hline Pass/Hrs. & 7,583 & 6,072 & 6,347 & 6,060 \\
\hline Input Delay-Veh/Hrs. & 48 & -0- & -0- & -0- \\
\hline Pass/Hrs. & 75 & -0- & -0- & -0- \\
\hline Output Delay-Veh/Hrs. & -0- & -0- & -0- & -0- \\
\hline Pass/Hrs. & -0- & -0- & -0- & -0- \\
\hline Total Travel/Time-Veh/Hrs. & 4,887 & 3,876 & 4,052 & 3,869 \\
\hline Pass/Hrs. & 7,658 & 6,072 & 6,348 & 6,060 \\
\hline Total Travel Distance-Veh/Mile & 133,205 & 164,671 & 145,010 & 168,624 \\
\hline Pass/Mile & 208,629 & 257,959 & 227,180 & 253,191 \\
\hline Diverted Vehicles & -0- & 2,770 & --- & 2,130 \\
\hline Passenger & -0- & 4,321 & --- & 3,323 \\
\hline
\end{tabular}

Ing to control vehicle entry, a total of 2720 vehicles and 4321 passengers were diverted to the adjacent arterlal street system. As a result of this diversion vehicle and passen-ger-hours of travel were significantly reduced (21\%).

With the addltional through lane a significant increase in the vehicle miles of travel occurred on existing conditions (from 133, 205 to 164,671 or \(23.6 \%\) ). With ramp metering to control vehicle entry, further improvement is obtained (from 164,671 to 168,624 or 16.38). However, Implementation of ramp controls for the existing condition results in significantly improved operation compared with adding lanes providing no controls. Since the cost of ramp metering is significantly less than the addition of a freeway \(\operatorname{lane}\), this alternative should be investigated further. This additional study would have to look at the affect of diverted vehicles on the adjacent street system.

\section*{Summery of Work Effort Required}

The following summarizes the effort required to use the FREQ3CP model to evaluate ramp control strategies.

Data Collection - The data collection effort is substantiated and is similar to that described for PRIFRE. Unlike PRIFRE, which used average vehicle occupancy, it is possible to have different rates for, each on-ramp. However, the same rate was applied for each ramp in thls problem.

Data Coding - Since the data had been prevlously coded for PRIFRE most of the information could be easily coded. Initlal data coding required approximately four hours for the first case. However, approximately eight hours were required to review and correct errors in the data.

Computer Time - Execution time for the FREQ\(3 C P\) model required between 6.1 and 6.2 seconds of CPU time to run each condition. A total of 168 k of core storage was required.

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\section*{CHAPTER 14 - FUTURE DEVELOPMENTS}

The application of computer modeling to solve problems in traffic operations has proven to be a useful, and in meny cases, necessary means of optimizing and evaluating traffic control strategies. This is a field that is constantly evolving. The models described in the Handbook are updated trequently to incorporate new strategies, simplify input data requirements, reduce computer running time, etc. The user must attempt to stay abreast of these new developments to maximize the effectiveness of computer modeling efforts.

\section*{EMERGING MODEL DEVELOPMENTS}

The development of the theories that support the computer models used by traffic engineers has slowed somewhat in recent years. The current emphasis is in the application of existing theories and on the refinement of the computation logic and data management aspects of the models. The following sections brlefly describe some of the more significant models which are in various stages of development.

\section*{TRAFLO: A Macroscopic Simulation for Urban Trafflc Management}

The objective of the TRAFLO model (Ref. 14.1) is to provide an efficient tool which can be used to test and evaluate traffic management strategies that are applied over a large area. This model is being developed in response to the need for the philosophy of "Transportation System Management" as a replacement for the narrower concept of "tratfic control". The model will be designed to satisfy the following requirements:
1. The model must provide values of all relevent measures of effectiveness (MOE) which describe traffic operations on


Figure 144. Computer Models Can Be Useful
urban streets and freeways. The scope, accuracy and level of detall of these MOE must be adequate for the purpose of evaluating traffic management strategles;
2. The model must exhibit the flexibility necessary to accommodate the widest possible range of such strategles, including those which affect route and model choice;
3. The model must be able to represent a region of approximately 2,000 intersections, whose trafflc environment includes networks of freeways, arterlals, and grid networks of surface streets;
4. The model must be designed to satisfy these requirements with a reasonable demand on computer resources. It should be operational on virtually any general purpose computer;
5. The program must be easy to use, requiring as little information as possible so as to minimize the cost, effort and level of expertise needed for its implementation.
6. The computer program of the model must be easy to understand, to maintain, to update, and to extend in scope.

The simulation is macroscopic in nature with three separate levels of detall:
1. Level 1 is the most detailed level of traffic representation. It is designed to explicitly treat traffic control devices, include all channelization options, and describe the traffic operations at grade intersections in considerable detail. Careful distinction is made between general traffic operations reflecting the flow of private automobiles, and mass transit vehicles servicing passengers at bus stations located along fixed routes. In addition, trucks and car-pool vehi.cles are explicitly considered. Other features include actuated signal control logic, right-turn-on-red, pedestrian interference, and source/sink flow. A wide range of MOE is provided as output.
2. Level 2, which will be computationally faster than Level 1, is less detalled and includes fewer features. Nevertheless, the traffic flow patterns are carefully described in the form of statistical histograms. These histograms express flow rate as a function of time on each network link, stratified by turning movement; buses are treated in somewhat more detail. Platoon dispersion is treated explicitly and service rates at the intersection are related to turn movement and to the signal control. This level provides the same output MOE as does Level 1.
3. Level 3, which will be the fastest computationally, is the least detalled and is
appllcable only to arterials. The platoon structure of traffic is not represented; traffic flow and signal control are described in terms of aggregate variables. However, traffic is stratified by turn movement to reflect the differing service rates associated with each. Bus traffic is treated explicitly, as is signal coordination and the time-dependent behavior of traffic. Congested conditlons are accommodated and spiliback is considered. While the detalled behavior of traffic at intersections is not expllicitly represented, the associated impedances are modeled.

The structure of the TRAFLO model is shown in Figure 145.

A separate model treats freeway operations which can be partitioned into a number of subsystems to save computer costs.

TRAFLO also incorporates a traffic assignment model to extend the functions of the package to include transportation planning in addition to traffic engineering. An existing assignment model named TRAFFIC (Ref. 14.2) is interfaced internally to the traffic simulation model to facilitate the use of the program.

\section*{TRAF: A System of Simulation Models}

The following describes this model system and its status as presented at a recent conference on Application of Traffic Simulation Models by Guido Redelat (Ref. 14.3).
"To address the problem of improving human efficiency in connection with traffic simulation, the Office of Research of FHWA is developing a system of traffic simulation models named TRAF (Ret. 14.4). This system is designed to represent traffic flow on any existing highway facility.
"Since TRAF will be a single source of traffic simulation programs, the user need be concerned with only one set of documentation


Figure 145. Structure of the TRAFLO Model
and one set of input and output format. This standardization will put an end to the confusion caused by the diversity of simulation approaches and format. It wlll also reduce conslderably the overall learning effort in connection with the application of traffic simulation.
"In the development of TRAF, special consideration is given to the task of producing the best possible program documentation. Instead of the detailed flow charts that were previously used to document many simulation models, TRAF uses a modified system of hierarchy plus input-process-output (HIPO) charts, which are more effective in depicting the logical structure of the programs. Numerous comments are included in the code and each variable of the program is defined in every subroutine where it appears.
"The code itself is carefully planned for minimum branching, and it is completely modu-
lar (subroutines are short and perform only one function). A standard code format has been establlshed that makes the programs easy to read and presents the logic as clearly as possible.
"Also, an integrated traffic simulating system will facilitate the maintenance and support activities for two reasons: (a) with only one simulation system to maintain and support, these operations can be centralized; and (b) these activitles can be automated to a large extent by using a specialized "operating system."
"The creation of TRAF does not involve new model development, but the enhancement of what is regarded as tie best traffic simulation logic avallable. This logic is in the form of modularized subroutines that are being stored in a master file. A program tallored to a particular application can be generated by an operating system that selects
\begin{tabular}{|l|c|c|}
\hline \multicolumn{2}{|c|}{ MICroscoplc } & Macroscopic \\
& URBAN NETWORKS & NETSIM \\
\hline FREEWAYS & NETFLO \\
\cline { 2 - 3 } & FRESIM & FREFLO \\
\hline & \\
\hline
\end{tabular}

Figure 146. Components of Models That Are Being Integrated into TRAF
the needed subroutines, adjusts their dimensions, and integrates them. This flexibility will minimize the waste of computer resources because the programs contaln only the user's selected features and dimensions required by the desired applications.
"The models that are being integrated into TRAF are shown in Figure 146. The names of these component models consist of a prefix and a sufflx. The prefixes NET, FRE, and ROAD indicate urban networks, freeways, and two-lane, two-way rural roads, respectively. The sufflx SIM means microscopic and FLO macroscopic.
"NETSIM, the mlcroscopic model for urban networks, was created 10 years ago and has been almost continuously enhanced since then (Ref. 14.5). Recently it has been reprogrammed to conform to TRAF programming standards and further enhanced.
"The macroscopic models for urban networks and freeways, NETFLO and FREFLO, form a subsystem called TRAFLO; that is, the macroscopic portion of TRAF. NETFLO was developed according to TRAF programming standards, and FREFLO is essentially the existing MACK freeway model, reprogrammed and adapted to the TRAF environment. NETFLO is beginning
its implementation phase, while FREFLO is going through enhancement and testing.

FRESIM, the microscopic freeway model, will be primarily the freeway portion of INTRAS (Ref. 14.6), a microscopic freeway corridor model that has been tested and implemented. FRESIM will be enhanced and reprogrammed before becoming part of TRAF.
"Finally, ROADSIM, the microscopic two-lane, two-way rural road model is basically the TWOWAF model developed by the National Cooperative Highway Research Program (Ref. 14.7). It is being reprogrammed and integrated into the TRAF system.
"The TRAF operating system is shown in Figure 147. It is a computer program consisting of the following major components:
1. A master file where the modularized subroutines of the component models are stored;
2. A file maintenance program that automatically modifies the content of the master file;
3. A program generator that reads the features specifled by the user, selects the subroutines that simulate these features, and forms an application program that satisfies user's specification; and
4. A report generator that produces var lous informative computer printouts.
"At present, there are no plans at FHWA for developing new traffic simulation models. A survey of the computer technology and prediction of computer developments in the near future is considered necessary before the needs for new models can be determined and plans for their development formulated.

\footnotetext{
"Emphasis is now given to testing and implementing the models of the TRAF family; first as stand-alone program and then as a system. The implementation of the TRAF
}


Figure 147. Functional Operation of the TRAF Mojel
system will be done gradually, starting with traffic simulation on urban networks and the macroscopic simulation of traffic on freeways. The next step will be implementing traffic simulation on the above faclilities plus two-lane, two- way rural roads. Finally, the entire TRAF system will be implemented-- including the macroscopic freeway simulation.
"The integration of the various component models into the TRAF system is essentially an enhancement operation; no new model is being created. But in addition to the integration process, each of the component models is being reprogrammed, which is an enhancement, and its conceptual design is being improved. The NETSIM logic, for example, has not only been refined but it has also been substan-
tially extended to simulate more complex traffic situations."

\section*{ITDS: Integrated Traffic Data System}

The Integrated Traffic Data System (ITDS) is a "stand-alone" microcomputer system composed of hardware and software elements which jointly perform the following functions:
1. Provide for a centralized microcomputer data base to store traftic data in a predetermined format and organization; and,
2. Utilizes this dara base to generate input data sets for various traffic simulation models and sigrial timing optimization programs.

\section*{FUTURE DEVELOPMENTS}

The software elements of ITDS shall consist of the following:
1. Data Base (DB) - file required to store data in memory or on any mass storage device (tape, disk, etc.).
2. Data Base Management System (DBMS) software required to provide the system with necessary "intelligence" to identify, store, retrieve, modify, and process the data stored in the DB.
3. Interface Programs - software required to perform the following functions:
a. Preprocessor - reformats the data retrieved from the DB into a format compatible with the input requirements of each traffic model and generates the required input data sets to run any of the models (simulation and optimization).
b. Post-processor - stores and reformats the portions of the optimization programs output that report the results of the calculations of signal timing
and phasing, and saturation flows. As envisioned, the specified portions of the optimization programs' output will be stored in a designated file for future use as input to other models. It will be up to the user to decide whether these output portions wlll be permanently saved and stored in the DB.
4. Communications interface - software required to transmit the generated input data sets to, and retrieve the output from, a host computer where the models will run.

ITDS shall have the capability of being connected to a main trame computer. This requirement is based on the fact that current traffic models were designed to run in main frame computers. Conceptually, ITDS will be used to generate input data sets, transmit them to a host computer for processing, and retrleve and reformat (in the case of optimization programs exclusively) the results. A diagram of the ITDS concept is presented in FIgure 148.


Figure 148. Integrated Traffic Data System Concept

\section*{FUTURE DEVELOPMENTS}

The process of generating the input data sets will be "menu" driven where the user will answer questions or fill in blanks interactively and on-line assistance to the user will be provided. The system will alert the user of any data elements that are required as input to any model and not stored in the data base.

ITDS will operate on 8-bit microcomputers utilizing single and multiple user operating systems. One important feature of the system is that control of changes in the data elements stored in the data base will be provided. In other words, only designated users, by means of some special access code, could modify, store, and/or delete data elements from the data base. This is highly desirable and provides adequate management of the system, especially when several people use the system simultaneously or in parallel.

\section*{FREQ 1}


Flgure 149. A System of Traffic Operations Optimization and Evaluation Models

The complete family of traffic operations models developed by the ITS is shown in Figure 149. The most current models in the freeway series are FREQ6PL (Ref. 14.10) and FREQ6PE (Ref. 14.11) which have advanced PRIFRE and FREQ3CP, respectively, to include more extensive fuel and emissions estimates and demand responses. Of particular importance are the more detailed analyses of effects on alternative arterial routes.

At the present time, the ITS is not further pursuing the arterial network area, but they are actively developing further enchancements to the freeway models, particularly with respect to FREQ6PE. Further research is presently concentrated on development of an updated model called FREQ7PE.

This research involves a refinement of the fuel consumption and exhaust emissions as well as the flexibility of the program, which will be able to accept user-supplied predictions. Some features include usersupplied maximum queue length on on-ramps and user-supplied metering rates and temporal shifts. This model overcomes the limitations previously discussed for the FREQ3CP model.

MP: An Arterlal Analysis Package for Signal Timing Design and Evaluation

The Arterial Analysis Package (AAP) (Ref. 14.12) is based on existing signal design and analysis programs, most of which are described in previous chapters. TRANSYT \(6 C\) optimizes signal offsets and shifts for a given cycle length by minimizing the performance index (a linear combination of stops and delays). SOAP specializes in individual intersections, determining optimum signal timing (cycle length, splits, and phase sequence) and dial assignments for multiple time periods, all under either pretimed or actuated control conditions. PASSER II determines cycie lengths, phase sequences, offsets and splits so that the bandwidth along an arterial is maximized.

In their original forms, these programs each have unique input and output formats. This
complicated the preparation of inputs so a common data base for all component programs was developed to facilitate the use of these programs as an integrated system.

The AAP will provide traffic engineers with a set of easily usable analysis programs. The need to be familiar with a separate input format for each of the programs will be eliminated. It will also enable the analyst with limited computer experience to access and use the programs. However, it will require significant amounts of programmer and systems analyst time to bring up on the IBM computer systems.

\section*{Other Signal Progression Models}

Mixed-Integer linear prgramming has been used by Dr. J. D. C. Little and his associates in two optimization model applications. The EXPRESS model (Ref. 14.13) is a maximal bandwidth optimization model for arterial progression design and MITROP (Ref.14.14) is a signal optimization model that minimizes delay in a network. The meximal bandwidth model using the mixed integer linear programming approach is presently being enhanced by Little, under a contract from the Federal Highway Administration. The resulting model will be known as MAXBAND (Ref. 14.15).

\section*{TECHMOLOGICAL ADVANCES}

Computer-modeling of traffic operations is supported by several areas of technology.

The utility of computer models to the traffic engineer may be expected to improve, therefore, as technology advances. The three ma in areas for improvement are:

\section*{New Theories of Traffic Control}

The development of theories for describing and optimizing the control of traffic appears to be in a falrly mature stage. Federally funded activities in this area have deminished somewhat in the past few years as the

\section*{FUTURE DEVELOPMENTS}
emphasis has shifted more to refinement and maintenance of existing models. Some work is progressing on the application of optimal control theory to oversaturated signal systems, which may eventually find its way into operational models. Minimization of energy consumption due to stops and delay at traffic signals may be expected to generate further theoretical development as energy problems intensify (as discussed earlier). Energy consumption in highway lighting systems has also attracted some interest. A linear programming model has been developed to examine traffic volumes throughout an illuminated network and to maximize the exposure of traffic to highway lighting under energy constraints (Ref. 14.16).

Another theoretical development of interest to the traffic engineer is the optimization of traffic signal progression based on the concept of "Forward Progression Opportunities" (Ref. 14.17).

A forward progression opportunity is simply the opportunity presented to the motorist to travel forward on one link of an arterial system without being stopped by a signal. This concept expands upon the maximal bandwidth approach by consider ing the progression opportunities which present themselves within the route, but do not necessarily extend throughout the full length of the route.

When system optimization is based on maximizing forward progression opportunities, rather than simply maximizing bandwidth, improvements in progression quality and other traffic operations measures can be realized. The TRANSYT-6C model has been modified for this purpose. Comparisons have indicated that worthwhile improvements can be realized in a variety of situations. The model is presently being incorporated into TRANSYT-7F.

\section*{Hardware Advancements}

The advances in computer technology of the past few years have greatly reduced the con-
straints of memory storage and execution time which limited the capability of previous generations of traffic operations models. This trend may be expected to continue. A more significant trend from the perspective of the user, however, is the increasing availability of intelligent terminals and self contalned desk-top microprocessor systems. While thesse devices cannot replace a large scale computer in the execution of any of the models described in this Handbook, they offer valuable assistance in the preparation of input data and in the presentation of interactive video graphics displays. A series of color graphics display is currently under development for both the NETSIM and FREQ6PE models under USDOT support. The Arterial Analysis Package described earlier in this chapter also features some restricted capabilities for producing graphics displays of time-space diagrams showing the quality of progression in an arterial signal system.

Already, several scaled down versions of several of the models described in this Handbook are operational in self-contained \(16 / 32\) bit microprocessor systems, namely SOAP and maximal bandwidth analyses (with partial progression opportunities). Furthermore, other programs include arterial movement analysis, accident reconstruction, etc. Finally, several functions of the SOAP program are also available for use on desk-top programable calculators.

\section*{Softwore Advances}

The most significant advances in the sottware area lies in the management techniques for software development which have recently become very popular. The concept of "Structured Programming" offers two important advantages over the more conventional techniques.
1. It provides for more effective involvement of the traffic engineer in the development of analysis programs, by

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[^0]:    Figure 30. Example SOAP Input Data for Alternative Designs

[^1]:    *These data are placed on separate cards for each interchange.

[^2]:    for each movement (phase) of the two intersections, along with a corresponding level of service. The first three phases (A, B, and C) are the normal three phases in the pattern. The forth "phase" labeled "D" is the time available for the interior thru traffic, or the sum of phases $A$ and $C$.

    The green time is the amount of the avallable cycle avallable for each of the phases (including amber and all-red). The volume/ capacity is the ratio of demand to capacity flow in the critical lanes. Delay is the estimate of delay calculated by Webster's method or the delay-offset technique, as

